

SECTION III — COMMISSION

REVENUE — REVENUE

Figures

Title	Heading	Budget 2013	Budget 2012	Outturn 2011
4	Revenue accruing from persons working with the institutions and other Union bodies	973 754 844	986 604 274	878 525 963,99
5	Revenue accruing from the administrative operation of the institution	58 000 000	58 500 000	240 257 185,99
6	Contributions and refunds in connection with Union agreements and programmes	60 000 000	50 000 000	2 443 798 798,86
7	Interest on late payments and fines	123 000 000	123 000 000	1 182 868 266,22
8	Borrowing and lending operations	4 296 000	384 000	858 312,00
9	Miscellaneous revenue	30 000 000	30 000 000	31 441 555,23
	Total	1 249 050 844	1 248 488 274	4 777 750 082,29

TITLE 4 — REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
4 0	MISCELLANEOUS TAXES AND DEDUCTIONS	548 347 414	529 501 406	495 973 076,91
4 1	CONTRIBUTIONS TO THE PENSION SCHEME	404 245 931	435 527 736	358 181 551,96
4 2	OTHER CONTRIBUTIONS TO THE PENSION SCHEME	21 161 499	21 575 132	24 371 335,12
	Title 4 — Total	973 754 844	986 604 274	878 525 963,99

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 0	MISCELLANEOUS TAXES AND DEDUCTIONS				
4 0 0	<i>Proceeds from the tax on the salaries, wages and allowances of officials, other servants and persons in receipt of a pension</i>	497 862 521	482 956 362	452 786 252,13	90,95 %
4 0 3	<i>Proceeds from the temporary contribution from the salaries of officials and other servants in active employment</i>	p.m.	p.m.	43 893,65	
4 0 4	<i>Proceeds from the special levy on the salaries of officials and other servants in active employment</i>	50 484 893	46 545 044	43 142 931,13	85,46 %
	Chapter 4 0 — Total	548 347 414	529 501 406	495 973 076,91	90,45 %

Article 4 0 0 — Proceeds from the tax on the salaries, wages and allowances of officials, other servants and persons in receipt of a pension

Figures

Budget 2013	Budget 2012	Outturn 2011
497 862 521	482 956 362	452 786 252,13

Remarks

This revenue represents all the tax levied on salaries, wages and emoluments of every type, with the exception of benefits and family allowances paid to Members of the Commission, officials, other servants and persons in receipt of the compensation payments on termination of employment referred to in Chapter 01 of each title of the statement of expenditure and to persons in receipt of a pension.

The estimated revenue also includes the amounts for the European Investment Bank, the European Central Bank and the European Investment Fund.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

Article 4 0 3 — Proceeds from the temporary contribution from the salaries of officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	43 893,65

Remarks

The provisions concerning the temporary contribution applied until 30 June 2003. Therefore this article will cover any revenue resulting from the residual amount of the temporary contribution from the salaries of Members of the Commission, officials and other servants in active employment.

Legal basis

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Article 4 0 4 — Proceeds from the special levy on the salaries of officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
50 484 893	46 545 044	43 142 931,13

Remarks

This article is intended to record the proceeds from the special levy on the salaries of officials and other servants in active employment according to Article 66a of the Staff Regulations.

Legal basis

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 1	CONTRIBUTIONS TO THE PENSION SCHEME				
4 1 0	<i>Staff contributions to the pension scheme</i>	335 697 931	330 761 736	317 395 535,70	94,55 %
4 1 1	<i>Transfer or purchase of pension rights by staff</i>	68 448 000	104 666 000	40 678 870,58	59,43 %
4 1 2	<i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>	100 000	100 000	107 145,68	107,15 %
	Chapter 4 1 — Total	404 245 931	435 527 736	358 181 551,96	88,60 %

Article 4 1 0 — Staff contributions to the pension scheme

Figures

Budget 2013	Budget 2012	Outturn 2011
335 697 931	330 761 736	317 395 535,70

Remarks

This revenue represents staff contributions to the financing of the pension scheme.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

Article 4 1 1 — Transfer or purchase of pension rights by staff

Figures

Budget 2013	Budget 2012	Outturn 2011
68 448 000	104 666 000	40 678 870,58

Remarks

This revenue represents the payment to the Union of the actuarial equivalent or the flat-rate redemption value of pension rights acquired by officials in their previous jobs.

Legal basis

Staff Regulations of Officials of the European Union.

Article 4 1 2 — Contributions to the pension scheme by officials and temporary staff on leave on personal grounds

Figures

Budget 2013	Budget 2012	Outturn 2011
100 000	100 000	107 145,68

Remarks

Officials and other servants taking leave on personal grounds may continue to acquire pension rights provided that they also bear the cost of the employer's contribution.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 2	OTHER CONTRIBUTIONS TO THE PENSION SCHEME				
4 2 0	<i>Employer's contribution by decentralised agencies and international organisations to the pension scheme</i>	21 161 499	21 575 132	24 371 335,12	115,17 %
	Chapter 4 2 — Total	21 161 499	21 575 132	24 371 335,12	115,17 %

Article 4 2 0 — Employer's contribution by decentralised agencies and international organisations to the pension scheme

Figures

Budget 2013	Budget 2012	Outturn 2011
21 161 499	21 575 132	24 371 335,12

Remarks

This revenue represents the employer's contribution by decentralised agencies and international organisations to the pension scheme.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

TITLE 5 — REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
5 0	PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY	p.m.	p.m.	5 288 499,02
5 1	PROCEEDS FROM LETTING AND HIRING	p.m.	p.m.	15 954 670,94
5 2	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST	56 000 000	56 500 000	58 052 397,99
5 5	REVENUE FROM THE SUPPLY OF SERVICES AND WORK	p.m.	p.m.	12 636 245,48
5 7	OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION	p.m.	p.m.	143 805 268,27
5 8	MISCELLANEOUS COMPENSATION	p.m.	p.m.	308 828,51
5 9	Other revenue from administrative operations	2 000 000	2 000 000	4 211 275,78
	Title 5 — Total	58 000 000	58 500 000	240 257 185,99

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
5 0	PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY				
5 0 0	<i>Proceeds from the sale of movable property (supply of goods)</i>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	33 657,70	
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	175,00	
5 0 0 2	Proceeds from the supply of goods to other institutions or bodies — Assigned revenue	p.m.	p.m.	4 252 274,94	
	<i>Article 5 0 0 — Subtotal</i>	p.m.	p.m.	4 286 107,64	
5 0 1	<i>Proceeds from the sale of immovable property</i>	p.m.	p.m.	0,—	
5 0 2	<i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i>	p.m.	p.m.	1 002 391,38	
	Chapter 5 0 — Total	p.m.	p.m.	5 288 499,02	

Article 5 0 0 — Proceeds from the sale of movable property (supply of goods)

Item 5 0 0 0 — Proceeds from the sale of vehicles — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	33 657,70

Remarks

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution. It records also the proceeds from the sale of vehicles that are being replaced or scrapped when the book value is fully depreciated.

In accordance with Article 18(1)(e) and (ea) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 5 0 0 1 — Proceeds from the sale of other movable property — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	175,00

Remarks

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution. It records also the proceeds from the sale of equipment, installations, materials, and scientific and technical apparatus which are being replaced or scrapped when the book value is fully depreciated.

In accordance with Article 18(1)(e) and (ea) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 5 0 0 2 — Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	4 252 274,94

Remarks

In accordance with Article 18(1)(g) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 0 1 — Proceeds from the sale of immovable property

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This article is intended to record revenue from the sale of immovable property belonging to the institution.

Article 5 0 2 — Proceeds from the sale of publications, printed works and films — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	1 002 391,38

Remarks

In accordance with Article 18(1)(j) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to

the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue. This article also contains revenue from the sale of such products on an electronic medium.

CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
5 1	PROCEEDS FROM LETTING AND HIRING				
5 1 0	<i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i>	p.m.	p.m.	0,—	
5 1 1	<i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i>				
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	15 582 138,45	
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	372 532,49	
	<i>Article 5 1 1 — Subtotal</i>	p.m.	p.m.	15 954 670,94	
	Chapter 5 1 — Total	p.m.	p.m.	15 954 670,94	

Article 5 1 0 — Proceeds from the hiring-out of furniture and equipment — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

In accordance with Article 18(1)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 1 1 — Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings

Item 5 1 1 0 — Proceeds from letting and subletting immovable property — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	15 582 138,45

Remarks

In accordance with Article 18(1)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 5 1 1 1 — Reimbursement of charges connected with lettings — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	372 532,49

Remarks

In accordance with Article 18(1)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
5 2	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST				
5 2 0	<i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i>	6 000 000	6 500 000	3 297 847,68	54,96 %
5 2 1	<i>Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission</i>	10 000 000	10 000 000	11 455 376,72	114,55 %
5 2 2	<i>Interest yielded by pre-financing</i>	40 000 000	40 000 000	40 436 396,93	101,09 %
5 2 3	<i>Revenue generated on Trust Accounts — Assigned revenue</i>	p.m.	p.m.	2 862 776,66	
	Chapter 5 2 — Total	56 000 000	56 500 000	58 052 397,99	103,66 %

Article 5 2 0 — Revenue from investments or loans granted, bank and other interest on the institution's accounts

Figures

Budget 2013	Budget 2012	Outturn 2011
6 000 000	6 500 000	3 297 847,68

Remarks

This revenue refers only to bank interest paid into the Commission's current accounts.

Article 5 2 1 — Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission

Figures

Budget 2013	Budget 2012	Outturn 2011
10 000 000	10 000 000	11 455 376,72

Remarks

This article is intended to record the revenue accruing from the repayment of interest by the subsidised organisations which have placed advances received from the Commission on interest-bearing accounts. If they remain unused, these advances and the interest on them have to be repaid to the Commission.

Article 5 2 2 — Interest yielded by pre-financing

Figures

Budget 2013	Budget 2012	Outturn 2011
40 000 000	40 000 000	40 436 396,93

Remarks

This article is intended to record revenue from the interest yielded by pre-financing.

In accordance with Article 5a of the Financial Regulation, the amounts booked to this article may be used to provide additional appropriations under the corresponding headings of the statement of expenditure in this section. Thus, interest generated by pre-financing payments shall be assigned to the programme or the action concerned and deducted from the payment of the balance of the amounts due to the beneficiary.

The Regulation laying down the rules for implementing the Financial Regulation also specifies the cases in which the authorising officer responsible shall, by way of exception, recover annually such interest.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 5a thereof.

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1), and in particular Articles 4 and 4a thereof.

Article 5 2 3 — Revenue generated on Trust Accounts — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	2 862 776,66

Remarks

This article is intended to record interest and other revenue generated on Trust Accounts.

The Trust Accounts are kept on behalf of the Union by international financial institutions (European Investment Fund, European Investment Bank, Council of Europe Development Bank/Kreditanstalt für Wiederaufbau, European Bank for Reconstruction and Development) managing Union programmes and the amounts paid in by the Union remain on the account until they are made available to the beneficiaries under the single programme, such as small and medium-sized enterprises or institutions managing projects in accession countries.

In accordance with Article 18(2) of the Financial Regulation, interest generated by Trust Accounts used for Union programmes is used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 18(2) thereof.

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1).

CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
5 5	REVENUE FROM THE SUPPLY OF SERVICES AND WORK				
5 5 0	<i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i>	p.m.	p.m.	12 321 005,42	

5 5 1	<i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>	p.m.	p.m.	315 240,06	
	Chapter 5 5 — Total	p.m.	p.m.	12 636 245,48	

Article 5 5 0 — Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	12 321 005,42

Remarks

In accordance with Article 18(1)(g) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 5 1 — Revenue from third parties in respect of services or work supplied at their request — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	315 240,06

Remarks

In accordance with Article 18(1)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
5 7	OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION				
5 7 0	<i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>	p.m.	p.m.	9 657 656,95	
5 7 1	<i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>	p.m.	p.m.	0,—	
5 7 2	<i>Repayment of welfare expenditure incurred on behalf of another institution</i>	p.m.	p.m.	0,—	
5 7 3	<i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>	p.m.	p.m.	134 147 611,32	
	Chapter 5 7 — Total	p.m.	p.m.	143 805 268,27	

Article 5 7 0 — Revenue arising from the repayment of amounts wrongly paid — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	9 657 656,95

Remarks

In accordance with Article 18(1)(f) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 7 1 — Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

In accordance with Article 18(1)(c) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 7 2 — Repayment of welfare expenditure incurred on behalf of another institution

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

Article 5 7 3 — Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	134 147 611,32

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 5 8 — MISCELLANEOUS COMPENSATION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
5 8 5 8 0	MISCELLANEOUS COMPENSATION <i>Revenue from payments connected with lettings — Assigned revenue</i>	p.m.	p.m.	17 268,69	

5 8 1	<i>Revenue from insurance payments received — Assigned revenue</i>				
			p.m.	p.m.	291 559,82
	Chapter 5 8 — Total		p.m.	p.m.	308 828,51

Article 5 8 0 — Revenue from payments connected with lettings — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	17 268,69

Remarks

In accordance with Article 18(1)(i) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 8 1 — Revenue from insurance payments received — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	291 559,82

Remarks

In accordance with Article 18(1)(h) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
5 9	Other revenue from administrative operations				
5 9 0	<i>Other revenue from administrative operations</i>	2 000 000	2 000 000	4 211 275,78	210,56 %
	Chapter 5 9 — Total	2 000 000	2 000 000	4 211 275,78	210,56 %

Article 5 9 0 — Other revenue from administrative operations

Figures

Budget 2013	Budget 2012	Outturn 2011
2 000 000	2 000 000	4 211 275,78

Remarks

This article is intended to record other revenue from administrative operations.

TITLE 6 — CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
6 0	CONTRIBUTIONS TO UNION PROGRAMMES	p.m.	p.m.	588 447 354,33
6 1	REPAYMENT OF MISCELLANEOUS EXPENDITURE	p.m.	p.m.	102 774 970,46
6 2	REVENUE FROM SERVICES RENDERED AGAINST PAYMENT	p.m.	p.m.	73 113 988,17
6 3	CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS	p.m.	p.m.	340 961 821,95
6 5	FINANCIAL CORRECTIONS	p.m.	p.m.	85 083 943,54
6 6	OTHER CONTRIBUTIONS AND REFUNDS	60 000 000	50 000 000	556 038 240,50
6 7	REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT	p.m.	p.m.	697 371 166,81
6 8	TEMPORARY RESTRUCTURING AMOUNTS	p.m.	p.m.	7 313,10
Title 6 — Total		60 000 000	50 000 000	2 443 798 798,86

CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 0	CONTRIBUTIONS TO UNION PROGRAMMES				
6 0 1	Miscellaneous research programmes				
6 0 1 1	Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue	p.m.	p.m.	0,—	
6 0 1 2	European fusion development agreements (EFDA) — Assigned revenue	p.m.	p.m.	16 697 188,17	
6 0 1 3	Cooperation agreements with third countries under Union research programmes — Assigned revenue	p.m.	p.m.	344 593 840,72	
6 0 1 5	Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue	p.m.	p.m.	0,—	
6 0 1 6	Agreements for European cooperation in the field of scientific and technical research — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 0 1 — Subtotal</i>	p.m.	p.m.	361 291 028,89	
6 0 2	Other programmes				
6 0 2 1	Miscellaneous revenue relating to humanitarian aid — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 0 2 — Subtotal</i>	p.m.	p.m.	0,—	
6 0 3	Association agreements between the Union and third countries				
6 0 3 1	Revenue accruing from the participation of the candidate countries and the western Balkan potential candidate countries in Union programmes — Assigned revenue	p.m.	p.m.	196 461 242,11	
6 0 3 2	Revenue accruing from the participation of third countries, other than candidate countries and western Balkan potential candidate countries, in customs cooperation agreements — Assigned revenue	p.m.	p.m.	256 840,90	
6 0 3 3	Participation of outside bodies in Union activities — Assigned revenue	p.m.	p.m.	30 438 242,43	
	<i>Article 6 0 3 — Subtotal</i>	p.m.	p.m.	227 156 325,44	
Chapter 6 0 — Total		p.m.	p.m.	588 447 354,33	

Article 6 0 1 — Miscellaneous research programmes

Item 6 0 1 1 — Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Revenue resulting from cooperation agreements between Switzerland and the European Atomic Energy Community, in particular that of 14 September 1978.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 22 04 (indirect action) of the statement of expenditure in this section, depending on the expenditure to be covered.

Item 6 0 1 2 — European fusion development agreements (EFDA) — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	16 697 188,17

Remarks

Revenue resulting from the multilateral EFDA agreements between the European Atomic Energy Community and its 26 fusion associates.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 22 04 (indirect action) of the statement of expenditure in this section, depending on the expenditure to be covered.

Such revenue covers the associates' contribution to financing joint fund expenditure resulting from the use of the JET facilities, the High Performance Computer for Fusion and any other facility which may be set up for the purposes of the EFDA.

Item 6 0 1 3 — Cooperation agreements with third countries under Union research programmes — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	344 593 840,72

Remarks

Revenue resulting from cooperation agreements between the Union and third countries, in particular those participating in European cooperation in the field of scientific and technical research (COST), in order to associate them with Union research programmes.

Any contributions received are to cover the costs of meetings, experts' contracts and research expenditure under the programmes in question.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 03, 06 06 04, 08 22 04, 09 04 02, 15 07 78, 32 06 03 (indirect action), 10 02 02 and 10 03 02 of the statement of expenditure in this section, depending on the expenditure to be covered.

Legal basis

Decision 2007/502/EC, Euratom of the Council and of the Commission of 25 June 2007 on the signing, on behalf of the European Community and the European Atomic Energy Community, and provisional application of the Agreement on Scientific and Technological Cooperation between the European Community and the European Atomic Energy Community, of the one part, and the Swiss Confederation, of the other part (OJ L 189, 20.7.2007, p. 24).

Decision 2007/585/EC of the Council of 10 July 2007 on the signing and provisional application of the Agreement on scientific and technical cooperation between the European Community and the State of Israel (OJ L 220, 25.8.2007, p. 3).

Council Decision 2010/558/EU of 12 March 2010 on the signing, on behalf of the Union, and provisional application of the Agreement between the European Union and the Government of the Faroes on Scientific and Technological Cooperation, associating the Faroe Islands to the Union's Seventh Framework Programme for Research, Technological Development and Demonstration Activities (2007 to 2013) (OJ L 245, 17.9.2010, p. 1).

Council Decision 2011/27/EU of 12 July 2010, concerning the signing and provisional application of a Protocol to the Partnership and Cooperation Agreement establishing a partnership between the European Communities and their Member States, of the one part, and the Republic of Moldova, of the other part, on a Framework Agreement between the European Union and the Republic of Moldova on the general principles for the participation of the Republic of Moldova in Union programmes (OJ L 14, 19.1.2011, p. 1).

Council Decision 2011/28/EU of 12 July 2010 on the conclusion of a Protocol to the Partnership and Cooperation Agreement establishing a partnership between the European Communities and their Member States, of the one part, and the Republic of Moldova, on the other part, on a Framework Agreement between the European Union and the Republic of Moldova, on the general principles for the participation of the Republic of Moldova in Union programmes (OJ L 14, 19.1.2011, p. 5).

Commission Decision C(2011)5803 of 18 August 2011, on the approval and signature of the Memorandum of Understanding between the European Union and the Republic of Moldova on its association to the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

Reference acts

Recommendation from the Commission to the Council to authorise the Commission to open negotiations on an agreement for scientific and technological cooperation between the European Atomic Energy Community of the one part, and the Swiss Confederation, of the other part, associating the Swiss Confederation to the Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2012-2013) (COM(2012) 67).

Item 6 0 1 5 — Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Revenue resulting from cooperation agreements between the Union and institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others).

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 03, 06 06 04, 08 22 04 and 09 04 02 (indirect action) of the statement of expenditure in this section.

Item 6 0 1 6 — Agreements for European cooperation in the field of scientific and technical research — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Revenue from States taking part in European cooperation in the field of scientific and technical research.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 03, 06 06 04, 08 22 04 and 09 04 02 (indirect action) of the statement of expenditure in this section.

Reference acts

Resolution of the Ministers of States participating in European Cooperation in the field of scientific and technical research (COST)

(signed in Vienna on 21 November 1991) (OJ C 333, 24.12.1991, p. 1).

Article 6 0 2 — Other programmes

Item 6 0 2 1 — Miscellaneous revenue relating to humanitarian aid — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Any contributions by outside bodies relating to humanitarian aid.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Title 23 of the statement of expenditure in this section.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Article 6 0 3 — Association agreements between the Union and third countries

Item 6 0 3 1 — Revenue accruing from the participation of the candidate countries and the western Balkan potential candidate countries in Union programmes — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	196 461 242,11

Remarks

Revenue accruing from the Association Agreements concluded between the Union and the countries listed below as a result of their participation in various Union programmes. Any revenue from countries that are already Member States relates to past operations.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Reference acts

Framework Agreement between the European Community and the Republic of Turkey on the general principles for the participation of the Republic of Turkey in Community programmes (OJ L 61, 2.3.2002, p. 29).

Commission Decision C(2007) 2029 of 11 May 2007, on the approval and signature of the Memorandum of Understanding between the European Community and the Republic of Turkey on the association of the Republic of Turkey to the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

Framework Agreement between the European Community and the Republic of Albania on the general principles for the participation of the Republic of Albania in Community programmes (OJ L 192, 22.7.2005, p. 2).

Commission Decision C(2007) 6103 of 11 December 2007, on the approval and signature of the Memorandum of Understanding between the European Community and the Republic of Albania on the association of the Republic of Albania to the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

Framework Agreement between the European Community and Bosnia and Herzegovina on the general principles for the participation of Bosnia and Herzegovina in Community programmes (OJ L 192, 22.7.2005, p. 9).

Commission Decision C(2008) 4037 of 1 August 2008, on the approval and signature of the Memorandum of Understanding between the European Community and Bosnia and Herzegovina on the association of Bosnia and Herzegovina to the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

Framework Agreement between the European Community and the Republic of Croatia on the general principles for the participation of the Republic of Croatia in Community programmes (OJ L 192, 22.7.2005, p. 16).

Commission Decision C(2007) 2017 of 11 May 2007, on the approval and signature of the Memorandum of Understanding between the European Community and the Republic of Croatia on the association of the Republic of Croatia to the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

Framework Agreement between the European Community and Serbia and Montenegro on the general principles for the participation of Serbia and Montenegro in Community programmes (OJ L 192, 22.7.2005, p. 29).

Commission Decision C(2007) 2030 of 11 May 2007, on the approval and signature of the Memorandum of Understanding between the European Community and the Republic of Serbia on the association of the Republic of Serbia to the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

Protocol to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the former Yugoslav Republic of Macedonia, of the other part, on a Framework Agreement between the European Community and the former Yugoslav Republic of Macedonia on the general principles for the participation of the Former Yugoslav Republic of Macedonia in Community programmes (OJ L 192, 22.7.2005, p. 23).

Commission Decision C(2007) 2016 of 11 May 2007, on the approval and signature of the Memorandum of Understanding between the European Community and the former Yugoslav Republic of Macedonia on the association of the former Yugoslav Republic of Macedonia to the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

Agreement in the form of an exchange of letters concerning the provisional application of Protocol 8 to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Montenegro, of the other part, on the general principles for the participation of Montenegro in Community programmes (OJ L 43, 19.2.2008, p. 11).

Commission Decision C(2008) 233 of 24 January 2008, on the approval and signature of the Memorandum of Understanding between the European Community and Montenegro on the association of Montenegro to the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

Additional protocols to the Europe Agreements (Articles 228 and 238) opening up Community programmes to the candidate countries.

Item 6 0 3 2 — Revenue accruing from the participation of third countries, other than candidate countries and western Balkan potential candidate countries, in customs cooperation agreements — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	256 840,90

Remarks

This item is intended to record third country contributions to customs cooperation agreements, in particular under the Transit project and the project for the dissemination of tariff and other particulars (by computer).

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 14 03 01, 14 04 01, 14 04 02 and 14 05 03 of the statement of expenditure in this section.

Legal basis

Convention of 20 May 1987 between the European Economic Community, the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation, on a common transit procedure (OJ L 226, 13.8.1987, p. 2).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Council Decision of 19 March 2001 authorising the Commission to negotiate, on behalf of the European Community, an amendment to the Convention creating the Customs Cooperation Council signed in Brussels on 15 December 1950 allowing the European Community to become a member of that organisation.

Decision No 253/2003/EC of the European Parliament and of the Council of 11 February 2003 adopting an action programme for customs in the Community (Customs 2007) (OJ L 36, 12.2.2003, p. 1).

Decision No 624/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing an action programme for customs in the Community (Customs 2013) (OJ L 154, 14.6.2007, p. 25).

Item 6 0 3 3 — Participation of outside bodies in Union activities — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	30 438 242,43

Remarks

Any contributions by outside bodies to Union activities.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 1	REPAYMENT OF MISCELLANEOUS EXPENDITURE				
6 1 1	<i>Repayment of expenditure incurred on behalf of one or more Member States</i>				
6 1 1 3	Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue	p.m.	p.m.	47 896 088,58	
6 1 1 4	Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel	p.m.	p.m.	0,—	
	<i>Article 6 1 1 — Subtotal</i>	p.m.	p.m.	47 896 088,58	
6 1 2	<i>Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue</i>	p.m.	p.m.	645,92	
6 1 4	<i>Repayment of Union support to commercially successful projects and activities</i>				
6 1 4 3	Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue	p.m.	p.m.	0,—	
6 1 4 4	Repayment of Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue	p.m.			
	<i>Article 6 1 4 — Subtotal</i>	p.m.	p.m.	0,—	
6 1 5	<i>Repayment of unused Union aid</i>				
6 1 5 0	Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, Solidarity Fund, ISPA and IPA	p.m.	p.m.	42 018 394,95	
6 1 5 1	Repayment of unused subsidies for balancing budgets — Assigned revenue	p.m.	p.m.	0,—	
6 1 5 2	Repayment of unused interest subsidies — Assigned revenue	p.m.	p.m.	0,—	

6 1 5 3	Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue	p.m.	p.m.	602 575,71	
6 1 5 7	Repayments of payments on account under the Structural Funds, the Cohesion Fund and the European Fisheries Fund	p.m.	p.m.	4 559 723,50	
6 1 5 8	Repayment of miscellaneous unused Union aid — Assigned revenue	p.m.	p.m.	1 282 087,08	
	<i>Article 6 1 5 — Subtotal</i>	p.m.	p.m.	48 462 781,24	
6 1 6	Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue	p.m.	p.m.	0,—	
6 1 7	Repayment of amounts paid in connection with Union aid to third countries				
6 1 7 0	Repayments within the framework of cooperation with South Africa — Assigned revenue	p.m.	p.m.	6 098 617,93	
	<i>Article 6 1 7 — Subtotal</i>	p.m.	p.m.	6 098 617,93	
6 1 8	Repayment of amounts paid in connection with food aid				
6 1 8 0	Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue	p.m.	p.m.	110 579,80	
6 1 8 1	Repayment of additional costs caused by the recipients of food aid — Assigned revenue	p.m.	p.m.	19 836,54	
	<i>Article 6 1 8 — Subtotal</i>	p.m.	p.m.	130 416,34	
6 1 9	Repayment of other expenditure incurred on behalf of outside bodies				
6 1 9 1	Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue	p.m.	p.m.	186 420,45	
	<i>Article 6 1 9 — Subtotal</i>	p.m.	p.m.	186 420,45	
	Chapter 6 1 — Total	p.m.	p.m.	102 774 970,46	

Article 6 1 1 — Repayment of expenditure incurred on behalf of one or more Member States

Item 6 1 1 3 — Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	47 896 088,58

Remarks

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4 of that Decision, net revenue from the investment of available assets will constitute revenue in the general budget of the European Union earmarked for a specific purpose, namely financing research projects in sectors related to the coal and steel industry by the Research Fund for Coal and Steel.

The net revenue available for financing research projects in year $n + 2$ appears in the balance sheet of the ECSC in liquidation for year n , and after the liquidation process has been completed will appear as assets in the balance sheet of the Coal and Steel Research Fund. This financing mechanism took effect in 2003. Revenue coming from 2011 will be used for research in 2013. In order to reduce as far as possible the fluctuations that movements in the financial markets could cause in the financing of research, a smoothing arrangement is applied. The amount of net revenue expected to be available for research in 2013 comes to EUR 51 732 500.

In accordance with Article 4 of Decision 2003/76/EC, 72,8 % of the fund's appropriations will be for the steel-related sector and 27,2 % for the coal-related sector.

In accordance with Article 18 and Article 160(1a) of the Financial Regulation, revenue will be used to provide additional appropriations under Chapter 08 23 of the statement of expenditure in Section III 'Commission'.

Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on

the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

Item 6 1 1 4 — Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community (ECSC) which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4(5) of that Decision, the amounts recovered return initially to the assets of the ECSC in liquidation and subsequently, on completion of the liquidation, to the assets of the Research Fund for Coal and Steel.

Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

Article 6 1 2 — Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	645,92

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Article 6 1 4 — Repayment of Union support to commercially successful projects and activities

Item 6 1 4 3 — Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Repayment of all or part of the support for commercially successful projects, with possible participation in profits resulting from grants awarded as part of European risk capital activities in support of small and medium-sized enterprises under the Venture Consort and Eurotech Capital instruments.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Item 6 1 4 4 — Repayment of Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.		

Remarks

New item

Repayment of reflows and amounts left over from Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which the revenue is assigned.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the European Commission on 12 October 2011, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (COM(2011) 655 final).

Article 6 1 5 — Repayment of unused Union aid

Item 6 1 5 0 — Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, Solidarity Fund, ISPA and IPA

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	42 018 394,95

Remarks

Repayment of unused aid from the European Social Fund, the European Regional Development Fund, the European Agricultural Guidance and Guarantee Fund, the Financial Instrument for Fisheries Guidance, the Cohesion Fund, the Solidarity Fund, the Instrument for Structural Policies for Pre-Accession (ISPA) and the Instrument for Pre-Accession Assistance (IPA).

In accordance with Article 18 of the Financial Regulation, this revenue may be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 6 1 5 1 — Repayment of unused subsidies for balancing budgets — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 6 1 5 2 — Repayment of unused interest subsidies — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 6 1 5 3 — Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	602 575,71

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 6 1 5 7 — Repayments of payments on account under the Structural Funds, the Cohesion Fund and the European Fisheries Fund

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	4 559 723,50

Remarks

This item is intended to record the repayment of payments on account under the Structural Funds (European Regional Development Fund and European Social Fund), the Cohesion Fund and the European Fisheries Fund.

The amounts booked to this item will, in accordance with Articles 18 and 157 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 11 and 13 of the statement of expenditure in this section, if they are required in order not to reduce the contribution of the Structural Funds and the Cohesion Fund to the operation concerned.

Legal basis

Council Regulation (EC) No 1164/1994 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1), and in particular Article D of Annex II thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25), and in particular Article 82(2) and Chapter II thereof.

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Item 6 1 5 8 — Repayment of miscellaneous unused Union aid — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	1 282 087,08

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the

entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 6 1 6 — Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Repayment by the International Atomic Energy Agency (IAEA) of sums which were advanced by the Commission to pay for controls carried out by the IAEA under the verification agreements (see Articles 32 05 01 and 32 05 02 of the statement of expenditure in this section).

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Reference acts

Agreement between the Kingdom of Belgium, the Kingdom of Denmark, the Federal Republic of Germany, Ireland, the Italian Republic, the Grand Duchy of Luxembourg, the Kingdom of the Netherlands, the European Atomic Energy Community and the International Atomic Energy Agency in implementation of Article III(1) and (4) of the Treaty on the non-proliferation of nuclear weapons (OJ L 51, 22.2.1978, p. 1), and in particular Article 15 thereof.

Tripartite agreement between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite agreement between the Community, France and the International Atomic Energy Agency.

Article 6 1 7 — Repayment of amounts paid in connection with Union aid to third countries

Item 6 1 7 0 — Repayments within the framework of cooperation with South Africa — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	6 098 617,93

Remarks

Repayments by tenderers or recipients of overpayments made in connection with development cooperation with South Africa.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 21 06 02 of the statement of expenditure in this section.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Article 6 1 8 — Repayment of amounts paid in connection with food aid

Item 6 1 8 0 — Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	110 579,80

Remarks

Provisions contained in the invitations to tender or in the financial conditions attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Item 6 1 8 1 — Repayment of additional costs caused by the recipients of food aid — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	19 836,54

Remarks

Provisions contained in the terms of delivery attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Article 6 1 9 — Repayment of other expenditure incurred on behalf of outside bodies

Item 6 1 9 1 — Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	186 420,45

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Items 22 02 05 01 and 19 06 04 01 of the statement of expenditure in this section.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210,

31.7.2006, p. 82).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 2	REVENUE FROM SERVICES RENDERED AGAINST PAYMENT				
6 2 0	<i>Supply against payment of source materials or special fissile materials (Article 6(b) of the Euratom Treaty) — Assigned revenue</i>	p.m.	p.m.	0,—	
6 2 2	<i>Revenue from services provided by the Joint Research Centre to outside bodies against payment</i>				
6 2 2 1	Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	9 094 616,65	
6 2 2 3	Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	8 974 726,67	
6 2 2 4	Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue	p.m.	p.m.	61 913,43	
6 2 2 5	Other revenue for the Joint Research Centre — Assigned revenue	p.m.	p.m.	0,—	
6 2 2 6	Revenue from services provided by the Joint Research Centre to other services of the Commission on a competitive basis, to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	54 982 731,42	
	<i>Article 6 2 2 — Subtotal</i>	p.m.	p.m.	73 113 988,17	
6 2 4	<i>Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue</i>	p.m.	p.m.	0,—	
	Chapter 6 2 — Total	p.m.	p.m.	73 113 988,17	

Article 6 2 0 — Supply against payment of source materials or special fissile materials (Article 6(b) of the Euratom Treaty) — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Revenue from the supply to Member States against payment of source materials or special fissile materials for their research programmes.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Legal basis

Treaty establishing the European Atomic Energy Community, and in particular Article 6(b) thereof.

Article 6 2 2 — Revenue from services provided by the Joint Research Centre to outside bodies against payment

Item 6 2 2 1 — Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	9 094 616,65

Remarks

Revenue resulting from the operation of the high-flux reactor (HFR) at the Petten establishment of the Joint Research Centre.

Payments by outside bodies to cover all types of expenditure involved in the operation of the HFR by the Joint Research Centre.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05 and 10 04 04 of the statement of expenditure in this section.

Completion of earlier programmes

The revenue is provided by Belgium, Germany, France and the Netherlands.

Item 6 2 2 3 — Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	8 974 726,67

Remarks

Revenue from persons, firms and national bodies for which the Joint Research Centre will carry out work and/or provide services against payment.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05, 10 02 01, 10 03 01, 10 04 01 and 10 04 02 of the statement of expenditure in this section, amounting to the expenditure under each contract with an outside body.

Item 6 2 2 4 — Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	61 913,43

Remarks

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05, 10 04 02, 10 04 03 and Chapters 10 02 and 10 03 in the statement of expenditure in this section.

Legal basis

Treaty establishing the European Atomic Energy Community.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

Item 6 2 2 5 — Other revenue for the Joint Research Centre — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Revenue from contributions, gifts or bequests from third parties for the various activities carried out by the Joint Research Centre.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Article 10 01 05 and Chapters 10 02, 10 03 and 10 04 of the statement of expenditure in this section.

Item 6 2 2 6 — Revenue from services provided by the Joint Research Centre to other services of the Commission on a competitive basis, to be used to provide additional appropriations — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	54 982 731,42

Remarks

Revenue from other services of the Commission for which the Joint Research Centre will carry out work and/or provide services against payment and revenue from participation in the activities of the framework programmes for research and technological development.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 02 01, 10 03 01, 10 04 01 and 10 04 03 of the statement of expenditure in this section, amounting to the specific expenditure under each contract with other services of the Commission.

Article 6 2 4 — Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Legal basis

Treaty establishing the European Atomic Energy Community.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 3	CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS				
6 3 0	<i>Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue</i>	p.m.	p.m.	218 105 387,00	
6 3 1	<i>Contributions within the framework of the Schengen acquis</i>				
6 3 1 2	Contributions for the development of large-scale information systems under the agreement concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue	p.m.	p.m.	2 554 519,92	
6 3 1 3	Other contributions in connection with the Schengen acquis (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue	p.m.	p.m.	15 186 000,00	
	<i>Article 6 3 1 — Subtotal</i>	p.m.	p.m.	17 740 519,92	
6 3 2	<i>Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue</i>	p.m.	p.m.	67 847 000,00	
6 3 3	<i>Contributions to certain external aid programmes</i>				
6 3 3 0	Contributions from Member States to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	37 268 915,03	
6 3 3 1	Contributions from third countries to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	0,—	
6 3 3 2	Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 3 3 — Subtotal</i>	p.m.	p.m.	37 268 915,03	
	Chapter 6 3 — Total	p.m.	p.m.	340 961 821,95	

Article 6 3 0 — Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	218 105 387,00

Remarks

This article is intended to record contributions from the European Free Trade Association Member States resulting from their financial participation in certain activities of the Union in accordance with Article 82 of and with Protocol 32 to the Agreement on the European Economic Area.

The total contribution planned is shown in the summary presented for information in an annex to the statement of expenditure in this section.

The contributions by the European Free Trade Association Member States will be made available to the Commission in accordance with Articles 1, 2 and 3 of Protocol 32 to the Agreement on the European Economic Area.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Reference acts

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3).

Article 6 3 1 — Contributions within the framework of the Schengen acquis

Item 6 3 1 2 — Contributions for the development of large-scale information systems under the agreement concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	2 554 519,92

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 18 02 04, 18 02 05, 18 02 11 and 18 03 11 of the statement of expenditure in this section.

Legal basis

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Regulation (EC) No 2725/2000 of 11 December 2000 concerning the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of the Dublin Convention (OJ L 316, 15.12.2000, p. 1).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Council Regulation (EC) No 343/2003 of 18 February 2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 50, 25.2.2003, p. 1).

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Council Decision 2004/512/CE of 8 June 2004 establishing the Vis Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008, concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

Council Regulation (EC) No 1104/2008 of 24 October 2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 299, 8.11.2008, p. 1).

Council Decision 2008/839/JHA of 24 October 2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 299, 8.11.2008, p. 43).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011, p. 1).

Item 6 3 1 3 — Other contributions in connection with the Schengen *acquis* (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	15 186 000,00

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 18 02 06, 18 02 07 and 18 03 14 of the statement of expenditure in this section.

Legal basis

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Decision No 574/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the External Borders Fund for the period 2007 to 2013 as part of the General programme Solidarity and Management of Migration Flows (OJ L 144, 6.6.2007, p. 22).

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement

between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

Council Decision 2011/305/EU of 21 March 2011 on the conclusion, on behalf of the European Union, and provisional application of the Agreement between the European Community and the Republic of Iceland, the Kingdom of Norway, the Swiss Confederation and the Principality of Liechtenstein on supplementary rules in relation to the External Borders Fund for the period 2007 to 2013 (OJ L 137, 25.5.2011, p. 1).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

Reference acts

Proposal for Council Decisions, submitted by the Commission on 30 October 2009, on the signature and conclusion of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (COM(2009) 605 and 606).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 September 2011, on the establishment of an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* (COM(2011) 559 final).

Article 6 3 2 — Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	67 847 000,00

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue from the European Development Fund (EDF) contributing to the cost of support measures will be used to provide additional appropriations under Item 21 01 04 10 of the statement of expenditure in this section.

Reference acts

Internal Agreements between the Representatives of the Governments of the Member States, meeting within the Council, on the financing of Community aid under the multiannual financial framework for the period 2008 to 2013 in accordance with the ACP-EC Partnership Agreement and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the EC Treaty applies (OJ L 247, 9.9.2006, p. 32).

Article 6 3 3 — Contributions to certain external aid programmes

Item 6 3 3 0 — Contributions from Member States to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	37 268 915,03

Remarks

This item is intended to record the financial contributions from Member States, including their public and parastatal agencies, to certain external aid programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act.

In accordance with Article 18(1)(aa) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Item 6 3 3 1 — Contributions from third countries to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This item is intended to record the financial contributions from third countries, including their public and parastatal agencies, to certain external aid programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act.

In accordance with Article 18(1)(aa) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Item 6 3 3 2 — Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This item is intended to record the financial contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act.

In accordance with Article 18(1)(aa) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

CHAPTER 6 5 — FINANCIAL CORRECTIONS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 5	FINANCIAL CORRECTIONS				
6 5 0	Financial corrections				
6 5 0 0	Financial corrections in connection with the Structural Funds, the Cohesion Fund and the European Fisheries Fund	p.m.	p.m.	85 083 943,54	
	<i>Article 6 5 0 — Subtotal</i>	p.m.	p.m.	85 083 943,54	
	Chapter 6 5 — Total	p.m.	p.m.	85 083 943,54	

Article 6 5 0 — Financial corrections

Item 6 5 0 0 — Financial corrections in connection with the Structural Funds, the Cohesion Fund and the European Fisheries Fund

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	85 083 943,54

Remarks

This item is intended to accommodate financial corrections in connection with the Structural Funds (European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, European Regional Development Fund, European Social Fund), the Cohesion Fund and the European Fisheries Fund.

The amounts booked to this item may, in accordance with Article 18 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section if they are required to cover the risk of corrections adopted earlier being cancelled or reduced.

Pursuant to Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1), and in particular Article 24 thereof.

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Commission Regulation (EC) No 448/2001 of 2 March 2001 laying down detailed rules for the implementation of Council Regulation (EC) No 1260/1999 as regards the procedure for making financial corrections to assistance granted under the Structural Funds (OJ L 64, 6.3.2001, p. 13).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 6	OTHER CONTRIBUTIONS AND REFUNDS				
6 6 0	<i>Other contributions and refunds</i>				
6 6 0 0	Other assigned contributions and refunds — Assigned revenue	p.m.	p.m.	441 854 324,18	
6 6 0 1	Other non-assigned contributions and refunds	60 000 000	50 000 000	114 183 916,32	190,31 %
	<i>Article 6 6 0 — Subtotal</i>	60 000 000	50 000 000	556 038 240,50	926,73 %
	Chapter 6 6 — Total	60 000 000	50 000 000	556 038 240,50	926,73 %

Article 6 6 0 — Other contributions and refunds

Item 6 6 0 0 — Other assigned contributions and refunds — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	441 854 324,18

Remarks

This item is intended to accommodate, in accordance with Article 18 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Item 6 6 0 1 — Other non-assigned contributions and refunds

Figures

Budget 2013	Budget 2012	Outturn 2011
60 000 000	50 000 000	114 183 916,32

Remarks

This item is intended to accommodate any revenue not provided for in other parts of Title 6 which is not used, in accordance with Article 18 of the Financial Regulation.

CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 7	REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT				
6 7 0	Revenue concerning the European Agricultural Guarantee Fund				
6 7 0 1	Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue	p.m.	p.m.	467 339 394,15	
6 7 0 2	European Agricultural Guarantee Fund irregularities — Assigned revenue	p.m.	p.m.	177 592 514,88	
6 7 0 3	Superlevy from milk producers — Assigned revenue	p.m.	p.m.	21 894 709,96	
	<i>Article 6 7 0 — Subtotal</i>	p.m.	p.m.	666 826 618,99	
6 7 1	Revenue concerning the European Agricultural Fund for Rural Development				
6 7 1 1	Clearance of accounts European Agricultural Fund for Rural Development — Assigned revenue	p.m.	p.m.	30 544 547,82	
6 7 1 2	European Agricultural Fund for Rural Development irregularities — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 7 1 — Subtotal</i>	p.m.	p.m.	30 544 547,82	
	Chapter 6 7 — Total	p.m.	p.m.	697 371 166,81	

Article 6 7 0 — Revenue concerning the European Agricultural Guarantee Fund

Item 6 7 0 1 — Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	467 339 394,15

Remarks

This item is intended to accommodate revenue resulting from conformity decisions of the clearance of accounts in favour of the Union budget concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee section) under Heading 1 of the 2000 to 2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF). It is also intended to accommodate amounts resulting from accounting clearance of accounts decisions in favour of the Union budget concerning recorded assigned revenue related to it other than arising from the application of Article 32(5) of Regulation (EC) No 1290/2005.

This item is also intended to accommodate revenue resulting from conformity decisions of the clearance of accounts in favour of the Union budget concerning expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, ending on 30 September 2012. It is also intended to accommodate amounts resulting from accounting clearance of accounts decisions in favour of the Union budget concerning recorded assigned revenue related to the Sugar Restructuring Fund other than arising from the application of Article 16 and Article 32(5) of Regulation (EC) No 1290/2005.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 619 000 000, including EUR 230 000 000 carried over from 2012 to 2013 in accordance with Article 10 of the Financial Regulation.

When establishing the budget for 2013, an amount of EUR 500 000 000 was taken into account for financing the needs of measures under Article 05 02 08 and the remaining amount of EUR 119 000 000 was taken into account for financing the needs of measures

under Article 05 03 01.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Item 6 7 0 2 — European Agricultural Guarantee Fund irregularities — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	177 592 514,88

Remarks

This item is intended to accommodate amounts recovered following irregularities or negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received as well as securities forfeited, resulting from expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee section) under Heading 1 of the Financial Perspectives 2000 to 2006 and the European Agricultural Guarantee Fund (EAGF). This item is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as provided for in Article 32(2) of Regulation (EC) No 1290/2005. It also includes the amounts recovered resulting from clearance decisions in application of Article 32(5) of that Regulation.

This item is also intended to accommodate amounts recovered following irregularities or oversight, including interest, penalties and securities acquired, resulting from expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, ending on 30 September 2012. This item is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as provided for in Article 32(2) of Regulation (EC) No 1290/2005. It also includes the amounts recovered resulting from clearance decisions in application of Article 32(5) of that Regulation.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 161 000 000.

When establishing the budget for 2013, this amount was taken into account for financing the needs of measures under Article 05 03 01.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Item 6 7 0 3 — Superlevy from milk producers — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	21 894 709,96

Remarks

This item is intended to accommodate amounts collected or recovered in accordance with Regulation (EC) No 1788/2003 and Article 78 of Regulation (EC) No 1234/2007.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under European Agricultural Guarantee Fund of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 78 000 000.

When establishing the budget for 2013, this amount was taken into account for financing the needs of measures under Article 05 03 01.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Article 6 7 1 — Revenue concerning the European Agricultural Fund for Rural Development

Item 6 7 1 1 — Clearance of accounts European Agricultural Fund for Rural Development — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	30 544 547,82

Remarks

This item is intended to accommodate amounts resulting from conformity clearance of accounts decisions in favour of the Union budget within the context of rural development financed by the European Agricultural Fund for Rural Development (EAFRD). It is also intended to accommodate amounts resulting from accounting clearance of accounts decisions in favour of the Union budget which can be considered as assigned revenue. Amounts in relation to the repayment of payments on account under the EAFRD are also recorded on this item.

In accordance with Articles 18 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2013, no specific amount was earmarked for Article 05 04 05.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Item 6 7 1 2 — European Agricultural Fund for Rural Development irregularities — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This item is intended to accommodate amounts recovered resulting from irregularities and negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received as well as securities forfeited in the context of rural development financed by the European Agricultural Fund for Rural Development (EAFRD).

In accordance with Articles 18 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2013, no specific amount was earmarked for Article 05 04 05.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

CHAPTER 6 8 — TEMPORARY RESTRUCTURING AMOUNTS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 8	TEMPORARY RESTRUCTURING AMOUNTS				
6 8 0	Temporary restructuring amounts — Assigned revenue				
6 8 0 1	Temporary restructuring amounts — Assigned revenue	p.m.	p.m.	0,—	
6 8 0 2	Irregularities concerning the temporary restructuring fund — Assigned revenue	—	p.m.	4 782,60	
6 8 0 3	Clearance with regard to the temporary restructuring fund — Assigned revenue	—	p.m.	2 530,50	
	<i>Article 6 8 0 — Subtotal</i>	p.m.	p.m.	7 313,10	
	Chapter 6 8 — Total	p.m.	p.m.	7 313,10	

Article 6 8 0 — Temporary restructuring amounts — Assigned revenue

Item 6 8 0 1 — Temporary restructuring amounts — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This item is intended to accommodate the temporary restructuring amounts in the Union sugar sector as set out in Article 11 of Regulation (EC) No 320/2006.

In accordance with Article 18 of the Financial Regulation the amounts booked in this item were used to provide appropriations to Article 05 02 16 (Sugar Restructuring Fund) of the statement of expenditure in this section to finance restructuring aid and other aids fixed in Regulation (EC) No 320/2006. This temporary fund for the restructuring of the sugar industry in the Community has ended on 30 September 2012. Consequently, no expenditure under the temporary scheme for the restructuring of the sugar industry can

occur and no new temporary restructuring amounts can be collected in 2013. The remaining balance of the Sugar Restructuring Fund should be assigned to the European Agricultural Guarantee Fund (EAGF) in accordance with the second subparagraph of Article 1(3) of Regulation (EC) No 320/2006. All budget items related to this Sugar Restructuring Fund have been merged with the corresponding items of the EAGF. Budget item 6 8 0 1 is temporarily kept for transparency reasons explaining the use of the remaining balance of the Sugar Restructuring Fund.

The remaining balance of the Sugar Restructuring Fund has been estimated at EUR 675 000 000.

When establishing the budget for 2013, this amount was taken into account for financing the needs of measures under Article 05 03 01.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Item 6 8 0 2 — Irregularities concerning the temporary restructuring fund — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
—	p.m.	4 782,60

Remarks

This item is intended to accommodate amounts recovered following irregularities or oversight including interest, penalties and securities acquired, resulting from expenditure financed by the temporary restructuring fund of the sugar industry in the Community established by Regulation (EC) No 320/2006. It is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as foreseen in Article 32(2) of Regulation (EC) No 1290/2005. It also includes the amounts recovered resulting from clearance decisions in application of Article 32(5) of that Regulation.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide appropriations to Article 05 02 16 'Sugar Restructuring Fund' of the statement of expenditure in Section III 'Commission' to finance restructuring aid and other aid measures provided for in Regulation (EC) No 320/2006.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community (OJ L 58, 28.2.2006, p. 42).

Item 6 8 0 3 — Clearance with regard to the temporary restructuring fund — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
—	p.m.	2 530,50

Remarks

This item is intended to accommodate revenue resulting from conformity decisions of the clearance of accounts in favour of the Union budget concerning expenditure financed by the temporary restructuring fund for the sugar industry in the Community established by Regulation (EC) No 320/2006. It is also intended to accommodate amounts resulting from accounting clearance of accounts decisions in favour of the Union budget concerning recorded assigned revenue related to the Sugar Restructuring Fund other

than arising from the application of Article 16 and Article 32(5) of Regulation (EC) No 1290/2005.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide appropriations to Article 05 02 16 'Sugar Restructuring Fund' of the statement of expenditure in Section III 'Commission' to finance restructuring aid and other aid measures provided for in Regulation (EC) No 320/2006.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

TITLE 7 — INTEREST ON LATE PAYMENTS AND FINES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
7 0	INTEREST ON LATE PAYMENTS	23 000 000	23 000 000	364 164 839,40
7 1	FINES	100 000 000	100 000 000	818 703 426,82
7 2	INTEREST ON DEPOSITS AND FINES	p.m.	p.m.	0,—
	Title 7 — Total	123 000 000	123 000 000	1 182 868 266,22

CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
7 0	INTEREST ON LATE PAYMENTS				
7 0 0	Interest on late payments				
7 0 0 0	Interest due on late payments into the accounts held with the treasuries of the Member States	5 000 000	5 000 000	311 679 410,22	6233,59 %
7 0 0 1	Other interest on late payments	3 000 000	3 000 000	845 278,98	28,18 %
	<i>Article 7 0 0 — Subtotal</i>	8 000 000	8 000 000	312 524 689,20	3906,56 %
7 0 1	Interest on late payments and other interest on fines	15 000 000	15 000 000	51 640 150,20	344,27 %
	Chapter 7 0 — Total	23 000 000	23 000 000	364 164 839,40	1583,33 %

Article 7 0 0 — Interest on late payments

Item 7 0 0 0 — Interest due on late payments into the accounts held with the treasuries of the Member States

Figures

Budget 2013	Budget 2012	Outturn 2011
5 000 000	5 000 000	311 679 410,22

Remarks

Any delay by a Member State in making the entry in the account opened in the name of the Commission referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 gives rise to the payment of interest by the Member State concerned.

In the case of Member States whose currency is the euro, the interest rate shall be equal to the rate as published in the *Official Journal of the European Union*, C series, which the European Central Bank applied to its refinancing operations, on the first day of the month

in which the due date fell, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

In the case of Member States whose currency is not the euro, the rate shall be equal to the rate applied on the first day of the month in question by the Central Banks for their main refinancing operations, increased by two percentage points, or, for the Member States for which the Central Bank rate is not available, the most equivalent rate applied on the first day of the month in question on the Member State's money market, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

The interest rate applies to all entries of own resources enumerated under Article 10 of Regulation (EC, Euratom) No 1150/2000.

Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

Item 7 0 0 1 — Other interest on late payments

Figures

Budget 2013	Budget 2012	Outturn 2011
3 000 000	3 000 000	845 278,98

Remarks

This item is intended to record interest on the late payment of entitlements other than own resources.

Legal basis

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3), and in particular Article 2(5) of Protocol 32 thereto.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1), and in particular Article 86 thereof.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25), and in particular Article 102 thereof.

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

Article 7 0 1 — Interest on late payments and other interest on fines

Figures

Budget 2013	Budget 2012	Outturn 2011
15 000 000	15 000 000	51 640 150,20

Remarks

This article is intended to record accrued interest on special accounts for fines and interest on late payment connected with fines.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1), and in particular Article 86 thereof.

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

CHAPTER 7 1 — FINES

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
7 1	FINES				
7 1 0	<i>Fines, periodic penalty payments and other penalties</i>	100 000 000	100 000 000	815 703 426,82	815,70 %
7 1 1	<i>Excess emission premiums for new passenger cars</i>	p.m.			
7 1 2	<i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i>	p.m.	p.m.	3 000 000,00	
Chapter 7 1 — Total		100 000 000	100 000 000	818 703 426,82	818,70 %

Article 7 1 0 — Fines, periodic penalty payments and other penalties

Figures

Budget 2013	Budget 2012	Outturn 2011
100 000 000	100 000 000	815 703 426,82

Remarks

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations enumerated below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union; the undertaking must accept that interest will be paid on the debt after the final date for payment and provide the Commission with a bank guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Article 7 1 1 — Excess emission premiums for new passenger cars

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.		

Remarks

New Article

This article is intended to record any excess emissions premium imposed by the Commission.

The objective of Regulation (EC) No 443/2009 is to set emission performance standards for new passenger cars registered in the Community, which forms part of the Community's integrated approach to reducing CO₂ emissions from light-duty vehicles while ensuring the proper functioning of the internal market.

However, in respect of each calendar year from 2012 onwards for which a manufacturer's average specific emissions of CO₂ exceed its specific emissions target in that year, the Commission shall impose an excess emissions premium on the manufacturer or, in the case of a pool, the pool manager.

Legal basis

Regulation (EC) No 443/2009 of the European Parliament and of the Council of 23 April 2009 setting emission performance standards for new passenger cars as part of the Community's integrated approach to reduce CO₂ emissions from light-duty vehicles (OJ L 140, 5.6.2009, p. 1), and in particular Article 9 thereof.

Commission Decision 2012/100/EU of 17 February 2012 on a method for the collection of premiums for excess CO₂ emissions from new passenger cars pursuant to Regulation (EC) No 443/2009 of the European Parliament and of the Council (OJ L 47, 18.2.2012, p. 71).

Article 7 1 2 — Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	3 000 000,00

Legal basis

Treaty on the Functioning of the European Union, and in particular Article 260(2) thereof.

CHAPTER 7 2 — INTEREST ON DEPOSITS AND FINES

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
7 2	INTEREST ON DEPOSITS AND FINES				
7 2 0	<i>Interest on deposits and fines</i>				
7 2 0 0	Interest on deposits and fines resulting from implementation of the excessive deficit procedure — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 7 2 0 — Subtotal</i>	p.m.	p.m.	0,—	
	Chapter 7 2 — Total	p.m.	p.m.	0,—	

Article 7 2 0 — Interest on deposits and fines

Item 7 2 0 0 — Interest on deposits and fines resulting from implementation of the excessive deficit procedure — Assigned revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Revenue arising from interest on deposits and fines resulting from implementation of the excessive deficit procedure.

In accordance with Article 18(1)(b) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Legal basis

Council Regulation (EC) No 1467/97 of 7 July 1997 on speeding up and clarifying the implementation of the excessive deficit procedure (OJ L 209, 2.8.1997, p. 6), and in particular Article 16 thereof.

TITLE 8 — BORROWING AND LENDING OPERATIONS

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
8 0	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES	p.m.	p.m.	0,—
8 1	LOANS GRANTED BY THE COMMISSION	p.m.	p.m.	0,—
8 2	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES	p.m.	p.m.	0,—
8 3	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES	p.m.	p.m.	0,—
8 5	REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES	4 296 000	384 000	858 312,00
	Title 8 — Total	4 296 000	384 000	858 312,00

CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
8 0	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES				
8 0 0	<i>European Union guarantee for Union borrowings for balance of payments support</i>	p.m.	p.m.	0,—	
8 0 1	<i>European Union guarantee for Euratom borrowings</i>	p.m.	p.m.	0,—	
8 0 2	<i>European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism</i>	p.m.	p.m.	0,—	
	Chapter 8 0 — Total	p.m.	p.m.	0,—	

Article 8 0 0 — European Union guarantee for Union borrowings for balance of payments support

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

The Union guarantee is for borrowings raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 01, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 01 of the statement of expenditure in this section.

Article 8 0 1 — European Union guarantee for Euratom borrowings

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 02, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 02 of the statement of expenditure in this section.

Article 8 0 2 — European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

The Union guarantee is for borrowings raised on the capital markets or from financial institutions. The outstanding amount of loans or credit lines to be granted to Member States shall be in the limits set out in the legal basis.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 03 of the statement of expenditure in this section.

CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
8 1	LOANS GRANTED BY THE COMMISSION				
8 1 0	<i>Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries</i>	p.m.	p.m.	0,—	
8 1 3	<i>Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in Latin America, Asia, the Mediterranean region and South Africa under the European Community Investment Partners operation</i>	p.m.	p.m.	0,—	
	Chapter 8 1 — Total	p.m.	p.m.	0,—	

Article 8 1 0 — Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This article is intended to record capital repayments and interest payments on special loans and risk capital granted from the appropriations in Chapters 22 02 and 19 08 of the statement of expenditure in this section to Mediterranean third countries.

It also comprises capital repayments and interest payments on special loans and risk capital granted to certain Mediterranean Member States which, however, represent a very small proportion of the overall amount. These loans/risk capital were granted at a time the countries had not yet joined the Union.

The revenue obtained normally exceeds the amounts forecast in the budget because of the interest payments on special loans which may still be disbursed during the preceding financial year as well as during the current financial year. The interest on special loans and risk capital is charged from the moment the loans are disbursed; interest on special loans is paid in 6-monthly instalments and interest on risk capital generally in annual instalments.

Legal basis

For the legal basis, see remarks for Chapters 22 02 and 19 08 of the statement of expenditure in this section.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 May 2008, amending Regulation (EC) No 1638/2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (COM(2008)0308), and in particular Article 23 thereof.

Article 8 1 3 — Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in Latin America, Asia, the Mediterranean region and South Africa under the European Community Investment Partners operation

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This article is intended to record capital repayments and interest payments on loans and risk capital granted from the appropriations in Item 19 08 01 01 under the European Community Investment Partners operation.

Legal basis

For the legal basis, see the remark for Item 19 08 01 01 of the statement of expenditure in this section.

CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
8 2	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES				
8 2 7	<i>European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries</i>	p.m.	p.m.	0,—	
8 2 8	<i>Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States</i>	p.m.	p.m.	0,—	
	Chapter 8 2 — Total	p.m.	p.m.	0,—	

Article 8 2 7 — European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 04, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 04 of the statement of expenditure in this section.

Article 8 2 8 — Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 05, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 05 of the statement of expenditure in this section.

CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
8 3	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES				
8 3 5	<i>European Union guarantee for European Investment Bank loans to third countries</i>	p.m.	p.m.	0,—	
	Chapter 8 3 — Total	p.m.	p.m.	0,—	

Article 8 3 5 — European Union guarantee for European Investment Bank loans to third countries

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 06, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 06 of the statement of expenditure in this section.

CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
8 5	REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES				
8 5 0	<i>Dividends paid by the European Investment Fund</i>	4 296 000	384 000	858 312,00	19,98 %
	Chapter 8 5 — Total	4 296 000	384 000	858 312,00	19,98 %

Article 8 5 0 — Dividends paid by the European Investment Fund

Figures

Budget 2013	Budget 2012	Outturn 2011
4 296 000	384 000	858 312,00

Remarks

This article is intended to record any dividends paid by the European Investment Fund in respect of this contribution.

Legal basis

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

TITLE 9 — MISCELLANEOUS REVENUE

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
9 0	MISCELLANEOUS REVENUE	30 000 000	30 000 000	31 441 555,23
	Title 9 — Total	30 000 000	30 000 000	31 441 555,23

CHAPTER 9 0 — MISCELLANEOUS REVENUE

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
9 0	MISCELLANEOUS REVENUE				
9 0 0	<i>Miscellaneous revenue</i>	30 000 000	30 000 000	31 441 555,23	104,81 %
	Chapter 9 0 — Total	30 000 000	30 000 000	31 441 555,23	104,81 %

Article 9 0 0 — Miscellaneous revenue

Figures

Budget 2013	Budget 2012	Outturn 2011
30 000 000	30 000 000	31 441 555,23

Remarks

This article is intended to record miscellaneous revenue.

EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Budget 2013		Appropriations 2012		Outturn 2011	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	Economic and financial affairs	559 079 770	490 879 770	610 691 954	510 489 691	413 674 859,20	390 612 831,30
	40 01 40			329 267 611 021 221	329 267 510 818 958		
02	Enterprise	1 141 536 738	1 392 080 738	1 150 644 814	1 079 907 206	1 113 031 888,09	1 339 853 264,00
	40 01 40			52 383 1 150 697 197	52 383 1 079 959 589		
	40 02 41	3 700 000 1 145 236 738	700 000 1 392 780 738				
03	Competition	93 657 026	93 657 026	91 476 166	91 476 166	93 416 340,60	93 416 340,60

		40 01 40			14 967	14 967		
					91 491 133	91 491 133		
04	Employment and social affairs						11 596 284	10 360 494
		11 998 524 329	11 334 109 942	11 580 871 630	9 074 527 189		678,95	428,27
		40 01 40			16 966	16 966		
					11 580 888 596	9 074 544 155		
05	Agriculture and rural development						58 577 244	56 345 236
		58 994 259 076	56 799 707 272	58 586 537 724	55 879 327 243		858,05	328,76
		40 01 40			498 392	498 392		
					58 587 036 116	55 879 825 635		
06	Mobility and transport						1 609 184	1 112 539
		1 740 452 284	1 069 046 049	1 664 130 368	1 079 303 349		832,53	475,22
		40 01 40			59 867	59 867		
					1 664 190 235	1 079 363 216		
07	Environment and climate action						477 216 843,66	335 436 978,90
		496 027 598	410 256 598	487 627 672	388 312 772			
		40 01 40, 40 02 41			4 273 840	4 273 840		
					491 901 512	392 586 612		
08	Research						5 730 253	4 612 978
		6 859 900 055	5 495 269 576	6 579 993 550	4 217 559 369		075,95	657,30
		40 01 40			4 490	4 490		
					6 579 998 040	4 217 563 859		
09	Information society and media						1 636 485	1 487 281
		1 805 139 173	1 578 548 342	1 677 314 828	1 356 313 807		397,32	639,50
		40 01 40, 40 02 41			391 985	416 680		
					1 805 531 158	1 356 730 487		
10	Direct research						473 749 927,06	462 735 717,54
		424 128 000	424 980 000	410 893 864	404 081 551			
11	Maritime affairs and fisheries						998 842 470,11	772 311 352,86
		908 028 403	725 015 923	913 771 920	685 523 381			
		40 01 40, 40 02 41			115 220 000	119 219 779		
					1 023 248 403	806 343 160		
12	Internal market						97 980 265,53	96 138 793,70
		103 166 183	103 371 183	100 845 994	97 520 484			
		40 01 40			97 284	97 284		
					100 943 278	97 617 768		
13	Regional policy						40 494 694	32 997 177
		43 380 232 537	38 558 217 549	42 063 307 161	35 556 050 725		938,69	003,40
		40 01 40			16 463	16 463		
					42 063 323 624	35 556 067 188		
14	Taxation and customs union						140 381 960,89	122 763 836,78
		145 441 905	121 941 905	142 659 910	110 064 801			
		40 01 40			151 912	151 912		
					142 811 822	110 216 713		
15	Education and culture						2 719 307	2 417 092
		2 763 802 501	2 519 971 776	2 695 715 155	2 111 340 060		982,90	914,78
		40 01 40			29 933	29 933		
					2 695 745 088	2 111 369 993		
16	Communication						273 715 510,39	261 596 197,37
		261 933 586	257 117 586	254 177 869	244 792 869			
		40 01 40, 40 02 41			7 805 987	7 905 987		
					261 983 856	252 698 856		
17	Health and consumer protection						674 600 458,52	608 006 136,31
		630 541 920	598 527 920	686 115 682	591 059 099			
		40 01 40			280 045	280 045		
					686 395 727	591 339 144		
18	Home affairs						1 131 409	793 837 419,06
		1 292 634 357	844 366 357	1 249 164 958	740 157 756		860,37	
		40 01 40, 40 02 41			730 000	15 699 634		
					1 293 364 357	755 857 390		
19	External relations						4 415 110	3 312 215
		4 888 987 439	3 401 874 220	4 816 131 214	3 275 884 552		676,81	282,68
		40 01 40			16 345	16 345		
					4 816 147 559	3 275 900 897		
20	Trade						106 070 421,16	104 423 914,98
		108 599 045	106 599 045	104 144 275	101 514 851			
		40 01 40			37 417	37 417		
					104 181 692	101 552 268		
21	Development and relations with African, Caribbean and Pacific (ACP) States						1 611 869	1 519 974
		1 567 647 146	1 337 658 227	1 497 653 514	1 309 600 158		944,19	971,41
		40 01 40			29 933	29 933		
					1 497 683 447	1 309 630 091		
22	Enlargement						1 119 286	928 504 704,18
		1 059 817 302	950 781 281	1 087 450 715	921 238 149		449,94	
		40 01 40			8 082	8 082		
					1 087 458 797	921 246 231		
23	Humanitarian aid						1 139 295	1 069 079
		918 721 918	878 115 918	899 652 064	842 079 238		906,83	654,68
		40 01 40			13 470	13 470		
					899 665 534	842 092 708		

24	Fight against fraud	80 010 000	75 560 000	78 842 000	74 068 792	77 426 820,55	72 324 095,95
25	Commission's policy coordination and legal advice	195 626 521	196 626 521	193 580 357	192 580 357	197 451 769,16	198 430 551,68
26	Commission's administration	1 035 935 971	1 025 297 771	1 021 917 917	1 005 269 345	1 091 421 929,77	1 096 383 070,63
	40 01 40			1 502 275	1 502 275		
				1 023 420 192	1 006 771 620		
27	Budget	68 241 556	68 241 556	68 442 702	68 442 702	60 608 604,45	60 608 604,45
	40 01 40			100 293	100 293		
				68 542 995	68 542 995		
28	Audit	12 066 925	12 066 925	11 775 839	11 775 839	11 705 493,24	11 705 493,24
29	Statistics	83 259 109	119 659 109	134 078 122	121 709 829	145 699 781,76	134 554 699,72
	40 01 40, 40 02 41	51 900 000	7 800 000	29 933	29 933		
		135 159 109	127 459 109	134 108 055	121 739 762		
30	Pensions and related expenditure	1 422 887 000	1 422 887 000	1 334 531 857	1 334 531 857	1 257 343 187,35	1 257 343 187,35
31	Language services	402 759 194	402 759 194	397 947 372	397 947 372	438 379 004,29	438 379 004,29
32	Energy	733 569 346	1 077 770 557	718 074 592	1 320 274 377	860 128 442,18	965 948 316,70
	40 01 40			23 947	23 947		
				718 098 539	1 320 298 324		
33	Justice	215 792 874	193 353 458	217 580 739	187 045 194	218 229 715,47	188 813 831,22
	40 01 40			6 413	6 413		
				217 587 152	187 051 607		
40	Reserves	936 056 985	234 841 985	908 753 025	242 435 997	0,—	0,—
	Total	147 328 463 772	134 321 158 279	144 436 497 523	125 624 206 127	141 001 504 295,96	125 968 198 698,81
	Of which Reserves: 40 01 40, 40 02 41	171 941 985	124 841 985	149 816 025	152 435 997		

TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01	Administrative expenditure allocated to policy areas				
XX 01 01	Expenditure related to staff in active employment in policy areas				
XX 01 01 01	Expenditure related to staff in active employment working with the institution				
XX 01 01 01 01	Remuneration and allowances	5	1 868 948 000	1 828 502 000	1 852 309 094,25
XX 01 01 01 02	Expenses and allowances related to recruitment, transfers and termination of service	5	15 061 000	13 104 000	12 475 366,00
XX 01 01 01 03	Adjustments to remuneration	5	15 766 000	8 158 000	
	<i>Subtotal</i>		1 899 775 000	1 849 764 000	1 864 784 460,25
XX 01 01 02	Expenditure related to Commission staff in active employment of the Union delegations				
XX 01 01 02 01	Remuneration and allowances	5	112 290 000	102 776 000	108 887 039,95
XX 01 01 02 02	Expenses and allowances related to recruitment, transfers and termination of service	5	7 491 000	7 484 000	5 449 008,27
XX 01 01 02 03	Appropriations to cover any adjustments to remuneration	5	885 000	438 000	
	<i>Subtotal</i>		120 666 000	110 698 000	114 336 048,22
	<i>Article XX 01 01 — Subtotal</i>		2 020 441 000	1 960 462 000	1 979 120 508,47
XX 01 02	External staff and other management expenditure				
XX 01 02 01	External staff working with the institution				
XX 01 02 01 01	Contract staff	5	67 307 486	66 268 808	64 943 180,90
XX 01 02 01 02	Agency staff and technical and administrative assistance in support of different activities	5	23 545 000	23 810 000	29 094 845,06
XX 01 02 01 03	National civil servants temporarily assigned to the institution	5	39 727 000	40 316 000	39 661 791,13
	<i>Subtotal</i>		130 579 486	130 394 808	133 699 817,09
XX 01 02 02	External staff of the Commission in Union delegations				

XX 01 02 02 01	Remuneration of other staff	5	7 619 000	6 434 000	5 529 652,00
XX 01 02 02 02	Training of junior experts and seconded national experts	5	2 300 000	3 500 000	3 419 673,00
XX 01 02 02 03	Expenses of other staff and payment for other services	5	256 000	256 000	247 251,00
	<i>Subtotal</i>		10 175 000	10 190 000	9 196 576,00
XX 01 02 11	Other management expenditure of the institution				
XX 01 02 11 01	Mission and representation expenses	5	56 391 000	56 891 000	61 191 065,06
XX 01 02 11 02	Conferences and meeting costs	5	27 008 000	27 508 000	26 984 029,54
XX 01 02 11 03	Meetings of committees	5	12 863 000	11 363 000	11 647 614,59
	40 01 40			2 000 000	
				13 363 000	
XX 01 02 11 04	Studies and consultations	5	6 400 000	7 900 000	6 577 749,76
XX 01 02 11 05	Information and management systems	5	26 985 000	26 985 000	33 556 807,74
XX 01 02 11 06	Further training and management training	5	13 500 000	14 368 000	18 076 695,62
	<i>Subtotal</i>		143 147 000	145 015 000	158 033 962,31
	40 01 40			2 000 000	
				147 015 000	
XX 01 02 12	Other management expenditure relating to Commission staff in Union delegations				
XX 01 02 12 01	Missions, conferences and representation expenses	5	6 328 000	6 541 000	7 051 124,00
XX 01 02 12 02	Further training of staff in delegations	5	500 000	522 000	574 156,00
	<i>Subtotal</i>		6 828 000	7 063 000	7 625 280,00
	<i>Article XX 01 02 — Subtotal</i>		290 729 486	292 662 808	308 555 635,40
	40 01 40			2 000 000	
				294 662 808	
XX 01 03	<i>Expenditure related to information and communication technology equipment and services, and buildings</i>				
XX 01 03 01	Expenditure related to information and communication technology equipment and services of the Commission				
XX 01 03 01 03	Information and communication technology equipment	5	54 525 000	54 288 154	74 225 463,37
XX 01 03 01 04	Information and communication technology services	5	63 545 000	63 796 000	66 127 387,09
	<i>Subtotal</i>		118 070 000	118 084 154	140 352 850,46
XX 01 03 02	Buildings and related expenditure relating to Commission staff in Union delegations				
XX 01 03 02 01	Acquisition, renting and related expenditure	5	46 908 000	46 690 000	43 837 830,00
XX 01 03 02 02	Equipment, furniture, supplies and services	5	9 638 000	9 694 000	10 507 175,00
	<i>Subtotal</i>		56 546 000	56 384 000	54 345 005,00
	<i>Article XX 01 03 — Subtotal</i>		174 616 000	174 468 154	194 697 855,46
XX 01 05	<i>Expenditure related to staff in active employment for indirect research</i>				
XX 01 05 01	Remuneration and allowances related to staff in active employment for indirect research	1.1	197 229 000	193 701 000	195 814 251,92
XX 01 05 02	External staff for indirect research	1.1	47 262 000	47 262 000	46 870 754,58
XX 01 05 03	Other management expenditure for indirect research	1.1	80 253 000	80 233 000	84 108 686,90
	<i>Article XX 01 05 — Subtotal</i>		324 744 000	321 196 000	326 793 693,40
	Chapter XX 01 — Total		2 810 530 486	2 748 788 962	2 809 167 692,73
	40 01 40			2 000 000	
	Total including reserves			2 750 788 962	

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

Article XX 01 01 — Expenditure related to staff in active employment in policy areas

Item XX 01 01 01 — Expenditure related to staff in active employment working with the institution

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 01 01	Expenditure related to staff in active employment working with the institution				
XX 01 01 01 01	Remuneration and allowances	5	1 868 948 000	1 828 502 000	1 852 309 094,25
XX 01 01 01 02	Expenses and allowances related to recruitment, transfers and termination of service	5	15 061 000	13 104 000	12 475 366,00
XX 01 01 01 03	Adjustments to remuneration	5	15 766 000	8 158 000	
	Item XX 01 01 01 — Total		1 899 775 000	1 849 764 000	1 864 784 460,25

Remarks

With the exception of staff serving in third countries, this appropriation is intended to cover, in respect of officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and other payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to be made by the Commission to temporary staff to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- in respect of officials and temporary staff, allowances for shift work or standby duty at the official's place of work or at home,
- allowances in the event of dismissal of a probationary official for obvious inadequacy,
- allowances in the event of cancellation by the institution of the contract of a temporary staff member,
- reimbursement of expenditure on security measures at the homes of officials working in offices of the Union and in Union delegations within the territory of the Union,
- flat-rate allowances and payments at hourly rates for overtime worked by officials in category AST which cannot be compensated, under the arrangements laid down, by free time,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- transitional costs for officials assigned to posts in the new Member States prior to accession who are requested to remain in service in these Member States following the accession date, and who will be entitled, exceptionally, to the same financial and material conditions applied by the Commission before accession, in accordance with Annex X to the Staff Regulations of Officials and the Conditions of Employment of Other Servants of the European Union,

— the cost of any adjustments to remuneration approved by the Council during the financial year.

The Council Regulation updating the salary scales of officials and other servants of all the Union institutions, including increments and allowances, is published every year in the Official Journal (latest adjustment in OJ L 338, 22.12.2010, p. 1).

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 49 100 000.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Subitem XX 01 01 01 01 — Remuneration and allowances

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 868 948 000	1 828 502 000	1 852 309 094,25

Subitem XX 01 01 01 02 — Expenses and allowances related to recruitment, transfers and termination of service

Figures

Budget 2013	Appropriations 2012	Outturn 2011
15 061 000	13 104 000	12 475 366,00

Subitem XX 01 01 01 03 — Adjustments to remuneration

Figures

Budget 2013	Appropriations 2012	Outturn 2011
15 766 000	8 158 000	

Item XX 01 01 02 — Expenditure related to Commission staff in active employment of the Union delegations

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 01 02	Expenditure related to Commission staff in active employment of the Union delegations				
XX 01 01 02 01	Remuneration and allowances	5	112 290 000	102 776 000	108 887 039,95
XX 01 01 02 02	Expenses and allowances related to recruitment, transfers and termination of service	5	7 491 000	7 484 000	5 449 008,27
XX 01 01 02 03	Appropriations to cover any adjustments to remuneration	5	885 000	438 000	
	Item XX 01 01 02 — Total		120 666 000	110 698 000	114 336 048,22

Remarks

In respect of Items 19 01 01 02, 20 01 01 02, 21 01 01 02 and 22 01 01 02, relating to Union delegations in third countries and at international organisations, this appropriation is intended to cover, in respect of officials and temporary staff holding posts on the Commission establishment plan:

— salaries, allowances and payments related to salaries,

- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- overtime,
- the cost of weightings applied to the remuneration of officials and temporary staff,
- the cost of any adjustments to remuneration approved by the Council during the financial year,
- installation and resettlement allowances due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- travel expenses due to staff (including members of their family) on taking up duty, leaving the institution or transfer to another place of employment,
- removal expenses due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation No 6/66/Euratom, No 121/66/EEC of 28 July 1966 laying down the list of places for which a rent allowance may be granted, the maximum amount of that allowance and the rules for granting it (OJ L 50, 12.8.1966, p. 2749/66).

Council Regulation No 7/66/Euratom, No 122/66/EEC of 28 July 1966 laying down the list of places for which a transport allowance may be granted, the maximum amount of that allowance and the rules for granting it (OJ L 50, 12.8.1966, p. 2751/66).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1).

Subitem XX 01 01 02 01 — Remuneration and allowances

Figures

Budget 2013	Appropriations 2012	Outturn 2011
112 290 000	102 776 000	108 887 039,95

Subitem XX 01 01 02 02 — Expenses and allowances related to recruitment, transfers and termination of service

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 491 000	7 484 000	5 449 008,27

Subitem XX 01 01 02 03 — Appropriations to cover any adjustments to remuneration

Figures

Budget 2013	Appropriations 2012	Outturn 2011
885 000	438 000	

Article XX 01 02 — External staff and other management expenditure

Item XX 01 02 01 — External staff working with the institution

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 02 01	External staff working with the institution				
XX 01 02 01 01	Contract staff	5	67 307 486	66 268 808	64 943 180,90
XX 01 02 01 02	Agency staff and technical and administrative assistance in support of different activities	5	23 545 000	23 810 000	29 094 845,06
XX 01 02 01 03	National civil servants temporarily assigned to the institution	5	39 727 000	40 316 000	39 661 791,13
	Item XX 01 02 01 — Total		130 579 486	130 394 808	133 699 817,09

Remarks

This appropriation is intended to cover the following expenditure incurred within the territory of the Union:

- the remuneration of contract staff (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to social welfare for contract staff and the impact of weightings applicable to the remunerations of such staff,
- a sum to cover the remuneration of contract staff acting as guides for persons with disabilities,
- the employment of agency staff, particularly clerical staff and shorthand typists,
- expenditure on staff included in service contracts for technical and administrative work and the supply of intellectual services, and expenditure on buildings and equipment and operating costs relating to this type of staff,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Commission or called for short consultations, particularly to draft legislation on harmonisation in various areas; exchanges are also organised to allow uniform application of Union legislation by the Member States,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 82 of the Agreement on the European Economic Area gives rise to the provision of supplementary appropriations to be entered in the budget headings concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 194 868.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The amount of assigned revenue based on data available in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 527 000.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Directive 2000/78/EC of 27 November 2000 establishing a general framework for equal treatment in employment and occupation (OJ L 303, 2.12.2000, p. 16).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Code of good practice for the employment of people with disabilities, adopted by European Parliament Bureau Decision of 22 June 2005.

Subitem XX 01 02 01 01 — Contract staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
67 307 486	66 268 808	64 943 180,90

Subitem XX 01 02 01 02 — Agency staff and technical and administrative assistance in support of different activities

Figures

Budget 2013	Appropriations 2012	Outturn 2011
23 545 000	23 810 000	29 094 845,06

Subitem XX 01 02 01 03 — National civil servants temporarily assigned to the institution

Figures

Budget 2013	Appropriations 2012	Outturn 2011
39 727 000	40 316 000	39 661 791,13

Item XX 01 02 02 — External staff of the Commission in Union delegations

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 02 02	External staff of the Commission in Union delegations				
XX 01 02 02 01	Remuneration of other staff	5	7 619 000	6 434 000	5 529 652,00
XX 01 02 02 02	Training of junior experts and seconded national experts	5	2 300 000	3 500 000	3 419 673,00
XX 01 02 02 03	Expenses of other staff and payment for other services	5	256 000	256 000	247 251,00
	Item XX 01 02 02 — Total		10 175 000	10 190 000	9 196 576,00

Remarks

In respect of Items 19 01 02 02, 20 01 02 02, 21 01 02 02 and 22 01 02 02, relating to external staff of the Commission posted in Union delegations in third countries and at international organisations, this appropriation is intended to cover:

- the remuneration of local and/or contract staff, and the social security charges and benefits to be met by the employer,
- employer's contributions towards supplementary social security cover for local staff,
- services of agency and freelance staff.

As regards junior experts and seconded national experts in Union delegations, this appropriation is intended:

- to finance or co-finance the expenditure related to the posting of junior experts (university graduates) in Union delegations,
- to meet the costs of seminars organised for young diplomats from the Member States and third countries,
- to cover expenditure relating to the secondment or temporary assignment of officials from the Member States to Union delegations.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 45 000.

Legal basis

Conditions of Employment of Other Servants of the European Union.

Subitem XX 01 02 02 01 — Remuneration of other staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 619 000	6 434 000	5 529 652,00

Subitem XX 01 02 02 02 — Training of junior experts and seconded national experts

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 300 000	3 500 000	3 419 673,00

Subitem XX 01 02 02 03 — Expenses of other staff and payment for other services

Figures

Budget 2013	Appropriations 2012	Outturn 2011
256 000	256 000	247 251,00

Item XX 01 02 11 — Other management expenditure of the institution

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 02 11	Other management expenditure of the institution				
XX 01 02 11 01	Mission and representation expenses	5	56 391 000	56 891 000	61 191 065,06
XX 01 02 11 02	Conferences and meeting costs	5	27 008 000	27 508 000	26 984 029,54
XX 01 02 11 03	Meetings of committees	5	12 863 000	11 363 000	11 647 614,59
	40 01 40			2 000 000	
				13 363 000	
XX 01 02 11 04	Studies and consultations	5	6 400 000	7 900 000	6 577 749,76
XX 01 02 11 05	Information and management systems	5	26 985 000	26 985 000	33 556 807,74
XX 01 02 11 06	Further training and management training	5	13 500 000	14 368 000	18 076 695,62
	Item XX 01 02 11 — Total		143 147 000	145 015 000	158 033 962,31
	40 01 40			2 000 000	
	Total including reserves			147 015 000	

Remarks

This appropriation is intended to cover the following decentralised operating expenditure:

Missions:

- travel expenses, including ancillary costs relating to tickets and reservations, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by Commission staff covered by the Staff Regulations and by national or international experts or officials seconded to Commission departments (refunds of mission expenses paid for the account of other Union institutions or bodies and for third parties will constitute assigned expenditure).

Representation expenses:

- reimbursement of the costs incurred by persons officially representing the Commission (reimbursement is not possible for

expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions).

Meeting costs:

- reimbursement of the costs incurred for the functioning of the expert groups established or convened by the Commission: travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission).

Conferences:

- expenditure relating to conferences, congresses and meetings organised by the Commission in support of its various policies, and expenditure for running a network for financial control organisations and bodies, including an annual meeting between such organisations and the members of the European Parliament's Committee on Budgetary Control, as requested in paragraph 88 of Resolution 2006/809/EC, Euratom of the European Parliament of 27 April 2006 with comments forming an integral part of the decision on the discharge for implementation of the European Union general budget for the financial year 2004, Section III — Commission (OJ L 340, 6.12.2006, p. 5),
- expenditure relating to conferences, seminars, meetings, training courses and practical in-house training for officials of the Member States who manage or monitor operations financed by the Union funds or operations to collect revenue that constitutes Union own resources or cooperate in the Union statistics system, and expenditure of the same type for officials from the countries of central and eastern Europe managing or monitoring operations financed under Union programmes,
- expenditure on training third country officials who carry out management or control duties with a direct bearing on protecting the Union's financial interest,
- the cost of the Commission's participation in conferences, congresses and meetings,
- conference enrolment fees, excluding training expenses,
- subscriptions to trade and scientific associations,
- the cost of refreshments and food served on special occasions during internal meetings.

Meeting of Committees:

- travel, subsistence and incidental expenses of experts participating in committees set up by the Treaty and by regulations (Council or European Parliament and Council), and the cost of organising such meetings where they are not covered by the existing infrastructure (in the headquarters of the institutions or external offices) (experts are reimbursed on the basis of decisions made by the Commission).

Studies and consultations:

- expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) if the Commission does not have suitable staff available to carry out such studies,
- the purchase of studies already carried out or subscriptions with specialist research institutions.

Information and management systems:

- the development and maintenance under contract of management and information systems,
- the acquisition and maintenance of complete (turnkey) information and management systems in the field of administrative management (staff, budget, finance, accounts, etc.),
- studies, documentation and training linked to those systems and project management,
- the acquisition of skills and expertise in the area of information technology for all departments: quality, security, technology, development methodology, information technology management, etc.,
- technical support for those systems, and the technical work needed to ensure that they operate satisfactorily.

Further training and management training:

- expenditure on general training designed to improve the skills of the staff and the performance and efficiency of the Commission:
 - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
 - fees for consultants in various fields, in particular organisational methods, management, strategy, quality assurance and personnel management,
 - expenditure incurred in designing, holding and evaluating the training organised by the Commission in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses, and teaching materials),

- the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising such courses and the use of premises and transport and the cost of food and accommodation for the participants of residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing teaching aids.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Revenue from contributions from the EFTA States to the Union's general costs under Article 82 of the Agreement on the European Economic Area gives rise to the provision of supplementary appropriations to be entered in the budget headings concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 1 027 000.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The amount of assigned revenue based on data available in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 6 106 200.

Part of this appropriation should be used for building a Union-wide methodology and robust measurement capacity per unit of production to fill in existing data gaps for sustainability indicators and to further develop evidence-based agricultural instruments that reward producers who deliver environmental public goods, notably by reducing greenhouse gas emissions, in view of the reform of the CAP towards 2020.

Part of this appropriation should cover investigation on every step from smart production with metals and minerals savings to substitution of potentially critical raw materials by less critical ones.

Another option is to investigate the reusability of parts containing scarce materials and whether they can be made more robust to allow them to be shifted from one product to another. The scarce resources and the functionalities they enable may not be the 'weak point' and may not wear, so an option could be to design the component with scarce materials in such a way that it lasts longer. The target will be quick-turnover appliances and then a proposal for an appropriate incentives scheme to stimulate reusability.

The possibility of the data encoded in the product delivering information about where the scarce resources are should be explored, together with the way to handle them in order to maximise recycling, as well as information about chemical contents of the product making differentiated treatment possible (for example, depollution is not needed if there are no hazards, thus ensuring cost optimisation for recyclers).

Exploring the technical and economical feasibility of such individual identification is a key challenge for the future. This would also be a serious move towards real IPR and creating the conditions for increased competition towards optimised end-of-life management.

Legal basis

Staff Regulations of Officials of the European Union.

Subitem XX 01 02 11 01 — Mission and representation expenses

Figures

Budget 2013	Appropriations 2012	Outturn 2011
56 391 000	56 891 000	61 191 065,06

Subitem XX 01 02 11 02 — Conferences and meeting costs

Figures

Budget 2013	Appropriations 2012	Outturn 2011
27 008 000	27 508 000	26 984 029,54

Subitem XX 01 02 11 03 — Meetings of committees

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 02 11 03	12 863 000	11 363 000	11 647 614,59
40 01 40		2 000 000	
Total	12 863 000	13 363 000	11 647 614,59

Subitem XX 01 02 11 04 — Studies and consultations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 400 000	7 900 000	6 577 749,76

Subitem XX 01 02 11 05 — Information and management systems

Figures

Budget 2013	Appropriations 2012	Outturn 2011
26 985 000	26 985 000	33 556 807,74

Subitem XX 01 02 11 06 — Further training and management training

Figures

Budget 2013	Appropriations 2012	Outturn 2011
13 500 000	14 368 000	18 076 695,62

Item XX 01 02 12 — Other management expenditure relating to Commission staff in Union delegations

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 02 12	Other management expenditure relating to Commission staff in Union delegations				
XX 01 02 12 01	Missions, conferences and representation expenses	5	6 328 000	6 541 000	7 051 124,00
XX 01 02 12 02	Further training of staff in delegations	5	500 000	522 000	574 156,00
	Item XX 01 02 12 — Total		6 828 000	7 063 000	7 625 280,00

Remarks

In respect of Items 19 01 02 12, 20 01 02 12, 21 01 02 12 and 22 01 02 12, relating to Commission staff posted in Union delegations in third countries and at international organisations, this appropriation is intended to cover:

- miscellaneous costs and allowances concerning other staff, including legal consultations,
- expenditure arising from recruitment procedures of officials, contract staff and local staff, including publication costs, travel and subsistence costs and accident insurance for candidates called for examinations and interviews, costs connected with the organisation of group recruitment tests and pre-recruitment medical examinations,
- the acquisition, replacement, conversion and maintenance of equipment of a medical nature installed in Union delegations,
- expenses related to the cost of annual medical examinations of officials, contract staff and local staff, including analyses and tests carried out as part of such examinations, cultural activities and initiatives for encouraging social contacts,

- expenses related to the medical costs of local staff employed under local law contracts, the cost of medical and dental advisers and the costs concerning the policy regarding AIDS at the workplace,
- the fixed allowance granted to officials who regularly incur representation expenses by reason of their duties, and the reimbursement of costs incurred by authorised officials to represent the Commission/Union in the interest of the service and by reason of their duties (in the case of Union delegations within the territory of the Union, part of the accommodation expenses will be covered by the fixed representation allowance),
- expenditure on travel expenses, daily subsistence allowances for missions and incidental or exceptional expenses incurred in connection with missions by officials and other staff,
- expenditure on travel expenses and daily subsistence allowances in connection with medical evacuations,
- expenditure arising from crisis situations, including travel, accommodation and daily subsistence allowances,
- expenditure on general and language training designed to improve the skills of the staff and the performance of the Commission:
 - fees for experts employed to identify training needs, design, develop and hold courses and to evaluate and monitor results,
 - fees for consultants in various fields, in particular organisational methods, planning, management, strategy, quality assurance and personnel management,
 - expenditure incurred in designing, holding and evaluating training organised by the Commission or the EEAS in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses and teaching materials),
 - expenditure related to the practical and logistical aspects of organising courses including premises, transport and equipment hire for training and local and regional seminars as well as miscellaneous connected costs such as refreshments and food,
 - the cost of participation in conferences and symposiums, and subscriptions to professional and scientific associations,
 - training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licenses for distance teaching, books, press and multimedia products.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 14 000.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Subitem XX 01 02 12 01 — Missions, conferences and representation expenses

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 328 000	6 541 000	7 051 124,00

Subitem XX 01 02 12 02 — Further training of staff in delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	522 000	574 156,00

Article XX 01 03 — Expenditure related to information and communication technology equipment and services, and buildings

Item XX 01 03 01 — Expenditure related to information and communication technology equipment and services of the Commission

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 03 01	Expenditure related to information and communication technology equipment and services of the Commission				
XX 01 03 01 03	Information and communication technology equipment	5	54 525 000	54 288 154	74 225 463,37
XX 01 03 01 04	Information and communication technology services	5	63 545 000	63 796 000	66 127 387,09
	Item XX 01 03 01 — Total		118 070 000	118 084 154	140 352 850,46

Remarks

Former item XX 01 03 01 (in part)

This appropriation is intended to cover the following expenditure incurred within the territory of the Union, such as:

- telecommunications facilities within the Commission's building, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems,
- data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing of equipment including toner relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers and scanners,
- the purchase, hire or leasing of typewriters, word processors or any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the development and use of the Europa site on the Internet: Europa is the joint server for all the European institutions and enables all citizens of Europe, wherever they live, to obtain full online information on the aims of the European Union, the structure of its institutions, current policies and those to be implemented. It is also intended to provide a letter box so that citizens can communicate with the various institutions,
- the creation and development of the Commission's intranet site (Intracomm) and publication of the weekly *Commission en direct*,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of inter-building telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software,
- expenditure on the Computer Centre:
 - the purchase, hire or leasing of computers, peripherals and software for the Computer Centre, and the costs of back-up facilities,

- maintenance, support, studies, documentation, training and supplies related to this equipment and outside operating personnel,
- the development and maintenance, under contract, of the necessary software for the operation of the Computer Centre.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding offices in the Union for which expenditure is entered in Item 16 01 03 03.

Any revenue from the Swiss Confederation's contributions for participation in Union programmes, entered under Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 17 095 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Subitem XX 01 03 01 03 — Information and communication technology equipment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
54 525 000	54 288 154	74 225 463,37

Subitem XX 01 03 01 04 — Information and communication technology services

Figures

Budget 2013	Appropriations 2012	Outturn 2011
63 545 000	63 796 000	66 127 387,09

Item XX 01 03 02 — Buildings and related expenditure relating to Commission staff in Union delegations

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
XX 01 03 02	Buildings and related expenditure relating to Commission staff in Union delegations				
XX 01 03 02 01	Acquisition, renting and related expenditure	5	46 908 000	46 690 000	43 837 830,00
XX 01 03 02 02	Equipment, furniture, supplies and services	5	9 638 000	9 694 000	10 507 175,00
	Item XX 01 03 02 — Total		56 546 000	56 384 000	54 345 005,00

Remarks

In respect of Items 19 01 03 02, 20 01 03 02, 21 01 03 02 and 22 01 03 02, relating to Commission staff posted in Union delegations in third countries and at international organisations, this appropriation is intended to cover:

- temporary accommodation allowances and daily allowances,

- as regards rent and other charges on buildings of Union delegations outside the Union:
 - for all the buildings or parts of buildings occupied by the offices of Union delegations or by officials posted outside the Union: rents (including temporary accommodation) and taxes, insurance premiums, refurbishments and major repairs, routine expenditure relating to the security of persons and goods (cipher machines, safes, window bars, etc.),
 - for all the buildings or parts of buildings occupied by the offices of Union delegations and delegates' residences: water, gas, electricity and fuel charges, maintenance and repairs, handling, refurbishment and other routine expenses (local taxes for street maintenance and refuse collection and the purchase of signs and signposts),
- as regards rent and other charges on buildings of Union delegations within the territory of the Union:
 - for all the buildings or parts of buildings occupied by the offices of Union delegations: rent; water, gas, electricity and heating energy charges; insurance premiums; maintenance and repairs; refurbishment and major repairs; expenditure relating to security, particularly contracts for surveillance and the hiring and refilling of extinguishers; the purchase and maintenance of fire-fighting equipment and the replacement of equipment of voluntary fire-fighting officials; the cost of statutory inspections, etc.,
 - for the buildings or parts of buildings occupied by officials: reimbursement of expenditure relating to the security of housing,
- expenditure incurred in the acquisition of building land and buildings (purchase or lease-purchase option) or construction of offices or other accommodation, including the costs of preliminary studies and various fees,
- the purchase, hire, leasing, maintenance and repair of furniture and equipment, in particular audiovisual, archive, printing, library, interpretation and specialised office equipment (photocopiers, reader-printers, fax machines, etc.) as well as the acquisition of documentation and supplies related to this equipment,
- the purchase, maintenance and repair of technical equipment such as generators, air conditioners, etc., and the installation of equipment for welfare facilities in the delegations,
- the purchase, replacement, hire, leasing, maintenance and repair of vehicles, including tools,
- insurance premiums for vehicles,
- the purchase of books, documents and other non-periodical publications, including updates, and subscriptions to newspapers, periodicals and various publications, and the cost of binding and other costs for the preservation of periodicals,
- subscriptions to news agencies,
- the purchase of paper, envelopes, office supplies and supplies for reproduction, and some printing contracted to outside service providers,
- the transport and customs clearance of equipment, the purchase and cleaning of uniforms for floor messengers, drivers, etc., various types of insurance (in particular third-party liability and theft), expenditure on internal meetings (drinks, food served on special occasions),
- the cost of studies, surveys and consultations connected with the administrative operation of Union delegations and any other operating expenses not specifically covered by the other items in this article,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail,
- the cost of the diplomatic bag,
- all expenditure on furniture and fittings for residential accommodation made available to officials,
- the purchase, hire or leasing of data-processing equipment (computers, terminals, mini-computers, peripherals, connection devices) with the requisite software,
- outsourced services, in particular for the development, maintenance and support of IT systems in the Union delegations,
- the purchase, hire or leasing of equipment for the reproduction of information on paper, such as printers and scanners,
- the purchase, hire or leasing of telephone exchanges and switchboards and equipment for data transmission with the requisite software,
- subscription charges and fixed costs for cable or radio communications (telephone, telegraph, telex, fax), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of installation, configuration, maintenance, support, assistance, documentation and supplies related to this equipment,
- any expenditure on active security operations in delegations in emergencies.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 5 000.

Legal basis

Staff Regulations of Officials of the European Union.

Subitem XX 01 03 02 01 — Acquisition, renting and related expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
46 908 000	46 690 000	43 837 830,00

Subitem XX 01 03 02 02 — Equipment, furniture, supplies and services

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 638 000	9 694 000	10 507 175,00

Article XX 01 05 — Expenditure related to staff in active employment for indirect research

Item XX 01 05 01 — Remuneration and allowances related to staff in active employment for indirect research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
197 229 000	193 701 000	195 814 251,92

Remarks

The following comments apply to all the policy areas (Enterprise and Industry, Mobility and Transport, Research, Information Society and Media, Education and Culture, Energy) involved in indirect actions under the Seventh Framework Programme for research.

This appropriation covers expenditure relating to staff covered by the Staff Regulations occupying posts on the authorised establishment plans engaged in indirect action under the nuclear and non-nuclear programmes, including staff posted in Union delegations.

The breakdown of these appropriations for staff expenditure is as follows:

Programme	Appropriation
Framework programme (nuclear)	22 840 000
Framework programme (non-nuclear)	174 389 000
Total	197 229 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh

Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme: 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme: 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 270).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

Item XX 01 05 02 — External staff for indirect research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
47 262 000	47 262 000	46 870 754,58

Remarks

The following comments apply to all the policy areas (Enterprise and Industry, Mobility and Transport, Research, Information Society and Media, Education and Culture, Energy) involved in indirect actions under the Seventh Framework Programme for research.

This appropriation is intended to cover expenditure on external staff for all management of research in the form of indirect action under the nuclear and non-nuclear programmes, including external staff posted in Union delegations.

The breakdown of these appropriations for staff expenditure is as follows:

Programme	Appropriation
Framework programme (nuclear)	1 615 000
Framework programme (non-nuclear)	45 647 000
Total	47 262 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic

Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme: 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme: 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 270).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

Item XX 01 05 03 — Other management expenditure for indirect research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
80 253 000	80 233 000	84 108 686,90

Remarks

The following comments apply to all the policy areas (Enterprise and Industry, Mobility and Transport, Research, Information Society and Media, Education and Culture, Energy) involved in indirect actions under the Seventh Framework Programme for research.

This appropriation is intended to cover other administrative expenditure for all management of research in the form of indirect action under the nuclear and non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

The breakdown of these appropriations for staff expenditure is as follows:

Programme	Appropriation
Framework programme (nuclear)	10 984 000
Framework programme (non-nuclear)	69 269 000
Total	80 253 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework

Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme: 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme: 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 270).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 01	Administrative expenditure of the 'Economic and financial affairs' policy area	5	83 719 770	83 719 770	67 461 954	67 461 954	71 117 038,13	71 117 038,13
	40 01 40				329 267	329 267		
					67 791 221	67 791 221		
01 02	Economic and monetary union		14 000 000	13 500 000	14 500 000	13 082 630	13 404 311,05	11 551 509,76
01 03	International economic and financial affairs	4	100 000 000	97 000 000	95 550 000	79 050 000	543 476,00	55 236 767,22
01 04	Financial operations and instruments						328 610	252 707
			361 360 000	296 660 000	433 180 000	350 895 107	034,02	516,19
	Title 01 — Total		559 079 770	490 879 770	610 691 954	510 489 691	413 674 859,20	390 612 831,30
	40 01 40				329 267	329 267		
	Total including reserves				611 021 221	510 818 958		

CHAPTER 01 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘ECONOMIC AND FINANCIAL AFFAIRS’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
01 01	Administrative expenditure of the ‘Economic and financial affairs’ policy area					
01 01 01	Expenditure related to staff in active employment in the ‘Economic and financial affairs’ policy area	5	65 038 268	54 238 966	55 493 301,22	85,32 %
01 01 02	External staff and other management expenditure in support of the ‘Economic and financial affairs’ policy area					
01 01 02 01	External staff	5	6 533 609	4 337 593	5 270 359,00	80,67 %
01 01 02 11	Other management expenditure	5	7 805 800	5 127 344	5 934 709,15	76,03 %
	40 01 40			329 267 5 456 611		
	<i>Article 01 01 02 — Subtotal</i>		14 339 409	9 464 937	11 205 068,15	78,14 %
	40 01 40			329 267 9 794 204		
01 01 03	Expenditure related to information and communication technology equipment and services, and other working expenditure of the ‘Economic and financial affairs’ policy area					
01 01 03 01	Expenditure related to information and communication technology equipment and services of the ‘Economic and financial affairs’ policy area	5	4 042 093	3 458 051	4 169 954,09	103,16 %
01 01 03 04	Other working expenditure	5	300 000	300 000	248 714,67	82,90 %
	<i>Article 01 01 03 — Subtotal</i>		4 342 093	3 758 051	4 418 668,76	101,76 %
	Chapter 01 01 — Total		83 719 770	67 461 954	71 117 038,13	84,95 %
	40 01 40			329 267 67 791 221		
	Total including reserves					

Article 01 01 01 — Expenditure related to staff in active employment in the ‘Economic and financial affairs’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
65 038 268	54 238 966	55 493 301,22

Article 01 01 02 — External staff and other management expenditure in support of the ‘Economic and financial affairs’ policy area

Item 01 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 533 609	4 337 593	5 270 359,00

Item 01 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
01 01 02 11	7 805 800	5 127 344	5 934 709,15

40 01 40		329 267	
Total	7 805 800	5 456 611	5 934 709,15

Article 01 01 03 — Expenditure related to information and communication technology equipment and services, and other working expenditure of the ‘Economic and financial affairs’ policy area

Item 01 01 03 01 — Expenditure related to information and communication technology equipment and services of the ‘Economic and financial affairs’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 042 093	3 458 051	4 169 954,09

Item 01 01 03 04 — Other working expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
300 000	300 000	248 714,67

Remarks

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- expenditure on equipping buildings with telecommunications, in particular the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing, installation and maintenance of electronic office equipment, computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of connection to telecommunication networks, for example SWIFT (interbank) and CoreNet (secure network set up by the ECB), and related infrastructure and services,
- installation, configuration, maintenance, studies, evaluations, documentation and supplies related to this equipment.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
01 02	Economic and monetary union								
01 02 02	Coordination and surveillance of the economic and monetary union	5	9 000 000	9 000 000	9 000 000	9 000 000	8 964 892,79	7 469 697,01	83,00 %
01 02 04	Prince — Communication on the economic and monetary union, including the euro	1.1	5 000 000	4 500 000	5 500 000	4 082 630	4 439 418,26	4 081 812,75	90,71 %
	Chapter 01 02 — Total		14 000 000	13 500 000	14 500 000	13 082 630	13 404 311,05	11 551 509,76	85,57 %

Article 01 02 02 — Coordination and surveillance of the economic and monetary union

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 000 000	9 000 000	9 000 000	9 000 000	8 964 892,79	7 469 697,01

Remarks

This appropriation is intended to cover the cost of carrying out or continuing the following surveys in the Member States and the cost of introducing the surveys in the applicant countries:

- decisions taken by the Commission on 15 November 1961:
 - the monthly Community business survey (since 1962),
 - the building industry survey (since 1963),
 - the survey of capital investment (since 1966),
 - the retail trade sector survey,
 - the service sector survey,
 - ad hoc survey on topical issues,
- Council Decision of 15 September 1970:
 - the European Economic Community consumer survey (since 1972).

This appropriation is also intended to cover the cost of studies, workshops, conferences, analyses, evaluations, publications, technical assistance, the purchase and maintenance of databases and software and the part-financing and support of measures relating to:

- economic monitoring, analysis of the combination of measures and coordination of economic policies,
- the external aspects of the economic and monetary union (EMU),
- the macroeconomic developments in the euro area,
- monitoring structural reforms and improving the operation of markets in the EMU,
- coordination with financial institutions and analysis and development of financial markets, and borrowing and lending operations involving Member States,
- cooperation with economic operators and decision-makers in the abovementioned fields,
- expanding the EMU.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100 000.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 01 02 04 — Prince — Communication on the economic and monetary union, including the euro

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	4 500 000	5 500 000	4 082 630	4 439 418,26	4 081 812,75

Remarks

This appropriation is intended to cover the funding of priority information measures on Union policies on all aspects of the rules and functioning of EMU, as well as on the benefits of closer policy coordination and structural reforms, and to address information needs of citizens, local authorities and enterprises in relation to the euro.

This measure is designed to be an effective channel of communication and dialogue between the people of the European Union and the Union institutions and take account of specific national and regional characteristics, in close cooperation with the Member State authorities. Special emphasis is put on preparing citizens in the new Member States for the introduction of the euro.

It includes:

- partnership agreements with the Member States, which intend to communicate on the euro or on the economic and monetary union (EMU),
- close cooperation and networking with all Member States in the framework of the network of Directors of Communication on EMU-related issues,
- the development of communication activities at central level (brochures, leaflets, newsletters, Internet site design, development and maintenance, exhibitions, stands, conferences, seminars, audiovisual products, opinion polls, surveys, studies, promotional material, twinning programmes, etc.),
- communication initiatives in third countries, in particular to point out the international role of the euro and the value of financial integration.

The Commission, when implementing this budget line, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

The Commission has set out its communication strategy on the euro in the Communication on the implementation of an information and communication strategy on the euro and economic and monetary union (COM(2004) 552 final), adopted by the College on 11 August 2004. The implementation of the communication strategy takes place in close coordination with the Member States and the European Parliament.

The Commission reports regularly to the European Parliament's relevant committee on the implementation of the programme and on planning for the coming year.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
01 03 01 03 01	International economic and financial affairs Participation in the capital of international financial institutions								
01 03 01 01	European Bank for Reconstruction and Development — Provision of paid-up shares of subscribed capital	4	—	—	—	—	0,—	0,—	
01 03 01 02	European Bank for Reconstruction and Development — Callable portion of subscribed capital	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 01 03 01 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 03 02	Macro-financial assistance								
		4	100 000 000	97 000 000	95 550 000	79 050 000	543 476,00	55 236 767,22	56,95 %
	Chapter 01 03 — Total		100 000 000	97 000 000	95 550 000	79 050 000	543 476,00	55 236 767,22	56,95 %

Article 01 03 01 — Participation in the capital of international financial institutions

Item 01 03 01 01 — European Bank for Reconstruction and Development — Provision of paid-up shares of subscribed capital

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

This item is intended to cover the financing of the capital subscribed by the Union in the European Bank for Reconstruction and Development (EBRD).

Legal basis

Council Decision 90/674/EEC of 19 November 1990 on the conclusion of the Agreement establishing the European Bank for Reconstruction and Development (OJ L 372, 31.12.1990, p. 1).

Council Decision 97/135/EC of 17 February 1997 providing that the European Community should subscribe for extra shares as a result of the decision to double the capital of the European Bank for Reconstruction and Development (OJ L 52, 22.2.1997, p. 15).

Item 01 03 01 02 — European Bank for Reconstruction and Development — Callable portion of subscribed capital

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing of the capital subscribed by the Union in the European Bank for Reconstruction

and Development.

The EBRD's current capital base amounts to EUR 30 000 000 000, while the total share capital subscribed by the Union accounts for EUR 900 440 000 (3 %). The paid-in shares of subscribed capital amounts to EUR 187 810 000, leaving a callable portion of subscribed capital amounting to EUR 712 630 000.

Legal basis

Council Decision 90/674/EEC of 19 November 1990 on the conclusion of the Agreement establishing the European Bank for Reconstruction and Development (OJ L 372, 31.12.1990, p. 1).

Council Decision 97/135/EC of 17 February 1997 providing that the European Community should subscribe for extra shares as a result of the decision to double the capital of the European Bank for Reconstruction and Development (OJ L 52, 22.2.1997, p. 15).

Decision No 1219/2011/EU of the European Parliament and of the Council of 16 November 2011 concerning the subscription by the European Union to additional shares in the capital of the European Bank for Reconstruction and Development (EBRD) as a result of the decision to increase this capital (OJ L 313, 26.11.2011 p. 1).

Article 01 03 02 — Macro-financial assistance

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
100 000 000	97 000 000	95 550 000	79 050 000	543 476,00	55 236 767,22

Remarks

This exceptional assistance aims to ease financial constraints on certain third countries experiencing macroeconomic difficulties characterised by balance of payment deficits and/or serious budgetary imbalances.

It is directly linked to the implementation by the recipient countries of macroeconomic stabilisation and structural adjustment measures. Union action generally complements that of the International Monetary Fund, coordinated with other bilateral donors.

The Commission informs the budgetary authority twice a year of the macroeconomic situation of the beneficiary countries and reports extensively on the implementation of this assistance on a yearly basis.

Appropriations under this article will also be used to cover financial aid for the reconstruction in Georgia of the areas affected by the conflict with Russia. The actions should be primarily geared to the macroeconomic stabilisation of the country. The total financial allocation for the aid was decided at an international donors' conference in 2008.

Legal basis

Council Decision 2006/880/EC of 30 November 2006 providing exceptional Community financial assistance to Kosovo (OJ L 339, 6.12.2006, p. 36).

Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Council Decision 2009/889/EC of 30 November 2009 providing macro-financial assistance to Georgia (OJ L 320, 5.12.2009, p. 1).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance to Armenia (OJ L 320, 5.12.2009, p. 3).

Decision No 938/2010/EU of the European Parliament and of the Council of 20 October 2010 providing macro-financial assistance to the Republic of Moldova (OJ L 277, 21.10.2010, p. 1).

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
01 04	Financial operations and instruments								
01 04 01	European Union guarantees for Union and Euratom borrowing operations and for EIB lending operations								
01 04 01 01	European Union guarantee for Union borrowings for balance-of-payments support	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 04 01 02	Guarantee for Euratom borrowings	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 04 01 03	European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 04 01 04	European Union guarantee for Union borrowings for macro-financial assistance to third countries	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 04 01 05	Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 04 01 06	European Union guarantee for European Investment Bank loans to third countries	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 04 01 14	Provisioning of the Guarantee Fund	4					138 880 000,00	138 880 000,00	89,22 %
	<i>Article 01 04 01 — Subtotal</i>		155 660 000	155 660 000	260 170 000	260 170 000	138 880 000,00	138 880 000,00	89,22 %
01 04 04	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme	1.1	204 700 000	130 000 000	173 010 000	90 725 107	189 730 034,02	113 827 516,19	87,56 %
01 04 05	Completion of programme for enterprises: improvement of the financial environment for small and middle-sized enterprises (SMEs)	1.1	p.m.	10 000 000	p.m.	p.m.	0,—	0,—	
01 04 06	Completion of the 'Employment' initiative (1998 to 2000)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 04 09	European Investment Fund								
01 04 09 01	European Investment Fund — Provision of paid-up shares of subscribed capital	1.1	—	—	—	—	0,—	0,—	
01 04 09 02	European Investment Fund — Callable portion of subscribed capital	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 01 04 09 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 04 10	Nuclear safety	1.1	1 000 000	1 000 000	p.m.	p.m.	0,—	0,—	
	Chapter 01 04 — Total		361 360 000	296 660 000	433 180 000	350 895 107	328 610 034,02	252 707 516,19	85,18 %

Article 01 04 01 — European Union guarantees for Union and Euratom borrowing operations and for EIB lending operations

Item 01 04 01 01 — European Union guarantee for Union borrowings for balance-of-payments support

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

The European Union guarantee is for borrowings raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 25.3.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Council Decision 2011/288/EU of 12 May 2011 providing precautionary EU medium-term financial assistance for Romania (OJ L 132, 19.5.2011, p. 15).

Item 01 04 01 02 — Guarantee for Euratom borrowings

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

The authorised borrowing ceiling is EUR 4 000 000 000, of which EUR 500 000 000 is authorised pursuant to Decision 77/270/Euratom, EUR 500 000 000 pursuant to Decision 80/29/Euratom, EUR 1 000 000 000 pursuant to Decision 82/170/Euratom, EUR 1 000 000 000 pursuant to Decision 85/537/Euratom and EUR 1 000 000 000 pursuant to Decision 90/212/Euratom.

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Council Decision 77/271/Euratom of 29 March 1977 on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 11).

Council Decision 80/29/Euratom of 20 December 1979 amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 12, 17.1.1980, p. 28).

Council Decision 82/170/Euratom of 15 March 1982 amending Decision 77/271/Euratom as regards the total amount of Euratom loans which the Commission is empowered to contract for the purpose of contributing to the financing of nuclear power stations (OJ L 78, 24.3.1982, p. 21).

Council Decision 85/537/Euratom of 5 December 1985 amending Decision 77/271/Euratom as regards the total amount of Euratom loans which the Commission is empowered to contract for the purpose of contributing to the financing of nuclear power stations (OJ L 334, 12.12.1985, p. 23).

Council Decision 90/212/Euratom of 23 April 1990 amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 112, 3.5.1990, p. 26).

Reference acts

Proposal for a Council decision, submitted by the Commission on 6 November 2002, amending Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ C 45 E, 25.2.2003, p. 194).

Proposal for a Council decision, submitted by the Commission on 6 November 2002, amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ C 45 E, 25.2.2003, p. 201).

Item 01 04 01 03 — European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Article 122(2) of the Treaty on the Functioning of the European Union provides for the possibility of granting Union financial assistance to a Member State in difficulties or seriously threatened with severe difficulties caused by exceptional occurrences beyond its control.

The guarantee provided by the Union is for borrowings raised on the capital markets or from financial institutions.

According to Article 2(2) of Council Regulation No 407/2010 of 11 May 2010, the outstanding amount of loans and credit lines granted to Member States under this stabilisation mechanism shall be limited to the margin available under the Union's own resources for payment appropriations.

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

Council Implementing Decision 2011/344/EU of 7 December 2010 on granting Union financial assistance to Ireland (OJ L 30, 4.2.2011, p. 34).

Council Implementing Decision 2011/344/EU of 30 May 2011 on granting Union financial assistance to Portugal (OJ L 159, 17.6.2011, p. 88).

Council Implementing Decision 2011/682/EU of 11 October 2011 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 269, 14.10.2011, p. 31).

Council Implementing Decision 2011/683/EU of 11 October 2011 amending Implementing Decision 2011/344/EU on granting Union financial assistance to Portugal (OJ L 269, 14.10.2011, p. 32).

Reference acts

Article 122(2) of the Treaty on the Functioning of the European Union.

Item 01 04 01 04 — European Union guarantee for Union borrowings for macro-financial assistance to third countries

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on a loan granted under the Decisions referred to below.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Decision 97/471/EC of 22 July 1997 providing macro-financial assistance for the former Yugoslav Republic of Macedonia (OJ L 200, 29.7.1997, p. 59) (a maximum amount of EUR 40 000 000 in principal).

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57) (a maximum amount of EUR 30 000 000 in principal in the form of a 15-year loan).

Council Decision 1999/732/EC of 8 November 1999 providing supplementary macro-financial assistance to Romania (OJ L 294, 16.11.1999, p. 29) (a maximum amount of EUR 200 000 000 in principal).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31) (a maximum amount of EUR 50 000 000 in principal).

Council Decision 2000/244/EC of 20 March 2000 amending Decision 97/787/EC providing exceptional financial assistance for Armenia and Georgia in order to extend it to Tajikistan (OJ L 77, 28.3.2000, p. 11) (a maximum amount of EUR 245 000 000 in principal).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2003/825/EC of 25 November 2003 amending Decision 2002/882/EC providing further macro-financial assistance to the Federal Republic of Yugoslavia with regard to additional macro-financial assistance to Serbia and Montenegro (OJ L 311, 27.11.2003, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania and repealing Decision 1999/282/EC (OJ L 261, 6.8.2004, p. 116).

Council Decision 2004/861/EC of 7 December 2004 amending Council Decision 2002/883/EC providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 370, 17.12.2004, p. 80).

Council Decision 2004/862/EC of 7 December 2004 on macro-financial assistance to Serbia and Montenegro and amending Decision

2002/882/EC providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 370, 17.12.2004, p. 81).
 Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).
 Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance for Armenia (OJ L 320, 5.12.2009, p. 3).
 Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).
 Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).
 Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L179, 14.7.2010, p. 1).

Item 01 04 01 05 — Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

The maximum amount of Euratom borrowings for the Member States and third countries remains fixed at EUR 4 000 000 000, as indicated in Item 01 04 01 02.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

For the legal basis for Euratom loans, see also Item 01 04 01 02.

Item 01 04 01 06 — European Union guarantee for European Investment Bank loans to third countries

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

Pursuant to the Council Decision of 8 March 1977, the Union guarantees loans made by the European Investment Bank (EIB) in the context of the Union's financial commitments in respect of the Mediterranean countries.

That decision was the basis for a contract of guarantee between the European Economic Community and the EIB signed in Brussels on 30 October 1978 and in Luxembourg on 10 November 1978, setting up a comprehensive guarantee, equal to 75 % of all the funds available for loan operations in the following countries: Malta, Tunisia, Algeria, Morocco, Portugal (Financial Protocol, emergency aid), Turkey, Cyprus, Syria, Israel, Jordan, Egypt, former Yugoslavia and Lebanon.

Decision 90/62/EEC was the basis for a contract of guarantee between the European Economic Community and the EIB signed in Brussels on 24 April 1990 and in Luxembourg on 14 May 1990 as regards Hungary and Poland and for the extension of that contract to cover Czechoslovakia, Romania and Bulgaria, signed in Brussels and in Luxembourg on 31 July 1991.

Decision 93/696/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 22 July 1994 and in Luxembourg on 12 August 1994.

Pursuant to Decisions 93/115/EEC and 96/723/EC, the Union guarantees loans which may be made individually by the EIB in countries of Latin America and Asia with which the European Community has concluded cooperation agreements. Decision 93/115/EEC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 4 November 1993 and in Luxembourg on 17 November 1993. Decision 96/723/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 18 March 1997 and in Luxembourg on 26 March 1997.

Pursuant to Decision 95/207/EC, the Union guarantees loans which may be made individually by the EIB in South Africa. Decision 95/207/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 4 October 1995 and in Luxembourg on 16 October 1995.

Decision 97/256/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997 setting up a guarantee restricted to 70 % of the aggregate amount of the appropriations opened, plus all related sums. The overall ceiling of the appropriations opened is equivalent to EUR 7 105 000 000.

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 24 January 2000 and in Luxembourg on 17 January 2000, last restated in 2005, setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations opened, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 19 460 000 000. The EIB is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2001/777/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 6 May 2002 and in Luxembourg on 7 May 2002 setting up a guarantee at 100 % against losses under a special lending action for selected environment projects in the Baltic Sea basin of Russia under the Northern Dimension. The overall ceiling is EUR 100 000 000.

Decision 2005/48/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 9 December 2005 and in Brussels on 21 December 2005 setting up a guarantee at 100 % against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus. The overall ceiling is EUR 500 000 000. It covers a period ending on 31 January 2007. At the end of this period, the loans granted by the EIB not having attained the overall amounts referred to above, the period was automatically extended by six months.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations, less amounts reimbursed, plus all related sums. The overall ceiling of the appropriations made available for all countries under the Decision is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. That Decision has been replaced by Decision No 633/2009/EC.

Decision 633/2009/EC was the basis for an amendment, signed on 28 October 2009, to the contract of guarantee between the European Community and the EIB which was signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007. The Union guarantee is restricted to 65 % of the aggregate amount of credits disbursed and guarantees. The maximum ceiling of the EIB financing operations, less amounts cancelled, shall not exceed EUR 27 800 000 000, broken down in a basic ceiling of EUR 25 800 000 000 and an optional mandate of EUR 2 000 000 000. It covers a period ending on 31 October 2011, without prejudice to an extension of this period until 30 April 2012 if a new decision of the European Parliament and the Council has not been adopted by 31 October 2011.

Decision 1080/2011/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 November 2011. The Union guarantee is restricted to 65 % of the aggregate amount of credits disbursed and guarantees less amounts reimbursed, plus all related amounts. The maximum ceiling of the EIB financing operations, less amounts cancelled, shall not exceed EUR 29 484 000 000, broken down into a general mandate of EUR 27 484 000 000 and a Climate Change mandate of EUR 2 000 000 000. It covers a period beginning on 1 February 2007 and ending on 31 December 2013, without prejudice to an extension of this period until 30 June 2014 if a new decision of the European Parliament and the Council has not been adopted by 31 December 2013.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on the loans granted by the EIB.

Legal basis

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the Interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary, Poland, Czechoslovakia, Bulgaria and Romania (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol relating to financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all the Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5).

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for investment projects carried out in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses

under loans for projects in central and eastern European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded cooperation agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam) (OJ L 329, 19.12.1996, p. 45).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia and amending Decision 97/256/EC granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern European countries, Mediterranean countries, Latin American and Asian countries and South Africa) (OJ L 155, 29.5.1998, p. 53).

Council Decision 98/729/EC of 14 December 1998 amending Decision 97/256/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Bosnia and Herzegovina (OJ L 346, 22.12.1998, p. 54).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank (EIB) against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/688/EC of 7 November 2000 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Croatia (OJ L 285, 10.11.2000, p. 20).

Council Decision 2000/788/EC of 4 December 2000 amending Decision 2000/24/EC so as to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey customs union (OJ L 314, 14.12.2000, p. 27).

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2001/778/EC of 6 November 2001 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in the Federal Republic of Yugoslavia (OJ L 292, 9.11.2001, p. 43).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus (OJ L 21, 25.1.2005, p. 11).

Council Decision 2006/174/EC of 27 February 2006 amending Decision 2000/24/EC in order to include the Maldives in the list of countries covered, following the Indian Ocean tsunamis of December 2004 (OJ L 62, 3.3.2006, p. 26).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Item 01 04 01 14 — Provisioning of the Guarantee Fund

Figures

Budget 2013	Appropriations 2012	Outturn 2011
155 660 000	260 170 000	138 880 000,00

Remarks

This appropriation is intended to provide the financial resources for payments to the Guarantee Fund according to its provisioning mechanism and for payments of operational costs linked to the management of the fund and the external evaluation to be carried out in the context of the mid-term review of the EIB external mandate.

Legal basis

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (Codified version) (OJ L 145, 10.6.2009, p. 10).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Article 01 04 04 — Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
204 700 000	130 000 000	173 010 000	90 725 107	189 730 034,02	113 827 516,19

Remarks

Part of this appropriation is intended to cover expenditure on Union/Community financial instruments implemented in the framework of the Competitiveness and Innovation Framework Programme (2007 to 2013) (CIP), and to facilitate the access of small and medium-sized enterprises (SMEs) to finance.

Part of this appropriation is intended to cover expenditure on the following measures:

- promoting the availability in Europe of mechanisms for securing access to credit for SMEs, such as credit guarantee consortia, and analysing credit market issues where necessary,
- supporting credit applications to financial institutions submitted by such consortia of SMEs, inter alia through the provision of secondary guarantees by the European Investment Fund (EIF),
- concluding agreements with financial institutions on subsidised loans to credit guarantee consortia.

Poor access to appropriate forms of finance is frequently quoted as a main barrier to entrepreneurship and enterprise innovation. This problem may be exacerbated by new accounting standards which will make banks more sensitive to risk and lead to a rating culture. The CIP addresses persistent recognised market gaps leading to poor access to equity, venture capital and loans for SMEs through Union financial instruments operated on behalf of the Commission by the EIF, the Union's specialised institution for providing venture capital and guarantee instruments for SMEs.

Independent evaluations of the predecessor programme 'Multiannual Programme for Enterprise and Entrepreneurship' identified the market-based approach and the implementation via the EIF of these instruments as a best practice. They are therefore continued and adapted in the CIP programme.

Union financial instruments facilitate access to finance by SMEs. The High Growth and Innovative SME Facility (GIF) shares risks and rewards with private equity investors providing important leverage for the supply of equity to innovative companies.

GIF targets innovative SMEs in their early stages and in the expansion phase, leveraging 'follow-on' capital to help them bring their products and services to the market. Particular attention is paid to SMEs active in the field of eco-innovation.

The SME Guarantee Facility (SMEG) continues to provide counter-guarantees or co-guarantees to guarantee schemes operating in participating countries, and direct guarantees to financial intermediaries. It aims at addressing market failures experienced by SMEs with growth potential in accessing: (i) loans (or loan substitutes such as leasing); (ii) microcredit and (iii) equity or quasi-equity. A

new securitisation window (iv) mobilises additional debt financing for SMEs under appropriate risk-sharing arrangements with the targeted institutions.

A Capacity Building Scheme (CBS) was intended to support the capacity of financial intermediaries to focus on additional investment and technology aspects. This instrument has, however, shown no response from the market. As a consequence, the budget initially reserved for CBS has been made available to the GIF to support mainly eco-innovation and technology transfer.

The contributions from the EFTA States¹ pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article, in accordance with the Financial Regulation.

Up to EUR 400 000 may be used for communication activities, including events, publications and Internet information.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Article 01 04 05 — Completion of programme for enterprises: improvement of the financial environment for small and middle-sized enterprises (SMEs)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	10 000 000	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover expenditure on Union financial instruments implemented in the framework of the Multiannual Programme for Enterprise and Entrepreneurship, and in particular for SMEs (MAP) and for facilitating their access to finance. Even though the end of the commitment period has been reached, the facilities have to be operated for several years, in which payments will be needed for investments and in order to honour guarantee obligations. Therefore reporting and monitoring requirements will continue until the end of the facility periods.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

The contributions from the EFTA States² pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 2000/819/EC of 20 December 2000 on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 333, 29.12.2000, p. 84).

¹With the exception of Switzerland.

²With the exception of Switzerland.

Decision No 1776/2005/EC of the European Parliament and of the Council of 28 September 2005 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001 to 2005) (OJ L 289, 3.11.2005, p. 14).

Article 01 04 06 — Completion of the ‘Employment’ initiative (1998 to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation covers the financing of:

- fees in respect of guarantees extended by the European Investment Fund (EIF) to facilitate the increase in the volume of lending made, and in the investment risk borne, by the European Investment Bank (EIB), commercial banks, investment funds or other appropriate intermediaries in connection with their SME operations,
- investment fund holdings in newly created enterprises and high-tech SMEs,
- part of the costs incurred by European SMEs in devising and setting up transnational joint ventures, as well as part of the total amount of the transnational investment.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 98/347/EC of 19 May 1998 on measures of financial assistance for innovative and job-creating small and medium-sized enterprises (SMEs) — the growth and employment initiative (OJ L 155, 29.5.1998, p. 43).

Article 01 04 09 — European Investment Fund

Item 01 04 09 01 — European Investment Fund — Provision of paid-up shares of subscribed capital

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

This item is intended to cover the financing of the provision of paid-up shares of the capital subscribed by the Union.

The European Investment Fund (EIF) was created in 1994. Its founder members were the European Community, represented by the Commission, the European Investment Bank (EIB) and a number of financial institutions. The Union membership to the EIF is

determined by Decision 94/375/EC.

Pursuant to Article 3 of Decision 94/375/EC, the position of the Union on a possible increase in the capital of the EIF and on its participation in that increase shall be decided unanimously by the Council, acting on a proposal from the Commission and after consulting the European Parliament.

Legal basis

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Item 01 04 09 02 — European Investment Fund — Callable portion of subscribed capital

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing in the event of a call for the amount of capital subscribed by the Union.

Legal basis

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Article 01 04 10 — Nuclear safety

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	1 000 000	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing of the technical and legal assistance necessary for the evaluation of the safety, environmental, economic and financial aspects of the projects for which financing in the form of a Euratom loan has been requested, including studies undertaken by the European Investment Bank. The measures are also intended to enable loan contracts to be concluded and carried out.

Legal basis

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

TITLE 02 — ENTERPRISE

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 01	Administrative expenditure of the 'Enterprise' policy area		122 149 738	122 149 738	126 246 294	126 246 294	126 382	126 382
	40 01 40				52 383	52 383	717,96	717,96
					126 298 677	126 298 677		
02 02	Competitiveness, industrial policy, innovation and entrepreneurship		213 790 000	215 056 000	204 490 000	121 146 802	177 584	186 637
							367,46	459,84
02 03	Internal market for goods and sectoral policies		42 800 000	29 875 000	43 550 000	30 643 923	41 460 602,02	32 632 756,18
	40 02 41		3 700 000	700 000				
			46 500 000	30 575 000				
02 04	Cooperation — Space and security	1	751 097 000	653 300 000	599 518 520	429 129 757	562 281	423 816
							355,47	321,59
02 05	European satellite navigation programmes (EGNOS and Galileo)	1	11 700 000	371 700 000	176 840 000	372 740 430	205 322	570 384
							845,18	008,43
	Title 02 — Total		1 141 536 738	1 392 080 738	1 150 644 814	1 079 907 206	1 113 031	1 339 853
							888,09	264,00
	40 01 40				52 383	52 383		
	Total including reserves				1 150 697 197	1 079 959 589		
	40 02 41		3 700 000	700 000				
	Total including reserves		1 145 236 738	1 392 780 738				

CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENTERPRISE' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
02 01	Administrative expenditure of the 'Enterprise' policy area					
02 01 01	<i>Expenditure related to staff in active employment in the 'Enterprise' policy area</i>	5	71 819 509	71 247 993	71 615 240,44	99,72 %
02 01 02	<i>External staff and other management expenditure in support of the 'Enterprise' policy area</i>					
02 01 02 01	External staff	5	5 755 924	5 819 863	5 143 878,76	89,37 %
02 01 02 11	Other management expenditure	5	4 383 761	4 881 377	4 507 900,48	102,83 %
	40 01 40			52 383		
				4 933 760		
	<i>Article 02 01 02 — Subtotal</i>		10 139 685	10 701 240	9 651 779,24	95,19 %
	40 01 40			52 383		
				10 753 623		
02 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Enterprise' policy area</i>	5	4 463 544	4 549 061	5 385 047,46	120,65 %
02 01 04	<i>Support expenditure for operations in the 'Enterprise' policy area</i>					
02 01 04 01	Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation — Expenditure on administrative management	1.1	1 000 000	1 000 000	987 532,43	98,75 %
02 01 04 02	Standardisation and approximation of legislation — Expenditure on administrative management	1.1	160 000	160 000	159 615,50	99,76 %
02 01 04 04	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme — Expenditure on administrative management	1.1	5 000 000	6 000 000	4 641 652,96	92,83 %
02 01 04 05	European satellite navigation programmes (EGNOS and Galileo) — Expenditure on administrative management	1.1	1 000 000	4 000 000	4 084 878,51	408,49 %
02 01 04 06	European Earth monitoring programme (GMES) — Expenditure on administrative management	1.1	1 000 000	1 000 000	1 000 000,00	100,00 %

02 01 04 30	Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme	1.1	7 583 000	7 583 000	7 795 000,00	102,80 %
	<i>Article 02 01 04 — Subtotal</i>		15 743 000	19 743 000	18 668 679,40	118,58 %
02 01 05	Support expenditure for research activities of the 'Enterprise' policy area					
02 01 05 01	Expenditure related to research staff	1.1	11 184 000	11 730 000	9 580 700,00	85,66 %
02 01 05 02	External staff for research	1.1	3 650 000	3 650 000	3 206 930,00	87,86 %
02 01 05 03	Other management expenditure for research	1.1	5 150 000	4 625 000	8 274 341,42	160,67 %
	<i>Article 02 01 05 — Subtotal</i>		19 984 000	20 005 000	21 061 971,42	105,39 %
	Chapter 02 01 — Total		122 149 738	126 246 294	126 382 717,96	103,47 %
	40 01 40			52 383		
	Total including reserves			126 298 677		

Article 02 01 01 — Expenditure related to staff in active employment in the 'Enterprise' policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
71 819 509	71 247 993	71 615 240,44

Article 02 01 02 — External staff and other management expenditure in support of the 'Enterprise' policy area

Item 02 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 755 924	5 819 863	5 143 878,76

Item 02 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
02 01 02 11	4 383 761	4 881 377	4 507 900,48
40 01 40		52 383	
Total	4 383 761	4 933 760	4 507 900,48

Article 02 01 03 — Expenditure related to information and communication technology equipment and services of the 'Enterprise' policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 463 544	4 549 061	5 385 047,46

Article 02 01 04 — Support expenditure for operations in the ‘Enterprise’ policy area

Item 02 01 04 01 — Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	1 000 000	987 532,43

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 02 03 01.

Item 02 01 04 02 — Standardisation and approximation of legislation — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
160 000	160 000	159 615,50

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

Legal basis

See Article 02 03 04.

Item 02 01 04 04 — Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 000 000	6 000 000	4 641 652,96

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

Legal basis

See Article 02 02 01.

Item 02 01 04 05 — European satellite navigation programmes (EGNOS and Galileo) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	4 000 000	4 084 878,51

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 02 05 01.

Item 02 01 04 06 — European Earth monitoring programme (GMES) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	1 000 000	1 000 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts. Activities relating to the User Forum created by Article 17 of Regulation (EU) No 911/2010 of the European Parliament and of the Council of 22 September 2010 on the European Earth monitoring programme (GMES) and its initial operations (2011-2013) (OJ L 276, 20.10.2010, p. 1) may also be covered.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82

thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

Legal basis

See Article 02 02 15.

Item 02 01 04 30 — Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 583 000	7 583 000	7 795 000,00

Remarks

This appropriation is intended to cover the Agency's expenditure on staff and administration incurred as a result of the Agency's role in the management of measures forming part of the Entrepreneurship and Innovation Programme (EIP).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

The establishment plan of the Agency is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Reference acts

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the 'Intelligent Energy Executive Agency', to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the 'Intelligent Energy Executive Agency' into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Article 02 01 05 — Support expenditure for research activities of the ‘Enterprise’ policy area

Item 02 01 05 01 — Expenditure related to research staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
11 184 000	11 730 000	9 580 700,00

Item 02 01 05 02 — External staff for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 650 000	3 650 000	3 206 930,00

Item 02 01 05 03 — Other management expenditure for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 150 000	4 625 000	8 274 341,42

CHAPTER 02 02 — COMPETITIVENESS, INDUSTRIAL POLICY, INNOVATION AND ENTREPRENEURSHIP

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 02	Competitiveness, industrial policy, innovation and entrepreneurship								
02 02 01	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme								
02 02 02	Supplementing the work on competitiveness, innovation and entrepreneurship								
02 02 02 01	Support to the EU-Japan Centre for Industrial Cooperation and membership to international study groups	1.1	2 290 000	1 900 000	2 390 000	1 905 227	2 101 149,00	2 138 581,82	112,56 %
02 02 02 02	Completing and supplementing the work on the programme for enterprise and entrepreneurship, particularly for small and medium-sized enterprises (SMEs)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	140 844,43	
	<i>Article 02 02 02 — Subtotal</i>		2 290 000	1 900 000	2 390 000	1 905 227	2 101 149,00	2 279 426,25	119,97 %
02 02 03	Improving the business environment for small and medium-sized enterprises (SMEs)								
02 02 03 01	Pilot project — Consolidation of the internal market — Pilot project for cooperation and cluster-building among small and medium-sized enterprises (SMEs)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
02 02 03 02	Preparatory action — Support for small and medium-sized enterprises (SMEs) in the new financial environment	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

02 02 03 04	Pilot Project — Erasmus for Young Entrepreneurs	1.1	p.m.	p.m.	p.m.	p.m.	0,—	77 234,88		
02 02 03 05	Preparatory action — Erasmus for Young Entrepreneurs	1.1	p.m.	1 500 000	p.m.	3 930 000	4 961 947,47	3 229 846,52	215,32 %	
02 02 03 06	Preparatory action — Harmonised e-business processes and standards between European small and medium-sized enterprises (SMEs) of interrelated industry sectors	1.1	p.m.	p.m.	p.m.	p.m.	0,—	668 000,00		
	<i>Article 02 02 03 — Subtotal</i>		p.m.	1 500 000	p.m.	3 930 000	4 961 947,47	3 975 081,40	265,01 %	
02 02 04	Small Business Act	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—		
02 02 07	Pilot project — Actions in connection with the textile and footwear sector	1.1	p.m.	p.m.	p.m.	632 000	0,—	339 979,50		
02 02 08	Action related to tourism									
02 02 08 01	Preparatory action — European Destinations of Excellence	1.1	p.m.	p.m.	p.m.	1 036 000	0,—	1 207 204,38		
02 02 08 02	Preparatory action — Sustainable tourism	1.1	p.m.	p.m.	p.m.	700 000	999 085,68	479 063,99		
02 02 08 03	Preparatory action — Social tourism in Europe	1.1	p.m.	700 000	p.m.	921 000	1 436 168,27	575 847,58	82,26 %	
02 02 08 04	Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products	1.1	p.m.	520 000	2 000 000	1 000 000				
02 02 08 05	Preparatory action — Tourism and accessibility for all	1.1	p.m.	500 000	1 000 000	500 000				
	<i>Article 02 02 08 — Subtotal</i>		p.m.	1 720 000	3 000 000	4 157 000	2 435 253,95	2 262 115,95	131,52 %	
02 02 09	Preparatory action — The European Union assuming its role in a globalised world	1.1	p.m.	p.m.	p.m.	p.m.	0,—	751 511,94		
02 02 10	Preparatory action — Euromed innovation entrepreneurs for change	1.1	p.m.	500 000	2 000 000	1 000 000				
02 02 11	Preparatory action — GMES operational services	1.1	p.m.	1 350 000	p.m.	2 500 000	0,—	1 225 721,21	90,79 %	
02 02 12	Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union	1.1	p.m.	286 000	p.m.	600 000	1 428 690,00	0,—		
02 02 13	Preparatory action — Opportunities for internationalisation of small and medium-sized enterprises (SMEs)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	701 980,01		
02 02 15	European Earth monitoring programme (GMES)	1.1	55 000 000	52 000 000	39 000 000	32 207 413	9 000 000,00	4 284 000,00	8,24 %	
02 02 16	Pilot project — European rare earth competency network	1.1	p.m.	300 000	1 000 000	500 000				
02 02 17	Pilot project — Development of the European 'Creative Districts'	3.2	p.m.	500 000	1 000 000	500 000				
	Chapter 02 02 — Total			213 790 000	215 056 000	204 490 000	121 146 802	177 584 367,46	186 637 459,84	86,79 %

Article 02 02 01 — Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
156 500 000	155 000 000	156 100 000	73 215 162	157 657 327,04	170 817 643,58

Remarks

The aim of this appropriation is to foster the competitiveness of enterprises and in particular small and medium-sized enterprises (SMEs), to promote innovation, including eco-innovation, and to support enterprise and innovation-related economic and administrative reform.

The implemented measures shall be, in particular:

- networks bringing together a variety of stakeholders,
- market replication, projects and other measures to support the take-up of innovation,
- policy analyses, development and coordination with participating countries,
- information sharing, dissemination and awareness raising,
- support to joint actions of Member States or regions,

and other measures encompassed in the Competitiveness and Innovation Framework Programme.

The Union will provide support to activities such as the Enterprise Europe Network, innovation and entrepreneurship promotion. It will also support eco-innovation projects concerned with the first applications or market replication of innovative or eco-innovative techniques, products or practices of Union relevance which have already been technically demonstrated with success but, owing to residual risk, have not yet significantly penetrated the market. These will be designed to promote their broader utilisation within the participating countries and facilitate their market uptake.

As the ‘Erasmus for Young Entrepreneurs’ preparatory action, expires in 2011 and will be integrated in the new multiannual financial framework only as of 2014, its continuation needs financing for the years 2012 and 2013. The programme aims at fostering European entrepreneurship, the sharing of knowledge and best practices as well as the creation of valuable networks and partnerships. Part of this appropriation should be used to ensure the smooth functioning and continuation of ‘Erasmus for Young Entrepreneurs’ through the CIP’s Entrepreneurship and Innovation Programme until the new financial framework is put in place.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The proposed action aims at developing and testing innovation support services for European knowledge-based start-up companies which make use of the extended networks of European expatriates in science and business in the Silicon Valley. Young companies shall thus realise faster market access and growth overseas while creating high-value added jobs in Europe. This requires coordinated action of European innovation support providers. The action shall therefore ultimately explore the feasibility of a joint ‘European Innovation Centre’ in an innovation hot spot. This centre shall link official representations (chambers of commerce, consulates and industry representations), innovation support providers for European start-ups with European entrepreneurs and scientists that chose to live and work in the Silicon Valley for better coordinated support to potential high-growth companies.

Target groups

Central to this action is the development and piloting of novel services which facilitate fast growth and access to capital for young knowledge-based companies by combining the networks of European expatriate entrepreneurs and researchers in the Silicon Valley with the services of regional / national innovation support agencies in Europe.

One knowledge based young company from each Member State shall be invited to test the services, to establish own networks in the Silicon Valley ecosystem and to feed-back experience and advice on the future design of such services.

Innovation agencies in the Member States are invited to select the young companies and to accompany the project contributing their expertise in the support of young companies. Agencies with offices in the Silicon Valley shall be invited to contribute to the design and provision of services in particular the provision of office space for the European young companies.

Networks of expatriate entrepreneurs and researchers³ shall be invited to contribute to the training and networking of European young companies and co-design innovation support services targeted at young knowledge-based companies.

Proposed activities

(1) Two workshops or conferences (one in the United States, one in Europe) which bring together European innovation support providers with expatriate scientists or entrepreneurs to further develop an approach for a joint delivery of support to young companies with growth potential.

(2) An accompanying study evaluating the expectations and experience of knowledge-based young companies as well as of expat networks participating.

³Examples of such networks present in the Silicon Valley are the German-American Business Association (GABA), Interfrench, Business Association Italy-America (BAIA), the Silicon Vikings and others.

(3) The design and delivery of specific support services for a group of 27 knowledge-based young companies with growth potential from all Member States. These services shall include the organisation of sector specific networking and training events in the Silicon Valley.

(4) A final conference with stakeholders from the European Parliament, the Commission services, young companies benefiting from pilot action, representatives of the expatriates' networks and innovation support agencies.

(5) Specific communication actions related to the action.

A suitable platform for the proposed action is the ProInno Europe/Europe Innova initiative established by the Directorate-General for Enterprise and Industry to facilitate policy development and joint service provision of regional and national innovation agencies.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

In addition, part of this appropriation is intended to finance a Young Innovators Mobility (YIM) action. It is generally recognised that professional mobility is essential to the development of a versatile workforce, to a sense of European citizenship, and to reinforcing Europe's competitiveness. Innovative ideas should not stop at national borders, they should grow through cross-fertilisation, testing and validation within the widest European pool of talent, facilities, infrastructure and financing. Just as European students benefit from the mobility experience of the Erasmus programme, young researchers from the Marie Curie actions, and young entrepreneurs from Erasmus for Entrepreneurs, young innovators too deserve the opportunity for cross-border mobility to the benefit of innovation in Europe. Existing mobility programmes do not match the scope covered by the YIM action, which focuses on the Innovation process of developing new early-stage ideas into demonstrators. For instance, this project differentiates itself from Erasmus for Young Entrepreneurs which is mainly a business exchange programme focussed on the post-innovation stage, enabling new entrepreneurs to learn or improve their business-related skills. By combining the benefits of mobility, the need to narrow the innovation gap and the need to change the mindset to promote innovation, the proposal for a YIM action constitutes a concrete step towards the implementation of the Europe 2020 Strategy for growth and jobs and the 'Innovation Union' as well as 'Youth on the Move' flagship initiatives in particular.

The YIM action aims to support a minimum of 100 young innovators. YIM would operate as a borderless mobility and innovation residency programme, enabling young (25 to 36 years old) and potential innovators (18 to 24 years old) to work on their own early-stage idea as a 'young innovator in residence' at a host organisation which could be a large or small company, start-up, laboratory, university, institute, government agency or NGO.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Article 02 02 02 — Supplementing the work on competitiveness, innovation and entrepreneurship

Item 02 02 02 01 — Support to the EU-Japan Centre for Industrial Cooperation and membership to international study groups

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 290 000	1 900 000	2 390 000	1 905 227	2 101 149,00	2 138 581,82

Remarks

This appropriation is intended to cover:

- Union participation in the EU-Japan Centre for Industrial Cooperation,
- Union participation in several international study groups,
- the clearance of commitments made under former Item 02 02 01 01.

Legal basis

Council Decision 91/179/EEC of 25 March 1991 on acceptance of the terms of reference of the International Copper Study Group (OJ L 89, 10.4.1991, p. 39).

Council Decision 91/537/EEC of 14 October 1991 on acceptance of the terms of reference of the International Nickel Study Group (OJ L 293, 24.10.1991, p. 23).

Council Decision 92/278/EEC of 18 May 1992 confirming the consolidation of the EC-Japan Centre for Industrial Cooperation (OJ L 144, 26.5.1992, p. 19).

Council Decision 96/413/EC of 25 June 1996 on the implementation of a Community action programme to strengthen the competitiveness of European industry (OJ L 167, 6.7.1996, p. 55).

Council Decision 2001/221/EC of 12 March 2001 concerning the participation of the Community in the International Lead and Zinc Study Group (OJ L 82, 22.3.2001, p. 21).

Council Decision 2002/651/EC of 22 July 2002 concerning the participation of the Community in the International Rubber Study Group (OJ L 215, 10.8.2002, p. 13).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Commission Decision 2006/77/EC of 23 December 2005 setting up a High Level Group on Competitiveness, Energy and the Environment (OJ L 36, 8.2.2006, p. 43).

Item 02 02 02 02 — Completing and supplementing the work on the programme for enterprise and entrepreneurship, particularly for small and medium-sized enterprises (SMEs)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	140 844,43

Remarks

This appropriation is intended to cover the clearance of commitments made under the previous Multiannual Programme for Enterprise and Entrepreneurship, particularly for small and medium-sized enterprises.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 89/490/EEC of 28 July 1989 on the improvement of the business environment and the promotion of the development of enterprises, and in particular small and medium-sized enterprises, in the Community (OJ L 239, 16.8.1989, p. 33).

Council Decision 91/319/EEC of 18 June 1991 revising the programme for the improvement of the business environment and the promotion of the development of enterprises, and in particular small and medium-sized enterprises, in the Community (OJ L 175, 4.7.1991, p. 32).

Council Decision 93/379/EEC of 14 June 1993 on a multiannual programme of Community measures to intensify the priority areas and to ensure the continuity and consolidation of policy for enterprise, in particular small and medium-sized enterprises, in the Community (OJ L 161, 2.7.1993, p. 68).

Council Decision 97/15/EC of 9 December 1996 on a third multiannual programme for small and medium-sized enterprises (SMEs)

in the European Union (1997 to 2000) (OJ L 6, 10.1.1997, p. 25).

Council Decision 2000/819/EC of 20 December 2000 on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 333, 29.12.2000, p. 84).

Decision No 593/2004/EC of the European Parliament and of the Council of 21 July 2004 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 268, 16.8.2004, p. 3).

Decision No 1776/2005/EC of the European Parliament and of the Council of 28 September 2005 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 289, 3.11.2005, p. 14).

Article 02 02 03 — Improving the business environment for small and medium-sized enterprises (SMEs)

Item 02 02 03 01 — Pilot project — Consolidation of the internal market — Pilot project for cooperation and cluster-building among small and medium-sized enterprises (SMEs)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover former contractual commitments related to this pilot project which is intended to promote actions in the field of cooperation and cluster-building among enterprises within the cross-border regions between old and new Member States.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 02 — Preparatory action — Support for small and medium-sized enterprises (SMEs) in the new financial environment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover former contractual commitments related to this preparatory action whose aim is to assist credit institutions to develop their credit operations vis-à-vis small and medium-sized enterprises.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 04 — Pilot Project — Erasmus for Young Entrepreneurs

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	77 234,88

Remarks

This appropriation is intended to cover an action ensuring that experience is exchanged and reinforced at European level for the benefit of young entrepreneurs and potential young entrepreneurs by means of stays in another country within small and medium-sized enterprises in key or complementary sectors. The action should be based on preliminary analysis of the demand (i.e. young entrepreneurs and would-be entrepreneurs) and supply side (i.e. small and medium-sized enterprises) exploring existing needs. The traineeships for young entrepreneurs should seek to foster the pooling of experience and enhance the development, validation and exploitation of business ideas. It should promote the creation of networks among young entrepreneurs beyond borders and encourage the creation of partnerships allowing knowledge sharing in key sectors and increased internationalisation of companies. Provision should be made for ancillary activities (training and information in European contracts and commercial law, the common market, European standardisation, European support tools, as well as the local business environment). The intermediary organisations (chambers of commerce and industry, business incubators and other entities active in business promotion and support) are in charge of implementing the programme. A support office, chosen via a competitive call for proposals open for Europe-wide entities active in the field of business support, is in charge of the coordination, monitoring and support of the different intermediary organisations, being also the first contact point for questions and technical assistance for applicants. This support office is also in charge of the promotion of the programme at European level and of the design and maintenance of the programme's website.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 05 — Preparatory action — Erasmus for Young Entrepreneurs

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 500 000	p.m.	3 930 000	4 961 947,47	3 229 846,52

Remarks

This appropriation is intended to cover an action in the form of a European mobility programme for future and newly established entrepreneurs. The programme should help new Union entrepreneurs to enrich their experiences, learning and networking by spending periods in enterprises run by experienced entrepreneurs in other Member States. As such the programme will contribute to enhance entrepreneurship, the creation of networks among creative entrepreneurs beyond borders, encourage the creation of partnerships allowing knowledge and experience sharing and make European small and medium-sized enterprises more innovative and competitive on international markets.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 06 — Preparatory action — Harmonised e-business processes and standards between European small and medium-sized enterprises (SMEs) of interrelated industry sectors

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	668 000,00

Remarks

In order to answer the needs of the Union's economy, this preparatory action aims to promote targeted actions of European scope for harmonising business processes and models, as well as data exchange architecture and standards between players along the supply chain of one or more interrelated specific industry sectors. The choice of the sectors will be made by the Member States representatives through the eBusiness Support Network for small and medium-sized enterprises with the involvement of the European Parliament.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 04 — Small Business Act

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to finance the 'Small Business Act' adopted in 2008. Small and medium-sized enterprises must be helped to access financing and participate in European initiatives. Their innovation capacities must be boosted as well.

Article 02 02 07 — Pilot project — Actions in connection with the textile and footwear sector

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	632 000	0,—	339 979,50

Remarks

This appropriation is intended to cover an assessment of the situation in the textile and footwear sector in the context of the abolition of the quota system with a view to the creation of a Union programme for the sector, in particular for the less-favoured regions, in order to support research and innovation, restructuring, vocational training and small and medium-sized enterprises.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 08 — Action related to tourism

Item 02 02 08 01 — Preparatory action — European Destinations of Excellence

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 036 000	0,—	1 207 204,38

Remarks

The aim of this initiative is to draw attention to the value, diversity and shared characteristics of European tourist destinations and to

promote both within Europe and in third countries the European destinations where the economic growth objective is pursued in such a way as to ensure the social, cultural and environmental sustainability of tourism. The measure will also help European citizens to become better acquainted with one another.

The project's aims are to:

- enhance visibility of the emerging European tourist destinations of excellence, especially the lesser known,
- create awareness of Europe's tourist diversity and quality,
- promote all European countries and regions both within Europe and in the main third-country markets,
- help decongestion, combat seasonality, and rebalance the tourist flows towards the non-traditional destinations,
- present awards for sustainable forms of tourism,
- create a platform for the exchange of good practices at European level,
- promote networking between award-winning destinations which could persuade other destinations to adopt sustainable tourism development models.

Each year, destinations in the Member States will be designated European Destinations of Excellence according to the selected theme. Candidate countries will also be invited to participate in the process.

The project 'European Destinations of Excellence' aims to enhance visibility of the emerging European tourist destinations of excellence, especially the lesser known; to create awareness of Europe's tourist diversity and quality; to promote all European countries and regions; to help decongestion, combat seasonality and rebalance the tourist flows towards the non-traditional destinations; to present awards for sustainable forms of tourism; to create a platform for the exchange of good practices at European level; and to promote networking between award-winning destinations which could persuade other destinations to adopt sustainable tourism development models.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 08 02 — Preparatory action — Sustainable tourism

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	700 000	999 085,68	479 063,99

Remarks

Part of this appropriation may be used to promote environmentally sustainable tourism in the Danube river basin.

Following the entry into force of the Lisbon Treaty, which gives the Union new competencies in the tourism domain in order to complement Member States' actions, and the Commission Communication of 30 June 2010 entitled 'Europe, the world's No 1 tourist destination — a new political framework for tourism in Europe' (COM(2010) 352 final) a preparatory action has been launched to prepare the ground for future legislative initiatives to promote new European tourism routes based on European cultural heritage. These routes will take the form of theme-based cross-national tourism products which reflect a common European cultural heritage and local traditions. The Council of Europe and its 'European Cultural Routes' need to be supported as well as similar European (cultural routes) initiatives.

To this aim, it is required to better coordinate and cooperate with the Council of Europe in order to benefit from its long-standing experience and, thus, further developing and transforming the European Cultural Routes into comprehensive cross-national touristic packages.

The preparatory action has the following main objectives:

- raising awareness of the contribution of different cultures to a common European culture, through the understanding of Europe's history on the basis of its tangible, intangible and natural heritage,
- promoting the role of cultural tourism as a factor of sustainable economic development, European citizenship and intercultural dialog,

- promoting sustainable and responsible tourism within the Union and neighbouring countries,
- reinforcing the image and profile of Europe as a high-quality destination among European and third-country citizens,
- strengthening the capacity of tourism operators and small enterprises in remote and less known destinations to reach out to new publics, facilitating the exchange of experiences and their networking and clustering efforts,
- stimulating competitiveness and innovation of the tourism industry in the Union.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Regulation (EC) No 1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers' rights and obligations (OJ L 315, 3.12.2007, p. 14), and in particular Article 5 thereof.

Article 195 of the Treaty on the Functioning of the European Union.

Item 02 02 08 03 — Preparatory action — Social tourism in Europe

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	700 000	p.m.	921 000	1 436 168,27	575 847,58

Remarks

Society is facing major change regarding industry and recreation. The advent of new family structures and emergence of single-person households, increased free time, increased life expectancy and population ageing in general, and increasing insecurity for young people, whether or not they are in employment, are all factors profoundly altering the tourism environment. Ensuring universal access to holidays means, at Union level, taking account of the specific features of European society.

The Union must therefore equip itself to realise that objective. One response is based on developing social tourism.

Social tourism is known, firstly, for what it seeks to do: allowing as many people as possible to go on holiday. Accordingly, it aids mobility. Social tourism also has another purpose, however, which, to date, has been inadequately evaluated: regional development — many tourism association facilities are located in the countryside or in highland areas — and local development. Social tourism is therefore the proof that there is indeed an intermediate sector between the 'leisure market' and the non-solvent economy. It proves that economic significance is not incompatible with accessibility for the greatest number.

Social desegregation must therefore be combined with local development. In promoting access for groups for which going on holiday has progressively been made a difficult or even impossible undertaking, social tourism strengthens the tourism industry's earnings capacity. It enables off-season tourism to be developed, particularly in regions where tourism is highly seasonal. Accordingly, social tourism encourages the creation of longer-lasting jobs in the tourism sector by making it possible to extend them beyond the tourist season.

Implementing this project (named Calypso) is a genuine opportunity to promote partnerships, spurred on by the Commission, between the public and private sectors and the social economy. Through exchanges between European citizens and, in particular, the creation of synergies, this project makes a very great contribution towards establishing European citizenship. It should be pointed out in this connection that nearly 40 % of all trips for more than four nights are made abroad.

The sector is therefore important in terms both of the economic impact resulting from the number of jobs and of civic resources.

The beneficiaries of this measure could therefore be private firms operating in the tourism sector and carrying out the tasks described above, social tourism associations, works councils, transport firms, local and regional authority tourism associations and solidarity associations, but also commercial operators too.

The measures could involve:

- cataloguing and disseminating measures helping to bring about deseasonalisation as a result of the social policy function of tourism,
- devising arrangements enabling particular target groups (e.g. senior citizens, young people and families in difficult social circumstances) to go on holiday on the basis of accommodation offers by public authorities (national, regional or local), charitable organisations or any not-for-profit association.

The Calypso 2011 programme objectives are based on the measures identified within the 2009 study (through a call for tender) following the discussions between the Commission, the Member States and the stakeholders during several Calypso meetings in 2010, which are to:

- catalogue the main (most representative) good practices as a means to encourage tourism activity particularly during the off-peak season, thus generating employment opportunities when tourism demand is traditionally low,
- identify the existing measures at European and national level allowing the exchanges of persons from the following target groups: senior citizens, young people, citizens with disabilities and families facing difficult social circumstances,
- examine the difficulties related to such exchanges whilst proposing the most appropriate solutions,
- propose one or several mechanisms in the tourist low season enabling particular target groups (senior citizens, young people, citizens with disabilities and families facing difficult social circumstances) to go on holiday in other Member States/candidate countries on the basis of themed programmes and accommodation offers coordinated by Member States/candidate countries authorities (national, regional or local public authorities), on the basis of initiatives from stakeholders that include municipalities, charitable organisations, parishes, unions, social partners, cooperatives or any not-for-profit association.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 08 04 — Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	520 000	2 000 000	1 000 000	

Remarks

Under the Treaty of Lisbon, the Union was granted competence in the field of tourism for the first time. This preparatory action will seek to support cross-border thematic tourism products which reflect in particular common European cultural and industrial heritage and local traditions and will seek to draw on previous actions in this area and on the consolidated experience of other international partners and organisations, such as the Council of Europe, the United Nations World Tourism Organization (UNWTO), the European Travel Commission (ETC), etc.

In particular, to help encourage the European tourism sector to become more competitive, this preparatory action will promote the diversification of the supply of tourism products. There is excellent potential for growth in a number of transnational thematic tourism products and services as, for example, in cultural and industrial itineraries crossing several regions or Member States. Those European and transnational tourism products should also be promoted in third countries in close cooperation with the ETC, in order to enhance the image of Europe as a unique destination on long-haul markets.

The preparatory action will have the following main objectives:

- by funding cross-border tourism projects, to help improve the quality of tourism products and destinations as a whole across the Union;
- to further develop cultural products and tourism as an integral part of a sustainable economy and to support regional economies;
- to promote tourism in regions in reconversion, in order to boost employment and growth in these regions;
- to establish a network of regional, national and Union-level stakeholders and decision-makers, in particular in the fields of cultural and industrial tourism;
- to promote the value of cultural products and cultural tourism within Europe and to reinforce the image of Europe as the number one destination for tourists worldwide;
- to support transnational cultural or industrial themes and products which help to foster a greater sense of European identity.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the

Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 08 05 — Preparatory action — Tourism and accessibility for all

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	500 000	1 000 000	500 000	

Remarks

Following the entry into force of the Lisbon Treaty, tourism has been added to the competences given to the Union, which can coordinate and complement Member States' actions in this field. In order to foster this new important task of the Union, a preparatory action, to be launched in 2012, aimed at preparing the ground for future initiatives in the field of tourism and accessibility has been proposed. Its main aim is to develop a better awareness of accessibility in tourism, with a special focus on the disability issue and special needs of certain categories of people. In fact, despite the UN Convention on the Rights of Persons with Disabilities, signed by all Member States, a large number of disabled persons are still facing problems in accessing services in the tourism and transport field.

The preparatory action will have the following main objectives:

- raising public awareness, including through information campaigns, to the accessibility of tourism products and services, as well as improving dialogue and collaboration between organisations representing disabled persons, people with special needs in general and the tourism industry, in order to favour a more inclusive society and guarantee high quality free movement of people in Europe;
- contributing to facilitating the development of specific training for staff on disability awareness, in particular in the field of fire prevention and safety of accommodation in general;
- developing skilled, trained and well-informed citizens and professionals on the concept of hospitality in relation to accessibility, including through close cooperation and synergies with universities and schools;
- incentivising and rewarding European destinations which make accessibility a key priority in their promotional offers;
- incentivising better use of innovation in order to improve accessibility in tourism services for all;
- incentivising adaptation of tourist products to the needs of persons with reduced mobility and people with special needs in general;
- contributing to the creation of a favourable and accessible environment for disabled persons, persons with reduced mobility and people with special needs in all fields, notably in transport (mobility), accommodation, catering and tourism services in general;
- increasing campaigns and information actions with regard to the rights of persons with reduced mobility, and people with special needs when travelling abroad, in order to guarantee that customers have better information and better access;
- enhancing cross-border cooperation to develop accessible tourism within the industry in order to guarantee the exercise of rights for both European citizens and third-country visitors, in particular those with disabilities and special needs;
- contributing to the development, in the long term, of common minimum accessibility standards, based on quality, for all fields related to tourism and addressed to all citizens, including disabled persons, persons with reduced mobility and people with special needs.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 09 — Preparatory action — The European Union assuming its role in a globalised world

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	751 511,94

Remarks

This appropriation is intended to cover former contractual commitments related to this preparatory action whose aim is to finance initiatives to respond positively to the challenge of globalisation and to strengthen the capacity of the Union in key areas such as research, innovation, imagination, innovative measures for small and medium-sized enterprises, promotion of European standards and conformity marking, lifelong learning and education, as well as measures facilitating the implementation of Directive 2006/123/EC of the European Parliament and of the Council of 12 December 2006 on services in the internal market (OJ L 376, 27.12.2006, p. 36).

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 10 — Preparatory action — Euromed innovation entrepreneurs for change

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	500 000	2 000 000	1 000 000	

Remarks

This preparatory action aims to increase the growth and competitiveness of young entrepreneurs, investment and innovation networks from Europe and four associated Mediterranean countries (Egypt, Lebanon, Tunisia and Morocco), to develop leaders in high-potential markets.

Capacity building:

- training on the internationalisation of SMEs will be performed to empower more than 150 entrepreneurs and 500 incubators, investors or entrepreneurs networks followed up by online conferences ('webinars') and technical assistance — two specific mentoring networks will be created (to engage senior entrepreneurs to help other entrepreneurs): one women entrepreneurs mentoring programme and one involving entrepreneurs from the Mediterranean diasporas in Europe. Business development actions in three key sectors: IT/media, energy-environment and agro-food;
- business-to-business meetings during business events to connect the best start-ups with potential clients and investors with a follow-up on their presence;
- investment workshops will be held during major international fairs not only to connect start-ups and innovation clusters with top customers, but also to promote the Euro-Mediterranean integrated offer on innovation and build an international network of ambassadors with the support of Mediterranean diasporas;
- actions on access to finance will be developed to improve the impact and efficiency of European funding to Mediterranean SMEs;
- business-to-business meetings will be planned to connect the best start-ups with potential investors;
- tools will be developed to identify the risks and opportunities in the Mediterranean region for European investors;
- investment workshops will be organised putting together European and Mediterranean entrepreneurs, investors and coaching networks (incubators, senior entrepreneurs) to improve cost and general efficiency.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 11 — Preparatory action — GMES operational services

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 350 000	p.m.	2 500 000	0,—	1 225 721,21

Remarks

This appropriation is intended to cover former contractual commitments related to this preparatory action. In line with Article 49(6)(b) of the Financial Regulation, this preparatory action has been followed by the adoption on 22 September 2010 of the European Earth monitoring programme (see Article 02 02 15).

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 12 — Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	286 000	p.m.	600 000	1 428 690,00	0,—

Remarks

The development of energy-saving techniques and renewable energy use is an issue of major importance to the building industry. With 2,5 million firms, a turnover of more than EUR 1 200 billion and a workforce of 12 million people, 9,7 million of whom are waged employees, the building industry generates 10 % of the Union's GDP. 99 % of building firms are small and medium-sized enterprises (with fewer than 250 employees), which generate 78 % of the industry's turnover. Increased use of eco-technologies and eco-innovations in the building trade is, however, dependent on a combination of factors, such as additional cost, product availability, consumer demand and skill levels within firms.

Another major problem hampering more widespread use of these new technologies is access to insurance by building firms, particularly the smaller firms which, in Europe, make up more than 90 % of the building industry. Owing in particular to their size and financial base, small firms find it difficult to insure construction or renovation work involving the use of new technologies (solar, photovoltaic, geothermal, etc.). In practice, this acts as a barrier to the spread of eco-technologies by small firms, which account for more than 60 % of production in Europe. The difficulties which self-employed builders and small building firms experience in obtaining insurance covering new eco-technologies is clearly acting as a barrier to more widespread use of those technologies.

The Union therefore needs to introduce an instrument that will provide effective support for small building firms, which are of central importance to the attainment of the Union's targets for the use of renewable energy (20 % of gross internal consumption by 2020).

The aim of this project is therefore to create a Union financial instrument that will, over a limited period, make it easier for small building firms to gain access to construction insurance when using eco-technologies in building projects.

The budget heading, which could come under the operational management of the EIF, should be subject to strict conditions for the disbursement of funds for specific insurance companies in order to facilitate the provision of insurance cover to building firms using eco-technologies. The project would therefore be based on the same formula as existing Union schemes to foster the development of small and medium-sized enterprises and innovation (risk capital/loan guarantees).

Small firms would not, of course, receive Union funding directly; instead support would be provided through insurance cover, supplementary insurance cover or a reinsurance scheme. The scheme to facilitate access to construction insurance by small firms could be subject to various provisos, such as:

- only firms below a given size and turnover would be eligible,
- only specific types of work (involving eco-technologies) and specific contracts or projects of a limited size would be covered,
- only limited or flat-rate cover for claims would be provided.

The building industry is a key partner in the Union's efforts to reduce greenhouse gas emissions and increase renewable energies' share of overall consumption and is currently playing an active part in the development, use and promotion of eco-technologies (solar, photovoltaic, geothermal, etc.).

However, this process is being hampered by the difficulties experienced by small companies and tradesmen working in the sector in obtaining the insurance cover they require at a price they can afford, owing to wariness on the part of insurers caused by the innovative nature of these technologies and a lack of understanding of the risks they entail.

The market survey initiated in 2008 under the earlier ELIOS project confirmed the complexity of the insurance systems currently in place in the Member States and the lack of a common approach to the assessment of the risks involved in using these new technologies.

This pilot project therefore seeks to take this process further by, in tandem to the start made by the Union authorities on establishing consistency between the various insurance systems in place in the Union, as envisaged in the earlier survey, testing out a scheme facilitating access to insurance cover, based on the concept already used in connection with European Investment Bank and European Investment Fund loan guarantee instruments and mutual guarantee instruments for small and medium-sized enterprises. The aim of the project is to demonstrate that it is possible to insure small companies that use eco-technologies at no extra cost.

This test would take the process beyond the comparative study stage into an operational stage leading to the convergence of national systems and to greater allowance being made for the needs of small companies working with eco-technologies.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 13 — Preparatory action — Opportunities for internationalisation of small and medium-sized enterprises (SMEs)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	701 980,01

Remarks

The global economy is witnessing a qualitatively new form of international economic relations resulting in new opportunities for SMEs in an international environment. Some of the reasons for seeking new markets might be:

- local markets are smaller in scope,
- national markets stagnate at certain times,
- access to international markets in pursuing high-growth objectives.

In the context of the Small Business Act (SBA) providing for business support programmes and transnational research, SMEs should be involved in transnational projects to take advantage of the growth in markets outside the Union. The approach might lead to potential enhancing of the skills and innovative strategies giving a competitive edge to European SMEs. This preparatory action is aimed at testing the involvement of SMEs in such actions and providing the necessary information in order to develop a strategy at a later stage. The preparatory action will involve a detailed feasibility study to assess the market potential and appropriate business support measures for SMEs in key international markets. The study will examine the different options and tools available to better connect European SMEs to these markets, and propose country-specific measures to facilitate access to these markets by European SMEs in the future.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 15 — European Earth monitoring programme (GMES)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
55 000 000	52 000 000	39 000 000	32 207 413	9 000 000,00	4 284 000,00

Remarks

The aim of this appropriation is to:

- enable initial operations of GMES services, tailored to the needs of users,
- contribute to ensuring availability of the observation infrastructure necessary to enable the GMES services,
- create opportunities for increased private sector usage of information sources, thereby facilitating innovation by value-adding service providers.

In particular, development of Earth monitoring-based services plays a key role in enhancing competitiveness and innovation in industries in this sector and in the downstream markets. Sustainable provision of Earth monitoring-related services in Europe still requires consistent public intervention. This is not only because of market failures in answering diverse public needs but also because the downstream market is a non-mature market relying heavily on public funding and whose development has, until today, been significantly curbed by uncertainties about the affordability and long-term availability of the basic services and the data they build upon. Achievement of the specific objectives outlined above will therefore contribute to growth and job creation in an innovative sector, the downstream segment which is composed mainly of small and medium-sized enterprises. These services will facilitate access to key data required in policy formulation at Union, national, regional and local level in fields such as agriculture, forest monitoring, water management, transport, urban planning, climate change and many others. This appropriation also covers implementation of delegation agreements, including the operating costs incurred by entities to which the Commission delegates tasks for the GMES programme, pursuant to Article 4 of Regulation (EU) No 911/2010 and Article 54 of the Financial Regulation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

Legal basis

Regulation (EU) No 911/2010 of the European Parliament and of the Council of 22 September 2010 on the European Earth monitoring programme (GMES) and its initial operations (2011 to 2013) (OJ L 276, 20.10.2010, p. 1).

Reference acts

Commission Decision 2010/67/EU of 5 February 2010 setting up the GMES Partners Board (OJ L 35, 6.2.2010, p. 23).

Article 02 02 16 — Pilot project — European rare earth competency network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
p.m.	300 000	1 000 000	500 000		

Remarks

Rare earth elements have gained an increasing amount of political attention. These 17 elements are critical to European industry as

they are essential in the production of high-tech, low-carbon goods such as electric vehicles and energy-efficient light bulbs. They are also indispensable in the defence sector (laser, night-vision goggles, radar equipment, etc.). With demand steadily growing, supply is struggling to keep up, particularly with regard to the heavy rare earths. This situation is worsened by the fact that rare earths are currently difficult to substitute or recycle. In this context, in order to help increase supply security of these much-coveted rare earths, substitution and recycling should become one of the focal points.

This pilot project aims to establish a European rare earth competency network that would bring all of the relevant European stakeholders (academia and research institutions, industry, policy-makers, think tanks, etc.) together to exchange best practice, increase understanding of the special properties of rare earths, undertake research and promote their recyclability and substitution. Bringing all of the different stakeholders together within such a network would also be particularly important as it could help disseminate new technologies and decrease the time to market.

The network would meet several times a year and would create a number of working packages under several working groups focusing on the different issues relating to rare earths (i.e. recycling, substitution, refining capacities, mining, etc.).

The network can be modelled on the ‘Concerted European Action on Magnets’ (CEAM), which was founded in 1985 and which pioneered Europe-wide cooperation, involving more than 80 industrial and academic groups pooling their expertise and knowledge, in order to further the understanding of rare earth iron permanent magnets. Many other countries are already investing in the next-generation of recycling technologies to recover rare earths. Japan, for example, has already put forth a USD 1 000 000 000 budget for a strategy in cooperation with industry on rare earths designed to increase supply security inter alia through ambitious recycling. The Japanese government has also put down a target of reducing rare earth imports by one-third in the upcoming years, and the Japanese National Institute for Materials Science, a government-affiliated research group, claims that used electronics in Japan hold an estimated 272 000 tonnes of rare earth elements. Importing roughly 30 000 tonnes a year, this would equal up to 10 years of Japan’s imports. As such, a European rare earth competency network would ensure that the Union takes this issue seriously and endeavours to increase its own understanding of these resources in order to increase particularly their recycling and substitution. This would ensure that the Union is not left behind in the technological race for mastering these important minerals.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 17 — Pilot project — Development of the European ‘Creative Districts’

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	500 000	1 000 000	500 000	

Remarks

Former article 15 04 51

‘Creative districts’ is an expression which seeks to merge the traditional meaning of ‘industrial district’ with the sense of ‘creative industries’ as defined in the Commission’s Green Paper on unlocking the potential of cultural and creative industries of 27 April 2010: a local territorial area, characterised by an intense concentration of medium, small and micro-enterprises characterised by their particular specialised production and the close linkage with the resident population, where their outputs, although mainly functional, have a cultural or creative dimension.

The ‘creative districts’ are industrial districts in which:

- products and connected services are deeply linked with the enhancement of creative potential of the involved human capital, via close linkage with products and connected services throughout the production process,
- products and connected services are characterised by their high added value,
- enterprises, civil society, educational system, and institutions have traditionally experienced and developed strong cohesion and interrelationship,
- both dimensions of aesthetics and working ethics meet in a continuous and dynamic dialectic, depending on economic needs of the social context to which they relate,
- the established unique ‘know-how’ expresses itself in ‘crafts of beauty’ — i.e. related to wood products, ceramics, leather manufacturing, etc. — and its long life is based on tradition and the chance of becoming a heritage,

— cultural, craft and manufacturing traditions need to find new forms of transmission so as to preserve the cultural and material heritage of excellence and to ensure sustainable economic and social development.

The project aims at realising a set of coordinated actions:

- definition of common parameters valuable in showing a specific culture and the common features of creative districts' products or services, through the research and the analysis of all experiences,
- creation of a network of European creative districts, in order to define the exchange of information and best practices,
- creation of a common brand to represent and communicate the originality of the creative districts and their 'crafts of beauty',
- development of innovative intervention plans focused on the protection of creative districts' products and services against the risk of counterfeiting,
- promotion of the partnership between creative districts in order to develop the exchange of young students and trainees within Member States to mix experiences and to value differences,
- enhancement of social cohesion and know-how communication between generations through innovative actions of exchange and sharing,
- strengthening of network building capability of creative districts, through the development of synergies between companies, institutions and social bodies of their working area.

European creative districts represent one of the clearest examples of the excellence of European productive culture. The development of human capital's creativity, high quality products and the strong interaction between all players involved — economic system, society and institutions — are their specific features.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SECTORAL POLICIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 03	Internal market for goods and sectoral policies								
02 03 01	Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation								
		1.1	19 300 000	14 500 000	18 550 000	14 334 567	18 942 745,92	16 837 309,34	116,12 %
02 03 03	European Chemicals Agency — Chemicals legislation								
02 03 03 01	European Chemicals Agency — Chemicals legislation — Contribution to Titles 1 and 2	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
02 03 03 02	European Chemicals Agency — Chemicals legislation — Contribution to Title 3	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 02 03 03 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
02 03 04	Standardisation and approximation of legislation								
02 03 04 01	Support to standardisation activities performed by CEN, Cenelec and ETSI	1.1	23 500 000	15 000 000	23 500 000	15 559 356	22 517 856,10	15 795 446,84	105,30 %
02 03 04 02	Support to organisations representing SMEs and societal stakeholders in standardisation activities	1.1	p.m.	p.m.					
	40 02 41		3 700 000	700 000					
	<i>Article 02 03 04 — Subtotal</i>		3 700 000	700 000					
	40 02 41		27 200 000	15 700 000	23 500 000	15 559 356	22 517 856,10	15 795 446,84	105,30 %

02 03 05	<i>Preparatory action — RECAP: recycling at local scale of internal plastic scrap created by major EU polymer converting regions</i>	2								
			p.m.	375 000	1 500 000	750 000				
			Chapter 02 03 — Total	42 800 000	29 875 000	43 550 000	30 643 923	41 460 602,02	32 632 756,18	109,23 %
			40 02 41	3 700 000	700 000					
	Total including reserves		46 500 000	30 575 000						

Article 02 03 01 — Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 300 000	14 500 000	18 550 000	14 334 567	18 942 745,92	16 837 309,34

Remarks

This appropriation is intended to cover expenditure on actions to improve the operation of the internal market:

- approximation of standards, and introduction of an information system for technical standards and regulations,
- financing of administrative and technical coordination and of cooperation between the notified bodies,
- examination of the rules notified by Member States and the EFTA States and translation of the draft technical regulations,
- application of Union legislation on medical devices, cosmetics, foodstuffs, textile products, medicinal products, chemicals, classification and labelling of substances and preparations, cars and safety, and the quality of the environment,
- greater sectoral approximation in the fields of application of the ‘new approach’ directives, especially the extension of the ‘new approach’ to other sectors,
- implementation measures for Regulation (EC) No 765/2008, both for the infrastructures and market surveillance,
- implementation measures for Regulation (EC) No 764/2008, for procedures relating to the application of certain national technical rules to products lawfully marketed in another Member State,
- implementation measures for Directive 2009/43/EC simplifying terms and conditions of transfers of defence-related products within the Union,
- organisation of partnerships with the Member States, support for administrative cooperation between the authorities responsible for implementing the legislation on the internal market and for market surveillance,
- grants in support of projects of Union interest undertaken by outside bodies,
- information and publicity measures, greater awareness of Union legislation,
- implementation of the strategic programme on the internal market and market monitoring,
- grants in support of the European Technical Approval Organisation (EOTA),
- grants to the Council of Europe in the framework of the Convention on the Elaboration of a European Pharmacopoeia,
- participation in the negotiation of agreements on mutual recognition and, under European agreements, support for the associate countries to allow them to adopt the *acquis* of the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Task resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Directive 76/768/EEC of 27 July 1976 on the approximation of the laws of the Member States relating to cosmetic products (OJ L 262, 27.9.1976, p. 169).

Council Directive 85/374/EEC of 25 July 1985 on the approximation of the laws, regulations and administrative provisions of the Member States concerning liability for defective products (OJ L 210, 7.8.1985, p. 29).

Council Directive 90/385/EEC of 20 June 1990 on the approximation of the laws of the Member States relating to active implantable medical devices (OJ L 189, 20.7.1990, p. 17).

Council Directive 91/477/EEC of 18 June 1991 on control of the acquisition and possession of weapons (OJ L 256, 13.9.1991, p. 51).

Council Decision (8300/92) of 21 September 1992 authorising the Commission to negotiate agreements between the Community and certain non-member countries on mutual recognition.

Council Directive 93/5/EEC of 25 February 1993 on assistance to the Commission and cooperation by the Member States in the scientific examination of questions relating to food (OJ L 52, 4.3.1993, p. 18).

Council Directive 93/7/EEC of 15 March 1993 on the return of cultural objects unlawfully removed from the territory of a Member State (OJ L 74, 27.3.1993, p. 74).

Council Regulation (EEC) No 793/93 of 23 March 1993 on the evaluation and control of the risks of existing substances (OJ L 84, 5.4.1993, p. 1).

Council Directive 93/15/EEC of 5 April 1993 on the harmonization of the provisions relating to the placing on the market and supervision of explosives for civil uses (OJ L 121, 15.5.1993, p. 20).

Council Directive 93/42/EEC of 14 June 1993 concerning medical devices (OJ L 169, 12.7.1993, p. 1).

Council Decision 93/465/EEC of 22 July 1993 concerning the modules for the various phases of the conformity assessment procedures and the rules for the affixing and use of the CE conformity marking, which are intended to be used in the technical harmonisation directives (OJ L 220, 22.7.1993, p. 23).

Council Decision 94/358/EC of 16 June 1994 accepting, on behalf of the European Community, the Convention on the elaboration of a European Pharmacopoeia (OJ L 158, 25.6.1994, p. 17).

Directive 96/100/EC of the European Parliament and of the Council of 17 February 1997 amending the Annex to Directive 93/7/EEC on the return of cultural objects unlawfully removed from the territory of a Member State (OJ L 60, 1.3.1997, p. 59).

Council Decision (8453/97) confirming the Article 113 Committee's interpretation of the Council decision of 21 September 1992 giving the Commission directives for the negotiation of European conformity assessment agreements.

Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 envisaging an information procedure in the field of the technical standards and regulations (OJ L 204, 21.7.1998, p. 37).

Directive 98/48/EC of the European Parliament and of the Council of 20 July 1998 amending Directive 98/34/EC laying down a procedure for the provision of information in the field of technical standards and regulations (OJ L 217, 5.8.1998, p. 18).

Directive 98/79/EC of the European Parliament and of the Council of 27 October 1998 on in vitro diagnostic medical devices (OJ L 331, 7.12.1998, p. 1).

Directives of the European Parliament and of the Council implementing the new approach in certain sectors such as machinery, electromagnetic compatibility, radio equipment and telecommunications terminal equipment, low tension electrical equipment, personal protective equipment, lifts, explosive atmospheres, medical devices, toys, pressure equipment, gas appliances, construction, the interoperability of the rail system, recreational craft, tyres, motor vehicle emissions, explosives, pyrotechnic articles, etc.

Council Directives adopted for the removal of technical barriers to trade in areas not covered by the 'new approach'.

Council Regulation (EC) No 2679/98 of 7 December 1998 on the functioning of the internal market in relation to the free movement of goods among the Member States (OJ L 337, 12.12.1998, p. 8).

Directive 1999/4/EC of the European Parliament and of the Council of 22 February 1999 relating to coffee extracts and chicory extracts (OJ L 66, 13.3.1999, p. 26).

Council Directive 1999/36/EC of 29 April 1999 on transportable pressure equipment (OJ L 138, 1.6.1999, p. 20).

Directive 1999/45/EC of the European Parliament and the Council of 31 May 1999 on the approximation of the laws, regulations and administrative provisions of the Member States relating to the classification, packaging and labelling of dangerous preparations (OJ L 200, 30.7.1999, p. 1).

Directive 2000/9/EC of the European Parliament and of the Council of 20 March 2000 relating to cableway installations designed to carry persons (OJ L 106, 3.5.2000, p. 21).

Directive 2000/14/EC of the European Parliament and of the Council of 8 May 2000 on the approximation of the laws of the Member States relating to the noise emission in the environment by equipment for use outdoors (OJ L 162, 3.7.2000, p. 1).

Directive 2000/35/EC of the European Parliament and of the Council of 29 June 2000 on combating late payment in commercial transactions (OJ L 200, 8.8.2000, p. 35).

Council Regulation (EC) No 2580/2000 of 20 November 2000 amending Regulation (EC) No 3448/93 laying down the trade arrangements applicable to certain goods resulting from the processing of agricultural products (OJ L 298, 25.11.2000, p. 5).

Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products (OJ L 311, 28.11.2001, p. 1).

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Directive 2002/95/EC of the European Parliament and of the Council of 27 January 2003 on the restriction of the use of certain hazardous substances in electrical and electronic equipment (OJ L 37, 13.2.2003, p. 19).

Directive 2002/96/EC of the European Parliament and of the Council of 27 January 2003 on waste electrical and electronic equipment (WEEE) (OJ L 37, 13.2.2003, p. 24).

Council Regulation (EC) No 1435/2003 of 22 July 2003 on the Statute for a European Cooperative Society (SCE) (OJ L 207, 18.8.2003, p. 1).

Regulation (EC) No 2003/2003 of the European Parliament and of the Council of 13 October 2003 relating to fertilisers (OJ L 304, 21.11.2003, p. 1).

Directive 2003/102/EC of the European Parliament and of the Council of 17 November 2003 relating to the protection of pedestrians and other vulnerable road users before and in the event of a collision with a motor vehicle and amending Council Directive 70/156/EEC (OJ L 321, 6.12.2003, p. 15).

Regulation (EC) No 273/2004 of the European Parliament and of the Council of 11 February 2004 on drug precursors (OJ L 47, 18.2.2004, p. 1).

Directive 2004/9/EC of the European Parliament and of the Council of 11 February 2004 on the inspection and verification of good laboratory practice (GLP) (codified version) (OJ L 50, 20.2.2004, p. 28).

Directive 2004/10/EC of the European Parliament and of the Council of 11 February 2004 on the harmonisation of laws, regulations and administrative provisions relating to the application of the principles of good laboratory practice and the verification of their applications for tests on chemical substances (codified version) (OJ L 50, 20.2.2004, p. 44).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Regulation (EC) No 648/2004 of the European Parliament and of the Council of 31 March 2004 on detergents (OJ L 104, 8.4.2004, p. 1).

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Directive 2007/23/EC of the European Parliament and of the Council of 23 May 2007 on the placing on the market of pyrotechnic articles (OJ L 154, 14.6.2007, p. 1).

Regulation (EC) No 764/2008 of the European Parliament and of the Council of 9 July 2008 laying down procedures relating to the application of certain national technical rules to products lawfully marketed in another Member State (OJ L 218, 13.8.2008, p. 21).

Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products (OJ L 218, 13.8.2008, p. 30).

Decision No 768/2008/EC of the European Parliament and of the Council of 9 July 2008 on a common framework for the marketing of products and repealing Council Decision 93/465/EEC (OJ L 218, 13.8.2008, p. 82).

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

Directive 2009/43/EC of the European Parliament and of the Council of 6 May 2009 simplifying terms and conditions of transfers of defence-related products within the Community (OJ L 146, 10.6.2009, p. 1).

Directive 2009/125/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for the setting of ecodesign requirements for energy-related products (OJ L 285, 31.10.2009, p. 10).

Regulation (EU) No 305/2011 of the European Parliament and of the Council of 9 March 2011 laying down harmonised conditions for the marketing of construction products and repealing Council Directive 89/106/EEC (OJ L 88, 4.4.2011, p. 5).

Regulation (EU) No 1007/2011 of the European Parliament and of the Council of 27 September 2011 on textile fibre names and related labelling and marking of the fibre composition of textile products (OJ L 272, 18.10.2011, p. 1).

Article 02 03 03 — European Chemicals Agency — Chemicals legislation

Item 02 03 03 01 — European Chemicals Agency — Chemicals legislation — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

Item 02 03 03 02 — European Chemicals Agency — Chemicals legislation — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the Agency's operational expenditure in connection with the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative

expenditure. The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 72) constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

No Union contribution is foreseen for 2013 as the Agency's operations will be financed with 'Revenue from fees and charges', which are assumed to be widely sufficient to cover for the expected expenditure. Surpluses will be carried over to the following year in order to ensure the continuity of the tasks of the Agency.

Legal basis

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

Article 02 03 04 — Standardisation and approximation of legislation

Item 02 03 04 01 — Support to standardisation activities performed by CEN, Cenelec and ETSI

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 500 000	15 000 000	23 500 000	15 559 356	22 517 856,10	15 795 446,84

Remarks

Former article 02 03 04

In accordance with the general aim of maintaining the proper functioning of the internal market and the competitiveness of European industry, in particular through the mutual recognition of standards and the establishment of European standards in suitable cases, this appropriation is intended to cover:

- financial obligations arising from contracts to be signed with the European standardisation organisations (such as ETSI, CEN and Cenelec), for the development of standards,
- checking and certifying conformity with the standards, and demonstration projects,
- contract expenditure for the execution of the programme and projects indicated above. The contracts in question cover the following: research, association, evaluation, technical operations, coordination, scholarships, grants, training and mobility of scientific staff, participation in international agreements and participation in expenditure on equipment,
- improving the performance of standards bodies,
- promoting quality in standardisation, and the verification thereof,
- supporting the transposition of European standards into national standards, particularly by having them translated,

- measures relating to information, promotion and profile-raising of standardisation and the promotion of European interests in international standardisation,
- secretarial services for the technical committees,
- technical projects in the field of tests for compliance with the standards,
- programmes of cooperation with and assistance to third countries,
- carrying out the work needed to apply international information technology standards uniformly throughout the Union,
- specifying methods of certification and laying down technical methods of certification,
- encouraging use of these standards in public procurement contracts,
- coordinating the various operations to prepare the standards and doing more to implement them (user guides, demonstrations, etc.).

Union finance is to be used to frame and implement the standardisation operation in consultation with the main participants: industry, workers' representatives, consumers, small and medium-sized enterprises, the national and European standardisation institutions, the public procurement agencies in the Member States, all users and industrial policymakers at national and Union levels.

In the case of IT equipment, and in support of interoperability, specific provisions are included in Council Decision 87/95/EEC requiring Member States to ensure that in public procurement orders reference is made to European or international standards.

Legal basis

Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations and of rules on Information Society services (OJ L 204, 21.7.1998, p. 37).

Decision No 1673/2006/EC of the European Parliament and of the Council of 24 October 2006 on the financing of European standardisation (OJ L 315, 15.11.2006, p. 9).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council on European Standardisation, submitted by the Commission on 1 June 2011 and amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/105/EC and 2009/23/EC of the European Parliament and of the Council. (COM(2011) 315 final).

Item 02 03 04 02 — Support to organisations representing SMEs and societal stakeholders in standardisation activities

Figures

	Budget 2013		Appropriations 2012	Outturn 2011
	Commitments	Payments		
02 03 04 02	p.m.	p.m.		
40 02 41	3 700 000	700 000		
Total	3 700 000	700 000		

Remarks

New item

This appropriation is intended to cover the costs of the functioning and activities of European organisations representing SMEs', consumers and environmental and social interests in standardisation. The non-governmental and non-profit-making organisations have, as their statutory objectives and activities, to represent the SMEs', consumers, environmental and social interests in the standardisation process at European level.

They have been mandated by non-profit organisations in at least two thirds of the Member States, to represent the interests of the mentioned groups in the standardisation process at European level.

Contributions to these organisations have been previously covered by the Competitiveness and Innovation Programme, the Consumer policy and the Financial Instrument for the environment, LIFE+. In the recent proposal for a Regulation on European standardisation, the Commission proposed to bring together in a single legal act the actions in the field of standardisation financed by specific programmes.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 17).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council on European Standardisation, submitted by the Commission on 1 June 2011 and amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/105/EC and 2009/23/EC of the European Parliament and of the Council. (COM(2011) 315 final).

Article 02 03 05 — Preparatory action — RECAP: recycling at local scale of internal plastic scrap created by major EU polymer converting regions

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	375 000	1 500 000	750 000	

Remarks

Former article 07 03 71

The European polymer converting industry is a major European industry, comprising 50 000 companies, of which 85 % are SMEs, and 1 600 000 employees. Its production reached 45 000 000 tons in 2009 with Germany (23 %), Italy (16 %), France (12 %), Spain (8,5 %), the United Kingdom (8 %) and Poland (5,5 %) being the top European producers of finished plastic parts. Almost two thirds of the plastic scrap created (1 300 000 tons of plastics) is considered as 'final' waste and is either exported to the Far East (essentially China) or landfilled. Recycling 50 % of the current non-recycled plastic scrap would save around 650 000 tons of plastic materials each year. By increasing recycle rates, this preparatory action reaches the target of the Europe 2020 Strategy on resource efficiency. RECAP aims to be a preparatory action for the improvement of internal plastic scrap recycling. It will pave the way for the development of new technological solutions to recycle plastic production scrap and for the implementation and structuring of recycling channels based on sustainable solutions and technologies. The project will create competitiveness and employment, extending the lifetime of plastic materials, save crude oil, and make the plastics industry a more responsible industry. A roadmap will be established in order to specify the necessary steps to achieve a final sustainable solution: definition of R&D collaborative projects to overcome technological bottlenecks, organisation and structuring of recycling channels, transfer of best practices and technologies towards the community of the Union's plastic converters, demonstration of recycling technologies through pilot equipment and the creation of companies to exploit the intellectual property rights generated. The results are meant to be duplicated in several Union regions presenting a high density of plastic converting activity.

RECAP's first sub-objective will be to carry out a benchmark on internal scrap management in several major Union regions from the plastic converting industry in France, Italy, Germany, Spain, the United Kingdom, Austria and Poland. Each of these regions presents the particularity to concentrate plastic converting activities in a reduced geographical perimeter.

From the analysis of the benchmark, RECAP's second sub-objective will be to identify best practices and make recommendations for future actions that will be consolidated into a global methodology for internal scrap management. Several scenarios will be established. Each one will be split up into structuring (economical, financial and political organisation of recycling channels) and technological objectives (R&D bottlenecks to be overcome). RECAP's third sub-objective will be to pre-implement a scenario in one of the regions studied within the project. Actions such as launching R&D projects to overcome the technological bottlenecks identified will be part of the pre-implementation phase.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 02 04 — COOPERATION — SPACE AND SECURITY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 04	Cooperation — Space and security								
02 04 01	Security and space research								
02 04 01 01	Space research	1.1	312 710 000	295 000 000	251 267 503	235 885 279	238 766 908,03	233 439 451,73	79,13 %
02 04 01 02	Security research	1.1	300 730 000	198 000 000	242 951 017	136 087 661	231 054 481,91	130 097 781,99	65,71 %
02 04 01 03	Research related to transport (Galileo)	1.1	137 657 000	160 000 000	105 300 000	54 435 064	64 094 999,00	51 121 833,49	31,95 %
	<i>Article 02 04 01 — Subtotal</i>		751 097 000	653 000 000	599 518 520	426 408 004	533 916 388,94	414 659 067,21	63,50 %
02 04 02	Preparatory action — Enhancement of European security research	1.1	p.m.	p.m.	p.m.	p.m.	0,—	276 095,88	
02 04 03	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development	1.1	p.m.	p.m.	p.m.	p.m.	28 364 966,53	4 710 718,07	
02 04 04	Completion of previous research programmes								
02 04 04 01	Completion of programmes (prior to 2003)	1.1	—	p.m.	—	p.m.	0,—	0,—	
02 04 04 02	Completion of the sixth Community framework programme (2003 to 2006)	1.1	—	300 000	—	2 721 753	0,—	4 170 440,43	1390,15 %
	<i>Article 02 04 04 — Subtotal</i>		—	300 000	—	2 721 753	0,—	4 170 440,43	1390,15 %
	Chapter 02 04 — Total		751 097 000	653 300 000	599 518 520	429 129 757	562 281 355,47	423 816 321,59	64,87 %

Remarks

These remarks are applicable to all the budget headings in this chapter.

These appropriations will be used for the Seventh Framework Programme of the European Community for research, technological development and demonstration activities, which covers the period 2007 to 2013.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

These appropriations will be used in accordance with Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give

rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be provided under Article 02 04 03.

Article 02 04 01 — Security and space research

Item 02 04 01 01 — Space research

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
312 710 000	295 000 000	251 267 503	235 885 279	238 766 908,03	233 439 451,73

Remarks

The aim of the actions carried out in this area is to support a European space programme focusing on applications such as GMES (Global Monitoring for Environment and Security) with benefits for citizens and competitiveness of European industry, as well as Strengthening Space Foundations with benefits for the competitiveness of the European space industry specifically. This will contribute to the development of a European space policy, complementing efforts by Member States and by other key players, including the European Space Agency. Space research is expected to contribute significantly to the priorities of the Europe 2020 Strategy, especially with regard to addressing major societal challenges, and contributing to smart and sustainable growth, and innovation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Item 02 04 01 02 — Security research

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 730 000	198 000 000	242 951 017	136 087 661	231 054 481,91	130 097 781,99

Remarks

The aim of the actions carried out in this area is to develop the technologies and knowledge for building capabilities with a civil application focus needed to ensure the security of citizens from threats such as terrorism and crime as well as from the impact and consequences of unintended incidents such as natural disasters or industrial accidents; to ensure optimal and concerted use of available and evolving technologies to the benefit of European security while respecting fundamental human rights; and to stimulate cooperation between providers and users of security solutions; through the activities at the same time to reinforce the technology basis of the European security industry and to strengthen its competitiveness. In this regard, special efforts should be made to work towards the development of a European Cyber Security Strategy.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Item 02 04 01 03 — Research related to transport (Galileo)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
137 657 000	160 000 000	105 300 000	54 435 064	64 094 999,00	51 121 833,49

Remarks

This appropriation is intended to cover action aimed at developing the European Satellite Navigation System (Galileo) with a view to the next technology generation for all transport modes, including intermodality.

These research measures should aim to contribute to improve transport.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of

additional appropriations in accordance with Article 18 of the Financial Regulation.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Article 02 04 02 — Preparatory action — Enhancement of European security research

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	276 095,88

Remarks

This appropriation is intended to cover former contractual commitments related to this preparatory action, which constitutes the Commission’s contribution to the wider Union agenda for addressing key security challenges facing Europe today and focuses on increasing of security for citizens.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 04 03 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	28 364 966,53	4 710 718,07

Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Article 02 04 04 — Completion of previous research programmes

Item 02 04 04 01 — Completion of programmes (prior to 2003)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover earlier commitments related to the research programme prior to 2003.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Item 02 04 04 02 — Completion of the sixth Community framework programme (2003 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	300 000	—	2 721 753	0,—	4 170 440,43

Remarks

This appropriation is intended to cover earlier commitments related to the sixth Community framework programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82

thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'structuring the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 44).

CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
02 05	European satellite navigation programmes (EGNOS and Galileo)								
02 05 01	European satellite navigation programmes (EGNOS and Galileo)	1.1	p.m.	360 000 000	167 000 000	362 900 430	196 935 885,18	562 184 008,43	156,16 %
02 05 02	European GNSS Agency								
02 05 02 01	European GNSS Agency — Contribution to Titles 1 and 2	1.1	9 337 065	9 337 065	7 920 676	7 920 676	5 749 159,00	5 749 000,00	61,57 %
02 05 02 02	European GNSS Agency — Contribution to Title 3	1.1	2 362 935	2 362 935	1 919 324	1 919 324	2 637 801,00	2 451 000,00	103,73 %
	<i>Article 02 05 02 — Subtotal</i>		11 700 000	11 700 000	9 840 000	9 840 000	8 386 960,00	8 200 000,00	70,09 %
	Chapter 02 05 — Total		11 700 000	371 700 000	176 840 000	372 740 430	205 322 845,18	570 384 008,43	153,45 %

Article 02 05 01 — European satellite navigation programmes (EGNOS and Galileo)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	360 000 000	167 000 000	362 900 430	196 935 885,18	562 184 008,43

Remarks

The Union contribution to the European GNSS programmes is granted with a view to co-financing:

- activities related to the finalisation of the development phase,
- activities related to the deployment phase, covering the construction and the launch of the satellites as well as the complete setting up of the ground infrastructure,
- the first series of activities related to the launch of the commercial operating phase, which include management of the satellite and ground station infrastructure, on the one hand, and constant maintenance and updating of the system, on the other hand.

In line with the Financial statement accompanying the proposal of legal base, no commitments are foreseen for the 2013 budget.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned

revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Regulation (EC) No 683/2008 of the European Parliament and of the Council of 9 July 2008 on the further implementation of the European satellite navigation programmes (EGNOS and Galileo) (OJ L 196, 24.7.2008, p. 1).

Article 02 05 02 — European GNSS Agency

Item 02 05 02 01 — European GNSS Agency — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 337 065	9 337 065	7 920 676	7 920 676	5 749 159,00	5 749 000,00

Remarks

This appropriation is intended to cover the Agency’s staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European GNSS Agency is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission.

Legal basis

Regulation (EU) No 912/2010 of the European Parliament and of the Council of 22 September 2010 setting up the European GNSS Agency (OJ L 276, 20.10.2010, p. 11).

Item 02 05 02 02 — European GNSS Agency — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 362 935	2 362 935	1 919 324	1 919 324	2 637 801,00	2 451 000,00

Remarks

This appropriation is intended to cover the Agency’s operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82

thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 12 409 958. An amount of EUR 709 958, coming from the recovery of surplus, is added to the amount of EUR 11 700 000 entered in the budget.

Legal basis

Regulation (EU) No 912/2010 of the European Parliament and of the Council of 22 September 2010 setting up the European GNSS Agency (OJ L 276, 20.10.2010, p. 11).

TITLE 03 — COMPETITION

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
03 01	Administrative expenditure of the 'Competition' policy area	5	93 657 026	93 657 026	91 476 166	91 476 166	93 416 340,60	93 416 340,60
	40 01 40				14 967 91 491 133	14 967 91 491 133		
03 03	Cartels, anti-trust and liberalisation	5	p.m.	p.m.	p.m.	p.m.	0,—	0,—
	Title 03 — Total		93 657 026	93 657 026	91 476 166	91 476 166	93 416 340,60	93 416 340,60
	40 01 40				14 967 91 491 133	14 967 91 491 133		
	Total including reserves							

CHAPTER 03 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMPETITION' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
03 01	Administrative expenditure of the 'Competition' policy area					
03 01 01	Expenditure related to staff in active employment in the 'Competition' policy area	5	78 498 004	75 644 783	77 212 124,05	98,36 %
03 01 02	External staff and other management expenditure in support of the 'Competition' policy area					
03 01 02 01	External staff	5	5 600 063	5 877 977	5 478 999,00	97,84 %
03 01 02 11	Other management expenditure	5	4 680 350	5 123 619	4 919 848,00	105,12 %
	40 01 40			14 967 5 138 586		
	<i>Article 03 01 02 — Subtotal</i>		10 280 413	11 001 596	10 398 847,00	101,15 %
	40 01 40			14 967 11 016 563		
03 01 03	Expenditure related to information and communication technology equipment and services of the 'Competition' policy area	5	4 878 609	4 829 787	5 805 369,55	119,00 %
	Chapter 03 01 — Total		93 657 026	91 476 166	93 416 340,60	99,74 %
	40 01 40			14 967 91 491 133		
	Total including reserves					

Article 03 01 01 — Expenditure related to staff in active employment in the ‘Competition’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
78 498 004	75 644 783	77 212 124,05

Article 03 01 02 — External staff and other management expenditure in support of the ‘Competition’ policy area

Item 03 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 600 063	5 877 977	5 478 999,00

Item 03 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
03 01 02 11	4 680 350	5 123 619	4 919 848,00
40 01 40		14 967	
Total	4 680 350	5 138 586	4 919 848,00

Article 03 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Competition’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 878 609	4 829 787	5 805 369,55

CHAPTER 03 03 — CARTELS, ANTI-TRUST AND LIBERALISATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
03 03	Cartels, anti-trust and liberalisation								
03 03 02	Requests for damages resulting from legal proceedings against the Commission's decisions in the field of competition policy	5	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Chapter 03 03 — Total		p.m.	p.m.	p.m.	p.m.	0,—	0,—	

Article 03 03 02 — Requests for damages resulting from legal proceedings against the Commission’s decisions in the field of competition policy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

In order to ensure that the rules on competition concerning agreements, decisions by associations of undertakings and concerted practices (Article 101 of the Treaty on the Functioning of the European Union), abuses of a dominant position (Article 102 of the Treaty on the Functioning of the European Union), aids granted by States (Articles 107 and 108 of the Treaty on the Functioning of the European Union) and concentrations between undertakings (Regulation (EC) No 139/2004) are enforced, the Commission is empowered to take decisions, to conduct investigations and to impose penalties or order recovery.

The Commission’s decisions are subject to review by the Court of Justice of the European Union in accordance with the Treaty on the Functioning of the European Union.

As a prudential measure, it is appropriate to take into account the possibility of budgetary implications stemming from rulings of the Court of Justice of the European Union.

This appropriation is intended to cover any expenditure arising from damages awarded by the Court of Justice of the European Union to claimants and resulting from legal proceedings against the Commission’s decisions in the field of competition.

Since a reasonable estimate of the financial impact on the general budget cannot be established beforehand, a token entry (‘p.m.’) appears in this article. If necessary, the Commission will propose to make available the appropriations related to actual needs by means of transfers or through a preliminary draft amending budget.

Legal basis

Articles 101 and 102 of the Treaty on the Functioning of the European Union (former Articles 81 and 82 of the Treaty establishing the European Community) and derived legislation, in particular:

- Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1),
- Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1).

Articles 107 and 108 of the Treaty on the Functioning of the European Union (former Articles 87 and 88 of the Treaty establishing the European Community) and derived legislation, in particular:

- Council Regulation (EC) No 659/1999 of 22 March 1999 laying down detailed rules for the application of Article 93 of the EC Treaty (OJ L 83, 27.3.1999, p. 1).

TITLE 04 — EMPLOYMENT AND SOCIAL AFFAIRS

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 01	Administrative expenditure of the ‘Employment and social affairs’ policy area 40 01 40		95 879 942	95 879 942	94 967 091 16 966 94 984 057	94 967 091 16 966 94 984 057	96 040 198,82	96 040 198,82
04 02	European Social Fund	1	11 594 862 310	10 946 500 000	11 170 793 739	8 696 734 564	11 088 558 905,61	9 966 313 734,69
04 03	Working in europe — Social dialogue and mobility	1	76 472 000	64 430 000	78 430 000	61 989 703	77 439 218,77	59 067 184,25
04 04	Employment, social solidarity and gender equality	1	118 153 000	112 300 000	124 530 800	111 116 710	117 967 733,75	97 272 632,03
04 05	European Globalisation Adjustment Fund (EGF)	1	p.m.	50 000 000	p.m.	50 000 000	113 878 622,00	113 878 622,00

04 06	Instrument for Pre-Accession Assistance (IPA) — Human resources development	4	113 157 077	65 000 000	112 150 000	59 719 121	102 400 000,00	27 922 056,48
	Title 04 — Total		11 998 524 329	11 334 109 942	11 580 871 630	9 074 527 189	11 596 284 678,95	10 360 494 428,27
	40 01 40 Total including reserves				16 966 11 580 888 596	16 966 9 074 544 155		

CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EMPLOYMENT AND SOCIAL AFFAIRS’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
04 01	Administrative expenditure of the ‘Employment and social affairs’ policy area					
04 01 01	Expenditure related to staff in active employment in the ‘Employment and social affairs’ policy area	5	61 544 901	59 956 236	60 277 606,63	97,94 %
04 01 02	External staff and other management expenditure in support of the ‘Employment and social affairs’ policy area					
04 01 02 01	External staff	5	4 184 867	4 282 694	3 776 291,35	90,24 %
04 01 02 11	Other management expenditure	5	4 908 191	5 101 560	6 295 616,48	128,27 %
	40 01 40			16 966 5 118 526		
	<i>Article 04 01 02 — Subtotal</i>		9 093 058	9 384 254	10 071 907,83	110,76 %
	40 01 40			16 966 9 401 220		
04 01 03	Expenditure related to information and communication technology equipment and services of the ‘Employment and social affairs’ policy area	5	3 824 983	3 828 101	4 538 863,54	118,66 %
04 01 04	Support expenditure for operations in the ‘Employment and social affairs’ policy area					
04 01 04 01	European Social Fund (ESF) and non-operational technical assistance — Expenditure on administrative management	1.2	15 700 000	16 000 000	15 748 450,34	100,31 %
04 01 04 02	Industrial relations and social dialogue — Expenditure on administrative management	1.1	260 000	260 000	235 562,13	90,60 %
04 01 04 04	EURES (European Employment Services) — Expenditure on administrative management	1.1	470 000	470 000	477 774,32	101,65 %
04 01 04 06	Analysis of, studies on and awareness raising in connection with the social situation, demographics and the family — Expenditure on administrative management	1.1	175 000	100 000	100 000,00	57,14 %
04 01 04 08	Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries — Expenditure on administrative management	1.1	400 000	400 000	399 037,65	99,76 %
04 01 04 10	Progress programme — Expenditure on administrative management	1.1	2 847 000	2 847 000	2 388 721,39	83,90 %
04 01 04 11	European Progress Microfinance Facility — Administrative expenditure	1.1	200 000	250 000	153 111,40	76,56 %
04 01 04 13	Instrument for Pre-Accession Assistance (IPA) — Human resources component — Expenditure on administrative management	4	1 365 000	1 471 500	1 224 595,35	89,71 %
04 01 04 14	European Globalisation Adjustment Fund (EGF) — Expenditure on administrative management	1.1	p.m.	p.m.	424 568,24	
	<i>Article 04 01 04 — Subtotal</i>		21 417 000	21 798 500	21 151 820,82	98,76 %
	Chapter 04 01 — Total		95 879 942	94 967 091	96 040 198,82	100,17 %
	40 01 40 Total including reserves			16 966 94 984 057		

Article 04 01 01 — Expenditure related to staff in active employment in the ‘Employment and social affairs’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
61 544 901	59 956 236	60 277 606,63

Article 04 01 02 — External staff and other management expenditure in support of the ‘Employment and social affairs’ policy area

Item 04 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 184 867	4 282 694	3 776 291,35

Item 04 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
04 01 02 11	4 908 191	5 101 560	6 295 616,48
40 01 40		16 966	
Total	4 908 191	5 118 526	6 295 616,48

Article 04 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Employment and social affairs’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 824 983	3 828 101	4 538 863,54

Article 04 01 04 — Support expenditure for operations in the ‘Employment and social affairs’ policy area

Item 04 01 04 01 — European Social Fund (ESF) and non-operational technical assistance — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
15 700 000	16 000 000	15 748 450,34

Remarks

This appropriation is intended to cover the ESF-funded technical assistance measures provided for in Article 9 of Regulation (EC) No 1081/2006. Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for the implementation of the ESF by the Commission. This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations),
- information and publishing expenditure,

- expenditure on information technology and telecommunications,
- expenditure on support for accessibility for disabled people within technical assistance measures,
- contracts for the provision of services,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) up to EUR 5 000 000, as well as missions relating to this staff.

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Item 04 01 04 02 — Industrial relations and social dialogue — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
260 000	260 000	235 562,13

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures falling under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Item 04 03 03 01.

Item 04 01 04 04 — EURES (European Employment Services) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
470 000	470 000	477 774,32

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures falling under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 04 03 04.

Item 04 01 04 06 — Analysis of, studies on and awareness raising in connection with the social situation, demographics and the family — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
175 000	100 000	100 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures falling under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 04 03 07.

Item 04 01 04 08 — Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
400 000	400 000	399 037,65

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures falling under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 04 03 05.

Item 04 01 04 10 — Progress programme — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 847 000	2 847 000	2 388 721,39

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance for the implementation of measures to achieve equality between men and women and tackling the particular needs of disabled people,
- expenditure, limited to EUR 600 000, to cover travel, subsistence and incidental expenses of members and experts, expenses related to the organisation of meetings, expenses related to the specific activities and the safety campaigns of the Committee for Safety and Health at Work,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures falling under this item, plus any other expenditure on technical and administrative assistance not

involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 04 04 01.

Item 04 01 04 11 — European Progress Microfinance Facility — Administrative expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
200 000	250 000	153 111,40

Remarks

This appropriation is intended to cover expenditure on technical and administrative assistance for the implementation of the measures related to the European Progress Microfinance Facility.

Legal basis

See Article 04 04 15.

Item 04 01 04 13 — Instrument for Pre-Accession Assistance (IPA) — Human resources component — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 365 000	1 471 500	1 224 595,35

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, information technology and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations of this item,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Article 04 06 01.

Item 04 01 04 14 — European Globalisation Adjustment Fund (EGF) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	424 568,24

Remarks

This appropriation is intended to be used, at the initiative of the Commission, subject to a ceiling of 0,35 % of the annual maximum amount of the EGF. The EGF may be used to finance the preparation, monitoring, information and creation of a knowledge base relevant to the implementation of the EGF. It may also be used to finance administrative and technical support, as well as audit, control and evaluation activities necessary to implement the operations of the EGF.

Legal basis

See Article 04 05 01.

CHAPTER 04 02 — EUROPEAN SOCIAL FUND

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
04 02	European Social Fund								
04 02 01	Completion of the European Social Fund (ESF) — Objective 1 (2000 to 2006)	1.2	p.m.	273 000 000	p.m.	430 000 000	0,—	247 779 677,56	90,76 %
04 02 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 03	Completion of the European Social Fund (ESF) — Objective 1 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 04	Completion of the European Social Fund (ESF) — Objective 2 (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	13 368 033,12	
04 02 05	Completion of the European Social Fund (ESF) — Objective 2 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	177 934,71	
04 02 06	Completion of the European Social Fund (ESF) — Objective 3 (2000 to 2006)	1.2	p.m.	70 000 000	p.m.	42 822 534	0,—	132 658 432,28	189,51 %
04 02 07	Completion of the European Social Fund (ESF) — Objective 3 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	1 006 993,58	
04 02 08	Completion of EQUAL (2000 to 2006)	1.2	p.m.	7 000 000	p.m.	10 000 000	0,—	43 235 678,18	617,65 %
04 02 09	Completion of previous Community initiative programmes (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 10	Completion of the European Social Fund (ESF) — Technical assistance and innovative measures (2000 to 2006)	1.2	—	p.m.	—	p.m.	0,—	0,—	
04 02 11	Completion of the European Social Fund (ESF) — Technical assistance and innovative measures (prior to 2000)	1.2	—	—	—	—	0,—	0,—	
04 02 17	European Social Fund (ESF) — Convergence	1.2	8 277 649 354	7 400 000 000	7 904 534 226	5 889 000 000	7 866 953 083,00	6 415 399 191,14	86,69 %
04 02 18	European Social Fund (ESF) — PEACE	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 19	European Social Fund (ESF) — Regional competitiveness and employment	1.2	3 307 212 956	3 190 000 000	3 256 259 513	2 318 412 030	3 210 776 303,00	3 104 564 784,13	97,32 %
04 02 20	European Social Fund (ESF) — Operational technical assistance (2007 to 2013)	1.2	10 000 000	6 500 000	10 000 000	6 500 000	10 829 519,61	8 123 009,99	124,97 %
	Chapter 04 02 — Total		11 594 862 310	10 946 500 000	11 170 793 739	8 696 734 564	11 088 558 905,61	9 966 313 734,69	91,05 %

Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections for which any revenue is entered in Item 6 5 0 0 of the statement of revenue. This revenue may be used to provide additional appropriations in accordance with Article 18 of the Financial Regulation in specific cases where they are required to cover the risk of corrections adopted earlier being cancelled or reduced.

Regulation (EC) No 1083/2006 provides for financial corrections for the period 2007 to 2013.

Regulation (EC) No 1260/1999 lays down the conditions for the repayment of payments on account which does not have the effect of reducing the contribution from the Structural Funds to the operation concerned. Any revenue arising from the repayment of payments on account entered in Item 6 1 5 7 of the statement of revenue will be used to provide additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation.

Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

The special programme for peace and reconciliation is being continued, in accordance with the decisions of the Berlin European Council of 24 and 25 March 1999, to provide EUR 500 000 000 for the new period of validity of the programme. Additionality is to be fully observed. The Commission is to submit an annual report to the European Parliament on this measure.

Measures to combat fraud are funded under Article 24 02 01.

Legal basis

Treaty establishing the European Community, and in particular Articles 158, 159 and 161 thereof. Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Article 04 02 01 — Completion of the European Social Fund (ESF) — Objective 1 (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	273 000 000	p.m.	430 000 000	0,—	247 779 677,56

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 02 — Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Conclusions of the Brussels European Council of 17 and 18 June 2004, and in particular paragraph 49 thereof.

Article 04 02 03 — Completion of the European Social Fund (ESF) — Objective 1 (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 1 and 6.

Legal basis

Council Decision 83/516/EEC of 17 October 1983 on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 38).

Council Regulation (EEC) No 2950/83 of 17 October 1983 on the implementation of Decision 83/516/EEC on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 04 — Completion of the European Social Fund (ESF) — Objective 2 (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	13 368 033,12

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 05 — Completion of the European Social Fund (ESF) — Objective 2 (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	177 934,71

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 2 and 5(b).

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 06 — Completion of the European Social Fund (ESF) — Objective 3 (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	70 000 000	p.m.	42 822 534	0,—	132 658 432,28

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund

(OJ L 213, 13.8.1999, p. 5).

Article 04 02 07 — Completion of the European Social Fund (ESF) — Objective 3 (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 006 993,58

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 3 and 4.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 08 — Completion of EQUAL (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	7 000 000	p.m.	10 000 000	0,—	43 235 678,18

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Reference acts

Communication from the Commission to the Member States of 14 April 2000 establishing the guidelines for the Community initiative EQUAL concerning transnational cooperation to promote new means of combating all forms of discrimination and inequalities in connection with the labour market (OJ C 127, 5.5.2000, p. 2).

Article 04 02 09 — Completion of previous Community initiative programmes (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from Community initiatives preceding the programming period 2000 to 2006.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Reference acts

Commission communication to the Member States of 13 May 1992 laying down guidelines for operational programmes which Member States are invited to establish within the framework of a Community initiative for regions heavily dependent on the textiles and clothing sector (RETEX) (OJ C 142, 4.6.1992, p. 5).

Commission communication to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (PESCA) (OJ C 180, 1.7.1994, p. 1).

Commission communication to the Member States of 15 June 1994 laying down guidelines for the operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 180, 1.7.1994, p. 6).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to propose in the framework of a Community initiative concerning the adaptation of small and medium-sized enterprises to the single market (SMEs initiative) (OJ C 180, 1.7.1994, p. 10).

Commission communication to the Member States of 15 June 1994 laying down guidelines for the RETEX initiative (OJ C 180, 1.7.1994, p. 17).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to establish in the framework of a Community initiative concerning defence conversion (Konver) (OJ C 180, 1.7.1994, p. 18).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of steel areas (Resider II) (OJ C 180, 1.7.1994, p. 22).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of coal-mining areas (Rechar II) (OJ C 180, 1.7.1994, p. 26).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative 'Adaptation of the workforce to industrial change' (ADAPT), aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 180, 1.7.1994, p. 30).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global

grants which Member States are invited to propose within the framework of a Community initiative 'Employment and development of human resources' aimed at promoting employment growth mainly through the development of human resources (Employment) (OJ C 180, 1.7.1994, p. 36).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission communication to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (PEACE I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 200, 10.7.1996, p. 4).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative on adaptation of the workforce to industrial change (ADAPT) aimed at promoting employment growth and the adaptation of the workforce to industrial change (OJ C 200, 10.7.1996, p. 7).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative on employment and development of human resources aimed at promoting employment growth mainly through the development of human resources (OJ C 200, 10.7.1996, p. 13).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication of 26 November 1997 to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (PEACE I) (COM(1997) 0642 final).

Article 04 02 10 — Completion of the European Social Fund (ESF) — Technical assistance and innovative measures (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006 for innovative measures and technical assistance as provided for in Articles 22 and 23 of Regulation (EC) No 1260/1999. The innovative measures included studies, pilot projects and exchanges of experience. They were intended in particular to bring about a qualitative improvement in Structural Fund measures. Technical assistance covered preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. The appropriation was, in particular, used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,

— grants.

Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 11 — Completion of the European Social Fund (ESF) — Technical assistance and innovative measures (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

This article is intended to cover the settlement of ESF commitments from previous programming periods for innovative measures or for preparatory, monitoring, evaluation, supervision and management measures or any other similar form of technical assistance provided for in the relevant Regulations.

It also funds the former multiannual measures, in particular those approved and implemented under the other abovementioned Regulations, which are not covered by the priority objectives of the Structural Funds.

Legal basis

Council Decision 83/516/EEC of 17 October 1983 on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 38).

Council Regulation (EEC) No 2950/83 of 17 October 1983 on the implementation of Decision 83/516/EEC on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 1).

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 17 — European Social Fund (ESF) — Convergence

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 277 649 354	7 400 000 000	7 904 534 226	5 889 000 000	7 866 953 083,00	6 415 399 191,14

Remarks

The action taken by the Union pursuant to Article 174 of the Treaty on the Functioning of the European Union shall be designed to strengthen the economic and social cohesion of the enlarged Union in order to promote the harmonious, balanced and sustainable

development of the Union. This action shall be taken with the aid of the cohesion policy funds, the European Investment Bank (EIB) and other existing financial instruments. It shall aim to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

The action taken under the cohesion policy funds shall incorporate, at national and regional level, the Union's priorities for sustainable development by strengthening growth, competitiveness, employment and social inclusion, as well as protecting and improving the quality of the environment.

The 'Convergence' objective shall be geared to speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment through increasing and improving the quality of investment in physical and human capital, the development of innovation and of the knowledge society, adaptability to economic and social changes, protection and improvement of the environment, as well as administrative efficiency. This objective shall constitute the cohesion policy funds' priority. The actions taken under the cohesion policy funds will respect equal opportunities for women and men.

Part of this appropriation is intended to support improvements in child care to enable children to live in a family-type setting. This support includes:

- cooperation between and technical assistance to non-governmental organisations and local authorities, including assisting them in finding out which projects are eligible for Union funding,
- identification and exchange of best practices as well as the broader application of these practices, including thorough monitoring at child level.

Part of this appropriation is intended for financing sustainable and environmentally friendly actions (Green New Deal) that provide for reconciling economic, social and environmental development requirements and for recovery of European regions after the economic and financial crisis.

Part of this appropriation is intended to be used to address the problem of intra-regional disparities and provide specific assistance to people who live in disadvantaged territorial units that constitute pockets of poverty in European regions. This assistance should focus mainly on:

- integrating communities living in pockets of poverty into the mainstream regional population via civic education, promoting tolerance and cultural understanding,
- strengthening local authorities with regard to needs assessment, project planning and project implementation,
- reducing intra-regional economic and social disparities via a temporary set of affirmative actions, focusing on employment and education.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Article 04 02 18 — European Social Fund (ESF) — PEACE

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

In recognition of the special effort for the peace process in Northern Ireland, a total of EUR 200 000 000 will be allocated for the PEACE Programme for the period 2007 to 2013. This programme will be implemented in full compliance with the principle of additionality of structural fund operations.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Reference acts

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Article 04 02 19 — European Social Fund (ESF) — Regional competitiveness and employment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 307 212 956	3 190 000 000	3 256 259 513	2 318 412 030	3 210 776 303,00	3 104 564 784,13

Remarks

The action taken by the Union pursuant to Article 174 of the Treaty on the Functioning of the European Union shall be designed to strengthen the economic and social cohesion of the enlarged Union in order to promote the harmonious, balanced and sustainable development of the Union. This action shall be taken with the aid of the cohesion policy funds, the European Investment Bank (EIB) and other existing financial instruments. It shall aim to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

The action taken under the cohesion policy funds shall incorporate, at national and regional level, the Union's priorities for sustainable development by strengthening growth, competitiveness and employment, social inclusion, as well as protecting and improving the quality of the environment.

The 'Regional competitiveness and employment' objective shall, outside the least-developed regions, be geared to strengthening regions' competitiveness and attractiveness as well as employment by anticipating economic and social changes, including those linked to the opening up of trade, through increasing and improving the quality of investment in human capital, innovation and the promotion of the knowledge society, entrepreneurship, the protection and improvement of the environment, and the improvement of accessibility, the adaptability of workers and businesses as well as the development of inclusive job markets. The actions taken under the cohesion policy funds shall respect equal opportunities for women and men.

Part of this appropriation is intended for financing sustainable and environmentally friendly actions (Green New Deal) that provide for reconciling economic, social and environmental development requirements and for recovery of European regions after the economic and financial crisis.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Article 04 02 20 — European Social Fund (ESF) — Operational technical assistance (2007 to 2013)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 000 000	6 500 000	10 000 000	6 500 000	10 829 519,61	8 123 009,99

Remarks

This appropriation is intended to cover technical assistance as provided for in Articles 45 and 46 of Regulation (EC) No 1083/2006 and in Article 9 of Regulation (EC) No 1081/2006.

Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. The appropriation may, in particular, be used to meet:

- support expenditure (representation expenses, training, meetings and missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- expenditure on support for accessibility for people with disabilities within technical assistance measures,
- expenditure on a high level group to ensure the implementation of overarching principles such as equality between men and women, accessibility for disabled people and sustainable development,
- contracts for the provision of services, evaluation studies (including the *ex-post* evaluation for the period 2000 to 2006) and studies,
- grants.

Technical assistance also includes exchanges of experience, awareness-raising activities, seminars, networking and peer reviews serving to identify and disseminate good practice and encourage mutual learning and transnational and inter-regional cooperation with the aim of enhancing the policy dimension and contribution of the ESF to the Union objectives in relation to employment and social inclusion.

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners in the context of the preparation of the next programming period.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

CHAPTER 04 03 — WORKING IN EUROPE — SOCIAL DIALOGUE AND MOBILITY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
04 03	Working in europe — Social dialogue and mobility								
04 03 02	Cost of preliminary consultation meetings with trade union representatives	1.1	450 000	250 000	450 000	317 538	500 000,00	111 251,79	44,50 %
04 03 03	Social dialogue and the Union's social dimension								
04 03 03 01	Industrial relations and social dialogue	1.1	16 500 000	13 500 000	16 500 000	13 155 141	16 800 150,40	11 898 701,96	88,14 %
04 03 03 02	Information and training measures for workers' organisations	1.1	17 400 000	14 500 000	17 000 000	14 062 392	16 904 002,80	16 254 398,71	112,10 %
04 03 03 03	Information, consultation and participation of representatives of undertakings	1.1	7 000 000	6 500 000	7 500 000	5 443 506	6 185 009,10	5 177 845,94	79,66 %
	<i>Article 04 03 03 — Subtotal</i>		40 900 000	34 500 000	41 000 000	32 661 039	39 889 162,30	33 330 946,61	96,61 %
04 03 04	EURES (European Employment Services)	1.1	20 800 000	16 000 000	20 600 000	14 969 643	20 981 198,52	16 408 630,70	102,55 %
04 03 05	Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries	1.1	5 692 000	5 200 000	6 270 000	4 989 881	5 204 219,97	4 265 285,40	82,02 %
04 03 06	ENEA preparatory action on active ageing and mobility of elderly people	1.1	—	p.m.	—	p.m.	0,—	183 805,19	

04 03 07	Analysis of, studies on and awareness raising in connection with the social situation, demographics and the family	1.1	4 130 000	4 000 000	2 160 000	1 451 602	2 562 443,05	2 710 685,78	67,77 %
04 03 08	Pilot project — Promoting protection of the right to housing	1.1	p.m.	400 000	1 000 000	500 000			
04 03 09	Pilot project — Working and living conditions of posted workers	1.1	—	p.m.	—	75 000	0,—	414 674,44	
04 03 10	Pilot project — Measures for employment maintenance	1.1	—	200 000	—	450 000	0,—	268 568,23	134,28 %
04 03 11	Pilot project — Enhancing mobility and integration of workers within the Union	1.1	—	30 000	—	50 000	0,—	1 350,00	4,50 %
04 03 12	Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment	1.1	—	800 000	—	1 000 000	1 000 000,00	450 000,00	56,25 %
04 03 13	Preparatory action — Your first EURES Job	1.1	4 500 000	2 000 000	3 250 000	2 125 000	4 000 000,00	0,—	
04 03 14	Pilot project — Social solidarity for social integration	1.1	p.m.	750 000	1 000 000	1 000 000	1 000 000,00	0,—	
04 03 15	European Year for Active Ageing and Solidarity between Generations (2012)	1.1	p.m.	300 000	2 700 000	2 400 000	2 302 194,93	921 986,11	307,33 %
Chapter 04 03 — Total			76 472 000	64 430 000	78 430 000	61 989 703	77 439 218,77	59 067 184,25	91,68 %

Article 04 03 02 — Cost of preliminary consultation meetings with trade union representatives

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
450 000	250 000	450 000	317 538	500 000,00	111 251,79

Remarks

This appropriation is intended to cover expenditure on preliminary consultation meetings between European trade union representatives with a view to helping them form their opinions and harmonise their positions regarding the development of Union policies.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 03 — Social dialogue and the Union's social dimension

Item 04 03 03 01 — Industrial relations and social dialogue

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
16 500 000	13 500 000	16 500 000	13 155 141	16 800 150,40	11 898 701,96

Remarks

This appropriation is intended to cover the financing of the social partners' participation in the European employment strategy and of the social partners' contribution to addressing the overarching challenges facing European employment and social policy as laid down in the Europe 2020 Strategy and the Social Agenda and within the context of Union initiatives to address the consequences of the economic crisis. It is intended to cover grants for promoting social dialogue at cross-industry and sectoral level in accordance with Article 154 of the Treaty on the Functioning of the European Union. The appropriations shall therefore be used to finance

consultations, meetings, negotiations and other operations designed to achieve these objectives.

In addition, this appropriation is intended to cover support for industrial relations measures, in particular those designed to develop expertise and the exchange of Union-relevant information.

This appropriation is also intended to cover the funding of measures involving representatives of the social partners in the candidate countries with the specific purpose of promoting social dialogue at Union level. It is also intended to promote equal participation of women and men in the decision-making bodies of both trade unions and employers' organisations. These last two components are cross-cutting.

On the basis of these objectives, two sub-programmes have been established:

- support for European social dialogue
- improvement of expertise in industrial relations.

Reference acts

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155.

Item 04 03 03 02 — Information and training measures for workers' organisations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 400 000	14 500 000	17 000 000	14 062 392	16 904 002,80	16 254 398,71

Remarks

This appropriation is intended to cover expenditure on information and training measures for workers' organisations, including representatives of workers' organisations in the candidate countries, deriving from the implementation of Union action in the framework of the implementation of the Union social dimension. These measures should help workers' organisations to contribute to addressing the overarching challenges facing European employment and social policy as laid down in the Europe 2020 Strategy and the Social Agenda and within the context of Union initiatives to address the consequences of the economic crisis.

In addition, this appropriation is intended to cover support for the work programmes of the two specific trade union institutes, ETUI (European Trade Union Institute) and EZA (European Centre for Workers' Questions), which have been established to facilitate capacity-building through training and research at European level, as well as to improve the degree of involvement of workers' representatives in European governance.

Part of this appropriation is intended to finance measures involving representatives of workers' organisations in the candidate countries with the specific purpose of promoting social dialogue at Union level. It is also intended to promote equal participation of women and men in the decision-making bodies of workers' organisations.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual Directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Reference acts

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Item 04 03 03 03 — Information, consultation and participation of representatives of undertakings

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 000 000	6 500 000	7 500 000	5 443 506	6 185 009,10	5 177 845,94

Remarks

This appropriation is intended to cover funding operations in order to ensure the conditions for fostering the development of employee involvement in undertakings by promoting Directives 94/45/EC and 97/74/EC on European Works Councils, Directives 2001/86/EC and 2003/72/EC on employees' involvement in the European Company and in the European Cooperative Society, respectively, Directive 2002/14/EC establishing a general framework for informing and consulting employees in the European Community and Article 16 of Directive 2005/56/EC on cross-border mergers of limited liability companies.

This appropriation covers the funding of measures aimed at strengthening transnational cooperation between workers' and employers' representatives in respect of information, consultation and participation of employees within companies operating in more than one Member State. Short training actions for negotiators and representatives in transnational information, consultation and participation bodies may be funded in that context. This may involve social partners from candidate countries.

This appropriation may be used to finance measures enabling social partners to exercise their rights and their duties as regards employee involvement, especially within the framework of European Work Councils and in SMEs, to familiarise them with transnational company agreements and strengthen their cooperation in respect to Union law on employee involvement.

In addition it may be used to finance measures aimed at developing expertise on employee involvement across Member States, promoting cooperation between relevant authorities and stakeholders and fostering relations with the Union institutions so as to support the implementation and improve the effectiveness of EU law on employee involvement.

Moreover this appropriation may be used to promote innovative actions relating to employee involvement, with the view of supporting the anticipation of change and the prevention and resolution of disputes in the context of corporate restructuring, mergers, take-overs and relocation in Union-scale undertakings and Union-scale groups of undertakings.

Additionally, this appropriation may be used for cooperation between the social partners for the development of solutions addressing the consequences of the economic crisis, such as mass redundancies, or the need for a shift towards an inclusive, sustainable and low-carbon economy.

Legal basis

Council Directive 94/45/EC of 22 September 1994 on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 254, 30.9.1994, p. 64), and in particular Article 15 thereof on a review by the Commission.

Council Directive 97/74/EC of 15 December 1997 extending to the United Kingdom of Great Britain and Northern Ireland Directive 94/45/EC on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 10, 16.1.1998, p. 22).

Council Directive 2001/86/EC of 8 October 2001 supplementing the Statute for a European Company with regard to the involvement of employees (OJ L 294, 10.11.2001, p. 22).

Directive 2002/14/EC of the European Parliament and of the Council of 11 March 2002 establishing a general framework for informing and consulting employees in the European Community (OJ L 80, 23.3.2002, p. 29).

Council Directive 2003/72/EC of 22 July 2003 supplementing the Statute for a European Cooperative Society with regard to the involvement of employees (OJ L 207 of 18.8.2003, p. 25).

Directive 2005/56/EC of the European Parliament and of the Council of 26 October 2005 on cross-border mergers of limited liability companies (OJ L 310, 25.11.2005, p. 1).

Reference acts

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union under Articles 154 and 155.

Article 04 03 04 — EURES (European Employment Services)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 800 000	16 000 000	20 600 000	14 969 643	20 981 198,52	16 408 630,70

Remarks

This appropriation is intended, for completion of the internal market and implementation of the European employment strategy, to cover the implementation and operation of the EURES network.

This network is aimed at developing cooperation between the Commission and the Member States, and in particular their employment services, with a view to:

- the provision of placement, advice and information services for workers as regards employment in another Member State and for employers wishing to recruit in another Member State,
- the exchange of job vacancies and applications at Union level and across borders,
- the exchange of information between Member States concerning labour-market trends and living and working conditions.

The EURES network may include special cooperation and service structures at the initiative of border regions.

The EURES network has close operational links with the relevant activities in the Commission Directorates-General for Education and Culture and Justice, such as Europass and Ploteus.

The network will ensure that the principle of freedom of movement is respected and that it operates in a transparent and non-discriminatory fashion, with particular regard to access to employment for Union nationals in a country other than that of their origin.

This appropriation covers measures that are essential to the smooth running of the EURES network, in particular the following support measures:

- subsidies for national and cross-border support activities organised by EURES partners,
- initial and further training of EURES advisers in the Member States,
- contacts between EURES advisers and cooperation between government employment services, including those of candidate countries,
- promotion of EURES among firms and the general public,
- development of special structures for cooperation and services in border areas pursuant to Article 17(b) of Regulation (EEC) No 1612/68,
- measures to help remove mobility obstacles, particularly in the field of work-related social security,
- participation in the maintenance, enhancement and continuous development of computerised systems linking the EURES network and its stakeholders. This includes a one-stop web portal, also accessible for disabled people, providing multilingual access to information on job vacancies, CVs of potential candidates, living and working conditions, labour market trends, education and training opportunities as well as other job mobility related contents. This portal should also address the information needs of nationals of third countries, in particular from countries falling under the European Neighbourhood Policy.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Regulation (EEC) No 1612/68 of 15 October 1968 on freedom of movement for workers within the Community (OJ L 257, 19.10.1968, p. 2).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on freedom of movement for workers within the Union (OJ L 141, 27.5.2011, p. 1).

Commission Decision 2003/8/EC of 23 December 2002 implementing Council Regulation (EEC) No 1612/68 as regards the clearance

of vacancies and applications for employment (OJ L 5, 10.1.2003, p. 16).

Article 04 03 05 — Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 692 000	5 200 000	6 270 000	4 989 881	5 204 219,97	4 265 285,40

Remarks

This appropriation is intended to cover:

- the analysis and evaluation of the major trends in legislation in the Member States with regard to the free movement of persons, and coordination of social security systems, as well as the financing of networks of experts in those fields,
- the analysis and research on new policy developments in the domain of free movement of workers linked for example to the end of transitional periods and modernisation of social security coordination provisions,
- support to the work of the Administrative Commission and its sub-groups and follow-up of the decisions taken, as well as support to the work of the Technical and Advisory Committees on free movement of workers,
- the financing of actions aimed at providing a better service and raising awareness to the public, including measures aimed at identifying migrant workers' social security problems and measures speeding up and simplifying administrative procedures, analysis of the barriers to free movement and lack of coordination of social security systems and their impact on disabled people, including adaptation of administrative procedures to new information processing techniques, in order to improve the system for acquiring rights and the calculation and payment of benefits pursuant to Regulations (EEC) No 1408/71, (EEC) No 574/72 and (EC) No 859/2003 as well as Regulation (EC) No 883/2004, its implementing Regulation (EC) No 987/2009 and Regulation (EU) No 1231/2010,
- the financing of actions related to the electronic exchange of social security information among and aiming at developing cooperation between Member States, with a view to facilitate implementing Regulation (EC) No 883/2004 and its implementing Regulation (EC) No 987/2009. These activities include in particular the maintenance of the central node of the EESSI (Electronic Exchange of Social Security Information) system, testing system components, help-desk activities and development of the system and training actions.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Treaty on the Functioning of the European Union, and in particular Articles 45 and 48 thereof.

Council Regulation (EEC) No 1612/68 of 15 October 1968 on freedom of movement of workers within the Community (OJ L 257, 19.10.1968, p. 2).

Council Regulation (EEC) No 1408/71 of 14 June 1971 on the application of social security schemes to employed persons, to self-employed persons and to members of their families moving within the Community (OJ L 149, 5.7.1971, p. 2).

Council Regulation (EEC) No 574/72 of 21 March 1972 laying down the procedure for implementing Regulation (EEC) No 1408/71 on the application of social security schemes to employed persons, to self-employed persons and to their families moving within the Community (OJ L 74, 27.3.1972, p. 1).

Council Directive 98/49/EC of 29 June 1998 on safeguarding the supplementary pension rights of employed and self-employed persons moving within the Community (OJ L 209, 25.7.1998, p. 46).

Council Regulation (EC) No 859/2003 of 14 May 2003 extending the provisions of Regulation (EEC) No 1408/71 and Regulation (EEC) No 574/72 to nationals of third countries who are not already covered by those provisions solely on the ground of their nationality (OJ L 124, 20.5.2003, p. 1).

Regulation (EC) No 883/2004 of the European Parliament and of the Council of 29 April 2004 on the coordination of social security systems (OJ L 166, 30.4.2004, p. 1).

Regulation (EC) No 987/2009 of the European Parliament and of the Council of 16 September 2009 laying down the procedure for implementing Regulation (EC) No 883/2004 on the coordination of social security systems (OJ L 284, 30.10.2009, p. 1).

Regulation (EU) No 1231/2010 of the European Parliament and of the Council of 24 November 2010 extending Regulation (EC) No 883/2004 and Regulation (EC) No 987/2009 to nationals of third countries who are not already covered by these Regulations solely on the ground of their nationality (OJ L 344, 29.12.2010, p. 1).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on freedom of movement for workers within the Union (OJ L 141, 27.5.2011, p. 1).

Article 04 03 06 — ENEA preparatory action on active ageing and mobility of elderly people

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	183 805,19

Remarks

This appropriation is intended to fund initiatives to promote active ageing, including access to the labour market in accordance with the goals of:

- the Lisbon European Council of 23 and 24 March 2000, which set up the strategic goal for the next decade of becoming the most competitive and dynamic knowledge-based economy in the world capable of sustainable economic growth with more and better jobs and greater social cohesion,
- the Barcelona European Council of 15 and 16 March 2002, which called for a progressive increase in the effective average age at which people stop working in the Union,
- the Stockholm European Council of 23 and 24 March 2001, which called upon the Council and the Commission to report jointly on how to increase labour force participation and promote active ageing,
- Article 3 of the Treaty on European Union, which calls for a high level of employment, the raising of the standard of living and quality of life as well as economic and social cohesion and solidarity among Member States,
- Council Decision 2003/578/EC of 22 July 2003 on guidelines for the employment policies of the Member States (OJ L 197, 5.8.2003, p. 13), which recalls the Lisbon and Stockholm targets and the demographic challenge for the employment rate for older women and men. Guideline 5 specifically refers to increasing the labour supply and promoting active ageing,
- Council Recommendation 2003/579/EC of 22 July 2003 on the implementation of Member States' employment policies (OJ L 197, 5.8.2003, p. 22), which identifies certain actions to be taken with regard to the labour supply and active ageing.

It is also intended to finance measures to encourage the establishment of exchange programmes for the elderly through specialised organisations tasked with developing, inter alia, resources for mobility and adapting infrastructures, including within travel, in accordance with the contents of the following resolutions:

- European Parliament resolution of 11 April 2002 on the second United Nations World Assembly on Ageing (Madrid, 8 to 12 April 2002) (OJ C 127 E, 29.5.2003, p. 675), which stressed the importance of promoting programmes encouraging mobility for the elderly, and in particular paragraphs 13 and 14 thereof,
- European Parliament resolution of 15 December 2000 on the Commission communication 'Towards a Europe for all ages — Promoting prosperity and intergenerational solidarity' (OJ C 232, 17.8.2001, p. 381).

High-quality health services and increased longevity in the Member States is shifting the focus in economic policy from social protection issues to the involvement of elderly people in different activities. Preparatory actions are needed to find the most efficient policy tools for solving this problem.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 07 — Analysis of, studies on and awareness raising in connection with the social situation, demographics and the family

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 130 000	4 000 000	2 160 000	1 451 602	2 562 443,05	2 710 685,78

Remarks

Each year, in accordance with Article 159 of the Treaty on the Functioning of the European Union, the Commission presents a report on the social situation. Every two years, it presents a report on demographic change and its implications. Pursuant to Article 161 of the Treaty on the Functioning of the European Union, the European Parliament can ask the Commission to draw up reports on problems concerning the social situation. This appropriation will be used to carry out the required analysis for the reporting referred to in the Treaty, as well as for the dissemination of knowledge on major social and demographic challenges and ways of tackling them.

Reference acts

Treaty on the Functioning of the European Union, and in particular Articles 159 and 161 thereof.

Article 04 03 08 — Pilot project — Promoting protection of the right to housing

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	1 000 000	500 000		

Remarks

This pilot project is intended to support a Union active inclusion strategy, promoting common recognition of fundamental rights. In this context, protecting the main private residence against seizure and preventing the loss of rented housing by low-income families (where the property is mortgaged) should be seen in the framework of the wider right to housing assistance, as recognised by Article 34(3) of the Charter of Fundamental Rights of the European Union.

The pilot project should provide for:

- an analysis of the law in force in the Member States to protect the main private residences of insolvent families against seizure where the property is mortgaged
- an analysis of the link between anti-eviction policies and the legally binding right to housing in the countries which recognise it,
- a study of the possibility of establishing a common minimum framework limiting seizure of the main private residence where this is the only housing occupied by the debtor.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 09 — Pilot project — Working and living conditions of posted workers

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	75 000	0,—	414 674,44

Remarks

This appropriation is intended to fund initiatives which help to explore the real working and living conditions of posted workers and

how the Member States, social partners and labour inspectorates work in practice. The pilot project focuses on few specific sectors with a high number of posted workers, e.g. construction, agriculture and healthcare sectors.

The purpose of the pilot project is to:

- promote the exchange of relevant information, establish best practices and publish an overview of the state of play in the Member States,
- examine any questions and difficulties which might arise in the practical application of the posting of workers legislation, as well as in its enforcement in practice.

Measures covered will include the exchange of information on posted workers with a focus on:

- discrepancies between their wages and the remuneration of those workers who are in a similar occupation in the host country,
- discrepancies between their working time spent at work and the working time defined in the contract,
- their real remunerated leave,
- their living conditions, including occupational health and safety conditions,
- their contractual arrangements and the duration of their posting,
- their trade union representation in the host country,
- how inspectorates work and the frequency of their control.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 10 — Pilot project — Measures for employment maintenance

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	200 000	—	450 000	0,—	268 568,23

Remarks

The appropriation is intended to finance initiatives to examine to what extent jobs which have been put at risk by the financial crisis can be preserved through short-time working and in-service training. The purpose of the pilot project is to:

- promote the exchange of relevant information and establish and publicise best practices,
- examine issues and problems potentially arising when such practices are applied.

Measures are to be financed to:

- examine to what extent the introduction of temporary short-time working, with government financial support, is a suitable means, in such a financial and economic situation, of preserving jobs without distorting competition,
- establish the prospects of success of sending workers at risk of being laid off to skills agencies,
- assess what tangible labour market measures ought to be taken, and at what level, in order to avoid rising youth unemployment in particular,
- examine how such labour policy measures change the living and working conditions of the individuals concerned.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 11 — Pilot project — Enhancing mobility and integration of workers within the Union

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	30 000	—	50 000	0,—	1 350,00

Remarks

This appropriation is aimed at initiating a ‘Union mobility and integration scheme’ in order to assist migrant workers and thus foster the positive effects of labour mobility within the Union. Particular attention will be paid to facilitating the mobility of vulnerable sections of the population, as called for by the European Parliament in its resolution of 18 December 2008 on the European Job Mobility Action Plan (2007-2010). Such a scheme will help overcome the unfavourable conditions for mobile workers to integrate into the host country, including various social difficulties which they encounter, and help them, possibly, to return to their country of origin. Two lines of action will be tested to that end:

- initiating networks and partnerships of actors working across the main trajectories of intra-Union worker migration, and
- creating advice structures (one-stop shops) to meet the multiplicity of needs of the most vulnerable Union migrants.

The scheme will thus support the Member States in developing integration and social inclusion policies in order to address the social difficulties and reap the overall economic and labour market benefits of mobility. The pilot phase of the scheme will also enable stakeholders to scale up their activity and prepare for possible future financing from the European Social Fund.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 12 — Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	800 000	—	1 000 000	1 000 000,00	450 000,00

Remarks

The purpose of the pilot project is to:

- increase the number of employment sectors through which vulnerable sections of the population can be integrated into society and employment (agricultural, industrial, commercial sectors, etc.),
- create networks linking public institutions, commercial firms and not-for-profit enterprises capable of taking an innovative approach to governance and the formulation of welfare indicators which can be used to quantify the impact on the target groups.

The project includes:

- making the business world aware of social responsibilities through support for firms involved in integrating people into the labour market,
- proposals for efficient, effective solutions to the socio-economic problems suffered by each specific area and target group, stemming from cooperation between public authorities, commercial firms and not-for-profit enterprises,
- production of qualitative and quantitative results measured in terms of higher employment rates and more stable jobs, employment of women and integration of vulnerable groups into employment.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 13 — Preparatory action — Your first EURES Job

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 500 000	2 000 000	3 250 000	2 125 000	4 000 000,00	0,—

Remarks

This preparatory action is intended to provide young people with access to more employment opportunities and to encourage and facilitate their work placement throughout the Member States. With the support of EURES work matching, work placement services will be provided, granting access to employment opportunities throughout the Union. Companies, particularly small and medium-sized enterprises, will be encouraged to recruit more young people, including by financial support.

Target groups:

- young people up to 30 years of age, regardless of their qualifications and work experience as the scheme is not exclusively tailored for labour market entrants,
- all legally established businesses, particularly small and medium-sized enterprises, to assist with reducing the costs of international hiring that affect mostly smaller companies.

Eligible jobs:

‘Your first EURES job’ will offer young people apprenticeships, a first work experience or specialised jobs. The scheme will not support situations of job substitution or precarious employment, or in any circumstances be contrary to national labour law.

To be eligible for funding, jobs have also to comply with the following criteria:

- be located in a EURES member country other than the country of origin of the young job seeker (transnational vacancies),
- ensure a work placement for a minimum contractual period of six months.

The following costs will be covered:

- costs generated by the recruitment process and a recruitment allowance allocated by the EURES member of the country of destination,
- a financial incentive for the employer to cover the mobile worker's integration costs (e.g. induction training, language course, administrative support) after the recruitment process has been concluded,
- the job seeker's travel and subsistence costs for the first interview and the costs of moving abroad.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 14 — Pilot project — Social solidarity for social integration

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	750 000	1 000 000	1 000 000	1 000 000,00	0,—

Remarks

This pilot project aims to support and promote the strengthening of an active inclusion Union strategy, ensuring that all people have the resources necessary to lead a life that is compatible with human dignity, in all Member States.

This pilot project should finance the creation of a network to facilitate the exchange of best practices between Member States, local and territorial administrations, trade unions and associations on national minimum income schemes. The information collected and exchanged should also facilitate the drawing up of a study on possible common measures for a minimum income.

04 04 03 01	European Foundation for the Improvement of Living and Working Conditions — Contribution to Titles 1 and 2	1.1	13 263 000	13 263 000	13 265 379	13 265 379	13 040 000,00	13 040 000,00	98,32 %
04 04 03 02	European Foundation for the Improvement of Living and Working Conditions — Contribution to Title 3	1.1	6 852 000	6 852 000	7 023 721	7 023 721	7 170 000,00	7 170 000,00	104,64 %
	<i>Article 04 04 03 — Subtotal</i>		20 115 000	20 115 000	20 289 100	20 289 100	20 210 000,00	20 210 000,00	100,47 %
04 04 04	<i>European Agency for Safety and Health at Work</i>								
04 04 04 02	European Agency for Safety and Health at Work — Contribution to Titles 1 and 2	1.1	6 978 964	6 978 964	6 978 964	6 978 964	7 288 369,18	6 454 821,00	92,49 %
04 04 04 03	European Agency for Safety and Health at Work — Contribution to Title 3	1.1	7 056 036	7 056 036	7 590 736	7 590 736	7 592 500,00	7 381 770,00	104,62 %
	<i>Article 04 04 04 — Subtotal</i>		14 035 000	14 035 000	14 569 700	14 569 700	14 880 869,18	13 836 591,00	98,59 %
04 04 07	<i>Completion of previous programmes</i>	1.1	p.m.	500 000	—	453 626	0,—	356 264,66	71,25 %
04 04 08	<i>Pilot project — Encourage conversion of precarious work into work with rights</i>	1.1	p.m.	450 000	—	450 000	702 518,86	298 215,74	66,27 %
04 04 10	<i>Pilot project — Accompanying workers during industrial change</i>	1.1	—	—	—	—	0,—	0,—	
04 04 11	<i>Pilot project — Preventing elder abuse</i>	1.1	p.m.	300 000	—	500 000	0,—	423 527,02	141,18 %
04 04 12	<i>European Year for Combating Poverty and Social Exclusion 2010</i>	1.1	p.m.	450 000	p.m.	408 263	0,—	1 678 132,58	372,92 %
04 04 15	<i>European Progress Microfinance Facility</i>	1.1	26 500 000	21 400 000	24 750 000	22 454 464	24 750 000,00	6 700 000,00	31,31 %
04 04 16	<i>Pilot project — Health and safety at work of older workers</i>	1.1	p.m.	650 000	2 000 000	1 000 000			
04 04 17	<i>Preparatory action — Activation measures targeting young people - implementing the 'Youth on the Move' initiative</i>	1.1	p.m.	1 200 000	4 000 000	2 000 000			
	Chapter 04 04 — Total		118 153 000	112 300 000	124 530 800	111 116 710	117 967 733,75	97 272 632,03	86,62 %

Article 04 04 01 — Progress

Item 04 04 01 01 — Employment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 558 000	18 000 000	20 558 000	16 330 519	20 156 092,47	16 873 400,39

Remarks

This appropriation is intended to support the Lisbon Strategy for growth and jobs and the implementation of the European Employment Strategy (EES) and contributing to reaching the Europe 2020 Strategy objectives by:

- improving understanding of the employment situation and prospects, in particular through analysis and studies and the development of statistics and common indicators in the framework of the EES,
- monitoring and evaluating the implementation of the European Employment Guidelines and Recommendations and their impact, notably through the Joint Employment Report, and analysing the interaction between the EES and general economic and social policy and other policy areas,
- organising exchanges on policies, good practice and innovative approaches, mainstreaming the needs of vulnerable groups, such as people with disabilities and informal carers, promoting the diffusion of microcredit practices to support the development of entrepreneurship among disadvantaged people, and promoting mutual learning in the context of the EES and Europe 2020,
- raising awareness, disseminating information and promoting the debate about employment challenges, policies, gender-sensitive and gender-budgeting approaches, and the implementation of national reform programmes, including among regional and local

actors, social partners, civil society and other stakeholders,

- setting up and organising networks and regular exchange with international organisations active in the area of employment and social affairs, like the OECD and ILO, to ensure the coherence of internal and external policy of the Union in this field.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement to Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1), a sufficient unallocated margin under the expenditure ceiling of subheading 1a has been made available whereby the budgetary authority, i.e. the European Parliament and the Council, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Item 04 04 01 02 — Social protection and inclusion

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
28 485 000	25 500 000	28 485 000	24 042 153	28 694 634,05	27 827 578,57

Remarks

This appropriation is intended to support the implementation of the open method of coordination (OMC) in the field of social protection and inclusion by:

- improving the understanding of issues and policies concerning poverty and social exclusion, pensions, healthcare and long-term care (formal as well as informal), in particular through analyses and studies and the development of statistics and common indicators, in the context of the OMC in the field of social protection and inclusion,
- monitoring and evaluating the implementation of the OMC in the field of social protection and inclusion and its impact at national and Union level and analysing the interaction between this OMC and other policy areas,
- organising exchanges on policies, good practice and innovative approaches, mainstreaming the needs of vulnerable groups, such as people with disabilities and informal carers, and promoting mutual learning in the context of the social protection and inclusion strategy and also in the context of health inequalities,
- raising awareness, disseminating information and promoting debate about the key challenges and policy issues raised in the context of the Union coordination process in the field of social protection and social inclusion, including among national, regional and local actors, social partners, civil society and other stakeholders, as well as the wider public, with a view to enhancing the visibility of the process, encourage ambition in objective setting and increase the focus on policy implementation,
- strengthening the capacity of key Union networks to support and further enhance the development, implementation and coherence of the Union’s internal and external policy goals and strategies on social protection and inclusion, also in cooperation with international actors like the OECD, ILO and WTO.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue

in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement to Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1), a sufficient unallocated margin under the expenditure ceiling of subheading 1a has been made available whereby the budgetary authority, i.e. the European Parliament and the Council, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Item 04 04 01 03 — Working conditions

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 260 000	8 500 000	8 525 000	7 711 634	7 379 619,19	7 224 340,42

Remarks

This appropriation is intended to support the improvement of the working environment and conditions, including adaptability to change, health and safety at work, provision of reasonable accommodation for disabled workers and reconciling work and family life (e.g. for informal carers):

- improving the understanding of the situation in relation to working conditions, in particular through analysis and studies and, where appropriate, the development of statistics and indicators, as well as assessing the effectiveness and impact of existing legislation, policies and practices and the presentation of proposals for their improvement,
- supporting the implementation of Union labour law through effective monitoring, holding seminars for those working in the field, developing guides and networking among specialised bodies and legal experts, including social partners,
- initiating preventive actions and fostering health and safety at work, in particular with regard to an ageing workforce,
- raising awareness, exchanging good practice, disseminating information and promoting the debate on the key challenges and policy issues in relation to working conditions and the reconciliation of work and family life (e.g. the promotion of informal carers, family-friendly policies in the workplace, childcare, supportive infrastructures for expectant- and working-mothers and those trying to re-enter the labour market, etc.), including among social partners,
- strengthening the cooperation between actors of the internal and external dimension of employment and social policy in and outside the Union institutions to ensure better coherence of the internal and external policy of the Union in this field,
- establishing international cooperation networks for the dissemination of information on workers' rights to governments, workers' and employers' organisations and citizens to improve the implementation of the core ILO conventions and the decent work agenda.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional

appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement to Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1), a sufficient unallocated margin under the expenditure ceiling of subheading 1a has been made available whereby the budgetary authority, i.e. the European Parliament and the Council, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Item 04 04 01 06 — Support for implementation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	1 200 000	1 354 000	907 251	1 194 000,00	1 844 581,65

Remarks

This appropriation is intended to support the implementation of the programme to cover, in particular, the expenditure related to the annual monitoring evaluation and the annual Forum on the Social Agenda (Progress), which promotes dialogue between all stakeholders from all levels, publicises the programme's results and discusses future priorities, in particular in relation to the next programming cycle (2014-2020).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement to Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1), a sufficient unallocated margin under the expenditure ceiling of subheading 1a has been made available whereby the budgetary authority, i.e. the European Parliament and the Council, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Article 04 04 03 — European Foundation for the Improvement of Living and Working Conditions

Item 04 04 03 01 — European Foundation for the Improvement of Living and Working Conditions — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
13 263 000	13 263 000	13 265 379	13 265 379	13 040 000,00	13 040 000,00

Remarks

This appropriation is intended to cover the Foundation's staff and administrative expenditure (Titles 1 and 2).

EUR 1 000 000 is intended for the analytical work of the European Monitoring Centre on Change (EMCC), decided upon by the Nice European Council of 7 to 9 December 2000 with a view to identifying, anticipating and managing technological, social and economic trends.

The Foundation must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Foundation's establishment plan is set out in the Part entitled 'Establishment plan staff' of the Section III — Commission (Volume 3).

Legal basis

Council Regulation (EEC) No 1365/75 of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions (OJ L 139, 30.5.1975, p. 1).

Item 04 04 03 02 — European Foundation for the Improvement of Living and Working Conditions — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 852 000	6 852 000	7 023 721	7 023 721	7 170 000,00	7 170 000,00

Remarks

This appropriation is intended to cover only the Foundation's operational expenditure for the work programme (Title 3).

The Foundation must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

Part of this appropriation is intended for the work of the European Monitoring Centre for Change (EMCC), decided upon at the Nice European Council of 7 to 9 December 2000 with a view to identifying, anticipating and managing technological, social (in particular demographic) and economic trends. To this end, high-quality information must be collected, processed and analysed.

For this purpose, EUR 1 000 000 has been earmarked to finance the activities of the EMCC.

This appropriation will also cover studies on the impact of new technologies in the workplace and on occupational illnesses, such as, for example, the impact of repetitive movements when performing a task.

The Union contribution for 2013 amounts to a total of EUR 20 384 000. An amount of EUR 269 000 coming from the recovery of surplus is added to the amount of EUR 20 115 000 entered in the budget.

Part of this appropriation is intended to cover work on three topics of importance in relation to family policies:

- family-friendly policies in the workplace (balance between work and family life, working conditions, etc.),
- factors influencing the situation of families with regard to community housing (access to decent housing for families),
- lifelong family support concerning, for example, childcare and other issues that fall within the Foundation's forms of reference.

Legal basis

Regulation (EEC) No 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions (OJ L 139, 30.5.1975, p. 1).

Article 04 04 04 — European Agency for Safety and Health at Work

Item 04 04 04 02 — European Agency for Safety and Health at Work — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 978 964	6 978 964	6 978 964	6 978 964	7 288 369,18	6 454 821,00

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of the Section III — Commission (Volume 3).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Regulation (EC) No 2062/94 of 18 July 1994 establishing a European Agency for Safety and Health at Work (OJ L 216, 20.8.1994, p. 1).

Item 04 04 04 03 — European Agency for Safety and Health at Work — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 056 036	7 056 036	7 590 736	7 590 736	7 592 500,00	7 381 770,00

Remarks

This appropriation is only intended to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure. The Agency's objective is to provide the Union institutions, Member States and interested parties with technical, scientific and economic information of use in the area of health and safety at work.

EUR 1 000 000 is earmarked for a programme for small and medium-sized enterprises.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The Union contribution for 2013 amounts to a total of EUR 14 678 900. An amount of EUR 643 900 coming from the recovery of surplus is added to the amount of EUR 14 035 000 entered in the budget.

This appropriation covers the measures necessary to accomplish the Agency's tasks as defined in Regulation (EC) No 2062/94, and in particular:

- awareness-building and risk anticipation measures, with special emphasis on small and medium-sized enterprises,
- setting up a 'risk observatory' based on examples of good practice collected from firms or specific branches of activity,
- also in collaboration with the International Labour Organization, organising exchanges of experience, information and good practices,
- integrating the candidate countries into these information networks and devising working tools which are geared to their specific situation,
- organising the European week on health and safety, focusing on specific risks and needs of users and final beneficiaries.

Legal basis

Council Regulation (EC) No 2062/94 of 18 July 1994 establishing a European Agency for Safety and Health at Work (OJ L 216, 20.8.1994, p. 1).

Article 04 04 07 — Completion of previous programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	—	453 626	0,—	356 264,66

Remarks

This appropriation is intended to cover the funding of commitments remaining to be settled from past years in respect of the former articles and items.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The candidate countries may make use of the Phare pre-accession instrument to cover the expenditure resulting from their participation in the programmes.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision of 9 July 1957 concerning the terms of reference and rules of procedure of the Mines Safety and Health Commission (OJ 28, 31.8.1957, p. 487/57).

Council Decision 74/325/EEC of 27 June 1974 on the setting up of an Advisory Committee on Safety, Hygiene and Health Protection at Work (OJ L 185, 9.7.1974, p. 15).

Council Decision 74/326/EEC of 27 June 1974 on the extension of the responsibilities of the Mines Safety and Health Commission to all mineral-extracting industries (OJ L 185, 9.7.1974, p. 18).

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Council Decision 98/171/EC of 23 February 1998 on Community activities concerning analysis, research and cooperation in the field of employment and the labour market (OJ L 63, 4.3.1998, p. 26).

Council Decision 2000/750/EC of 27 November 2000 establishing a Community action programme to combat discrimination (2001 to 2006) (OJ L 303, 2.12.2000, p. 23).

Decision No 50/2002/EC of the European Parliament and of the Council of 7 December 2001 establishing a programme of Community action to encourage cooperation between Member States to combat social exclusion (OJ L 10, 12.1.2002, p. 1).

Decision No 1145/2002/EC of the European Parliament and of the Council of 10 June 2002 on Community incentive measures in the field of employment (OJ L 170, 29.6.2002, p. 1).

Council Decision of 22 July 2003 setting up an Advisory Committee on Safety and Health at Work (OJ C 218, 13.9.2003, p. 1).

Decision No 1554/2005/EC of the European Parliament and of the Council of 7 September 2005 amending Council Decision 2001/51/EC establishing a Programme relating to the Community framework strategy on gender equality and Decision No 848/2004/EC establishing a Community action programme to promote organisations active at European level in the field of equality between men and women (OJ L 255, 30.9.2005, p. 9).

Reference acts

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Community under Articles 136, 137 and 140 (Treaty on the Functioning of the European Union under Articles 151, 152 and 156).

Article 04 04 08 — Pilot project — Encourage conversion of precarious work into work with rights

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	450 000	—	450 000	702 518,86	298 215,74

Remarks

This appropriation is intended to support measures to encourage conversion of precarious work into work with rights.

Part of this appropriation is allocated for the closed partnership with the representatives of the poorest workers at any step of the pilot project.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 04 10 — Pilot project — Accompanying workers during industrial change

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

This appropriation is intended to fund initiatives which help workers to adapt to industrial change. Measures covered will include:

- examining how workers can be better prepared for industrial change and which industrial sectors may be concerned in the near future,
- analysing and promoting exchanges on policies and best practices with a view to coping with industrial changes through a socially responsible approach.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 04 11 — Pilot project — Preventing elder abuse

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	—	500 000	0,—	423 527,02

Remarks

This appropriation is intended to fund initiatives which help uncover the extent of abuse of older people in the Union as a follow-up to the European Parliament resolution of 21 February 2008 on the demographic future of Europe (OJ C 184 E, 6.8.2009, p. 75) and to the conference organised by the Commission on 17 March 2008 on the topic of elder abuse.

In particular, the pilot project will focus on:

- assessing the scale of elder abuse according to the WHO definition (physical, psychological and financial abuse),
- gaining a better understanding of the causes of elder abuse,
- measuring the effectiveness of policy responses in the Member States.

To achieve this aim, measures covered will include:

- collecting data on the incidence of elder abuse across the Union, including risk and protective factors,
- mapping existing policy approaches and policy frameworks in the Union in order to identify good practices and present a reference framework comprising measures and instruments needed for effective prevention of elder abuse.

Best practices in policy approaches and policy frameworks will be established through an assessment of which instruments work and which are less effective in the prevention of elder abuse.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 04 12 — European Year for Combating Poverty and Social Exclusion 2010

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	450 000	p.m.	408 263	0,—	1 678 132,58

Remarks

The European Year for Combating Poverty and Social Exclusion was intended to reaffirm and strengthen the initial political commitment of the Union at the start of the Lisbon Strategy to making a 'decisive impact on the eradication of poverty'.

In accordance with Decision No 1098/2008/EC, this appropriation was intended to support the national activities that were put in place by the Member States in line with their European Year's national strategy and priorities. Part of this appropriation also covered the costs relating to the organisation of the closing Union conference by the incumbent Presidency and reinforcing the communication and information activities at European and national levels.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding

appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1098/2008/EC of the European Parliament and of the Council of 22 October 2008 on the European Year for Combating Poverty and Social Exclusion (2010) (OJ L 298, 7.11.2008, p. 20).

Article 04 04 15 — European Progress Microfinance Facility

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
26 500 000	21 400 000	24 750 000	22 454 464	24 750 000,00	6 700 000,00

Legal basis

Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1).

Article 04 04 16 — Pilot project — Health and safety at work of older workers

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	650 000	2 000 000	1 000 000		

Remarks

Based on the European Parliament's study on new forms of physical and psychosocial health risks at work (IP/A/EMPL/FWC/2006-205/C1-SC1) and its resolution on the Community strategy 2007-2013 on health and safety at work (OJ C 41 E, 19.2.2009, p. 14), this pilot project should enhance the implementation of the recommendations and the exchange of best practice with the assistance of the European Agency for Safety and Health at Work (OSHA). An assessment should be made as to the appropriateness of a preparatory action with a view to putting in place a Union instrument to reach the objective of ensuring that 75 % of the population aged from 20 to 64 is in employment, as set out in the Europe 2020 Strategy, and of promoting the physical and psychological health of older workers. This pilot project should further investigate possible ways of improving the health and safety of older people at work and meet the need to further develop the work already done by OSHA as the ageing workforce will have a serious financial impact on workers, employers and taxpayers. In particular, the pilot project should:

- promote a European work-force with a balance of youth and maturity which is regarded as being best able to respond to the rapidly changing circumstances associated with globalisation and to encourage throughout the Union human resource management with an effective long-term strategy that permits appropriate adjustments in careers and training by focussing on developing skills and attenuating the process of decline,
- encourage and support the activities of the Member States regarding the gender dimension, among which information on the impact of the menopause, and the needs of all workers independent of good working conditions, in particular as they relate to health and safety, flexible working arrangements and care services,
- support, at Union level, the development and dissemination of data regarding typical problems of older workers, such the decline of physical capacity and fitness and of some psychophysical capabilities (e.g. perceptiveness, response rate, efficiency of the sense organs), the increased incidence rate of many diseases of older workers, for example cardiovascular, respiratory and musculoskeletal diseases and hormonal and metabolic disorders, as well as of the corresponding preventive and therapeutic actions, including corresponding training of the responsible human resource management,
- increase identification, exchange and sharing of good practices (e.g. case studies) on occupational safety and health of older workers,

- encourage the development of initiatives aimed at opening access to technical advice on the health risks facing older workers, for SMEs in particular,
- ensure that existing legal requirements for the prevention of harm to workers are applied, where necessary specifically for older workers, among which the consideration of diversity in risk assessment,
- ensure the integration, or mainstreaming, of occupational safety and health into general employment policies in areas such as equality actions, retirement/pension options, human resources and training issues,
- ensure the integration, or mainstreaming, of issues relating to the changing demographic structure of Europe's workforce into labour inspection activities and other prevention activities,
- facilitate development in areas such as return-to-work and rehabilitation for older workers, including individualised training, for example after an occupational musculoskeletal disorder.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 04 17 — Preparatory action — Activation measures targeting young people - implementing the 'Youth on the Move' initiative

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	1 200 000	4 000 000	2 000 000	

Remarks

Youth unemployment is a major challenge in Europe. Around 5,5 million young people within the Union are currently unemployed, one in five persons under the age of 25 is out of a job and 15 % leave school without graduating. This has severe economic and social consequences for society, as well as for individuals. Studies show that people who are unemployed while young are more likely to fall into long-term unemployment later in life and they are more likely to end up in precarious work. It is consequently of the utmost importance that Member States help all young people to find a job or to pursue their education when unemployed, no matter their level of education. In this sense, the Europe 2020 Strategy sets clear targets for the Member States to reduce the share of early school leavers to 10 % and raise the employment rate to 75 % and addresses these problems by means of the flagship initiative 'Youth on the Move' calling upon Member States to provide a so-called 'Youth Guarantee' to ensure that all young people are in a job, in further education or that activation measures are taken within four months of leaving school.

On this backdrop, the objectives of the preparatory action are the following:

- target young people including those who are not in education, nor in apprenticeship, nor employed (so-called NEETs),
- explore how the Youth Guarantee would work in practice in the Member States,
- aim at establishing innovative action as regards the transition from unemployment to employment of young people and at spreading this knowledge to all Member States,
- ensure a job or further education or activation measures for young people within four months of unemployment.

The project would therefore include the following measures:

- supporting investigation and collecting the experience of existing projects in Member States where a scheme comparable to the European Youth Guarantee is in force,
- based on the best practices collected, launching two or three pilot measures in Member States to establish a Youth Guarantee locally. This means that relevant stakeholders locally would be supported in providing counselling and help regarding the implementation of a Youth Guarantee,
- designing alternative programmes at local level for early school leavers to develop their skills and, in this context, putting a special focus on networking between relevant stakeholders (companies, schools, youth welfare services) as one important measure to improve the integration of young people into the labour market,
- making use of this experience with a view to the future Union funding schemes relevant to young people and labour market integration.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 04 05 — EUROPEAN GLOBALISATION ADJUSTMENT FUND (EGF)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 05 04 05 01	European Globalisation Adjustment Fund (EGF) <i>European Globalisation Adjustment Fund (EGF)</i>	1.1					113 878 622,00	113 878 622,00	227,76 %
	Chapter 04 05 — Total			p.m. 50 000 000	p.m. 50 000 000	p.m. 50 000 000	113 878 622,00	113 878 622,00	227,76 %

Article 04 05 01 — European Globalisation Adjustment Fund (EGF)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	50 000 000	p.m.	50 000 000	113 878 622,00	113 878 622,00

Remarks

This appropriation is intended to cover the European Globalisation Adjustment Fund (EGF) so as to enable the Union to provide temporary and targeted support for workers made redundant as a result of major structural changes in world trade patterns due to globalisation where these redundancies have a significant adverse impact on the regional or local economy. For applications submitted before 31 December 2011, it may also be used to provide support to workers made redundant as a direct result of the global financial and economic crisis.

The maximum amount of expenditure from the Fund shall be EUR 500 000 000 per year.

The aim of this reserve, in accordance with point 28 of the Interinstitutional Agreement of 17 May 2006, is to provide additional temporary support for workers who suffer from the consequences of major structural changes in world trade patterns and to assist them with their reintegration into the labour market.

The actions undertaken by the EGF should be complementary to those of the European Social Fund. There must be no double funding from these instruments.

The rules for entering the appropriations in this reserve and for mobilising the Fund are laid down in point 28 of the Interinstitutional Agreement of 17 May 2006 and in Article 12 of Regulation (EC) No 1927/2006.

Legal basis

Regulation (EC) No 1927/2006 of the European Parliament and of the Council of 20 December 2006 on establishing the European Globalisation Adjustment Fund (OJ L 406, 30.12.2006, p. 1).

Regulation (EC) No 546/2009 of the European Parliament and of the Council of 18 June 2009 amending Regulation (EC) No 1927/2006 on establishing the European Globalisation Adjustment Fund (OJ L 167, 29.6.2009, p. 26).

Reference acts

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

CHAPTER 04 06 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE (IPA) — HUMAN RESOURCES DEVELOPMENT

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 06	Instrument for Pre-Accession Assistance (IPA) — Human resources development								
04 06 01	Instrument for Pre-Accession Assistance (IPA) — Human resources development	4	113 157 077	65 000 000	112 150 000	59 719 121	102 400 000,00	27 922 056,48	42,96 %
	Chapter 04 06 — Total		113 157 077	65 000 000	112 150 000	59 719 121	102 400 000,00	27 922 056,48	42,96 %

Article 04 06 01 — Instrument for Pre-Accession Assistance (IPA) — Human resources development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
113 157 077	65 000 000	112 150 000	59 719 121	102 400 000,00	27 922 056,48

Remarks

This appropriation is intended to cover Union assistance to the candidate countries covered by IPA in progressive alignment with the standards and policies of the Union, including where appropriate the *acquis* of the Union, with a view to membership. The human resources development component shall support countries in policy development as well as preparation for the implementation and management of the Union's cohesion policy, in particular in their preparation for the European Social Fund.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 01	Administrative expenditure of the 'Agriculture and rural development' policy area		135 098 937	135 098 937	133 954 745	133 954 745	135 638 412,20	135 638 412,20
	40 01 40				498 392 134 453 137	498 392 134 453 137		
05 02	Interventions in agricultural markets	2	2 771 440 000	2 771 540 000	3 233 310 000	3 232 963 891	3 532 059 545,79	3 532 805 027,44
05 03	Direct aids	2	40 931 900 000	40 931 900 000	40 510 700 000	40 510 700 000	40 178 029 636,76	40 178 029 636,76
05 04	Rural development	2	14 803 455 797	12 744 313 000	14 613 144 442	12 088 893 741	14 408 430 025,56	12 292 015 084,00
05 05	Pre-accession measures in the field of agriculture and rural development	4	259 328 000	117 400 000	234 458 000	54 586 457	215 000 000,00	101 768 207,00
05 06	International aspects of the 'Agriculture and rural development' policy area	4	6 629 000	6 629 000	6 360 000	5 780 674	5 041 245,41	5 041 245,41
05 07	Audit of agricultural expenditure	2	59 100 000	59 100 000	-192 700 000	-192 700 000	76 445 352,42	76 445 352,42

05 08	Policy strategy and coordination of the 'Agriculture and rural development' policy area	2	27 307 342	33 726 335	47 310 537	45 147 735	26 600 639,91	23 493 363,53
	Title 05 — Total		58 994 259 076	56 799 707 272	58 586 537 724	55 879 327 243	58 577 244 858,05	56 345 236 328,76
	40 01 40 Total including reserves				498 392 58 587 036 116	498 392 55 879 825 635		

CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
05 01	Administrative expenditure of the 'Agriculture and rural development' policy area					
05 01 01	Expenditure related to staff in active employment in the 'Agriculture and rural development' policy area	5	102 335 094	100 726 475	101 138 378,71	98,83 %
05 01 02	External staff and other management expenditure in support of the 'Agriculture and rural development' policy area					
05 01 02 01	External staff	5	3 777 053	3 883 348	3 865 717,20	102,35 %
05 01 02 11	Other management expenditure	5	8 447 218	8 528 713	9 306 937,29	110,18 %
	40 01 40			498 392 9 027 105		
	Article 05 01 02 — Subtotal		12 224 271	12 412 061	13 172 654,49	107,76 %
	40 01 40			498 392 12 910 453		
05 01 03	Expenditure related to information and communication technology equipment and services of the 'Agriculture and rural development' policy area	5	6 360 072	6 431 209	7 605 809,11	119,59 %
05 01 04	Support expenditure for operations in the 'Agriculture and rural development' policy area					
05 01 04 01	European Agriculture Guarantee Fund (EAGF) — Non-operational technical assistance	2	8 909 500	8 750 000	8 442 043,26	94,75 %
05 01 04 03	Pre-accession assistance in the field of agriculture and rural development (IPARD) — Expenditure on administrative management	4	p.m.	110 000	0,—	
05 01 04 04	European Agricultural Fund for Rural Development (EAFRD) — Non-operational technical assistance	2	5 000 000	5 255 000	4 987 506,63	99,75 %
	Article 05 01 04 — Subtotal		13 909 500	14 115 000	13 429 549,89	96,55 %
05 01 06	Expenditure on agricultural analysis, inspection, communication and the Conciliation Body in connection with the clearance of accounts of the EAGGF Guarantee Section, the EAGF and the EAFRD	5	270 000	270 000	292 020,00	108,16 %
	Chapter 05 01 — Total		135 098 937	133 954 745	135 638 412,20	100,40 %
	40 01 40 Total including reserves			498 392 134 453 137		

Remarks

The following legal basis applies to all articles of this chapter unless otherwise stated.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Article 05 01 01 — Expenditure related to staff in active employment in the ‘Agriculture and rural development’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
102 335 094	100 726 475	101 138 378,71

Article 05 01 02 — External staff and other management expenditure in support of the ‘Agriculture and rural development’ policy area

Item 05 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 777 053	3 883 348	3 865 717,20

Item 05 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
05 01 02 11	8 447 218	8 528 713	9 306 937,29
40 01 40		498 392	
Total	8 447 218	9 027 105	9 306 937,29

Article 05 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Agriculture and rural development’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 360 072	6 431 209	7 605 809,11

Article 05 01 04 — Support expenditure for operations in the ‘Agriculture and rural development’ policy area

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 870/2004 of 26 April 2004 establishing a Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture (OJ L 162, 30.4.2004, p. 18).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210,

31.7.2006, p. 82).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Item 05 01 04 01 — European Agriculture Guarantee Fund (EAGF) — Non-operational technical assistance

Figures

Budget 2013	Appropriations 2012	Outturn 2011
8 909 500	8 750 000	8 442 043,26

Remarks

This appropriation is intended to cover the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures required to implement the common agricultural policy and in particular the measures as stipulated in Article 5(a) to (d) of Regulation (EC) No 1290/2005.

It is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the genetic resources programme laid down by Regulation (EC) No 870/2004.

Any revenue entered in article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 18 and 154 of the Financial Regulation.

Item 05 01 04 03 — Pre-accession assistance in the field of agriculture and rural development (IPARD) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	110 000	0,—

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the Instrument for Pre-accession Assistance.

This appropriation covers administrative expenditure under articles 05 05 01 and 05 05 02.

Item 05 01 04 04 — European Agricultural Fund for Rural Development (EAFRD) — Non-operational technical assistance

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 000 000	5 255 000	4 987 506,63

Remarks

This appropriation is intended to cover the EAFRD-funded technical assistance provided for in Article 66(1) of Regulation (EC) No 1698/2005. Technical assistance covers preparatory, monitoring, administrative support, evaluation and control measures. In this connection the appropriation can, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,

- contracts for the provision of services,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) up to EUR 1 850 000, as well as missions relating to such staff.

Any revenue entered in article 6 7 1 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Article 05 01 06 — Expenditure on agricultural analysis, inspection, communication and the Conciliation Body in connection with the clearance of accounts of the EAGGF Guarantee Section, the EAGF and the EAFRD

Figures

Budget 2013	Appropriations 2012	Outturn 2011
270 000	270 000	292 020,00

Remarks

This appropriation is intended to cover the financing of the Conciliation Body within the framework of EAGGF Guarantee Section, EAGF and EAFRD clearance of accounts (fees, equipment, travel and meetings) and studies and other expenditure on communication and support for controls, such as assistance by audit firms.

Legal basis

Commission Regulation (EC) No 885/2006 of 21 June 2006 laying down detailed rules for the application of Council Regulation (EC) No 1290/2005 as regards the accreditation of paying agencies and other bodies and the clearance of the accounts of the EAGF and of the EAFRD (OJ L 171, 23.6.2006, p. 90).

Council Regulation (EC) No 485/2008 of 26 May 2008 on scrutiny by Member States of transactions forming part of the system of financing by the European Agricultural Guarantee Fund (OJ L 143, 3.6.2008, p. 1).

CHAPTER 05 02 — INTERVENTIONS IN AGRICULTURAL MARKETS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 02	Interventions in agricultural markets								
05 02 01	Cereals								
05 02 01 01	Export refunds for cereals	2	p.m.	p.m.	p.m.	p.m.	52 096,69	52 096,69	
05 02 01 02	Intervention storage of cereals								-
		2	100 000	100 000	2 000 000	2 000 000	-189 471 647,15	-189 471 647,15	189471,65 %
05 02 01 03	Intervention for starch								33204,5
		2	100 000	100 000	41 000 000	41 000 000	33 204 578,55	33 204 578,55	8 %
05 02 01 99	Other measures (cereals)	2	p.m.	p.m.	p.m.	p.m.	-52,21	-52,21	
	<i>Article 05 02 01 — Subtotal</i>		200 000	200 000	43 000 000	43 000 000	-156 215 024,12	-156 215 024,12	78107,51 %
05 02 02	Rice								
05 02 02 01	Export refunds for rice	2	p.m.	p.m.	p.m.	p.m.	6 975,41	6 975,41	
05 02 02 02	Intervention storage of rice	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 02 99	Other measures (rice)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 02 02 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	6 975,41	6 975,41	
05 02 03	Refunds on non-Annex 1 products								
		2	8 000 000	8 000 000	12 000 000	12 000 000	12 737 349,43	12 737 349,43	159,22 %

05 02 04	Food programmes								
05 02 04 01	Programmes for deprived persons	2	500 000 000	500 000 000	500 000 000	500 000 000	514 971 352,56	514 971 352,56	102,99 %
05 02 04 99	Other measures (food programmes)	2	100 000	100 000	100 000	100 000	8 673,16	8 673,16	8,67 %
	<i>Article 05 02 04 — Subtotal</i>		500 100 000	500 100 000	500 100 000	500 100 000	514 980 025,72	514 980 025,72	102,98 %
05 02 05	Sugar								
05 02 05 01	Export refunds for sugar and isoglucose	2	p.m.	p.m.	1 000 000	1 000 000	1 475 288,16	1 475 288,16	
05 02 05 03	Production refunds for sugar used in the chemical industry	2	p.m.	p.m.	p.m.	p.m.	771 724,36	771 724,36	
05 02 05 08	Storage measures for sugar	2	p.m.	p.m.	p.m.	p.m.	196,84	196,84	
05 02 05 99	Other measures (sugar)	2	100 000	100 000	200 000	200 000	187 889 189,81	187 889 189,81	187889, 19 %
	<i>Article 05 02 05 — Subtotal</i>		100 000	100 000	1 200 000	1 200 000	190 136 399,17	190 136 399,17	190136, 40 %
05 02 06	Olive oil								
05 02 06 03	Storage measures for olive oil	2	17 000 000	17 000 000	23 000 000	23 000 000	0,—	0,—	
05 02 06 05	Quality improvement measures	2	45 000 000	45 000 000	45 000 000	45 000 000	44 141 641,61	44 141 641,61	98,09 %
05 02 06 99	Other measures (olive oil)	2	100 000	100 000	500 000	500 000	12 642,28	12 642,28	12,64 %
	<i>Article 05 02 06 — Subtotal</i>		62 100 000	62 100 000	68 500 000	68 500 000	44 154 283,89	44 154 283,89	71,10 %
05 02 07	Textile plants								
05 02 07 01	Aid for fibre flax and hemp	2	10 000 000	10 000 000	17 000 000	17 000 000	20 272 041,38	20 272 041,38	202,72 %
05 02 07 03	Cotton — National restructuring programmes	2	10 000 000	10 000 000	10 000 000	10 000 000	10 006 323,96	10 006 323,96	100,06 %
05 02 07 99	Other measures (textile plants)	2	p.m.	p.m.	p.m.	p.m.			
	<i>Article 05 02 07 — Subtotal</i>		20 000 000	20 000 000	27 000 000	27 000 000	30 278 365,34	30 278 365,34	151,39 %
05 02 08	Fruit and vegetables								
05 02 08 01	Export refunds for fruit and vegetables	2	p.m.	p.m.	p.m.	p.m.	49 518,23	49 518,23	
05 02 08 03	Operational funds for producer organisations	2	267 000 000	267 000 000	496 000 000	496 000 000	785 580 703,89	785 580 703,89	294,22 %
05 02 08 09	Compensation to encourage processing of citrus fruit	2	p.m.	p.m.	p.m.	p.m.	207 120,94	207 120,94	
05 02 08 11	Aid to producer groups for preliminary recognition	2	253 000 000	253 000 000	195 000 000	195 000 000	194 780 884,30	194 780 884,30	76,99 %
05 02 08 12	School fruit scheme	2	90 000 000	90 000 000	90 000 000	90 000 000	57 282 043,49	57 282 043,49	63,65 %
05 02 08 99	Other measures (fruit and vegetables)	2	1 000 000	1 000 000	7 000 000	7 000 000	89 982 029,53	89 982 029,53	8998,20 %
	<i>Article 05 02 08 — Subtotal</i>		611 000 000	611 000 000	788 000 000	788 000 000	1 127 882 300,38	1 127 882 300,38	184,60 %
05 02 09	Products of the wine-growing sector								
05 02 09 04	Storage measures for alcohol	2	p.m.	p.m.	200 000	200 000	1 616 282,60	1 616 282,60	
05 02 09 08	National support programmes for the wine sector	2	1 065 600 000	1 065 600 000	1 086 700 000	1 086 700 000	842 058 054,62	842 058 054,62	79,02 %
05 02 09 09	Grubbing-up scheme	2	5 000 000	5 000 000	20 000 000	20 000 000	269 182 397,95	269 182 397,95	5383,65 %
05 02 09 99	Other measures (wine-growing sector)	2	1 000 000	1 000 000	2 000 000	2 000 000	-8 593 485,98	-8 593 485,98	-859,35 %
	<i>Article 05 02 09 — Subtotal</i>		1 071 600 000	1 071 600 000	1 108 900 000	1 108 900 000	1 104 263 249,19	1 104 263 249,19	103,05 %
05 02 10	Promotion								
05 02 10 01	Promotion measures — Payments by Member States	2	60 000 000	60 000 000	54 000 000	54 000 000	46 266 638,57	46 266 638,57	77,11 %
05 02 10 02	Promotion measures — Direct payments by the Union	2	1 040 000	1 140 000	1 410 000	1 118 891	998 099,07	1 481 806,18	129,98 %
05 02 10 99	Other measures (promotion)	2	p.m.	p.m.	p.m.	p.m.	-9 868,51	-9 868,51	
	<i>Article 05 02 10 — Subtotal</i>		61 040 000	61 140 000	55 410 000	55 118 891	47 254 869,13	47 738 576,24	78,08 %

05 02 11	Other plant products/measures								
05 02 11 01	Dried fodder	2	500 000	500 000	97 000 000	97 000 000	141 091 129,46	141 091 129,46	28218,2 3 %
05 02 11 03	Hops — Aid to producer organisations	2	2 300 000	2 300 000	2 300 000	2 300 000	2 277 000,00	2 277 000,00	99,00 %
05 02 11 04	POSEI (excluding direct aids and article 11 02 03)	2	230 000 000	230 000 000	257 000 000	257 000 000	223 718 356,76	223 718 356,76	97,27 %
05 02 11 05	Community Tobacco Fund (excluding article 17 03 02)	2	p.m.	p.m.	p.m.	p.m.	378 923,15	378 923,15	
05 02 11 99	Other measures (other plant products/measures)	2	200 000	200 000	200 000	200 000	81 179,75	81 179,75	40,59 %
	<i>Article 05 02 11 — Subtotal</i>		233 000 000	233 000 000	356 500 000	356 500 000	367 546 589,12	367 546 589,12	157,75 %
05 02 12	Milk and milk products								
05 02 12 01	Refunds for milk and milk products	2	100 000	100 000	1 000 000	1 000 000	5 418 602,36	5 418 602,36	5418,60 %
05 02 12 02	Intervention storage of skimmed-milk powder	2	p.m.	p.m.	-10 000 000	-10 000 000	-73 001 225,52	-73 001 225,52	
05 02 12 03	Aid for disposal of skimmed milk	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 12 04	Intervention storage of butter and cream	2	9 000 000	9 000 000	10 000 000	10 000 000	8 330 005,68	8 330 005,68	92,56 %
05 02 12 08	School milk	2	74 000 000	74 000 000	90 000 000	90 000 000	64 235 205,83	64 235 205,83	86,80 %
05 02 12 99	Other measures (milk and milk products)	2	100 000	100 000	100 000	100 000	99 643,94	99 643,94	99,64 %
	<i>Article 05 02 12 — Subtotal</i>		83 200 000	83 200 000	91 100 000	91 100 000	5 082 232,29	5 082 232,29	6,11 %
05 02 13	Beef and veal								
05 02 13 01	Refunds for beef and veal	2	5 000 000	5 000 000	39 000 000	39 000 000	46 138 877,11	46 138 877,11	922,78 %
05 02 13 02	Intervention storage of beef and veal	2	p.m.	p.m.	p.m.	p.m.	6 240,00	6 240,00	
05 02 13 03	Exceptional support measures	2	p.m.	p.m.	p.m.	p.m.	78,00	78,00	
05 02 13 04	Refunds for live animals	2	2 000 000	2 000 000	7 000 000	7 000 000	9 638 742,84	9 638 742,84	481,94 %
05 02 13 99	Other measures (beef and veal)	2	100 000	100 000	100 000	100 000	-135 866,92	-135 866,92	-135,87 %
	<i>Article 05 02 13 — Subtotal</i>		7 100 000	7 100 000	46 100 000	46 100 000	55 648 071,03	55 648 071,03	783,78 %
05 02 14	Sheepmeat and goatmeat								
05 02 14 01	Intervention storage of sheepmeat and goatmeat	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 14 99	Other measures (sheepmeat and goatmeat)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 02 14 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 15	Pigmeat, eggs and poultry, bee-keeping and other animal products								
05 02 15 01	Refunds for pigmeat	2	5 000 000	5 000 000	19 000 000	19 000 000	19 120 219,70	19 120 219,70	382,40 %
05 02 15 02	Intervention storage of pigmeat	2	p.m.	p.m.	13 000 000	13 000 000	56 324 911,98	56 324 911,98	
05 02 15 03	Exceptional market-support measures for pigmeat	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 15 04	Refunds for eggs	2	p.m.	p.m.	4 000 000	4 000 000	2 783 714,73	2 783 714,73	
05 02 15 05	Refunds for poultrymeat	2	77 000 000	77 000 000	65 000 000	65 000 000	81 695 320,94	81 695 320,94	106,10 %
05 02 15 06	Specific aid for bee-keeping	2	30 000 000	30 000 000	32 000 000	32 000 000	28 379 692,46	28 379 692,46	94,60 %
05 02 15 07	Exceptional market-support measures for the poultrymeat and eggs sector	2	2 000 000	2 000 000	p.m.	p.m.	0,—	0,—	
05 02 15 99	Other measures (pigmeat, poultry, eggs, bee-keeping, other animal products)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 02 15 — Subtotal</i>		114 000 000	114 000 000	133 000 000	133 000 000	188 303 859,81	188 303 859,81	165,18 %
05 02 17	Support for farmers								
05 02 17 01	Pilot project — Support for farmers' cooperatives	2	p.m.	p.m.	p.m.	1 195 000	0,—	261 774,54	
05 02 17 02	Pilot project — European farm prices and margins observatory	2	p.m.	p.m.	1 000 000	500 000	0,—	0,—	

05 02 17 03	Pilot project — Support for farmers' and consumers' initiatives for low carbon emission, low energy consumption and locally marketed food production	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—			
05 02 17 07	Pilot project — Measures to combat speculation in agricultural commodities	2	p.m.	p.m.	1 500 000	750 000	0,—	0,—			
	<i>Article 05 02 17 — Subtotal</i>		p.m.	p.m.	2 500 000	2 445 000	0,—	261 774,54			
	Chapter 05 02 — Total				2 771 440 000	2 771 540 000	3 233 310 000	3 232 963 891	3 532 059 545,79	3 532 805 027,44	127,47 %

Remarks

Any revenue entered in article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter in accordance with Articles 18 and 154 of the Financial Regulation.

In the framework of establishing the budgetary needs for this chapter, an amount of EUR 500 000 000 originating from item 6 7 0 1 of the general statement of revenue was taken into account in establishing the budgetary needs concerning article 05 02 08, and in particular for item 05 02 08 03.

This appropriation is also intended to cover emergency measures carried out in accordance with Article 191 of Regulation (EC) No 1234/2007.

The following legal basis applies to all articles of this chapter unless otherwise stated.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Article 05 02 01 — Cereals

Item 05 02 01 01 — Export refunds for cereals

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	52 096,69

Remarks

This appropriation is intended to cover export refunds for cereals granted in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007.

Item 05 02 01 02 — Intervention storage of cereals

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	2 000 000	-189 471 647,15

Remarks

This appropriation is intended to cover technical and financial costs resulting from the buying-in of cereals for public storage, other public storage costs (this refers mainly to the difference between the book value and the sale value) as well as the financial depreciation of 'recently constituted stocks' in accordance with Articles 10 to 13, 18, 25 and 27 of Regulation (EC) No 1234/2007 and Regulation (EC) No 1290/2005.

Item 05 02 01 03 — Intervention for starch

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	41 000 000	33 204 578,55

Remarks

This appropriation is intended to cover premiums paid in accordance with Article 5 of Regulation (EC) No 1868/94 and Article 95a of Regulation (EC) No 1234/2007 as well as the production refunds provided for in Article 96 of Regulation (EC) No 1234/2007 (as amended by Regulation (EC) No 72/2009).

Legal basis

Council Regulation (EC) No 1868/94 of 27 July 1994 establishing a quota system in relation to the production of potato starch (OJ L 197, 30.7.1994, p. 4).

Item 05 02 01 99 — Other measures (cereals)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	-52,21

Remarks

This appropriation is intended to cover other expenditure for cereals under Regulation (EC) No 1234/2007, as well as any outstanding balances from the application of Regulation (EC) No 1784/2003, not covered by the appropriations for the other items under article 05 02 01.

Article 05 02 02 — Rice

Item 05 02 02 01 — Export refunds for rice

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	6 975,41

Remarks

This appropriation is intended to cover export refunds for rice granted in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007.

Item 05 02 02 02 — Intervention storage of rice

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover technical and financial costs resulting from the buying-in of rice for public storage, other public storage costs (this refers mainly to the difference between the book value and the sale value), as well as the financial depreciation of 'recently constituted stocks' in accordance with Articles 10 to 13, 18, 25 and 27 of Regulation (EC) No 1234/2007 and Regulation (EC) No 1290/2005.

Item 05 02 02 99 — Other measures (rice)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure under other intervention schemes for rice under Regulation (EC) No 1234/2007, as well as any outstanding balances from the application of Regulation (EC) No 1785/2003, not covered by the appropriations for the other items under article 05 02 02.

It is also intended to cover outstanding aid for the production of certain varieties of rice of the Indica type or profile, in accordance with Article 8a of Regulation (EEC) No 1418/76, as well as expenditure arising from the payment of aid to paddy rice producers in Portugal for the marketing years 1992/93 to 1997/98, in accordance with Article 1 of Regulation (EEC) No 738/93.

Legal basis

Council Regulation (EEC) No 1418/76 of 21 June 1976 on the common organisation of the market in rice (OJ L 166, 25.6.1976, p. 1).

Council Regulation (EEC) No 738/93 of 17 March 1993 amending the transitional measures governing common organisation of the market as provided for by Regulation (EEC) No 3653/90 (OJ L 77, 31.3.1993, p. 1).

Article 05 02 03 — Refunds on non-Annex 1 products

Figures

Budget 2013	Appropriations 2012	Outturn 2011
8 000 000	12 000 000	12 737 349,43

Remarks

This appropriation is intended to cover refunds on cereals exported in the form of certain spirits drinks, in accordance with Articles 13 to 18 of Regulation (EC) No 1784/2003 and Article 162 of Regulation (EC) No 1234/2007, as well as refunds on goods resulting from the processing of cereals and rice, sugar and isoglucose, skimmed milk, butter and eggs, in accordance with Regulation (EC) No 3448/93.

Legal basis

Council Regulation (EC) No 3448/93 of 6 December 1993 laying down the trade arrangements applicable to certain goods resulting from the processing of agricultural products (OJ L 318, 20.12.1993, p. 18).

Council Regulation (EC) No 1784/2003 of 29 September 2003 on the common organisation of the market in cereals (OJ L 270, 21.10.2003, p. 78).

Council Regulation (EC) No 1216/2009 of 30 November 2009 laying down the trade arrangements applicable to certain goods resulting from the processing of agricultural products (OJ L 328, 15.12.2009, p. 10).

Article 05 02 04 — Food programmes

Legal basis

Council Regulation (EEC) No 3730/87 of 10 December 1987 laying down the general rules for the supply of food from intervention stocks to designated organisations for distribution to the most deprived persons in the Community (OJ L 352, 15.12.1987, p. 1).

Item 05 02 04 01 — Programmes for deprived persons

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000 000	500 000 000	514 971 352,56

Remarks

This appropriation is intended to cover the expenditure for the supply of food from intervention stocks and products mobilised on the Union market to designated organisations for distribution to the most deprived persons in the Union, in accordance with Regulation (EEC) No 3730/87 and Article 27 of Regulation (EC) No 1234/2007 as amended by Regulation (EU) No 121/2012.

Legal basis

Regulation (EU) No 121/2012 of the European Parliament and of the Council of 15 February 2012 amending Council Regulation (EC) No 1290/2005 and Council Regulation (EC) No 1234/2007 as regards distribution of food products to the most deprived persons in the Union (OJ L 44, 16.2.2012, p. 1).

Item 05 02 04 99 — Other measures (food programmes)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	100 000	8 673,16

Remarks

This appropriation is intended to cover in particular any outstanding balances resulting from the application of Regulation (EC) No 2802/98, financing for which was agreed on 24 November 1998 between the European Parliament, the Council and the Commission, and from refunds in connection with food aid, particularly in the form of cereals, rice, sugar and milk products.

Legal basis

Council Regulation (EC) No 1292/96 of 27 June 1996 on food-aid policy and food-aid management and special operations in support of food security (OJ L 166, 5.7.1996, p. 1).

Council Regulation (EC) No 2802/98 of 17 December 1998 on a programme to supply agricultural products to the Russian Federation (OJ L 349, 24.12.1998, p. 12).

Article 05 02 05 — Sugar

Item 05 02 05 01 — Export refunds for sugar and isoglucose

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	1 000 000	1 475 288,16

Remarks

This appropriation is intended to cover export refunds for sugar and isoglucose granted in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007 and outstanding expenditure granted in accordance with Article 27 of Regulation (EC) No 1260/2001, including those relating to certain sugars incorporated into processed fruit and vegetables, in accordance with Articles 16 and 18 of Regulation (EC) No 2201/96.

Legal basis

Council Regulation (EC) No 2201/96 of 28 October 1996 on the common organisation of the markets in processed fruit and vegetable products (OJ L 297, 21.11.1996, p. 29).

Item 05 02 05 03 — Production refunds for sugar used in the chemical industry

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	771 724,36

Remarks

This appropriation is intended to cover expenditure on production refunds for industrial sugar in accordance with Article 97 of Regulation (EC) No 1234/2007 and outstanding expenditure for refunds for use in the chemical industry, in accordance with Article 7(3) of Regulation (EC) No 1260/2001.

Item 05 02 05 08 — Storage measures for sugar

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	196,84

Remarks

This appropriation is intended to cover aid for the private storage of sugar in accordance with Articles 31 and 32 of Regulation (EC) No 1234/2007 and Regulation (EC) No 1290/2005.

Item 05 02 05 99 — Other measures (sugar)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	200 000	187 889 189,81

Remarks

Former items 05 02 05 99 and 05 02 16 01

This appropriation is intended to cover any other expenditure for sugar under Regulation (EC) No 1234/2007, as well as any other outstanding balances from the application of Regulations (EC) No 1260/2001 and (EC) No 318/2006, not covered by the appropriations for the other items under article 05 02 05. These outstanding balances include, in particular, possible outstanding expenditure for measures to aid the disposal of raw sugar produced in the French Overseas Departments, in accordance with the first subparagraph of Article 7(4) of Regulation (EC) No 1260/2001 (former item 05 02 05 04) and for the adjustment aid for the refinery industry, in accordance with the second subparagraph of Article 7(4), Article 33(2) and Article 38 of Regulation (EC) No 1260/2001 (former item 05 02 05 07).

It is also intended to cover specific intervention measures carried out in accordance with Article 186 of Regulation (EC) No 1234/2007.

Article 05 02 06 — Olive oil

Legal basis

Regulation No 136/66/EEC of the Council of 22 September 1966 on the establishment of a common organisation of the market in oils and fats (OJ L72, 30.9.1966, p. 3025/66).

Council Regulation (EC) No 865/2004 of 29 April 2004 on the common organisation of the market in olive oil and table olives (OJ L161, 30.4.2004, p. 97).

Item 05 02 06 03 — Storage measures for olive oil

Figures

Budget 2013	Appropriations 2012	Outturn 2011
17 000 000	23 000 000	0,—

Remarks

This appropriation is intended to cover other expenditure, particularly that incurred in accordance with Article 20d(3) of Regulation No 136/66/EEC (storage contracts), Article 6 of Regulation (EC) No 865/2004 (disturbance of the market) and Articles 31 and 33 of Regulation (EC) No 1234/2007 (optional aid).

Item 05 02 06 05 — Quality improvement measures

Figures

Budget 2013	Appropriations 2012	Outturn 2011
45 000 000	45 000 000	44 141 641,61

Remarks

This appropriation is intended to cover any outstanding expenditure of operations in accordance with Article 5 of Regulation No 136/66/EEC providing for measures to improve the quality of olive oil production and of operations of operators' organisations in accordance with Article 8 of Regulation (EC) No 865/2004 and Article 103 of Regulation (EC) No 1234/2007 (aids to operator organisations).

Item 05 02 06 99 — Other measures (olive oil)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	500 000	12 642,28

Remarks

This appropriation is intended to cover any other expenditure for olive oil under Regulation (EC) No 1234/2007, as well as any other outstanding balances from the application of Regulation No 136/66/EEC and Regulation (EC) No 865/2004, not covered by the appropriations for the other items under article 05 02 06. These outstanding balances include, in particular, possible outstanding expenditure on consumption aid for Community olive oil (in accordance with Article 11(1) of Regulation No 136/66/EEC), technical, financial or other public storage costs (in accordance with Articles 12 and 13 of Regulation No 136/66/EEC), export refunds for olive oil (in accordance with Article 20 of Regulation No 136/66/EEC), the granting of a production refund for olive oil used in the manufacture of preserved fish and vegetables (in accordance with Article 20a of Regulation No 136/66/EEC).

It is also intended to cover specific intervention measures carried out in accordance with Article 186 of Regulation (EC) No 1234/2007.

Article 05 02 07 — Textile plants

Item 05 02 07 01 — Aid for fibre flax and hemp

Figures

Budget 2013	Appropriations 2012	Outturn 2011
10 000 000	17 000 000	20 272 041,38

Remarks

This appropriation is intended to cover processing aid for long and short flax fibre and hemp fibre in accordance with Article 2(3)(a) and (b) of Regulation (EC) No 1673/2000 and Articles 91 to 95 of Regulation (EC) No 1234/2007.

It also covers outstanding expenditure on production aid for fibre flax and hemp in accordance with Article 4 of Regulation (EEC) No 1308/70, less the deductions made in accordance with Article 2 of that Regulation, as well as any outstanding balances on other measures, particularly private storage aid granted in accordance with Article 5 of Regulation (EEC) No 1308/70.

Legal basis

Regulation (EEC) No 1308/70 of the Council of 29 June 1970 on the common organisation of the market in flax and hemp (OJ L 146, 4.7.1970, p. 1).

Council Regulation (EC) No 1673/2000 of 27 July 2000 on the common organisation of the market in flax and hemp grown for fibre (OJ L 193, 29.7.2000, p. 16).

Item 05 02 07 03 — Cotton — National restructuring programmes

Figures

Budget 2013	Appropriations 2012	Outturn 2011
10 000 000	10 000 000	10 006 323,96

Remarks

This appropriation is intended to cover expenditure in accordance with Chapter 2 of Regulation (EC) No 637/2008.

Legal basis

Council Regulation (EC) No 637/2008 of 23 June 2008 establishing national restructuring programmes for the cotton sector (OJ L 178, 5.7.2008, p. 1).

Item 05 02 07 99 — Other measures (textile plants)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	

Remarks

This appropriation is intended to cover any outstanding expenditure on aid for cotton which is not carded or combed in accordance with Regulation (EC) No 1051/2001 as well as any other expenditure for textile plants under Regulation (EC) No 1234/2007, not covered by the appropriations for the other items under article 05 02 07.

Legal basis

Council Regulation (EC) No 1050/2001 of 22 May 2001 adjusting, for the sixth time, the system of aid for cotton introduced by Protocol 4 annexed to the Act of Accession of Greece (OJ L 148, 1.6.2001, p. 1).

Council Regulation (EC) No 1051/2001 of 22 May 2001 on production aid for cotton (OJ L 148, 1.6.2001, p. 3).

Article 05 02 08 — Fruit and vegetables

Legal basis

Council Regulation (EC) No 2200/96 of 28 October 1996 on the common organisation of the market in fruit and vegetables (OJ L 297, 21.11.1996, p. 1).

Council Regulation (EC) No 2201/96 of 28 October 1996 on the common organisation of the market in processed fruit and vegetable

products (OJ L 297, 21.11.1996, p. 29).

Council Regulation (EC) No 2202/96 of 28 October 1996 introducing a Community aid scheme for producers of certain citrus fruits (OJ L 297, 21.11.1996, p. 49).

Council Regulation (EC) No 1182/2007 of 26 September 2007 laying down specific rules as regards the fruit and vegetable sector (OJ L 273, 17.10.2007, p. 1).

Item 05 02 08 01 — Export refunds for fruit and vegetables

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	49 518,23

Remarks

This appropriation is intended to cover outstanding expenditure on export refunds for:

- fresh fruit and vegetables in accordance with Article 35 of Regulation (EC) No 2200/96,
- products processed from fruit and vegetables, other than added sugar, in accordance with Articles 16 and 17 of Regulation (EC) No 2201/96.

Item 05 02 08 03 — Operational funds for producer organisations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
267 000 000	496 000 000	785 580 703,89

Remarks

This appropriation is intended to cover the Union's part-financing of expenditure connected with operational funds for producer organisations, in accordance with Article 15 of Regulation (EC) No 2200/96 and Chapter II of Title III of Regulation (EC) No 1182/2007 and Subsection II of Section IVa of Chapter IV of Title I of Part II of Regulation (EC) No 1234/2007.

Item 05 02 08 09 — Compensation to encourage processing of citrus fruit

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	207 120,94

Remarks

This appropriation is intended to cover outstanding expenditure arising from the Union aid scheme for producers of certain citrus fruits, in accordance with Regulation (EC) No 2202/96.

Item 05 02 08 11 — Aid to producer groups for preliminary recognition

Figures

Budget 2013	Appropriations 2012	Outturn 2011
253 000 000	195 000 000	194 780 884,30

Remarks

This appropriation is intended to cover expenditure related to aid for producer groups granted preliminary recognition in accordance with Chapter I of Title III of Regulation (EC) No 1182/2007 and Subsection I of Section IVa of Chapter IV of Title I of Part II of

Regulation (EC) No 1234/2007.

Item 05 02 08 12 — School fruit scheme

Figures

Budget 2013	Appropriations 2012	Outturn 2011
90 000 000	90 000 000	57 282 043,49

Remarks

This appropriation is intended to cover expenditures related to the Union contribution to the school fruit scheme in accordance with Subsection IIa of Section IVa of Chapter IV of Title I of Part II of Regulation (EC) No 1234/2007.

Item 05 02 08 99 — Other measures (fruit and vegetables)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	7 000 000	89 982 029,53

Remarks

This appropriation is intended to cover any other expenditure for fruit and vegetables under Regulation (EC) No 1234/2007 not covered by the appropriations for the other items under article 05 02 08.

It is also intended to cover:

- other intervention measures under Regulations (EC) No 2200/96, (EC) No 2201/96, (EC) No 2202/96 and (EC) No 1782/2003 not financed by the appropriations for the other items under article 05 02 08 and in particular specific measures,
- aid to encourage the establishment, and to assist the administrative operation, of the recognised banana producers' organisations,
- outstanding expenditure of the cost of specific measures to finance, in particular, aid to hazelnut producers in accordance with Article 55 of Regulation (EC) No 2200/96, specific aid to producer organisations which set up a revolving fund and Union aid for quality improvement plans for nuts and locust beans.

This appropriation is intended to cover outstanding expenditure on financial compensation to producer organisations in accordance with Article 23 of Regulation (EC) No 2200/96.

This appropriation is intended to cover outstanding expenditure on tomato-processing premiums in accordance with Article 4 of Regulation (EC) No 2201/96.

This appropriation is intended to cover outstanding expenditure on aid for processing peaches, pears, prunes and figs in accordance with Articles 5 and 9 of Regulation (EC) No 2201/96.

This appropriation is intended to cover outstanding expenditure on intervention for dried grapes in accordance with Article 9 of Regulation (EC) No 2201/96, and intervention measures for non-processed figs (storage).

It is also intended to cover expenditure arising from Regulation (EC) No 399/94.

This appropriation is intended to cover outstanding expenditure on defraying transport, sorting and packaging costs in connection with the free distribution of fruit and vegetables in accordance with Article 30 of Regulation (EC) No 2200/96.

Legal basis

Council Regulation (EEC) No 789/89 of 20 March 1989 instituting specific measures for nuts and locust beans (OJ L 85, 30.3.1989, p. 3).

Council Regulation (EEC) No 1991/92 of 13 July 1992 establishing a special scheme for raspberries intended for processing (OJ L 199, 18.7.1992, p. 1).

Council Regulation (EEC) No 3816/92 of 28 December 1992 providing for, in the fruit and vegetables sector, the abolition of the compensation mechanism in trade between Spain and the other Member States and allied measures (OJ L 387, 31.12.1992, p. 10).

Council Regulation (EEC) No 404/93 of 13 February 1993 on the common organisation of the market in bananas (OJ L 47, 25.2.1993,

p. 1), and in particular Article 6(1) thereof.

Council Regulation (EC) No 399/94 of 21 February 1994 concerning specific measures for dried grapes (OJ L 54, 25.2.1994, p. 3).

Council Regulation (EC) No 2201/96 of 28 October 1996 on the common organisation of the market in processed fruit and vegetable products (OJ L 297, 21.11.1996, p. 29).

Council Regulation (EC) No 2200/97 of 30 October 1997 on the improvement of the Community production of apples, pears, peaches and nectarines (OJ L 303, 6.11.1997, p. 3).

Article 05 02 09 — Products of the wine-growing sector

Legal basis

Council Regulation (EEC) No 822/87 of 16 March 1987 on the common organisation of the market in wine (OJ L 84, 27.3.1987, p. 1).

Council Regulation (EC) No 1493/1999 of 17 May 1999 on the common organisation of the market in wine (OJ L 179, 14.7.1999, p. 1).

Council Regulation (EC) No 479/2008 of 29 April 2008 on the common organisation of the market in wine (OJ L 148, 6.6.2008, p. 1).

Item 05 02 09 04 — Storage measures for alcohol

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	200 000	1 616 282,60

Remarks

This appropriation is intended to cover outstanding expenditure for:

- technical costs and financial costs resulting from the buying-in of alcohol for public storage in accordance with Articles 27 to 30 of Regulation (EC) No 1493/1999,
- other storage costs for alcohol in accordance with Article 31 of Regulation (EC) No 1493/1999; this refers to the difference between the book value and the sale value.

It is also intended to cover the financial depreciation of 'recently constituted stocks'.

In addition, this appropriation covers the cost of the aid provided for in Article 29(6) of Regulation (EC) No 1493/1999 for the private storage of alcohol (secondary aid).

Item 05 02 09 08 — National support programmes for the wine sector

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 065 600 000	1 086 700 000	842 058 054,62

Remarks

This appropriation is intended to cover expenditure on support programmes for the wine sector in accordance with Subsections I and II of Section IVb of Chapter IV of Title I of Part II of Regulation (EC) No 1234/2007.

Item 05 02 09 09 — Grubbing-up scheme

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 000 000	20 000 000	269 182 397,95

Remarks

This appropriation is intended to cover outstanding expenditure on the grubbing-up scheme in accordance with Subsection III of Section IVa of Chapter III of Title I of Part II of Regulation (EC) No 1234/2007.

Item 05 02 09 99 — Other measures (wine-growing sector)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	2 000 000	-8 593 485,98

Remarks

This appropriation is intended to cover any other expenditure for the wine-growing sector under Regulation (EC) No 479/2008, as well as any other outstanding balances from the application of Regulations (EEC) No 822/87 and (EC) No 1493/1999, not covered by the appropriations for the other items under article 05 02 09.

Article 05 02 10 — Promotion

Legal basis

Council Regulation (EC) No 2702/1999 of 14 December 1999 on measures to provide information on, and to promote, agricultural products in third countries (OJ L 327, 21.12.1999, p. 7).

Council Regulation (EC) No 2826/2000 of 19 December 2000 on information and promotion actions for agricultural products on the internal market (OJ L 328, 23.12.2000, p. 2).

Council Regulation (EC) No 3/2008 of 17 December 2007 on information provision and promotion measures for agricultural products on the internal market and in third countries (OJ L 3, 5.1.2008, p. 1).

Item 05 02 10 01 — Promotion measures — Payments by Member States

Figures

Budget 2013	Appropriations 2012	Outturn 2011
60 000 000	54 000 000	46 266 638,57

Remarks

This appropriation is intended to co-finance promotion programmes implemented by the Member States in respect of agricultural products, their method of production and food products.

Item 05 02 10 02 — Promotion measures — Direct payments by the Union

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 040 000	1 140 000	1 410 000	1 118 891	998 099,07	1 481 806,18

Remarks

This appropriation is intended to finance promotion actions directly managed by the Commission as well as the technical assistance required for the implementation of the promotion programmes. Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures.

Item 05 02 10 99 — Other measures (promotion)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	-9 868,51

Remarks

This appropriation is intended to finance other measures in accordance with regulations on promotion interventions, not financed by the appropriations for the other items under article 05 02 10.

Article 05 02 11 — Other plant products/measures

Item 05 02 11 01 — Dried fodder

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	97 000 000	141 091 129,46

Remarks

This appropriation is intended to cover the production aid of dried fodder in accordance with Article 87 of Regulation (EC) No 1234/2007.

Item 05 02 11 03 — Hops — Aid to producer organisations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 300 000	2 300 000	2 277 000,00

Remarks

This appropriation is intended to cover the aid to producer organisations in the hops sector in accordance with Article 102a of Regulation (EC) No 1234/2007.

Item 05 02 11 04 — POSEI (excluding direct aids and article 11 02 03)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
230 000 000	257 000 000	223 718 356,76

Remarks

This appropriation is intended to cover:

- expenditure arising from the implementation of the POSEI and Aegean islands legislation,
- subsidies for Union rice supplied to the French overseas department of Réunion, in accordance with Article 5 of Regulation (EC)

No 1785/2003.

Legal basis

Council Regulation (EEC) No 2019/93 of 19 July 1993 introducing specific measures for the smaller Aegean islands concerning certain agricultural products (OJ L 184, 27.7.1993, p. 1).

Council Regulation (EC) No 1452/2001 of 28 June 2001 introducing specific measures for certain agricultural products for the French overseas departments (Poseidom) (OJ L 198, 21.7.2001, p. 11).

Council Regulation (EC) No 1453/2001 of 28 June 2001 introducing specific measures for certain agricultural products for the Azores and Madeira (Poseima) (OJ L 198, 21.7.2001, p. 26).

Council Regulation (EC) No 1454/2001 of 28 June 2001 introducing specific measures for certain agricultural products for the Canary Islands (Poseican) (OJ L 198, 21.7.2001, p. 45).

Council Regulation (EC) No 1782/2003 of 29 September 2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers (OJ L 270, 21.10.2003, p. 1).

Council Regulation (EC) No 1785/2003 of 29 September 2003 on the common organisation of the market in rice (OJ L 270, 21.10.2003, p. 96).

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Council Regulation (EC) No 1405/2006 of 18 September 2006 laying down specific measures for agriculture in favour of the smaller Aegean islands (OJ L 265, 26.9.2006, p. 1).

Item 05 02 11 05 — Community Tobacco Fund (excluding article 17 03 02)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	378 923,15

Remarks

This appropriation is intended to cover outstanding expenditure incurred in accordance with Article 13 of Regulation (EEC) No 2075/92 and Article 104 of Regulation (EC) No 1234/2007.

Legal basis

Council Regulation (EEC) No 2075/92 of 30 June 1992 on the common organisation of the market in raw tobacco (OJ L 215, 30.7.1992, p. 70).

Item 05 02 11 99 — Other measures (other plant products/measures)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
200 000	200 000	81 179,75

Remarks

This appropriation is intended to cover any other expenditure for other plant products/measures under Regulation (EC) No 1234/2007, as well as any other outstanding balances from the application of Article 14 of Regulation (EEC) No 2075/92 as well as from the application of Regulations (EC) No 603/95 and (EC) No 1786/2003, not covered by the appropriations for the other items under article 05 02 11.

Legal basis

Council Regulation (EEC) No 2075/92 of 30 June 1992 on the common organisation of the market in raw tobacco (OJ L 215, 30.7.1992, p. 70).

Council Regulation (EC) No 603/95 of 21 February 1995 on the common organisation of the market in dried fodder (OJ L 63, 21.3.1995, p. 1).

Council Regulation (EC) No 1786/2003 of 29 September 2003 on the common organisation of the market in dried fodder (OJ L 270, 21.10.2003, p. 114).

Article 05 02 12 — Milk and milk products

Item 05 02 12 01 — Refunds for milk and milk products

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	1 000 000	5 418 602,36

Remarks

This appropriation is intended to cover export refunds for milk and milk products in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007.

Item 05 02 12 02 — Intervention storage of skimmed-milk powder

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	-10 000 000	-73 001 225,52

Remarks

This appropriation is intended to cover technical costs, financial costs and other costs of public storage of skimmed-milk powder in accordance with Articles 10 to 13, 18, 25 and 27 of Regulation (EC) No 1234/2007 and the financial depreciation of 'recently constituted stocks'.

Item 05 02 12 03 — Aid for disposal of skimmed milk

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure on:

- aid for partly skimmed milk powder for animal feed granted in accordance with Article 99 of Regulation (EC) No 1234/2007,
- aid for skimmed milk processed into casein in accordance with Article 100 of Regulation (EC) No 1234/2007.

Item 05 02 12 04 — Intervention storage of butter and cream

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 000 000	10 000 000	8 330 005,68

Remarks

This appropriation is intended to cover expenditure on private storage aid granted in accordance with Articles 28 and 29 of Regulation

(EC) No 1234/2007.

It is also intended to cover the costs of public storage of butter and cream in accordance with Articles 10 to 13, 18, 25 and 27 of Regulation (EC) No 1234/2007 and the financial depreciation of 'recently constituted stocks'.

Item 05 02 12 08 — School milk

Figures

Budget 2013	Appropriations 2012	Outturn 2011
74 000 000	90 000 000	64 235 205,83

Remarks

This appropriation is intended to cover expenditure on Union aid granted for supplying to pupils in educational establishments certain milk products in accordance with Article 102 of Regulation (EC) No 1234/2007.

Item 05 02 12 99 — Other measures (milk and milk products)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	100 000	99 643,94

Remarks

Former items 05 02 12 05, 05 02 12 06 and 05 02 12 99

This appropriation is intended to cover any other expenditure for measures in the milk sector under Regulation (EC) No 1234/2007, as well as any other outstanding balances from the application of Regulation (EC) No 1255/1999, not covered by the appropriations for the other items under article 05 02 12. It is also intended to cover expenditure in accordance with Articles 44 and 186 of Regulation (EC) No 1234/2007.

It is also intended to cover expenditure on compensation for certain producers of milk or milk products, known as 'SLOM'.

Legal basis

Council Regulation (EC) No 2330/98 of 22 October 1998 providing for an offer of compensation to certain producers of milk and milk products temporarily restricted in carrying out their trade (OJ L 291, 30.10.1998, p. 4).

Commission Regulation (EU) No 1233/2009 of 15 December 2009 laying down a specific market support measure in the dairy sector (OJ L 330, 16.12.2009, p. 70).

Article 05 02 13 — Beef and veal

Item 05 02 13 01 — Refunds for beef and veal

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 000 000	39 000 000	46 138 877,11

Remarks

This appropriation is intended to cover export refunds for beef and veal in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007.

Item 05 02 13 02 — Intervention storage of beef and veal

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	6 240,00

Remarks

This appropriation is intended to cover aid for the private storage of beef and veal in accordance with Articles 31 and 34 of Regulation (EC) No 1234/2007.

It is also intended to cover the costs of the public storage of beef and veal in accordance with Articles 10 to 12, 18, 25 and 27 of Regulation (EC) No 1234/2007 and the financial depreciation of 'recently constituted stocks'.

Item 05 02 13 03 — Exceptional support measures

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	78,00

Remarks

This appropriation is intended to cover expenditure in accordance with Articles 44, 186 and 191 of Regulation (EC) No 1234/2007.

Item 05 02 13 04 — Refunds for live animals

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 000 000	7 000 000	9 638 742,84

Remarks

This appropriation is intended to cover export refunds for live animals in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007.

Item 05 02 13 99 — Other measures (beef and veal)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	100 000	-135 866,92

Remarks

This appropriation is intended to cover any other expenditure for measures in the beef and veal sector under Regulation (EC) No 1234/2007, as well as any other outstanding balances from the application of Regulation (EC) No 1254/1999, not covered by the appropriations for the other items under article 05 02 13.

It is also intended to cover any outstanding payments concerning the Union's contribution to the cost of the voluntary slaughter of cattle slaughtered before 23 January 2006 and more than 30 months old (OTMS) and from 23 January 2006 slaughter of cattle born before 1 August 1996 (OCDS) from the application of Commission Regulation (EC) No 716/96 of 19 April 1996 adopting exceptional support measures for the beef market in the United Kingdom (OJ L 99, 20.4.1996, p. 14).

Article 05 02 14 — Sheepmeat and goatmeat

Item 05 02 14 01 — Intervention storage of sheepmeat and goatmeat

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure on the private storage of sheepmeat and goatmeat in accordance with Articles 31 and 38 of Regulation (EC) No 1234/2007.

Item 05 02 14 99 — Other measures (sheepmeat and goatmeat)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover any other expenditure for measures in the sheepmeat and goatmeat sector under Regulation (EC) No 1234/2007, as well as any other outstanding balances from the application of Regulation (EC) No 2529/2001, not covered by the appropriations for the other items under article 05 02 14.

It is also intended to cover specific intervention measures carried out in accordance with Articles 44, 186 and 191 of Regulation (EC) No 1234/2007.

Article 05 02 15 — Pigmear, eggs and poultry, bee-keeping and other animal products

Item 05 02 15 01 — Refunds for pigmeat

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 000 000	19 000 000	19 120 219,70

Remarks

This appropriation is intended to cover export refunds for pigmeat in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007.

Item 05 02 15 02 — Intervention storage of pigmeat

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	13 000 000	56 324 911,98

Remarks

This appropriation is intended to cover costs related to the private storage of pigmeat in accordance with Articles 31 and 37 of Regulation (EC) No 1234/2007.

Item 05 02 15 03 — Exceptional market-support measures for pigmeat

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure on other measures decided on in accordance with Articles 44, 186 and 191 of Regulation (EC) No 1234/2007.

Item 05 02 15 04 — Refunds for eggs

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	4 000 000	2 783 714,73

Remarks

This appropriation is intended to cover expenditure on export refunds for eggs in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007.

Item 05 02 15 05 — Refunds for poultrymeat

Figures

Budget 2013	Appropriations 2012	Outturn 2011
77 000 000	65 000 000	81 695 320,94

Remarks

This appropriation is intended to cover expenditure on export refunds for poultrymeat in accordance with Articles 162 to 170 of Regulation (EC) No 1234/2007.

Item 05 02 15 06 — Specific aid for bee-keeping

Figures

Budget 2013	Appropriations 2012	Outturn 2011
30 000 000	32 000 000	28 379 692,46

Remarks

This appropriation is intended to support, with specific measures, the bee-keeping sector, to compensate income losses and to improve consumer information and market transparency, as well as quality control in accordance with Articles 105 to 110 of Regulation (EC) No 1234/2007.

Item 05 02 15 07 — Exceptional market-support measures for the poultrymeat and eggs sector

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 000 000	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure on other measures decided on in accordance with Articles 44, 45, 186 and 191 of

Regulation (EC) No 1234/2007.

Item 05 02 15 99 — Other measures (pigmeat, poultry, eggs, bee-keeping, other animal products)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
	p.m.		p.m.		0,—

Remarks

This appropriation is intended to cover any other expenditure for measures in the pigmeat, poultry, eggs, bee-keeping and other animal products sectors under Regulation (EC) No 1234/2007, as well as any other outstanding balances from the application of Regulations (EEC) No 2759/75, (EEC) No 2771/75, (EEC) No 2777/75 and (EC) No 797/2004, not covered by the appropriations for the other items under article 05 02 15.

Legal basis

Council Regulation (EEC) No 2759/75 of 29 October 1975 on the common organisation of the market in pigmeat (OJ L 282, 1.11.1975, p. 1).

Council Regulation (EEC) No 2771/75 of 29 October 1975 on the common organisation of the market in eggs (OJ L 282, 1.11.1975, p. 49).

Council Regulation (EEC) No 2777/75 of 29 October 1975 on the common organisation of the market in poultrymeat (OJ L 282, 1.11.1975, p. 77).

Council Regulation (EC) No 797/2004 of 26 April 2004 on measures improving general conditions for the production and marketing of apiculture products (OJ L 125, 28.4.2004, p. 1).

Article 05 02 17 — Support for farmers

Item 05 02 17 01 — Pilot project — Support for farmers' cooperatives

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 195 000	0,—	261 774,54

Remarks

This appropriation is intended to help farmers organise themselves in cooperatives.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 05 02 17 02 — Pilot project — European farm prices and margins observatory

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	1 000 000	500 000	0,—	0,—

Remarks

This appropriation is intended to fund a European farm prices and margins observatory.

Its purpose will be, inter alia, to help improve the food price monitoring tool and make it more user-friendly, by including a multilingual interface, covering a greater number of food products and achieving better price comparability on each grade of the food supply chain within and among Member States so as to meet consumers' and farmers' need for more transparency in the setting of food prices.

It should also include a comparison of sustainable production costs and farm gate prices for conventional and ethical products in key farming sectors of Member States and socioeconomic situations.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 05 02 17 03 — Pilot project — Support for farmers' and consumers' initiatives for low carbon emission, low energy consumption and locally marketed food production

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to support low carbon emission, low energy consumption and locally marketed food chains.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 05 02 17 07 — Pilot project — Measures to combat speculation in agricultural commodities

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	1 500 000	750 000	0,—	0,—

Remarks

The aim of this pilot project is to devise effective measures to combat speculation in agricultural commodities and the resulting price volatility.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 05 03 — DIRECT AIDS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
05 03	Direct aids					

05 03 01	Decoupled direct aids					
05 03 01 01	SPS (single payment scheme)	2	30 635 000 000	30 472 000 000	31 081 825 564,87	101,46 %
05 03 01 02	SAPS (single area payment scheme)	2	6 665 000 000	5 963 000 000	5 084 279 890,13	76,28 %
05 03 01 03	Separate sugar payment	2	282 000 000	283 000 000	270 214 998,30	95,82 %
05 03 01 04	Separate fruit and vegetables payment	2	13 000 000	13 000 000	12 176 831,10	93,67 %
05 03 01 05	Specific support (Article 68) — Decoupled direct aids	2	469 000 000	458 000 000	381 890 762,58	81,43 %
05 03 01 06	Separate soft fruit payment	2	12 000 000			
05 03 01 99	Other (decoupled direct aids)	2	p.m.	p.m.	0,—	
	<i>Article 05 03 01 — Subtotal</i>		38 076 000 000	37 189 000 000	36 830 388 046,98	96,73 %
05 03 02	Other direct aids					
05 03 02 01	Crops area payments	2	4 000 000	4 000 000	3 754 406,73	93,86 %
05 03 02 04	Supplementary aid for durum wheat: traditional production zones	2	500 000	500 000	-633 785,51	-126,76 %
05 03 02 05	Production aid for seeds	2	500 000	24 000 000	23 216 124,61	4643,22 %
05 03 02 06	Suckler-cow premium	2	922 000 000	939 000 000	931 759 282,28	101,06 %
05 03 02 07	Additional suckler-cow premium	2	51 000 000	51 000 000	50 119 384,13	98,27 %
05 03 02 08	Beef special premium	2	500 000	72 000 000	71 614 634,32	14322,93 %
05 03 02 09	Beef slaughter premium — Calves	2	1 000 000	7 000 000	7 665 425,45	766,54 %
05 03 02 10	Beef slaughter premium — Adults	2	1 000 000	53 000 000	51 635 685,28	5163,57 %
05 03 02 13	Sheep and goat premium	2	22 000 000	22 000 000	23 052 886,50	104,79 %
05 03 02 14	Sheep and goat supplementary premium	2	7 000 000	7 000 000	7 019 504,88	100,28 %
05 03 02 18	Payments to starch potato producers	2	100 000	102 000 000	81 221 443,37	81221,44 %
05 03 02 19	Area aid for rice	2	1 000 000	153 000 000	154 271 149,59	15427,11 %
05 03 02 21	Aid for olive groves	2	2 000 000	3 000 000	2 441 710,42	122,09 %
05 03 02 22	Tobacco aid	2	500 000	500 000	257 061,71	51,41 %
05 03 02 23	Hops area aid	2	100 000	100 000	0,—	
05 03 02 24	Specific quality premium for durum wheat	2	500 000	500 000	245 849,65	49,17 %
05 03 02 25	Protein crop premium	2	500 000	50 000 000	49 640 451,08	9928,09 %
05 03 02 26	Area payments for nuts	2	2 000 000	88 000 000	87 644 463,42	4382,22 %
05 03 02 28	Aid for silkworms	2	500 000	500 000	402 657,35	80,53 %
05 03 02 36	Payments for specific types of farming and quality production	2	4 000 000	117 000 000	123 321 249,05	3083,03 %
05 03 02 39	Additional amount for sugar beet and cane producers	2	21 000 000	30 000 000	45 224 566,65	215,36 %
05 03 02 40	Area aid for cotton	2	240 000 000	249 000 000	247 266 342,76	103,03 %
05 03 02 41	Transitional fruit and vegetables payment — Tomatoes	2	1 000 000	21 000 000	139 349 453,70	13934,95 %
05 03 02 42	Transitional fruit and vegetables payment — Other products than tomatoes	2	34 000 000	35 000 000	55 666 189,64	163,72 %
05 03 02 43	Transitional soft fruit payment	2	100 000	11 000 000	10 727 767,91	10727,77 %
05 03 02 44	Specific support (Article 68) — Coupled direct aids	2	1 101 000 000	866 000 000	747 990 912,31	67,94 %
05 03 02 50	POSEI — European Union support programmes	2	417 000 000	395 000 000	415 970 116,88	99,75 %
05 03 02 51	POSEI — Other direct aids and earlier regimes	2	100 000	100 000	137 981,65	137,98 %
05 03 02 52	POSEI — Aegean islands	2	18 000 000	18 000 000	17 274 246,45	95,97 %
05 03 02 99	Other (direct aids)	2	2 000 000	1 500 000	-1 212 791,19	-60,64 %
	<i>Article 05 03 02 — Subtotal</i>		2 854 900 000	3 320 700 000	3 347 044 371,07	117,24 %
05 03 03	Additional amounts of aid	2	1 000 000	1 000 000	597 218,71	59,72 %
	Chapter 05 03 — Total		40 931 900 000	40 510 700 000	40 178 029 636,76	98,16 %

Remarks

Any revenue entered in article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter in accordance with Articles 18 and 154 of the Financial Regulation.

When establishing the budgetary needs for this chapter, an amount of EUR 1 033 000 000 originating from items 6 7 0 2, 6 7 0 3 and 6 8 0 1 of the general statement of revenue was taken into account in establishing the budgetary needs concerning article 05 03 01, and in particular for item 05 03 01 01. The amount originating from item 6 8 0 1 involves the remaining balance of EUR 675 000 000

from the Sugar Restructuring Fund which has ended on 30 September 2012. According to the second subparagraph of Article 1(3) of Regulation (EC) No 320/2006, this remaining balance is assigned to the European Agricultural and Guarantee Fund.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

Legal basis

Council Regulation (EC) No 1782/2003 of 29 September 2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers (OJ L 270, 21.10.2003, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers (OJ L 30, 31.1.2009, p. 16).

Article 05 03 01 — Decoupled direct aids

Item 05 03 01 01 — SPS (single payment scheme)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
30 635 000 000	30 472 000 000	31 081 825 564,87

Remarks

This appropriation is intended to cover expenditure under the single payment scheme in accordance with Title III of Regulation (EC) No 73/2009 and Title III of Regulation (EC) No 1782/2003.

Item 05 03 01 02 — SAPS (single area payment scheme)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 665 000 000	5 963 000 000	5 084 279 890,13

Remarks

This appropriation is intended to cover expenditure under the single area payment scheme, in accordance with Title V of Regulation (EC) No 73/2009, Title IVa of Regulation (EC) No 1782/2003 and the Acts of Accession of 2003 and 2005.

Legal basis

2003 Act of Accession of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of Poland, the Republic of Slovenia and the Slovak Republic, and in particular Annex II thereto 'List referred to in Article 20 of the Act of Accession' point 6A(26), as adapted by Council Decision 2004/281/EC (OJ L 93, 30.3.2004, p. 1).

2005 Act of Accession of the Republic of Bulgaria and Romania, and in particular Annex III thereto 'List referred to in Article 19 of the Act of Accession'.

Item 05 03 01 03 — Separate sugar payment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
282 000 000	283 000 000	270 214 998,30

Remarks

This appropriation is intended to cover expenditure under the separate sugar payment for the Member States applying the single area payment scheme in accordance with Title V of Regulation (EC) No 73/2009 and Title IVa of Regulation (EC) No 1782/2003.

Item 05 03 01 04 — Separate fruit and vegetables payment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
13 000 000	13 000 000	12 176 831,10

Remarks

This appropriation is intended to cover expenditure under the separate fruit and vegetables payment for the Member States applying the single area payment scheme in accordance with Title V of Regulation (EC) No 73/2009 and Title IVa of Regulation (EC) No 1782/2003.

Item 05 03 01 05 — Specific support (Article 68) — Decoupled direct aids

Figures

Budget 2013	Appropriations 2012	Outturn 2011
469 000 000	458 000 000	381 890 762,58

Remarks

This appropriation is intended to cover expenditure on decoupled specific support provided for in Article 68 of Regulation (EC) No 73/2009, and in particular those referred to in point (v) of paragraph 1(a) and in paragraphs 1(c) and (d).

Item 05 03 01 06 — Separate soft fruit payment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
12 000 000		

Remarks

New item

This appropriation is intended to cover expenditure under the separate soft fruit payment provided for in Article 129 of Regulation (EC) No 73/2009 in Member States applying the single area payment scheme in accordance with Title V of the same Regulation.

Item 05 03 01 99 — Other (decoupled direct aids)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure for other decoupled direct aids not covered by the appropriations for the other items under article 05 03 01 and to cover corrections arising from non-compliance with the net ceilings fixed in Annex IV to Regulation (EC) No 73/2009 or the national ceilings fixed in Annex VIII to the same Regulation which are not attributable to a specific budget line under article 05 03 01.

Article 05 03 02 — Other direct aids

Legal basis

Council Regulation (EEC) No 136/66 of 22 September 1966 on the establishment of a common organisation of the market in oils and fats (OJ L 72, 30.9.1966, p. 3025/66).

Council Regulation (EEC) No 1696/71 of 26 July 1971 on the common organisation of the market in hops (OJ L 175, 4.8.1971, p. 1).

Council Regulation (EEC) No 2358/71 of 26 October 1971 on the common organisation of the market in seeds (OJ L 246, 5.11.1971, p. 1).

Council Regulation (EEC) No 154/75 of 21 January 1975 on the establishment of a register of olive cultivation in the Member States producing olive oil (OJ L 19, 24.1.1975, p. 1).

Council Regulation (EEC) No 2075/92 of 30 June 1992 on the common organisation of the market in raw tobacco (OJ L 215, 30.7.1992, p. 70).

Council Regulation (EEC) No 2076/92 of 30 June 1992 fixing the premiums for leaf tobacco by group of tobacco varieties and the processing quotas allocated by group of varieties and by Member State (OJ L 215, 30.7.1992, p. 77).

Council Regulation (EC) No 1868/94 of 27 July 1994 establishing a quota system in relation to the production of potato starch (OJ L 197, 30.7.1994, p. 4).

Council Regulation (EC) No 3072/95 of 22 December 1995 on the common organisation of the market in rice (OJ L 329, 30.12.1995, p. 18).

Council Regulation (EC) No 1251/1999 of 17 May 1999 establishing a support system for producers of certain arable crops (OJ L 160, 26.6.1999, p. 1).

Council Regulation (EC) No 1254/1999 of 17 May 1999 on the common organisation of the market in beef and veal (OJ L 160, 26.6.1999, p. 21).

Council Regulation (EC) No 1255/1999 of 17 May 1999 on the common organisation of the market in milk and milk products (OJ L 160, 26.6.1999, p. 48).

Council Regulation (EC) No 2529/2001 of 19 December 2001 on the common organisation of the market in sheepmeat and goatmeat (OJ L 341, 22.12.2001, p. 3).

Council Regulation (EC) No 546/2002 of 25 March 2002 fixing the premiums and guarantee thresholds for leaf tobacco by variety group and Member State for the 2002, 2003 and 2004 harvests (OJ L 84, 28.3.2002, p. 4).

Council Regulation (EC) No 2323/2003 of 17 December 2003 setting aid rates in the seeds sector for the 2004/05 marketing year (OJ L 345, 31.12.2003, p. 21).

Council Regulation (EC) No 1544/2006 of 5 October 2006 laying down special measures to encourage silkworm rearing (OJ L 286, 17.10.2006, p. 1).

Item 05 03 02 01 — Crops area payments

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 000 000	4 000 000	3 754 406,73

Remarks

This appropriation is intended to cover any outstanding payment on the area aid for cereals, oilseeds, protein crops, grass silage and set-aside in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003 and Article 4(3) of Regulation (EC) No 1251/1999.

Item 05 03 02 04 — Supplementary aid for durum wheat: traditional production zones

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	-633 785,51

Remarks

This appropriation is intended to cover any outstanding payment on the supplementary aid to the per hectare payments granted for durum wheat producers in traditional production zones in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003 and Article 5 of Regulation (EC) No 1251/1999.

Item 05 03 02 05 — Production aid for seeds

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	24 000 000	23 216 124,61

Remarks

This appropriation is intended to cover any outstanding payment on the production aid for seeds in accordance with Section 5 of Chapter 1 of Title IV of Regulation (EC) No 73/2009, Chapter 9 of Title IV of Regulation (EC) No 1782/2003 and Article 3 of Regulation (EEC) No 2358/71.

Item 05 03 02 06 — Suckler-cow premium

Figures

Budget 2013	Appropriations 2012	Outturn 2011
922 000 000	939 000 000	931 759 282,28

Remarks

This appropriation is intended to cover the suckler-cow premiums in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 12 of Title IV of Regulation (EC) No 1782/2003.

It is also intended to cover any outstanding payments under Article 6 of Regulation (EC) No 1254/1999, with the exception of the additional premiums resulting from the application of Article 6(5) of Regulation (EC) No 1254/1999 (regions as defined in Articles 3 and 6 of Regulation (EC) No 1260/1999 and Member States with a high proportion of suckler cows in the cattle population).

Item 05 03 02 07 — Additional suckler-cow premium

Figures

Budget 2013	Appropriations 2012	Outturn 2011
51 000 000	51 000 000	50 119 384,13

Remarks

This appropriation is intended to cover the additional national suckler-cow premium in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 12 of Title IV of Regulation (EC) No 1782/2003.

It is also intended to cover any outstanding payments under Article 6(5) of Regulation (EC) No 1254/1999, granted in the regions specified in Articles 3 and 6 of Regulation (EC) No 1260/1999, and in Member States with a high proportion of suckler cows in the cattle population.

Item 05 03 02 08 — Beef special premium

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	72 000 000	71 614 634,32

Remarks

This appropriation is intended to cover any outstanding payments on the beef special premiums in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009, Chapter 12 of Title IV of Regulation (EC) No 1782/2003 and Article 4 of Regulation (EC) No 1254/1999.

Item 05 03 02 09 — Beef slaughter premium — Calves

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	7 000 000	7 665 425,45

Remarks

This appropriation is intended to cover any outstanding payment relating to the premium for the slaughter of bovine calves in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009, Chapter 12 of Title IV of Regulation (EC) No 1782/2003, Article 11 of Regulation (EC) No 1254/1999 and any outstanding payments relating to the processing premiums for young male calves in accordance with Article 4i of Council Regulation (EEC) No 805/68 of 27 June 1968 on the common organisation of the market in beef and veal.

Item 05 03 02 10 — Beef slaughter premium — Adults

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	53 000 000	51 635 685,28

Remarks

This appropriation is intended to cover any outstanding payments relating to the premium for the slaughter of adult bovine animals in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009, Chapter 12 of Title IV of Regulation (EC) No 1782/2003, Article 11 of Regulation (EC) No 1254/1999 and any outstanding payments relating to the processing premiums for young male calves in accordance with Article 4i of Regulation (EEC) No 805/68.

Item 05 03 02 13 — Sheep and goat premium

Figures

Budget 2013	Appropriations 2012	Outturn 2011
22 000 000	22 000 000	23 052 886,50

Remarks

This appropriation is intended to cover headage payments in accordance with Section 10 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 11 of Title IV of Regulation (EC) No 1782/2003.

It is also intended to cover any outstanding payments under Article 4 of Regulation (EC) No 2529/2001.

Item 05 03 02 14 — Sheep and goat supplementary premium

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 000 000	7 000 000	7 019 504,88

Remarks

This appropriation is intended to cover expenditure resulting from the grant of specific aid per ewe or she-goat for producers of sheepmeat or goatmeat in less favoured or mountain areas in accordance with Section 10 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 11 of Title IV of Regulation (EC) No 1782/2003.

It is also intended to cover any outstanding payments under Article 5 of Regulation (EC) No 2529/2001.

Item 05 03 02 18 — Payments to starch potato producers

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	102 000 000	81 221 443,37

Remarks

This appropriation is intended to cover any outstanding payment on the production aid paid to producers of potatoes intended for the manufacture of potato starch in accordance with Section 2 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 6 of Title IV of Regulation (EC) No 1782/2003.

Item 05 03 02 19 — Area aid for rice

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	153 000 000	154 271 149,59

Remarks

This appropriation is intended to cover any outstanding payment on the area aid for rice granted in accordance with Section 1 of Chapter 1 of Title IV of Regulation (EC) No 73/2009, Chapter 3 of Title IV of Regulation (EC) No 1782/2003 and any outstanding payments in accordance with Article 6 of Regulation (EC) No 3072/95.

Item 05 03 02 21 — Aid for olive groves

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 000 000	3 000 000	2 441 710,42

Remarks

This appropriation is intended to cover any outstanding payment on the aid for olive groves in accordance with Chapter 10b of Title IV of Regulation (EC) No 1782/2003, Article 3 of Regulation (EEC) No 154/75 and Title II of Regulation (EEC) No 136/66.

Item 05 03 02 22 — Tobacco aid

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	257 061,71

Remarks

This appropriation is intended to cover any outstanding payment on the aid granted to farmers producing raw tobacco in accordance with Chapter 10c of Title IV of Regulation (EC) No 1782/2003, Article 1 of Regulation (EC) No 546/2002, Title I of Regulation (EEC) No 2075/92 and Article 3 of Regulation (EEC) No 2076/92.

Item 05 03 02 23 — Hops area aid

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	100 000	0,—

Remarks

This appropriation is intended to cover any outstanding payment on the hops area aid granted to producers in accordance with Chapter 10d of Title IV of Regulation (EC) No 1782/2003 and Article 12 of Regulation (EEC) No 1696/71.

Item 05 03 02 24 — Specific quality premium for durum wheat

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	245 849,65

Remarks

This appropriation is intended to cover any outstanding payment on the specific quality premium for durum wheat in accordance with Chapter 1 of Title IV of Regulation (EC) No 1782/2003.

Item 05 03 02 25 — Protein crop premium

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	50 000 000	49 640 451,08

Remarks

This appropriation is intended to cover any outstanding payment on the aid to producers of protein crops in accordance with Section 3 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 2 of Title IV of Regulation (EC) No 1782/2003.

Item 05 03 02 26 — Area payments for nuts

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 000 000	88 000 000	87 644 463,42

Remarks

This appropriation is intended to cover any outstanding expenditure on the area aid to producers of nuts in accordance with Section 4 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 4 of Title IV of Regulation (EC) No 1782/2003.

Item 05 03 02 28 — Aid for silkworms

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	402 657,35

Remarks

This appropriation is intended to cover aid for silkworms granted in accordance with Article 111 of Regulation (EC) No 1234/2007 and Article 1 of Regulation (EC) No 1544/2006.

Item 05 03 02 36 — Payments for specific types of farming and quality production

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 000 000	117 000 000	123 321 249,05

Remarks

This appropriation is intended to cover any outstanding payment for aids granted in accordance with Article 72(3) of Regulation (EC) No 73/2009 and Article 69 of Regulation (EC) No 1782/2003.

Item 05 03 02 39 — Additional amount for sugar beet and cane producers

Figures

Budget 2013	Appropriations 2012	Outturn 2011
21 000 000	30 000 000	45 224 566,65

Remarks

This appropriation is intended to cover payments to sugar beet and cane producers in accordance with Section 7 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 10f of Title IV of Regulation (EC) No 1782/2003. This aid is provided to producers in Member States which have granted the restructuring aid provided for in Article 3 of Regulation (EC) No 320/2006 for at least 50 % of the sugar quota fixed in Annex III to Regulation (EC) No 318/2006.

Item 05 03 02 40 — Area aid for cotton

Figures

Budget 2013	Appropriations 2012	Outturn 2011
240 000 000	249 000 000	247 266 342,76

Remarks

This appropriation is intended to cover area aid for cotton in accordance with Section 6 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 10a of Title IV of Regulation (EC) No 1782/2003.

Item 05 03 02 41 — Transitional fruit and vegetables payment — Tomatoes

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	21 000 000	139 349 453,70

Remarks

This appropriation is intended to cover any outstanding payment for the transitional payments to farmers producing tomatoes, in accordance with Article 54(1) and Article 128(1) of Regulation (EC) No 73/2009 and Article 68b(1) and Article 143bc(1) of Regulation (EC) No 1782/2003.

Item 05 03 02 42 — Transitional fruit and vegetables payment — Other products than tomatoes

Figures

Budget 2013	Appropriations 2012	Outturn 2011
34 000 000	35 000 000	55 666 189,64

Remarks

This appropriation is intended to cover transitional payments to farmers producing one or more of the fruit and vegetables, other than tomatoes, in accordance with Article 54(2) and Article 128(2) of Regulation (EC) No 73/2009 and Article 68b(2) and Article 143bc(2) of Regulation (EC) No 1782/2003.

Item 05 03 02 43 — Transitional soft fruit payment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	11 000 000	10 727 767,91

Remarks

This appropriation is intended to cover any outstanding payment on the aid related to the transitional soft fruit payment in accordance with Section 9 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 10h of Title IV of Regulation (EC) No 1782/2003.

Item 05 03 02 44 — Specific support (Article 68) — Coupled direct aids

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 101 000 000	866 000 000	747 990 912,31

Remarks

This appropriation is intended to cover coupled specific support provided for in Article 68 of Regulation (EC) No 73/2009, in particular those referred to in points (i), (ii), (iii) and (iv) of paragraph 1(a) and in paragraphs 1(b) and (e) thereof.

Item 05 03 02 50 — POSEI — European Union support programmes

Figures

Budget 2013	Appropriations 2012	Outturn 2011
417 000 000	395 000 000	415 970 116,88

Remarks

This appropriation is intended to cover direct aids related to programmes containing specific measures to assist local agricultural production in accordance with Title III of Regulation (EC) No 247/2006.

Legal basis

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of

the Union (OJ L 42, 14.2.2006, p. 1).

Item 05 03 02 51 — POSEI — Other direct aids and earlier regimes

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	100 000	137 981,65

Remarks

This appropriation is intended to cover outstanding expenditure related to:

- COP area payments arising from the optional exclusion in the outermost regions in accordance with Article 70(1)(b) of Regulation (EC) No 1782/2003,
- grain legumes aid arising from the optional exclusion in the outermost regions in accordance with Article 70(1)(b) of Regulation (EC) No 1782/2003,
- area aid for rice arising from the optional exclusion in the outermost regions in accordance with Article 70(1)(b) of Regulation (EC) No 1782/2003,
- tobacco aid arising from the optional exclusion in the outermost regions in accordance with Article 70(1)(b) of Regulation (EC) No 1782/2003,
- dairy premiums and additional payments to milk producers arising from the optional exclusion in the outermost regions in accordance with Article 70(1)(b) of Regulation (EC) No 1782/2003,
- direct aids before 2006.

Legal basis

Council Regulation (EC) No 1452/2001 of 28 June 2001 introducing specific measures for certain agricultural products for the French overseas departments (Poseidom) (OJ L 198, 21.7.2001, p. 11).

Council Regulation (EC) No 1453/2001 of 28 June 2001 introducing specific measures for certain agricultural products for the Azores and Madeira (Poseima) (OJ L 198, 21.7.2001, p. 26).

Council Regulation (EC) No 1454/2001 of 28 June 2001 introducing specific measures for certain agricultural products for the Canary Islands (Poseican) (OJ L 198, 21.7.2001, p. 45).

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Item 05 03 02 52 — POSEI — Aegean islands

Figures

Budget 2013	Appropriations 2012	Outturn 2011
18 000 000	18 000 000	17 274 246,45

Remarks

This appropriation is intended to cover other expenditure for direct aid arising from the implementation of the Aegean islands legislation.

Legal basis

Council Regulation (EEC) No 2019/93 of 19 July 1993 introducing specific measures for the smaller Aegean islands concerning certain agricultural products (OJ L 184, 27.7.1993, p. 1).

Council Regulation (EC) No 1405/2006 of 18 September 2006 laying down specific measures for agriculture in favour of the smaller Aegean islands (OJ L 265, 26.9.2006, p. 1).

Item 05 03 02 99 — Other (direct aids)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 000 000	1 500 000	-1 212 791,19

Remarks

Former items 05 03 02 27 and 05 03 02 99

This appropriation is intended to cover expenditure for other direct aids not covered by the appropriations of the other items under article 05 03 02 and to cover corrections which are not attributable to a specific budget line. It is also intended to cover corrections arising from non-compliance with the net ceilings fixed in Annex IV to Regulation (EC) No 73/2009 or the national ceilings fixed in Annex VIII to that Regulation which are not attributable to a specific budget line under article 05 03 02. It may also finance outstanding expenditure linked to:

- the supplement to the area payment in accordance with Chapter 8 of Title IV of Regulation (EC) No 1782/2003, and Article 4(4) of Regulation (EC) No 1251/1999,
- the per hectare aid to maintain crops of chickpeas, lentils and vetches in accordance with Chapter 13 of Title IV of Regulation (EC) No 1782/2003 and Regulation (EC) No 1577/96,
- the transitional regime for dried fodder in accordance with Regulations (EC) No 603/95, No 1782/2003 and No 1786/2003,
- the supplement for durum wheat in non-traditional production zones in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003 and Article 5 of Regulation (EC) No 1251/1999,
- the beef deseasonalisation premium in accordance with Chapter 12 of Title IV of Regulation (EC) No 1782/2003 and Article 5 of Regulation (EC) No 1254/1999,
- the beef extensification premium in accordance with Chapter 12 of Title IV of Regulation (EC) No 1782/2003 and Article 13 of Regulation (EC) No 1254/1999,
- the additional payments to beef producers in accordance with Article 133 of Regulation (EC) No 1782/2003 and Article 11 of Regulation (EC) No 1254/1999,
- the additional payments in the sheep and goat sector in accordance with Chapter 11 of Title IV of Regulation (EC) No 1782/2003 and Article 5 of Regulation (EC) No 2519/2001,
- the dairy premium to milk producers in accordance with Chapter 7 of Title IV of Regulation (EC) No 1782/2003,
- the additional premiums to milk producers in accordance with Chapter 7 of Title IV of Regulation (EC) No 1782/2003,
- the compensatory aid for bananas in accordance with Article 12 of Regulation (EEC) No 404/93,
- the transitional aid for sugar beet growers in accordance with Chapter 10e of Title IV of Regulation (EC) No 1782/2003,
- the establishment of the agrimonetary arrangements for the euro,
- the area aid for dried grapes in accordance with Article 7 of Regulation (EC) No 2201/96.

Legal basis

Council Regulation (EEC) No 404/93 of 13 February 1993 on the common organisation of the market in bananas (OJ L 47, 25.2.1993, p. 1).

Council Regulation (EC) No 1577/96 of 30 July 1996 introducing a specific measure in respect of certain grain legumes (OJ L 206, 16.8.1996, p. 4).

Council Regulation (EC) No 2201/96 of 28 October 1996 on the common organisation of the markets in processed fruit and vegetable products (OJ L 297, 21.11.1996, p. 29).

Council Regulation (EC) No 2799/98 of 15 December 1998 establishing agrimonetary arrangements for the euro (OJ L 349, 24.12.1998, p. 1).

Council Regulation (EC) No 2800/98 of 15 December 1998 on transitional measures to be applied under the common agricultural policy with a view to the introduction of the euro (OJ L 349, 24.12.1998, p. 8).

Council Regulation (EC) No 1259/1999 of 17 May 1999 establishing common rules for direct support schemes under the common agricultural policy (OJ L 160, 26.6.1999, p. 113).

Council Regulation (EC) No 1786/2003 of 29 September 2003 on the common organisation of the market in dried fodder (OJ L 270, 21.10.2003, p. 114).

Article 05 03 03 — Additional amounts of aid

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 000 000	1 000 000	597 218,71

Remarks

This appropriation is intended to cover the outstanding payments in accordance with Article 12 of Regulation (EC) No 1782/2003.

CHAPTER 05 04 — RURAL DEVELOPMENT

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 04	Rural development								
05 04 01	Rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006								
05 04 01 14	Rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006	2	p.m.	p.m.	p.m.	p.m.	-6 631 996,91	-6 631 996,91	
	<i>Article 05 04 01 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	-6 631 996,91	-6 631 996,91	
05 04 02	Rural development financed by the EAGGF Guidance Section — Completion of earlier programmes								
05 04 02 01	Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Objective 1 regions (2000 to 2006)	2	p.m.	p.m.	p.m.	85 339 148	0,—	430 958 351,44	
05 04 02 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 03	Completion of earlier programmes in Objectives 1 and 6 regions (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 04	Completion of earlier programmes in Objective 5b regions (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 05	Completion of earlier programmes outside Objective 1 regions (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 06	Completion of Leader (2000 to 2006)	2	p.m.	p.m.	p.m.	p.m.	0,—	68 864 005,14	
05 04 02 07	Completion of earlier Community initiatives (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 08	Completion of earlier innovative measures (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 09	Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Operational technical assistance (2000 to 2006)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 04 02 — Subtotal</i>		p.m.	p.m.	p.m.	85 339 148	0,—	499 822 356,58	
05 04 03	Other measures								
05 04 03 02	Plant and animal genetic resources — Completion of earlier measures	2	p.m.	p.m.	p.m.	412 933	0,—	910 712,69	

				p.m.	p.m.	p.m.	412 933	0,—	910 712,69	
05 04 04	<i>Article 05 04 03 — Subtotal</i>									
	Transitional instrument for the financing of rural development by the EAGGF Guarantee Section for the new Member States — Completion of programmes (2004 to 2006)	2		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 05	<i>Article 05 04 05 — Subtotal</i>									
	Rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)									
05 04 05 01	Rural development programmes	2	14 788 920 797	12 735 000 000	14 589 123 242	11 994 891 297	14 408 211 311,00	11 794 000 248,51	92,61 %	
05 04 05 02	Operational technical assistance	2	14 535 000	8 563 000	22 521 200	7 500 363	5 350 711,47	3 913 763,13	45,71 %	
05 04 05 03	Pilot project — Exchange programme for young farmers	2	p.m.	750 000	1 500 000	750 000	1 500 000,00	0,—		
	<i>Article 05 04 05 — Subtotal</i>		14 803 455 797	12 744 313 000	14 613 144 442	12 003 141 660	14 415 062 022,47	11 797 914 011,64	92,57 %	
	Chapter 05 04 — Total		14 803 455 797	12 744 313 000	14 613 144 442	12 088 893 741	14 408 430 025,56	12 292 015 084,00	96,45 %	

Article 05 04 01 — Rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006

Remarks

Any revenue entered in article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this article in accordance with Articles 18 and 154 of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

Item 05 04 01 14 — Rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	-6 631 996,91

Remarks

This appropriation is intended to cover sums recovered by the Member States that cannot be considered to constitute an irregularity or negligence under Article 32 of Regulation (EC) No 1290/2005. These sums will be booked as corrections regarding expenditure previously financed from items 05 04 01 01 to 05 04 01 13 and cannot be reused by the Member States.

It is also intended to cover the payment of outstanding amounts declared by Member States subsequent to the application of Article 39(3) of Council Regulation (EC) No 1290/2005.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Article 05 04 02 — Rural development financed by the EAGGF Guidance Section — Completion of earlier programmes

Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in item 6 5 0 0 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Article 18 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Legal basis

The following legal basis applies to all lines of this article unless otherwise stated.

Treaty establishing the European Community, and in particular Articles 158, 159 and 161 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Item 05 04 02 01 — Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Objective 1 regions (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	85 339 148	0,—	430 958 351,44

Remarks

This appropriation is intended to cover EAGGF Guidance Section Objective 1 commitments to be settled from the programming period 2000 to 2006.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Item 05 04 02 02 — Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

The special programme for peace and reconciliation is intended to cover commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations

for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Item 05 04 02 03 — Completion of earlier programmes in Objectives 1 and 6 regions (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing of commitments remaining to be settled from previous programming periods in respect of former Objectives 1 and 6.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Item 05 04 02 04 — Completion of earlier programmes in Objective 5b regions (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing, by the EAGGF Guidance Section, of commitments remaining to be settled from previous programming periods in respect of former Objective 5b.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Item 05 04 02 05 — Completion of earlier programmes outside Objective 1 regions (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the funding, by the EAGGF Guidance Section, of commitments remaining to be settled from earlier programming periods in respect of former Objective 5a.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Item 05 04 02 06 — Completion of Leader (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	68 864 005,14

Remarks

This appropriation is intended to cover the funding, by the Leader+ Community initiative, of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Reference acts

Commission notice to the Member States of 14 April 2000 laying down guidelines for the Community initiative for rural development (Leader+) (OJ C 139, 18.5.2000, p. 5).

Item 05 04 02 07 — Completion of earlier Community initiatives (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to fund commitments remaining to be settled in respect of Community initiatives which preceded the programming period 2000 to 2006.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Reference acts

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission notice to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (PEACE I) (OJ C 186, 20.7.1995, p. 3).

Commission notice to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border

counties of Ireland (1995 to 1999) (PEACE I) (COM(97) 642 final).

Item 05 04 02 08 — Completion of earlier innovative measures (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the settlement of commitments entered into, in the course of earlier programming periods, in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the regulations concerned.

It also funds amounts outstanding from former multiannual measures, in particular those approved and implemented under the other abovementioned regulations, which are not covered by the priority objectives of the Funds.

This appropriation will where applicable also be used to cover amounts payable in respect of the EAGGF Guidance Section for measures for which the corresponding commitment appropriations are neither available nor provided for in the programming for 2000 to 2006.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Item 05 04 02 09 — Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Operational technical assistance (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the funding by the EAGGF Guidance Section of commitments remaining to be settled from the programming period 2000 to 2006 for technical assistance measures as provided for by Article 23 of Regulation (EC) No 1260/1999. Technical assistance covered the preparation, monitoring, evaluation, inspection and management required to implement assistance from the EAGGF Guidance Section. It was in particular used for:

- support expenditure (representation allowances, training, meetings, missions),
- expenditure on information and publications,
- expenditure on information and telecommunications technology,
- contracts for the provision of services,
- grants.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Article 05 04 03 — Other measures

Item 05 04 03 02 — Plant and animal genetic resources — Completion of earlier measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	412 933	0,—	910 712,69

Remarks

This appropriation is intended to cover the settlement of commitments entered in respect of the Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture.

This appropriation is to be used as a matter of priority for the sustainable use and further development of biodiversity through the interaction of farmers, established nongovernmental organisations in the area and public and private-sector institutes. Consumer awareness in this field should also be fostered.

Any revenue entered in article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 18 and 180 of the Financial Regulation.

Legal basis

Council Regulation (EC) No 870/2004 of 26 April 2004 establishing a Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture (OJ L 162, 30.4.2004, p. 18).

Article 05 04 04 — Transitional instrument for the financing of rural development by the EAGGF Guarantee Section for the new Member States — Completion of programmes (2004 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

The appropriations entered are intended to pay commitments of the 2004 to 2006 programming period.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) (OJ L 160, 26.6.1999, p. 80).

2003 Act of Accession of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of Poland, the Republic of Slovenia and the Slovak Republic, and in particular Annex II thereto: 'List referred to in Article 20 of the Act of Accession', point 6A(26), as adapted by Council Decision 2004/281/EC (OJ L 93, 30.3.2004, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

Article 05 04 05 — Rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)

Remarks

Any revenue entered in article 6 7 1 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this article in accordance with Article 18 of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 378/2007 of 27 March 2007 laying down rules for voluntary modulation of direct payments provided for in Regulation (EC) No 1782/2003 (OJ L 95, 5.4.2007, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers (OJ L 30, 31.1.2009, p. 16).

Item 05 04 05 01 — Rural development programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 788 920 797	12 735 000 000	14 589 123 242	11 994 891 297	14 408 211 311,00	11 794 000 248,51

Remarks

This appropriation is intended to cover the financing of the 2007 to 2013 rural development programmes funded by the European Agricultural Fund for Rural Development (EAFRD).

Of the total commitment appropriations in this item, an amount of EUR 2 355 300 000 results from the compulsory modulation in conformity with Article 9(1) of Regulation (EC) No 73/2009. In addition, an amount of EUR 347 900 000 results from the voluntary modulation in conformity with Regulation (EC) No 378/2007. Rural development measures under all axes will be measured against more refined performance indicators for farming systems and production methods so as to respond to the challenges related to climate change, water protection, biodiversity and renewable energies. Member States shall report on action taken on the new challenges in rural development measures, including the milk sector.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 378/2007 of 27 March 2007 laying down rules for voluntary modulation of direct payments provided for in Regulation (EC) No 1782/2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers (OJ L 95, 5.4.2007, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers (OJ L 30, 31.1.2009, p. 16).

Item 05 04 05 02 — Operational technical assistance

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 535 000	8 563 000	22 521 200	7 500 363	5 350 711,47	3 913 763,13

Remarks

This appropriation is intended to cover technical assistance measures as provided for by Article 66(1) of Regulation (EC) No 1698/2005 and in particular the European Network for Rural Development.

Part of this appropriation is intended to steadily expand the European Rural Solidarity Network, which has been in operation for two years now.

1. *Aim: to create a European area of solidarity, prevention and research*

— Phase 1: consolidating the current solidarity network.

— Phase 2: expanding the network to offer other European countries the benefits of its expertise in taking preventive action to safeguard agricultural employment and maintain the economic vitality of rural areas. There is an urgent need to share and standardise the comprehensive support schemes already in use and to exchange views on exactly what being ‘in difficulty’ means, with a view to detecting people who are in this situation, using common criteria referred to as ‘alarm signals’. These prevention tools will help newly established support groups to address the problems faced in rural areas more effectively.

2. *Action to be taken: dissemination of prevention tools*

The following tools need to be disseminated as widely as possible among European farmers:

— ‘alarm signals’: the basic preventive self-assessment tool to be used by farmers to assess the difficulties they face. This tool will enable farmers to determine exactly how bad their situation is, so that they can seek the assistance of agencies able to help them out of their difficulties at the earliest possible stage,

— ‘simplified management tool’: this financial self-assessment tool will enable farmers to assess the financial situation of their farms and to anticipate difficulties, determine how much they have for investment or diversification and keep to a pre-established recovery plan. Training in the use of the simplified management tool will be provided by the individual support groups at national level. The training will be targeted at people looking after and supporting farmers in difficulty.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Item 05 04 05 03 — Pilot project — Exchange programme for young farmers

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	750 000	1 500 000	750 000	1 500 000,00	0,—

Remarks

This pilot project is intended to establish an exchange programme for young farmers, which would allow for cross-border exchanges of best practice in farm management, in particular in relation to the challenges facing European agriculture, in order to aid in the development of Europe’s rural areas.

This programme would provide an invaluable opportunity for young farmers to experience at first hand the diverse agricultural realities in the Union by spending time on farms based in a different Member State. This exchange of knowledge and experience among Europe’s young farmers would further equip them to meet the demands of European consumers, to contribute to food security and to address other challenges facing European agriculture such as the use of renewable energies, biodiversity loss and carbon storage.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 05 05 — PRE-ACCESSION MEASURES IN THE FIELD OF AGRICULTURE AND RURAL DEVELOPMENT

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 05	Pre-accession measures in the field of agriculture and rural development								
05 05 01	Special Accession Programme for Agriculture and Rural Development (Sapard) — Completion of earlier measures								
05 05 01 01	The Sapard pre-accession instrument — Completion of the programme (2000 to 2006)	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 05 01 02	The Sapard pre-accession instrument — Completion of the pre-accession assistance related to eight applicant countries	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 05 01 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 05 02	Instrument for Pre-accession Assistance for Rural Development (IPARD)	4	259 328 000	117 400 000	234 458 000	54 586 457	215 000 000,00	101 768 207,00	86,69 %
	Chapter 05 05 — Total		259 328 000	117 400 000	234 458 000	54 586 457	215 000 000,00	101 768 207,00	86,69 %

Article 05 05 01 — Special Accession Programme for Agriculture and Rural Development (Sapard) — Completion of earlier measures

Legal basis

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 2257/2004 of 20 December 2004 amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 2666/2000, to take into account Croatia's candidate status (OJ L 389, 30.12.2004, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Item 05 05 01 01 — The Sapard pre-accession instrument — Completion of the programme (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the clearance of commitments made up to 31 December 2006 in Bulgaria, Romania and Croatia for support measures relating to agriculture and rural development under Sapard.

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

Item 05 05 01 02 — The Sapard pre-accession instrument — Completion of the pre-accession assistance related to eight applicant countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the clearance of commitments made up to 31 December 2003 for support measures relating to agriculture and rural development under Sapard in the eight candidate countries which became Member States in 2004.

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

Article 05 05 02 — Instrument for Pre-accession Assistance for Rural Development (IPARD)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
259 328 000	117 400 000	234 458 000	54 586 457	215 000 000,00	101 768 207,00

Remarks

This appropriation is intended to cover the Union assistance to the candidate countries covered by the IPA in progressive alignment with the standards and policies of the Union, including where appropriate the *acquis* of the Union, with a view to membership. The rural development component shall support countries in their preparations for the implementation and management of the common agricultural policy, alignment to Union structures and post-accession Union-funded rural development programmes.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82)

CHAPTER 05 06 — INTERNATIONAL ASPECTS OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 06	International aspects of the ‘Agriculture and rural development’ policy area								
05 06 01	International agricultural agreements	4	6 629 000	6 629 000	6 360 000	5 780 674	5 041 245,41	5 041 245,41	76,05 %
	Chapter 05 06 — Total		6 629 000	6 629 000	6 360 000	5 780 674	5 041 245,41	5 041 245,41	76,05 %

Article 05 06 01 — International agricultural agreements

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 629 000	6 629 000	6 360 000	5 780 674	5 041 245,41	5 041 245,41

Remarks

This appropriation is intended to cover the Union's contribution to the international agreements mentioned below.

Legal basis

Council Decision 92/580/EEC of 13 November 1992 on the signing and conclusion of the International Sugar Agreement 1992 (OJ L 379, 23.12.1992, p. 15).

Council Decision 96/88/EC of 19 December 1995 concerning the approval by the European Community of the Grains Trade Convention and the Food Aid Convention, constituting the International Grains Agreement 1995 (OJ L 21, 27.1.1996, p. 47).

Council Decision 2005/800/EC of 14 November 2005 concerning the conclusion of the International Agreement on olive oil and table olives 2005 (OJ L 302, 19.11.2005, p. 47).

CHAPTER 05 07 — AUDIT OF AGRICULTURAL EXPENDITURE

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
05 07	Audit of agricultural expenditure								
05 07 01	Control of agricultural expenditure								
05 07 01 02	Monitoring and preventive measures — Direct payments by the Union	2	6 800 000	6 800 000	6 500 000	6 500 000	6 499 903,51	6 499 903,51	95,59 %
05 07 01 06	Accounting clearance of previous years' accounts with regard to shared management expenditure under the EAGGF Guarantee Section (previous measures) and under the EAGF	2	-56 000 000	-56 000 000	-200 000 000	-200 000 000	66 423 876,62	66 423 876,62	-118,61 %
05 07 01 07	Conformity clearance of previous years' accounts with regard to shared management expenditure under the EAGGF Guarantee Section (previous measures) and under the EAGF	2	108 300 000	108 300 000	p.m.	p.m.	3 521 572,29	3 521 572,29	3,25 %
05 07 01 10	Accounting clearance of previous years' accounts with regard to rural development under the EAFRD	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 07 01 11	Conformity clearance of previous years' accounts with regard to rural development under the EAFRD	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 07 01 — Subtotal</i>		59 100 000	59 100 000	-193 500 000	-193 500 000	76 445 352,42	76 445 352,42	129,35 %
05 07 02	Settlement of disputes	2	p.m.	p.m.	800 000	800 000	0,—	0,—	
	Chapter 05 07 — Total		59 100 000	59 100 000	-192 700 000	-192 700 000	76 445 352,42	76 445 352,42	129,35 %

Remarks

Any revenue entered in article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this chapter in accordance with Articles 18 and 154 of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Article 05 07 01 — Control of agricultural expenditure

Item 05 07 01 02 — Monitoring and preventive measures — Direct payments by the Union

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 800 000	6 500 000	6 499 903,51

Remarks

This appropriation is intended to cover expenditure for carrying out remote-sensing checks.

Legal basis

Council Regulation (EC) No 165/94 of 24 January 1994 concerning the co-financing by the Community of remote sensing checks (OJ L 24, 29.1.1994, p. 6).

Council Regulation (EC) No 1782/2003 of 29 September 2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers (OJ L 270, 21.10.2003, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers (OJ L 30, 31.1.2009, p. 16).

Item 05 07 01 06 — Accounting clearance of previous years' accounts with regard to shared management expenditure under the EAGGF Guarantee Section (previous measures) and under the EAGF

Figures

Budget 2013	Appropriations 2012	Outturn 2011
-56 000 000	-200 000 000	66 423 876,62

Remarks

This appropriation is intended to cover the results of decisions in accordance with Article 17, concerning reduction of monthly payments due to non-compliance with payment deadlines, Article 17a and Article 30(1) of Regulation (EC) No 1290/2005 as well as the results of accounting clearance decisions relating to the Sugar Restructuring Fund. The principle of the clearance of accounts is provided for in Article 53b(4) of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1258/1999 of 17 May 1999 on the financing of the common agricultural policy (OJ L 160, 26.6.1999, p. 103).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Item 05 07 01 07 — Conformity clearance of previous years' accounts with regard to shared management expenditure under the EAGGF Guarantee Section (previous measures) and under the EAGF

Figures

Budget 2013	Appropriations 2012	Outturn 2011
108 300 000	p.m.	3 521 572,29

Remarks

Former items 05 02 16 02 and 05 07 01 07

This appropriation is intended to cover the results of decisions in accordance with Article 31 of Regulation (EC) No 1290/2005 as well as the results of accounting clearance decisions relating to the Sugar Restructuring Fund when these are in favour of Member States. The principle of the clearance of accounts is provided for in Article 53b(4) of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1258/1999 of 17 May 1999 on the financing of the common agricultural policy (OJ L 160, 26.6.1999, p. 103).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Item 05 07 01 10 — Accounting clearance of previous years' accounts with regard to rural development under the EAFRD

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the results of decisions in accordance with Article 30(1) of Regulation (EC) No 1290/2005. The principle of the clearance of accounts is provided for in Article 53b(4) of the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 05 07 01 11 — Conformity clearance of previous years' accounts with regard to rural development under the EAFRD

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the results of decisions in accordance with Article 31 of Regulation (EC) No 1290/2005 when these are in favour of Member States. The principle of the clearance of accounts is provided for in Article 53b(4) of the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 05 07 02 — Settlement of disputes

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	800 000	0,—

Remarks

This article is intended to accommodate, if necessary, an appropriation to cover expenditure (positive or negative) for which the Commission may be made responsible by a decision of a court of justice, including the cost of settling claims for damages and interest.

It is also intended to cover any expenditure that the Commission may incur pursuant to Article 7(2) of Council Regulation (EEC) No 595/91 of 4 March 1991 concerning irregularities and the recovery of sums wrongly paid in connection with the financing of the common agricultural policy and the organisation of an information system in this field (OJ L 67, 14.3.1991, p. 11).

CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 08	Policy strategy and coordination of the ‘Agriculture and rural development’ policy area								
05 08 01	<i>Farm Accountancy Data Network (FADN)</i>	2	14 636 655	14 518 700	14 410 160	12 574 403	14 230 188,13	12 489 870,79	86,03 %
05 08 02	<i>Surveys on the structure of agricultural holdings</i>	2	450 000	5 950 000	20 235 377	20 031 352	201 455,00	302 462,64	5,08 %
05 08 03	<i>Restructuring of systems for agricultural surveys</i>	2	1 550 687	1 648 004	1 460 000	1 336 980	1 443 608,22	1 443 813,54	87,61 %
05 08 06	<i>Enhancing public awareness of the common agricultural policy</i>	2	8 000 000	8 000 000	8 000 000	8 000 000	7 987 290,56	7 987 290,56	99,84 %
05 08 09	<i>European Agricultural Guarantee Fund (EAGF) — Operational technical assistance</i>	2	2 670 000	2 670 000	1 705 000	1 705 000	1 269 926,00	1 269 926,00	47,56 %
05 08 10	<i>Pilot project — Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety</i>	2	p.m.	939 631	p.m.	750 000	1 468 172,00	0,—	
05 08 11	<i>Pilot project — Exchanging best practice for cross compliance simplification</i>	2	p.m.	p.m.	1 000 000	500 000			
05 08 12	<i>Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming</i>	2	p.m.	p.m.	500 000	250 000			
	Chapter 05 08 — Total		27 307 342	33 726 335	47 310 537	45 147 735	26 600 639,91	23 493 363,53	69,66 %

Remarks

Any revenue entered in article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 18 and 154 of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Article 05 08 01 — Farm Accountancy Data Network (FADN)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 636 655	14 518 700	14 410 160	12 574 403	14 230 188,13	12 489 870,79

Remarks

This appropriation is intended to cover the financing of the standard fees and the development of facilities for the collection, processing, analysis, publication and dissemination of farm accountancy data and analysis of results.

Legal basis

Regulation No 79/65/EEC of the Council of 15 June 1965 setting up a network for the collection of accountancy data on the incomes and business operation of agricultural holdings in the European Economic Community (OJ 109, 23.6.1965, p. 1859/65).

Council Regulation (EC) No 1217/2009 of 30 November 2009 setting up a network for the collection of accountancy data on the incomes and business operation of agricultural holdings in the European Community (OJ L 328, 15.12.2009, p. 27).

Article 05 08 02 — Surveys on the structure of agricultural holdings

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
450 000	5 950 000	20 235 377	20 031 352	201 455,00	302 462,64

Remarks

This appropriation is intended to cover contributions to financing statistical surveys needed to monitor structures in the Union, including the Eurofarm database.

Legal basis

Council Regulation (EEC) No 571/88 of 29 February 1988 on the organisation of Community surveys on the structure of agricultural holdings (OJ L 56, 2.3.1988, p. 1).

Regulation (EC) No 1166/2008 of the European Parliament and of the Council of 19 November 2008 on farm structure surveys and the survey on agricultural production methods (OJ L 321, 1.12.2008, p. 14).

Article 05 08 03 — Restructuring of systems for agricultural surveys

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 550 687	1 648 004	1 460 000	1 336 980	1 443 608,22	1 443 813,54

Remarks

This appropriation is intended to cover:

- expenditure on the improvement of systems of agricultural statistics in the Union,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the purchasing and the consulting of databases,
- subsidies, contractual expenditure and expenditure involving payments for services connected with agricultural sector modelling and short- and medium-term forecasts of market and agricultural structure trends, and with the dissemination of results,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the implementation of operations for applying remote sensing, area sampling and agrometeorological models to agricultural statistics,

- subsidies, contractual expenditure and expenditure involving payments for services connected with the carrying out of economic analyses and development of indicators in the field of the agricultural policy.

Legal basis

Tasks arising from the prerogatives of the Commission at the institutional level under the terms of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Decision 96/411/EC of 25 June 1996 on improving Community agricultural statistics (OJ L 162, 1.7.1996, p. 14).

Decision No 1445/2000/EC of the European Parliament and of the Council of 22 May 2000 on the application of aerial-survey and remote-sensing techniques to the agricultural statistics for 1999 to 2003 (OJ L 163, 4.7.2000, p. 1).

Council Regulation (EC) No 78/2008 of 21 January 2008 on the measures to be undertaken by the Commission in 2008-2013 making use of the remote-sensing applications developed within the framework of the common agricultural policy (OJ L 25, 30.1.2008, p. 1).

Article 05 08 06 — Enhancing public awareness of the common agricultural policy

Figures

Budget 2013	Appropriations 2012	Outturn 2011
8 000 000	8 000 000	7 987 290,56

Remarks

This appropriation is intended to cover the financing of measures for enhancing public awareness of the common agricultural policy by the Union, as provided for in Article 1 of Regulation (EC) No 814/2000.

The measures may take the form of:

- annual work programmes submitted, in particular, by farmers' or rural development organisations, consumers' associations and environmental protection associations,
- specific measures submitted, in particular, by the public authorities of the Member States, the media and universities,
- activities implemented at the Commission's initiative,
- activities for the promotion of family farming.

Part of this appropriation is intended to finance information in schools, at points of sale and at other consumer contact points on the high-quality, food-safety, environmental and animal-welfare standards that European farmers have to meet, as compared with those required in third countries. It should highlight the important contribution made by the common agricultural policy in achieving these high standards and include explanations of the various quality schemes in force, such as denominations of origin and geographical indications.

Part of this appropriation is intended to finance an information campaign to consumers on causes and consequences of waste in food and advice on ways of reducing it, as well as the promotion of benchmarking practices within the different sectors of the food chain.

Legal basis

Council Regulation (EC) No 814/2000 of 17 April 2000 on information measures relating to the common agricultural policy (OJ L 100, 20.4.2000, p. 7).

Article 05 08 09 — European Agricultural Guarantee Fund (EAGF) — Operational technical assistance

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 670 000	1 705 000	1 269 926,00

Remarks

This appropriation is intended to cover the expenditure in conformity with Article 5(a) to (d) of Regulation (EC) No 1290/2005.

This includes the financing of the establishment of a Union control system, based on DNA analysis at Union borders as provided by the following international agreements:

- Council Decision 2004/617/EC of 11 August 2004 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and India pursuant to Article XXVIII of the GATT 1994 relating to the modification of concessions with respect to rice provided for in EC Schedule CXL annexed to the GATT 1994 (OJ L 279, 28.8.2004, p. 17),
- Council Decision 2004/618/EC of 11 August 2004 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Pakistan pursuant to Article XXVIII of the GATT 1994 relating to the modification of concessions with respect to rice provided for in EC Schedule CXL annexed to the GATT 1994 (OJ L 279, 28.8.2004, p. 23).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (single CMO Regulation) (OJ L 299, 16.11.2007, p. 1) provides in Article 138 that only Basmati rice which belongs to the varieties specified in the abovementioned Agreements may be imported at zero import duty.

This appropriation may also be used to cover:

- expenditure for analysing and inspecting third countries' standards for organic products in accordance with Title VI of Regulation (EC) No 834/2007,
- expenditure for establishing an analytical databank for wine products which is foreseen in Article 87 of Commission Regulation (EC) No 555/2008 of 27 June 2008 laying down detailed rules for implementing Article 120g of Council Regulation (EC) No 1234/2007 involving the reference methods of analysis of products of the wine sector,
- expenditure on a study on 'Assessing end-user costs of compliance with EU legislation in the fields of environment, animal welfare and food safety'.

Legal basis

Council Regulation (EC) No 834/2007 of 28 June 2007 on organic production and labelling of organic products (OJ L 189, 20.7.2007, p. 1).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Article 05 08 10 — Pilot project — Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	939 631	p.m.	750 000	1 468 172,00	0,—

Remarks

This appropriation is intended to set up a pilot project for assessing the actual costs to farmers of complying with Union legislation in the fields of the environment, animal welfare and food safety which goes beyond the standards to which import products are subject. This legislation concerns inter alia the 18 regulations and directives underpinning the cross-compliance system, as well as the standards defined as Good Agricultural and Environmental Condition (GAEC) which is also part of the cross-compliance requirements.

It will be used to conduct a broad study assessing the compliance costs as described above in all Member States, which might differ between Member States and even between regions within Member States because of differing climatic, geological, production, economic and social features. The study should be conducted by a well established scientific institute or a consortium of such institutes.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 05 08 11 — Pilot project — Exchanging best practice for cross compliance simplification

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	1 000 000	500 000		

Remarks

This appropriation is intended to fund a platform through which national and local control agencies can exchange best practice and find common solutions to simplification for farmers of cross-compliance controls, particularly through interoperable databases and 'one-stop checks'.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 05 08 12 — Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	500 000	250 000		

Remarks

This appropriation is intended to cover the establishment of a pilot project to coordinate research on the use of homeopathy and phytotherapy in livestock farming, in line with the European Parliament resolution of 12 May 2011 on antibiotic resistance in which the European Parliament called for the use of antibiotics in livestock farming to be reduced and for alternative methods to be used. Such methods include the use of homeopathy and phytotherapy. The pilot project should involve collecting data on what research projects in the field of homeopathy and phytotherapy have already been set up by the various Member States' universities and higher education institutions, and what findings they have made; the pilot project should also investigate whether, and in what framework, the universities involved cooperate.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 06 — MOBILITY AND TRANSPORT

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
06 01	Administrative expenditure of the 'Mobility and transport' policy area		68 662 765	68 662 765	67 716 979	67 716 979	69 521 781,01	69 521 781,01
	40 01 40				59 867	59 867		
					67 776 846	67 776 846		
06 02	Inland, air and maritime transport	1	200 808 724	177 294 542	209 427 270	157 407 105	199 856	149 541
							711,41	784,11
06 03	Trans-European networks	1	1 410 000 000	763 000 000	1 325 406 119	791 395 112	1 257 103	834 502
							612,54	734,85
06 06	Research related to transport	1	60 980 795	60 088 742	61 580 000	62 784 153	82 702 727,57	58 973 175,25
	Title 06 — Total		1 740 452 284	1 069 046 049	1 664 130 368	1 079 303 349	1 609 184 832,53	1 112 539 475,22

	40 01 40			59 867	59 867		
	Total including reserves			1 664 190 235	1 079 363 216		

CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MOBILITY AND TRANSPORT’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
06 01	Administrative expenditure of the ‘Mobility and transport’ policy area					
06 01 01	Expenditure related to staff in active employment in the ‘Mobility and transport’ policy area	5	35 652 889	34 374 908	36 235 532,53	101,63 %
06 01 02	External staff and other management expenditure in support of the ‘Mobility and transport’ policy area					
06 01 02 01	External staff	5	2 374 972	2 522 065	2 721 098,34	114,57 %
06 01 02 11	Other management expenditure	5	2 389 096	2 514 628	2 978 232,00	124,66 %
	40 01 40			59 867 2 574 495		
	<i>Article 06 01 02 — Subtotal</i>		4 764 068	5 036 693	5 699 330,34	119,63 %
	40 01 40			59 867 5 096 560		
06 01 03	Expenditure related to information and communication technology equipment and services of the ‘Mobility and transport’ policy area	5	2 215 808	2 194 778	2 795 416,46	126,16 %
06 01 04	Support expenditure for operations in the ‘Mobility and transport’ policy area					
06 01 04 01	Marco Polo II programme — Expenditure on administrative management	1.1	120 000	109 800	102 247,00	85,21 %
06 01 04 02	Transport — Expenditure on administrative management	1.1	700 000	799 800	618 734,00	88,39 %
06 01 04 04	Financial support for projects of common interest in the trans-European transport network — Expenditure on administrative management	1.1	3 700 000	3 000 000	3 287 398,04	88,85 %
06 01 04 07	Safety and protection of transport users — Expenditure on administrative management	1.1	—	p.m.	3 000,00	
06 01 04 09	Information and communication — Expenditure on administrative management	1.1	500 000	496 000	487 163,64	97,43 %
06 01 04 31	Trans-European Transport Networks — Executive Agency	1.1	9 805 000	9 805 000	9 900 000,00	100,97 %
06 01 04 32	Executive Agency for Competitiveness and Innovation — Contribution from the Marco Polo II programme	1.1	1 555 000	1 555 000	1 592 009,00	102,38 %
	<i>Article 06 01 04 — Subtotal</i>		16 380 000	15 765 600	15 990 551,68	97,62 %
06 01 05	Support expenditure for research activities in the ‘Mobility and transport’ policy area					
06 01 05 01	Expenditure related to research staff	1.1	5 750 000	6 000 000	5 596 760,00	97,33 %
06 01 05 02	External staff for research	1.1	2 800 000	2 900 000	2 676 000,00	95,57 %
06 01 05 03	Other management expenditure for research	1.1	1 100 000	1 445 000	528 190,00	48,02 %
	<i>Article 06 01 05 — Subtotal</i>		9 650 000	10 345 000	8 800 950,00	91,20 %
	Chapter 06 01 — Total		68 662 765	67 716 979	69 521 781,01	101,25 %
	40 01 40			59 867		
	Total including reserves			67 776 846		

Article 06 01 01 — Expenditure related to staff in active employment in the ‘Mobility and transport’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
35 652 889	34 374 908	36 235 532,53

Article 06 01 02 — External staff and other management expenditure in support of the ‘Mobility and transport’ policy area

Item 06 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 374 972	2 522 065	2 721 098,34

Item 06 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
06 01 02 11	2 389 096	2 514 628	2 978 232,00
40 01 40		59 867	
Total	2 389 096	2 574 495	2 978 232,00

Article 06 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Mobility and transport’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 215 808	2 194 778	2 795 416,46

Article 06 01 04 — Support expenditure for operations in the ‘Mobility and transport’ policy area

Item 06 01 04 01 — Marco Polo II programme — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
120 000	109 800	102 247,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See articles 06 02 06 and 06 02 07.

Item 06 01 04 02 — Transport — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
700 000	799 800	618 734,00

Remarks

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See article 06 02 03.

Item 06 01 04 04 — Financial support for projects of common interest in the trans-European transport network — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 700 000	3 000 000	3 287 398,04

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See articles 06 03 01, 06 03 03 and 06 03 05.

Item 06 01 04 07 — Safety and protection of transport users — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
—	p.m.	3 000,00

Remarks

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See article 06 02 11.

Item 06 01 04 09 — Information and communication — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	496 000	487 163,64

Remarks

This appropriation is intended to cover expenditure on information and communication, as well as electronic and paper publications directly linked to the achievement of the objective of transport policy, as well as safety and protection of transport users.

Legal basis

See articles 06 02 03 and 06 02 11.

Item 06 01 04 31 — Trans-European Transport Networks — Executive Agency

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 805 000	9 805 000	9 900 000,00

Remarks

This appropriation constitutes the subsidy to cover expenditure on staff and administration by the Trans-European Transport Network Executive Agency.

The establishment plan of the Executive Agency is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Decision No 661/2010/EU of the European Parliament and of the Council of 7 July 2010 on Union guidelines for the development of the trans-European transport network (OJ L 204, 5.8.2010, p. 1).

Reference acts

Commission Decision 2007/60/EC of 26 October 2006 establishing the Trans-European Transport Network Executive Agency pursuant to Council Regulation (EC) No 58/2003 (OJ L 32, 6.2.2007, p. 88).

Commission Decision C(2007) 3874 of 17 August 2007 nominating five members of the TEN-TEA Steering Committee and one observer, as amended by Commission Decisions C(2009) 865 of 17 February 2009 and C(2010) 2959 of 5 October 2010.

Commission Decision C(2007) 5282 of 5 November 2007 delegating powers to the Trans-European Transport Network Executive Agency with a view to the performance of tasks linked to implementation of the Community programmes for grants in the field of the trans-European transport network, comprising in particular implementation of appropriations entered in the Community budget.

Item 06 01 04 32 — Executive Agency for Competitiveness and Innovation — Contribution from the Marco Polo II programme

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 555 000	1 555 000	1 592 009,00

Remarks

This appropriation is intended to cover the staff and operating expenditure incurred by the Executive Agency for Competitiveness and Innovation as a result of its participation in the management of measures under the Marco Polo II programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

The establishment plan of the Executive Agency for Competitiveness and Innovation is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 1692/2006 of the European Parliament and of the Council of 24 October 2006 establishing the second Marco Polo programme for the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo II) (OJ L 328, 24.11.2006, p. 1).

Commission Regulation (EC) No 651/2008 of 9 July 2008 amending Regulation (EC) No 1653/2004 on a standard financial regulation for the executive agencies pursuant to Council Regulation (EC) No 58/2003 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 181, 10.7.2008, p. 15).

Reference acts

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the 'Intelligent Energy Executive Agency', to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the 'Intelligent Energy Executive Agency' into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Commission Decision C(2007) 3198 of 9 July 2007 delegating powers to the 'Executive Agency for Competitiveness and Innovation' with a view to performance of tasks linked to implementation of the Intelligent Energy-Europe Programme 2003-2006, the Marco Polo Programme 2003-2006, the Competitiveness and Innovation Framework Programme 2007-2013 and the Marco Polo Programme 2007-2013 comprising in particular implementation of appropriations entered in the Community budget.

Article 06 01 05 — Support expenditure for research activities in the 'Mobility and transport' policy area

Item 06 01 05 01 — Expenditure related to research staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 750 000	6 000 000	5 596 760,00

Item 06 01 05 02 — External staff for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 800 000	2 900 000	2 676 000,00

Item 06 01 05 03 — Other management expenditure for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 100 000	1 445 000	528 190,00

CHAPTER 06 02 — INLAND, AIR AND MARITIME TRANSPORT

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
06 02	Inland, air and maritime transport								
06 02 01	European Aviation Safety Agency								
06 02 01 01	European Aviation Safety Agency — Contribution to Titles 1 and 2	1.1	26 435 440	26 435 440	26 435 440	26 435 440	27 991 278,00	27 991 111,00	105,88 %
06 02 01 02	European Aviation Safety Agency — Contribution to Title 3	1.1	8 120 371	8 120 371	6 861 336	6 861 336	7 200 805,00	7 200 805,00	88,68 %
	<i>Article 06 02 01 — Subtotal</i>		34 555 811	34 555 811	33 296 776	33 296 776	35 192 083,00	35 191 916,00	101,84 %
06 02 02	European Maritime Safety Agency								
06 02 02 01	European Maritime Safety Agency — Contribution to Titles 1 and 2	1.1	22 776 724	22 776 724	26 167 678	26 167 678	23 853 989,92	23 373 776,94	102,62 %
06 02 02 02	European Maritime Safety Agency — Contribution to Title 3	1.1	8 431 789	9 000 000	7 061 416	8 870 489	9 288 729,00	9 999 550,54	111,11 %
06 02 02 03	European Maritime Safety Agency — Anti-pollution measures	1.1	22 663 000	18 414 450	20 000 000	20 500 000	23 000 000,00	17 833 288,40	96,84 %
	<i>Article 06 02 02 — Subtotal</i>		53 871 513	50 191 174	53 229 094	55 538 167	56 142 718,92	51 206 615,88	102,02 %
06 02 03	Support activities to the European transport policy and passenger rights								
06 02 03		1.1	25 000 000	15 126 157	31 770 000	16 307 145	20 909 410,97	16 688 793,49	110,33 %
06 02 06	Marco Polo II programme								
06 02 06		1.1	60 000 000	50 000 000	62 844 000	24 187 314	59 647 506,52	18 154 268,82	36,31 %
06 02 07	Completion of Marco Polo programme								
06 02 07		1.1	—	p.m.	p.m.	453 626	0,—	1 143 775,27	
06 02 08	European Railway Agency								
06 02 08 01	European Railway Agency — Contribution to Titles 1 and 2	1.1	17 853 400	17 853 400	17 459 892	17 459 892	18 149 121,00	18 145 000,00	101,63 %
06 02 08 02	European Railway Agency — Contribution to Title 3	1.1	7 018 000	7 018 000	7 027 508	7 027 508	7 734 544,00	6 398 665,00	91,18 %
	<i>Article 06 02 08 — Subtotal</i>		24 871 400	24 871 400	24 487 400	24 487 400	25 883 665,00	24 543 665,00	98,68 %
06 02 11	Transport security								
06 02 11		1.1	2 510 000	1 800 000	2 300 000	2 086 677	2 081 327,00	1 723 478,46	95,75 %
06 02 12	Pilot project — Security on the trans-European road network								
06 02 12		1.1	—	—	—	p.m.	0,—	889 271,19	
06 02 13	Preparatory action — Facilitation of cross-border traffic at the north-east external border-crossing points of the Union (from a traffic safety and security aspect)								
06 02 13		1.1	—	p.m.	—	300 000	0,—	0,—	

06 02 14	<i>Preparatory action — European transport information and booking interface across transport modes</i>	1.1	—	750 000	1 500 000	750 000			
			Chapter 06 02 — Total	200 808 724	177 294 542	209 427 270	157 407 105	199 856 711,41	149 541 784,11

Article 06 02 01 — European Aviation Safety Agency

Item 06 02 01 01 — European Aviation Safety Agency — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
26 435 440	26 435 440	26 435 440	26 435 440	27 991 278,00	27 991 111,00

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency (OJ L 79, 19.3.2008, p. 1).

Regulation (EC) No 1108/2009 of the European Parliament and of the Council of 21 October 2009 amending regulation (EC) No 216/2008 in the field of aerodromes, air traffic management and air navigation services (OJ L 309, 24.11.2009, p. 51).

Reference acts

Commission Regulation (EC) No 736/2006 of 16 May 2006 on working methods of the European Aviation Safety Agency for conducting standardisation inspections (OJ L 129, 17.5.2006, p. 10).

Commission Regulation (EC) No 768/2006 of 19 May 2006 implementing Directive 2004/36/EC of the European Parliament and of the Council as regards the collection and exchange of information on the safety of aircraft using Community airports and the management of the information system (OJ L 134, 20.5.2006, p. 16).

Commission Regulation (EC) No 593/2007 of 31 May 2007 on the fees and charges levied by the European Aviation Safety Agency (OJ L 140, 1.6.2007, p. 3).

Commission Regulation (EC) No 1356/2008 of 23 December 2008 amending Regulation (EC) No 593/2007 on the fees and charges levied by the European Aviation Safety Agency (OJ L 350, 23.12.2008, p. 46).

Item 06 02 01 02 — European Aviation Safety Agency — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 120 371	8 120 371	6 861 336	6 861 336	7 200 805,00	7 200 805,00

Remarks

This appropriation is intended only to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 34 862 010. An amount of EUR 306 199, coming from the recovery of surplus, is added to the amount of EUR 34 555 811 entered in the budget.

Legal basis

Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency (OJ L 79, 19.3.2008, p. 1).

Regulation (EC) No 1108/2009 of the European Parliament and of the Council of 21 October 2009 amending Regulation (EC) No 216/2008 in the field of aerodromes, air traffic management and air navigation services (OJ L 309, 24.11.2009, p. 51).

Reference acts

Commission Regulation (EC) No 736/2006 of 16 May 2006 on working methods of the European Aviation Safety Agency for conducting standardisation inspections (OJ L 129, 17.5.2006, p. 10).

Commission Regulation (EC) No 768/2006 of 19 May 2006 implementing Directive 2004/36/EC of the European Parliament and of the Council as regards the collection and exchange of information on the safety of aircraft using Community airports and the management of the information system (OJ L 134, 20.5.2006, p. 16).

Commission Regulation (EC) No 593/2007 of 31 May 2007 on the fees and charges levied by the European Aviation Safety Agency (OJ L 140, 1.6.2007, p. 3).

Commission Regulation (EC) No 1356/2008 of 23 December 2008 amending Regulation (EC) No 593/2007 on the fees and charges levied by the European Aviation Safety Agency (OJ L 350, 23.12.2008, p. 46).

Article 06 02 02 — European Maritime Safety Agency

Item 06 02 02 01 — European Maritime Safety Agency — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 776 724	22 776 724	26 167 678	26 167 678	23 853 989,92	23 373 776,94

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ L 208, 5.8.2002, p. 1).

Regulation (EC) No 725/2004 of the European Parliament and of the Council of 31 March 2004 on enhancing ship and port facility security (OJ L 129, 29.4.2004, p. 6).

Directive 2005/35/EC of the European Parliament and of the Council of 7 September 2005 on ship-source pollution and on the introduction of penalties, including criminal penalties, for pollution offences (OJ L 255, 30.9.2005, p. 11).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 28 October 2010, amending Regulation (EC) No 1406/2002 establishing a European Maritime Safety Agency (COM(2010) 0611).

Item 06 02 02 02 — European Maritime Safety Agency — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 431 789	9 000 000	7 061 416	8 870 489	9 288 729,00	9 999 550,54

Remarks

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme (Title 3) with the exception of anti-pollution measures (see item 06 02 02 03).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

Legal basis

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ L 208, 5.8.2002, p. 1).

Regulation (EC) No 725/2004 of the European Parliament and of the Council of 31 March 2004 on enhancing ship and port facility security (OJ L 129, 29.4.2004, p. 6).

Directive 2005/35/EC of the European Parliament and of the Council of 7 September 2005 on ship-source pollution and on the introduction of penalties, including criminal penalties for pollution offences (OJ L 255, 30.9.2005, p. 11).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 28 October 2010, amending Regulation (EC) No 1406/2002 establishing a European Maritime Safety Agency (COM(2010) 0611).

Item 06 02 02 03 — European Maritime Safety Agency — Anti-pollution measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 663 000	18 414 450	20 000 000	20 500 000	23 000 000,00	17 833 288,40

Remarks

This appropriation is intended to cover the costs of chartering anti-pollution vessels (with their equipment) to combat accidental or deliberate pollution caused by ships, of specialised technical equipment, of setting up and of operating a satellite imagery service centre, of studies and of research projects to improve anti-pollution equipment and methods.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

The global Union contribution for 2013 amounts to a total of EUR 55 892 094. An amount of EUR 2 020 581, coming from the recovery of the surplus, is added to the amount of EUR 53 871 513 entered in the budget.

Legal basis

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ L 208, 5.8.2002, p. 1).

Regulation (EC) No 2038/2006 of the European Parliament and of the Council of 18 December 2006 on multiannual funding for the action of the European Maritime Safety Agency in the field of response to pollution caused by ships (OJ L 394, 30.12.2006, p. 1).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 28 October 2010, amending Regulation (EC) No 1406/2002 establishing a European Maritime Safety Agency (COM(2010) 0611).

Article 06 02 03 — Support activities to the European transport policy and passenger rights

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 000 000	15 126 157	31 770 000	16 307 145	20 909 410,97	16 688 793,49

Remarks

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the Union's common transport policy, for all transport modes (road, rail, air, sea and inland waterways) and for all transport sectors (transport safety, internal market of transport with its implementation rules, optimisation of transport network, passengers' rights and protection in all transport modes as well as for all other sectors related to transport). The main endorsed actions and objectives are intended to support the Union's common transport policy, including extension to third countries, technical assistance for all transport modes and sectors, specific training, definition of rules of transport safety, promotion of the common transport policy including establishment and implementation of trans-European network orientation endorsed by the Treaty on the Functioning of the European Union as well as strengthening passengers' rights and protection in all transport modes and to improve the application and enforcement of existing Regulations on passenger rights, in particular through awareness-raising activities about the content of those Regulations, targeted at both the transport industry as well as the travelling public.

These activities should promote and support intermodal barrier-free mobility for persons with reduced mobility (PRMs).

In connection with Regulation (EC) No 261/2004 of the European Parliament and of the Council of 11 February 2004 establishing common rules on compensation and assistance to passengers in the event of denied boarding and of cancellation or long delay of flights, (OJ L 46, 17.2.2004, p. 1), the Commission needs to develop additional measures to make enforcement of the Regulation more efficient.

The implementation of Regulation (EC) No 1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers' rights and obligations (OJ L 315, 3.12.2007, p. 14) requires specific implementation action due to legal reporting requirements of the Commission and due to the complex interaction of regional, national and international (COTIF) administrative structures involved in the implementation. As an important implementation support measure the Commission will conduct a two year information campaign on passenger rights starting in 2010 and covering all Member States.

These actions and objectives could be supported at different levels (local, regional, national, European and international), for all transport modes and sectors and related to transport, as well as in technical, technological, regulatory, informative, environmental, climatic and political fields and for sustainable development.

Air transport has long been one of the sectors in which the consumer authorities receive most complaints in the Union. The increase in the number of commercial transactions performed electronically (using the Internet or a mobile telephone) has merely resulted in more breaches of European consumer legislation.

One of the main complaints by Union consumers is that there are no effective means of redress at airports themselves, particularly when disputes arise as a result of failure on the part of airlines and other service providers to meet their obligations. The European consumer and air transport authorities therefore need to work together to secure an immediate improvement in passenger support and information facilities at airports and, at the same time, step up co-regulation in the sector.

Single European Sky

The full implementation of the Single European Sky (four basic Regulations (EC) No 549/2004, (EC) No 550/2004, (EC) No 551/2004 and (EC) No 552/2004, and more than 20 implementing rules) is a key priority to improve the performance of the air navigation services in terms of safety, cost efficiency of the air navigation service provision, reduction of air traffic flow delays and environmental performance, and hence of air transport in Europe.

The main actions consist of:

- implementation of the EU wide performance scheme — Commission Regulation (EU) No 691/2010 imposing on air navigation service providers targets on safety, cost-efficiency, environment and capacity according to the Commission Decision of 21.2.2011 (2011/121/EU) and re-assessment by the Commission of the national/FAB-level performance plans in 2012,
- the defragmentation of European airspace through the establishment of functional airspace blocks until December 2012 as provided for in Article 9(a) of Regulation (EC) No 550/2004,
- implementation of the network functions centralised at European level, including support to network crisis in accordance with Article 6 of Regulation (EC) No 551/2004 and Commission Regulation (EU) No 677/2011,

- reinforcement of the capabilities of the oversight authorities as identified in the Report presented to Council and the Parliament on the state of implementation of the Single European Sky in November 2011,
- further consolidation of the legislation through the update and adoption of the Single Sky regulatory roadmap aiming at planning of development of technical standards and Union specifications,
- the adoption of a total system approach integrating for instance the airports, safety, and security dimensions and the deployment of new technologies resulting from the SESAR programme on research and development.

Development of land transport security policy in conjunction with the preparation of the communication on transport security in 2011 and its subsequent application.

The extension of the Single European Sky legislation to the South East States through the ECAA Agreement is an important step for the achievement of the neighbouring policy. This action is based on Article 7 of the framework Regulation (EC) No 549/2004.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of the Financial Regulation.

Article 06 02 06 — Marco Polo II programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
60 000 000	50 000 000	62 844 000	24 187 314	59 647 506,52	18 154 268,82

Remarks

This appropriation is intended to cover expenditure on the implementation of a programme to promote alternatives to international road haulage, designated 'Marco Polo II'.

The Marco Polo II programme proposes five kinds of measures to achieve its objectives:

- modal transfer actions to transfer, in the short term, a major share of road traffic to other less saturated transport modes,
- catalyst actions which enable structural market barriers to be overcome through the implementation of new innovative services,
- common learning actions with a view to improving cooperation, sharing know-how and developing knowledge in the logistics industry,
- actions to provide high-quality logistic services based on short sea shipping which will be comparable to motorways and therefore called 'motorways of the sea',
- traffic avoidance actions involving an active role for manufacturing industry and logistics systems within one coherent strategy to develop sustainable operations.

The transport infrastructure networks of the newest Member States are not at this stage in a position to serve the increased post-enlargement trade flows; in such cases intermodal transport solutions constitute the best response and there is thus huge scope for Marco Polo II as a continuation and strengthening of the successful Marco Polo I programme.

The support for commercial action in the market for freight transport services is different from the support given through research and development programmes and the trans-European network programme. Marco Polo II will foster modal shift projects in all segments of the freight market, not only in combined transport.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Part of the appropriation will be used for the revised Marco Polo programme and for a specific inland waterway programme, taking

into account its environmental benefits, its specific characteristics and the benefits it will bring to small and medium-sized enterprises.

Legal basis

Regulation (EC) No 1692/2006 of the European Parliament and of the Council of 24 October 2006 establishing the second Marco Polo programme for the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo II) (OJ L 328, 24.11.2006, p. 1).

Article 06 02 07 — Completion of Marco Polo programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	453 626	0,—	1 143 775,27

Remarks

This appropriation is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Regulation (EC) No 1382/2003 of the European Parliament and of the Council of 22 July 2003 on the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo programme) (OJ L 196, 2.8.2003, p. 1).

Article 06 02 08 — European Railway Agency

Item 06 02 08 01 — European Railway Agency — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 853 400	17 853 400	17 459 892	17 459 892	18 149 121,00	18 145 000,00

Remarks

This appropriation is intended to cover the Agency’s staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3).

Legal basis

Regulation (EC) No 881/2004 of the European Parliament and of the Council of 29 April 2004 establishing a European Railway Agency (Agency Regulation) (OJ L 164, 30.4.2004, p. 1).

Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community’s railways (Railway Safety Directive) (OJ L 164, 30.4.2004, p. 44).

Directive 2007/59/EC of the European Parliament and of the Council of 23 October 2007 on the certification of train drivers operating locomotives and trains on the railway system in the Community (OJ L 315, 3.12.2007, p. 51).

Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the Community (OJ L 191, 18.7.2008, p. 1).

Item 06 02 08 02 — European Railway Agency — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 018 000	7 018 000	7 027 508	7 027 508	7 734 544,00	6 398 665,00

Remarks

This appropriation is intended only to cover the Agency’s operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 25 007 400. An amount of EUR 136 000, coming from the recovery of surplus, is added to the amount of EUR 24 871 400 entered in the budget.

Legal basis

Regulation (EC) No 881/2004 of the European Parliament and of the Council of 29 April 2004 establishing a European Railway Agency (Agency Regulation) (OJ L 164, 30.4.2004, p. 1).

Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community’s railways (Railway Safety Directive) (OJ L 164, 30.4.2004, p. 44).

Directive 2007/59/EC of the European Parliament and of the Council of 23 October 2007 on the certification of train drivers operating locomotives and trains on the railway system in the Community (OJ L 315, 3.12.2007, p. 51).

Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the Community (OJ L 191, 18.7.2008, p. 1).

Article 06 02 11 — Transport security

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 510 000	1 800 000	2 300 000	2 086 677	2 081 327,00	1 723 478,46

Remarks

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the rules and measures required to improve the security of inland, air and sea transport, including extension to third countries, technical assistance and specific training.

The main objectives are to develop and apply security rules in the field of transport and in particular:

- measures to prevent malicious acts in the transport sector,
- approximation of legislation, technical standards and administrative monitoring practices relating to transport security,
- definition of common indicators, methods and security objectives for the transport sector and collection of the data necessary for such definition,
- monitoring of measures taken on transport security by the Member States, in all modes,
- international coordination on transport security,
- promoting research into transport security.

This appropriation is also intended in particular to cover expenditure on the establishment and operation of a corps of inspectors to check compliance with the requirements of Union security legislation of airports, ports and port facilities in the Member States, including extension to third countries, and of ships flying the flag of a Member State. This expenditure includes the subsistence allowances and travel expenses of the Commission inspectors and the expenses of inspectors from the Member States, borne in accordance with the provisions laid down in that legislation. The costs of training inspectors, of preparatory meetings and of the supplies needed for inspections must, in particular, be added to that expenditure.

According to the Commission communication of 20 July 2010 entitled 'Towards a European road safety area: policy orientations on road safety 2011-2020', enforcement of road traffic rules should be strongly present in the new road safety policy orientations. Part of these appropriations will help to strengthen the lack of enforcement on road safety rules at Union level by establishing a network of national authorities in charge of road safety with the purpose of advising, disseminating and sharing good practices, strengthening education and improving the visibility of progress in road safety. That network will promote the participation at Union level of, among others, associations of road accident victims, national prosecutors and members of the police in charge of road safety, Member States national road safety observatories, experts, universities. The dynamics of that network should also contribute to the establishment of a European association of prosecutors for road safety. The full potential of a European enforcement strategy was indeed not reached during the previous road safety programme, in particular with the lack of progress on the Commission's proposal concerning cross-border enforcement. An agreement has finally been reached with the Council and, in accordance with the text approved, the Commission 'should consult the relevant stakeholders, such as road safety and law enforcement authorities or bodies, victims' associations and other non-governmental organisations active in the field of road safety' in the forthcoming review scheduled in the relevant Union legislation.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Regulation (EC) No 725/2004 of the European Parliament and of the Council of 31 March 2004 on enhancing ship and port facility security (OJ L 129, 29.4.2004, p. 6).

Directive 2005/65/EC of the European Parliament and of the Council of 26 October 2005 on enhancing port security (OJ L 310, 25.11.2005, p. 28).

Regulation (EC) No 300/2008 of the European Parliament and of the Council of 11 March 2008 on common rules in the field of civil aviation security (OJ L 97, 9.4.2008, p. 72).

Directive 2008/68/EC of the European Parliament and of the Council of 24 September 2008 on the inland transport of dangerous goods (OJ L 260, 30.9.2008, p. 13).

Article 06 02 12 — Pilot project — Security on the trans-European road network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	889 271,19

Remarks

This appropriation is intended to cover earlier commitments for a pilot project on security on the trans-European road transport network, involving the creation of guarded truck parks along the major road transport routes throughout Europe and a certification mechanism, for instance involving ‘blue flags’, for secure truck parks.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 06 02 13 — Preparatory action — Facilitation of cross-border traffic at the north-east external border-crossing points of the Union (from a traffic safety and security aspect)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	300 000	0,—	0,—

Remarks

This appropriation is intended for the preparatory action for facilitating cross-border traffic security and safety at three north-east external border-crossing points of the Union by establishing up to three safe truck parking areas in order to improve road safety and driver and freight security and tackle environmental and social problems created by long queues of lorries at border-crossing points.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 06 02 14 — Preparatory action — European transport information and booking interface across transport modes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	750 000	1 500 000	750 000		

Remarks

This preparatory action aims to connect existing local, regional, national and international traveller information systems as well as to offer the international public information and the possibility of purchasing tickets through a single, multilingual interface. This initiative should aim at:

- creating a European transport information interface which would be easy to access and provide passengers with real-time data for any trip in Europe and for any transport mode; this interface could also provide some information on passenger rights and the environmental footprint of each trip (CO₂ emissions, fuel consumption, etc.) so as to allow a comparison between transport modes,
- creating an online booking tool which would facilitate travelling through Europe, as well as a journey planner, a journey pricing tool, an availability requests management tool and a ticket integration tool,

— connecting international traveller information systems.

The preparatory action should be based on Commission Regulation (EU) No 454/2011 of 5 May 2011 on the technical specification for interoperability relating to the subsystem ‘telematics applications for passenger services’ of the trans-European rail system (OJ L 123, 12.5.2011, p. 11). In a first stage, the preparatory action should apply only to a limited number of countries, to a limited number of languages and to a limited set of data. It should be based on different scenarios presenting different combination of modes. Particular attention should be paid to the reservation of assistance for persons with reduced mobility (PRMs).

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 06 03 — TRANS-EUROPEAN NETWORKS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
06 03	Trans-European networks								
06 03 01	Completion of financial support for projects of common interest in the trans-European transport network	1.1	—	10 000 000	—	36 290 043	56 975,00	53 274 756,40	532,75 %
06 03 03	Financial support for projects of common interest in the trans-European transport network	1.1	1 410 000 000	708 000 000	1 275 406 119	714 278 771	1 207 046 637,54	772 227 978,45	109,07 %
06 03 05	SESAR Joint Undertaking	1.1	—	45 000 000	50 000 000	40 826 298	50 000 000,00	9 000 000,00	20,00 %
	Chapter 06 03 — Total		1 410 000 000	763 000 000	1 325 406 119	791 395 112	1 257 103 612,54	834 502 734,85	109,37 %

Article 06 03 01 — Completion of financial support for projects of common interest in the trans-European transport network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	10 000 000	—	36 290 043	56 975,00	53 274 756,40

Remarks

This appropriation is intended to cover earlier commitments under the trans-European transport network (TEN-T) programme.

It is intended to cover commitments entered into up to 31 December 2006.

Legal basis

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of the trans-European transport network (OJ L 228, 9.9.1996, p. 1).

Council Regulation (EC) No 876/2002 of 21 May 2002 setting up the Galileo joint undertaking (OJ L 138, 28.5.2002, p. 1).

Reference acts

Commission Decision C(2001) 2654 of 19 September 2001 establishing an indicative multiannual programme for the granting of

Community financial aid in the area of the trans-European transport network for the period 2001 to 2006.

Article 06 03 03 — Financial support for projects of common interest in the trans-European transport network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 410 000 000	708 000 000	1 275 406 119	714 278 771	1 207 046 637,54	772 227 978,45

Remarks

This appropriation is intended to cover the establishment and development of the trans-European transport network (TEN-T), which is held to be a key policy for the proper functioning of the internal market and for economic and social cohesion (Articles 170 to 172 of the Treaty on the Functioning of the European Union). This contribution takes the form of co-financing of projects of common interest identified in Decision No 661/2010/EU.

The aim is:

- to help define projects of common interest, minimising unclear or contradictory provisions relating to declarations of common interest and the binding application of environmental legislation,
- to speed up the Member States' implementation of TEN-T projects of common interest by focusing on existing infrastructure within TEN-T rail projects in the short term, in particular where the implementation of such projects has already started, in order to make the corridors more viable and efficient and without simply waiting for the long-term development of very large projects within these corridors,
- to overcome the financial obstacles which may hamper the start-up phase of a project, particularly by means of feasibility studies,
- to encourage the participation of private capital in project funding, as well as public-private partnerships (PPPs),
- to ensure better financial arrangements for projects, minimising the use of public funding, thanks to flexible means of intervention,
- to select examples of regional trans-border rail connections which have been dismantled or abandoned, with preference going to those which could interconnect with TEN-T.

Co-financing of the deployment of the European Rail Traffic Management System (ERTMS) must be a high priority.

Special attention must be paid to cross-border rail projects that contribute to better connecting Europe.

The Commission should, in collaboration with the European Investment Bank, test the design of new financial instruments such as those based on a credit enhancement approach (portfolio first loss piece approach as for the RSFF in the research field) to stimulate the emergence of European project bonds market for the financing of strategic trans-European infrastructure. Like PPPs, project bonds do not offer an exclusive solution to Europe's budgetary problems. In an environment where regulation and business strategies in the financial sector are rapidly changing, an early test of such instruments is needed to remain flexible and to adjust public policy instruments.

Legal basis

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Decision No 661/2010/EU of the European Parliament and of the Council of 7 July 2010 on Union guidelines for the development of the trans-European transport network (OJ L 204, 5.8.2010, p. 1).

Reference acts

Commission Decision C(2007) 6382 of 17 December 2007 on the conclusion of a Cooperation Agreement between the Commission and the European Investment Bank in respect of the Loan Guarantee Instrument for TEN-T Projects.

Article 06 03 05 — SESAR Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	45 000 000	50 000 000	40 826 298	50 000 000,00	9 000 000,00

Remarks

This appropriation is intended to cover action aimed at implementing the technological component of the Single European Sky policy (SESAR) including the functioning of the SESAR Joint Undertaking.

Legal basis

Decision No 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of the trans-European transport network (OJ L 228, 9.9.1996, p. 1).

Council Regulation (EC) No 219/2007 of 27 February 2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64, 2.3.2007, p. 1).

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Commission Decision C(2007) 3512 of 23 July 2007, establishing the multiannual work programme for grants in the field of trans-European network for the period 2007-2013.

CHAPTER 06 06 — RESEARCH RELATED TO TRANSPORT

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
06 06	Research related to transport								
06 06 02	Research related to transport (including aeronautics)								
06 06 02 01	Research related to transport (including aeronautics)	1.1	p.m.	10 665 913	p.m.	13 608 766	1 543 848,44	25 622 676,39	240,23 %
06 06 02 02	Research related to transport (including aeronautics) — Fuel Cells and Hydrogen Joint Undertaking	1.1	2 656 000	3 833 000	2 980 000	1 680 794	3 030 448,00	1 696 223,00	44,25 %
06 06 02 03	SESAR Joint Undertaking	1.1	58 324 795	45 000 000	58 600 000	40 826 298	59 994 680,00	9 000 000,00	20,00 %
	<i>Article 06 06 02 — Subtotal</i>		60 980 795	59 498 913	61 580 000	56 115 858	64 568 976,44	36 318 899,39	61,04 %
06 06 04	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development	1.1	p.m.	p.m.	p.m.	p.m.	18 089 899,20	3 365 733,67	
06 06 05	Completion of previous programmes								
06 06 05 01	Completion of programmes (prior to 2003)	1.1	—	p.m.	—	p.m.	0,—	0,—	
06 06 05 02	Completion of the sixth EC framework programme (2003 to 2006)	1.1	—	589 829	—	6 668 295	43 851,93	19 288 542,19	3270,19 %
	<i>Article 06 06 05 — Subtotal</i>		—	589 829	—	6 668 295	43 851,93	19 288 542,19	3270,19 %
	Chapter 06 06 — Total		60 980 795	60 088 742	61 580 000	62 784 153	82 702 727,57	58 973 175,25	98,14 %

Remarks

These remarks are applicable to all the budget headings in this chapter.

These appropriations will be used for the Seventh Framework Programme of the European Community for research, technological development and demonstration activities, which covers the period 2007 to 2013.

The programme will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations also cover administrative expenditure, including expenditure on staff, information, publications, administrative and technical operation, and certain other expenditure items relating to internal infrastructure to achieve the objective of the measure of which they form an integral part, including the action and measures necessary to prepare and monitor of the Union's RTD strategy.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of scientific and technical research will be entered in item 6 0 1 6 of the of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be made available under article 06 06 04.

Article 06 06 02 — Research related to transport (including aeronautics)

Item 06 06 02 01 — Research related to transport (including aeronautics)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	10 665 913	p.m.	13 608 766	1 543 848,44	25 622 676,39

Remarks

These research measures should aim to contribute to a modal shift in favour of rail, collective/public transport, non-motorised mobility (cycling/walking) and waterways as well as transport safety. They should be based on an approach involving interoperability, intermodality, safety and integration of sustainable development into transport research (Article 11 of the Treaty on the Functioning of the European Union). Similarly research measures aim at favouring innovative developments in the aviation mode and in the ATM management across Europe.

This appropriation is intended to cover action aimed at:

- developing means of transport and transport systems for all surface modes (rail, road and inland waterways) which are environmentally friendly and competitive (including intermodal sustainable mobility chains, walking-cycling-public/collective transport-car sharing-carpooling in the context of urban mobility),
- focusing on research in the areas of traffic congestion, the mitigation of transport-related climate change, methods of calculating more accurately the external costs of transport and access to means of transport and infrastructure for persons of reduced

mobility,

- implementing the technological component of the single European sky policy (SESAR), in connection with the Clean Sky projects, integrating reduction of fuel consumption and climate change by aircraft, taking into account possible effects of vapour contrails on the climate,
- rebalancing and integrating the different modes of transport,
- making rail, road and maritime transport safer, more efficient and more competitive,
- supporting European sustainable transport policy, with priority given to achieving the Union's CO₂ and oil consumption targets of 20 % and 30 % respectively by 2020 within this sector,
- supporting alternative fuel and new engine developments through joint research with a focus on large demonstrations for all modes of transport.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Item 06 06 02 02 — Research related to transport (including aeronautics) — Fuel Cells and Hydrogen Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 656 000	3 833 000	2 980 000	1 680 794	3 030 448,00	1 696 223,00

Remarks

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the specific programme 'Cooperation' themes for 'Energy', 'Nano-sciences, Nano-technologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including aeronautics)'. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme ("associated countries") in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards a rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiative, notably by awarding grants following competitive calls for proposals; and aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and associated countries.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to

implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

Item 06 06 02 03 — SESAR Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
58 324 795	45 000 000	58 600 000	40 826 298	59 994 680,00	9 000 000,00

Remarks

This appropriation is intended to cover the development phase of the SESAR programme for the implantation of the technological component of the single European sky policy (SESAR), including the functioning of the SESAR Joint Undertaking.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 219/2007 of 27 February 2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64, 2.3.2007, p. 1).

Council Regulation (EC) No 1361/2008 of 16 December 2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352, 31.12.2008, p. 12).

Article 06 06 04 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	18 089 899,20	3 365 733,67

Remarks

This article is intended to cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Article 06 06 05 — Completion of previous programmes

Item 06 06 05 01 — Completion of programmes (prior to 2003)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This item is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and

technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Item 06 06 05 02 — Completion of the sixth EC framework programme (2003 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	589 829	—	6 668 295	43 851,93	19 288 542,19

Remarks

This appropriation is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

TITLE 07 — ENVIRONMENT AND CLIMATE ACTION

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
07 01	Administrative expenditure of the 'Environment and climate action' policy area 40 01 40		98 157 201	98 157 201	95 795 776 89 800 95 885 576	95 795 776 89 800 95 885 576	95 903 471,08	95 903 471,08
07 02	Global environmental and Climate Action affairs	4	3 200 000	3 850 000	4 050 000	4 723 337	3 555 675,63	3 163 568,08
07 03	Development and implementation of Union environmental policy and legislation 40 02 41	2	368 970 397	283 949 397	363 481 896 4 184 040 367 665 936	268 872 255 4 184 040 273 056 295	355 749 158,37	227 504 457,59
07 12	Implementation of Union policy and legislation on climate action	2	20 700 000	19 300 000	19 300 000	15 171 404	17 027 772,64	8 540 189,00
07 13	Climate mainstreaming and innovation	2	5 000 000	5 000 000	5 000 000	3 750 000	4 980 765,94	325 293,15
	Title 07 — Total		496 027 598	410 256 598	487 627 672	388 312 772	477 216 843,66	335 436 978,90
	40 01 40, 40 02 41 Total including reserves				4 273 840 491 901 512	4 273 840 392 586 612		

CHAPTER 07 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘ENVIRONMENT AND CLIMATE ACTION’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
07 01	Administrative expenditure of the ‘Environment and climate action’ policy area					
07 01 01	Expenditure related to staff in active employment in the ‘Environment and climate action’ policy area	5	62 161 378	60 955 506	60 884 038,20	97,95 %
07 01 02	External staff and other management expenditure in support of the ‘Environment and climate action’ policy area					
07 01 02 01	External staff	5	5 521 765	5 521 692	6 470 317,77	117,18 %
07 01 02 11	Other management expenditure	5	5 960 762	5 926 675	6 035 535,47	101,25 %
	40 01 40			89 800 6 016 475		
	<i>Article 07 01 02 — Subtotal</i>		11 482 527	11 448 367	12 505 853,24	108,91 %
	40 01 40			89 800 11 538 167		
07 01 03	Expenditure related to information and communication technology equipment and services of the ‘Environment and climate action’ policy area	5	3 863 296	3 891 903	4 578 959,53	118,52 %
07 01 04	Support expenditure for operations of ‘Environment and climate action’ policy area					
07 01 04 01	LIFE+ (Financial Instrument for the Environment — 2007 to 2013) — Expenditure on administrative management	2	18 200 000	17 200 000	15 332 024,54	84,24 %
07 01 04 04	Contribution to international environmental and climate activities — Expenditure on administrative management	4	300 000	300 000	273 318,53	91,11 %
07 01 04 05	Implementation of Union policy and legislation on Climate Actions — Expenditure on administrative management	2	2 150 000	2 000 000	2 329 277,04	108,34 %
	<i>Article 07 01 04 — Subtotal</i>		20 650 000	19 500 000	17 934 620,11	86,85 %
	Chapter 07 01 — Total		98 157 201	95 795 776	95 903 471,08	97,70 %
	40 01 40			89 800		
	Total including reserves			95 885 576		

Article 07 01 01 — Expenditure related to staff in active employment in the ‘Environment and climate action’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
62 161 378	60 955 506	60 884 038,20

Article 07 01 02 — External staff and other management expenditure in support of the ‘Environment and climate action’ policy area

Item 07 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 521 765	5 521 692	6 470 317,77

Item 07 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
07 01 02 11	5 960 762	5 926 675	6 035 535,47
40 01 40		89 800	
Total	5 960 762	6 016 475	6 035 535,47

Article 07 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Environment and climate action’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 863 296	3 891 903	4 578 959,53

Article 07 01 04 — Support expenditure for operations of ‘Environment and climate action’ policy area

Item 07 01 04 01 — LIFE+ (Financial Instrument for the Environment — 2007 to 2013) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
18 200 000	17 200 000	15 332 024,54

Remarks

This appropriation is intended to cover:

- costs of technical assistance for selecting projects and monitoring, evaluating and auditing projects selected under the LIFE+ programme (including non-governmental organisations supported via operating grants), as well as monitoring, evaluating and auditing ongoing LIFE III projects,
- publications and activities to disseminate results and best practices arising from LIFE and LIFE+ projects, thereby supporting their sustainability, and meetings of experts and project beneficiaries (advice on management of projects, networking, sharing results and best practices),
- development, maintenance, operation and support of adequate information technology (IT) systems for communication, selecting, monitoring, reporting on projects and disseminating results of projects,
- development, maintenance, operation and support (hardware, software and services) of IT systems directly linked to the achievement of the policy objectives of the programme, for the mutual benefit of the Commission and beneficiaries and stakeholders,
- expenditure on studies, meetings of experts, conferences, evaluation, information and publications directly linked to the achievement of the objectives of the LIFE+ programme or measures coming under this budget heading, as well as expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See article 07 03 07.

07 02 01	Contribution to multilateral and international environment and climate agreements	4	3 200 000	3 200 000	3 050 000	2 684 697	2 555 675,63	2 555 675,63	79,86 %
07 02 02	Completion of LIFE (European Financial Instrument for the Environment — 2000 to 2006) — Operations outside Union territory	4	—	p.m.	—	238 640	0,—	377 892,45	
07 02 03	Pilot project — Environmental monitoring of the Black Sea Basin and a common European framework programme for the development of the Black Sea region	4	—	p.m.	—	700 000	0,—	0,—	
07 02 04	Preparatory action — Environmental monitoring of the Black Sea Basin and a common European framework programme for development of the Black Sea region	4	—	150 000	p.m.	600 000	1 000 000,00	230 000,00	153,33 %
07 02 05	Preparatory action — Strategic environmental impact assessment on the development of the European Arctic	4	p.m.	500 000	1 000 000	500 000			
Chapter 07 02 — Total			3 200 000	3 850 000	4 050 000	4 723 337	3 555 675,63	3 163 568,08	82,17 %

Article 07 02 01 — Contribution to multilateral and international environment and climate agreements

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 200 000	3 200 000	3 050 000	2 684 697	2 555 675,63	2 555 675,63

Remarks

Former articles 07 02 01 and 07 11 01

This appropriation is intended to cover obligatory and voluntary contributions to a number of international conventions, protocols and agreements to which the Union is party and preparatory work for future international agreements involving the Union.

In some cases, contributions to subsequent protocols are included in contributions to their basic convention.

Legal basis

Actions carried out by the Commission by virtue of tasks resulting from its prerogatives at institutional level pursuant to the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community and pursuant to Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Decision 77/585/EEC of 25 July 1977 concluding the Convention for the protection of the Mediterranean Sea against pollution and the Protocol for the prevention of the pollution of the Mediterranean Sea by dumping from ships and aircraft (OJ L 240, 19.9.1977, p. 1).

Council Decision 81/462/EEC of 11 June 1981 on the conclusion of the Convention on long-range transboundary air pollution (OJ L 171, 27.6.1981, p. 11).

Council Decision 82/72/EEC of 3 December 1981 on the conclusion of the Convention on the conservation of European wildlife and natural habitats (OJ L 38, 10.2.1982, p. 1).

Council Decision 82/461/EEC of 24 June 1982 on the conclusion of the Convention on the conservation of migratory species of wild animals (OJ L 210, 19.7.1982, p. 10) and related agreements.

Council Decision 84/358/EEC of 28 June 1984 concerning the conclusion of the Agreement for cooperation in dealing with pollution of the North Sea by oil and other harmful substances (OJ L 188, 16.7.1984, p. 7).

Council Decision 86/277/EEC of 12 June 1986 on the conclusion of the Protocol to the 1979 Convention on long-range transboundary air pollution on long-term financing of the cooperative programme for monitoring and evaluation of the long-range transmission of air pollutants in Europe (EMEP) (OJ L 181, 4.7.1986, p. 1).

Council Decision 88/540/EEC of 14 October 1988 concerning the conclusion of the Vienna Convention for the protection of the ozone layer and the Montreal Protocol on substances that deplete the ozone layer (OJ L 297, 31.10.1988, p. 8).

Council Decision 93/98/EEC of 1 February 1993 on the conclusion, on behalf of the Community, of the Convention on the control of transboundary movements of hazardous wastes and their disposal (Basel Convention) (OJ L 39, 16.2.1993, p. 1).

Council Decision 93/550/EEC of 20 October 1993 concerning the conclusion of the cooperation Agreement for the protection of the coasts and waters of the north-east Atlantic against pollution (OJ L 267, 28.10.1993, p. 20).

Council Decision 93/626/EEC of 25 October 1993 concerning the conclusion of the Convention on Biological Diversity (OJ L 309, 13.12.1993, p. 1).

Council Decision 94/69/EC of 15 December 1993 concerning the conclusion of the United Nations Framework Convention on Climate Change (OJ L 33, 7.2.1994, p. 11).

Council Decision 94/156/EC of 21 February 1994 on the accession of the Community to the Convention on the Protection of the Marine Environment of the Baltic Sea Area 1974 (Helsinki Convention) (OJ L 73, 16.3.1994, p. 1).

Council Decision of 27 June 1997 on the conclusion, on behalf of the Community, of the Convention on environmental impact assessment in a transboundary context (ESPOO Convention) (proposal OJ C 104, 24.4.1992, p. 5; decision not published).

Council Decision 97/825/EC of 24 November 1997 concerning the conclusion of the Convention on cooperation for the protection and sustainable use of the river Danube (OJ L 342, 12.12.1997, p. 18).

Council Decision 98/216/EC of 9 March 1998 on the conclusion, on behalf of the European Community, of the United Nations Convention to combat desertification in countries seriously affected by drought and/or desertification, particularly in Africa (OJ L 83, 19.3.1998, p. 1).

Council Decision 98/249/EC of 7 October 1997 on the conclusion of the Convention for the protection of the marine environment of the north-east Atlantic (OJ L 104, 3.4.1998, p. 1).

Council Decision 98/685/EC of 23 March 1998 concerning the conclusion of the Convention on the Transboundary Effects of Industrial Accidents (OJ L 326, 3.12.1998, p. 1).

Council Decision 2000/706/EC of 7 November 2000 concerning the conclusion, on behalf of the Community, of the Convention for the Protection of the Rhine (OJ L 289, 16.11.2000, p. 30).

Council Decision 2002/358/EC of 25 April 2002 concerning the approval, on behalf of the European Community, of the Kyoto Protocol to the United Nations Framework Convention on Climate Change and the joint fulfilment of commitments thereunder (OJ L 130, 15.5.2002, p. 1).

Council Decision 2002/628/EC of 25 June 2002 on the conclusion, on behalf of the European Community, of the Cartagena Protocol on Biosafety (OJ L 201, 31.7.2002, p. 48).

Council Decision 2005/370/EC of 17 February 2005 on the conclusion on behalf of the European Community of the Convention on access to information, public participation in decision-making and access to justice in environmental matters (OJ L 124, 17.5.2005, p. 1).

Council Decision 2006/61/EC of 2 December 2005 on the conclusion, on behalf of the European Community, of the UN-ECE Protocol on Pollutant Release and Transfer Registers (OJ L 32, 4.2.2006, p. 54).

Council Decision 2006/507/EC of 14 October 2004 concerning the conclusion, on behalf of the European Community, of the Stockholm Convention on Persistent Organic Pollutants (OJ L 209, 31.7.2006, p. 1).

Council Decision 2006/730/EC of 25 September 2006 on the conclusion, on behalf of the European Community, of the Rotterdam Convention on the Prior Informed Consent Procedure for certain hazardous chemicals and pesticides in international trade (OJ L 299, 28.10.2006, p. 23).

Council Decision 2006/871/EC of 18 July 2005 on the conclusion on behalf of the European Community of the Agreement on the Conservation of African-Eurasian Migratory Waterbirds (OJ L 345, 8.12.2006, p. 24).

Article 07 02 02 — Completion of LIFE (European Financial Instrument for the Environment — 2000 to 2006) — Operations outside Union territory

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	238 640	0,—	377 892,45

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting

from the general objectives of the LIFE III Programme — ‘LIFE-third countries’.

Legal basis

Regulation (EC) No 1655/2000 of the European Parliament and of the Council of 17 July 2000 concerning the Financial Instrument for the Environment (LIFE) (OJ L 192, 28.7.2000, p. 1).

Article 07 02 03 — Pilot project — Environmental monitoring of the Black Sea Basin and a common European framework programme for the development of the Black Sea region

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	700 000	0,—	0,—

Remarks

This project is intended to promote measures for regular monitoring of the quality of the marine and coastline environment, and combating pollution in the Black Sea region. Its overall objectives are as follows:

- to provide a basis for research and to study pollution of the marine and coastline environment; to study the impact of pollution on biodiversity and on jobs supported by the marine and coastline environment,
- to develop new technologies for environmental protection and emergency pollution clean-up,
- to design and put in place an integrated marine and coastline monitoring system in the region,
- to establish a network of facilities for dynamic remote sensing monitoring of the ‘sea-coast-river’ system,
- to train people and prepare staff for the actual implementation of monitoring-related activities.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 02 04 — Preparatory action — Environmental monitoring of the Black Sea Basin and a common European framework programme for development of the Black Sea region

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	150 000	p.m.	600 000	1 000 000,00	230 000,00

Remarks

This action is intended to promote measures for combating pollution and biodiversity decline in the Black Sea region and for addressing negative effects of environmental degradation.

Its overall objectives are as follows:

- to train people and prepare staff for the actual implementation of monitoring-related activities,
- to study pollution and biodiversity of the marine and coastline environment,
- to develop new technologies for environmental protection,
- to design and put in place an integrated marine and coastline monitoring system in the region,
- to determine and manage efficiently marine protected areas,
- to contribute to water management in the region including through innovative and cost effective ways to increase fresh water supply, in cooperation with other areas facing water scarcity, such as the Mediterranean.

Legal basis

Preparatory Action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 02 05 — Preparatory action — Strategic environmental impact assessment on the development of the European Arctic

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	500 000	1 000 000	500 000	

Remarks

Former article 19 11 05

This preparatory action concerns a strategic environmental impact assessment on the development of the European Arctic. Its aim is to increase awareness of the Arctic and its changing political, economic and environmental landscape, and the impact of Union policies. Its aim is also to increase awareness of impact assessments and their importance as a tool and a channel to put together information for the use of decision and policymakers and the related legal processes.

The strategic environmental impact assessment on the development of the European Arctic will be carried out as a networked undertaking, by leading Arctic communication and research centres and universities within and outside the Union creating a bridge that should facilitate a two-way information exchange between the Union institutions and the Arctic stakeholders and foster EU-civil society contacts. Furthermore, the work should facilitate dialogue within the Union, advance Agenda 21 as well as bring in the Union perspectives and contributions and be closely linked with the assessment activities of the Arctic Council, as laid down in its ministerial meeting in May 2011.

Finally, this preparatory action is intended to advance the future establishment of the EU Arctic Information Centre as expressed in the Commission's Communication on the European Union and the Arctic region of 20 November 2008 and in the European Parliament's resolution of 20 January 2011 on a sustainable EU policy for the High North.

The Council expressed its support for expanded use of strategic impact assessments in its conclusions on Arctic issues of 8 December 2009.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 07 03 — DEVELOPMENT AND IMPLEMENTATION OF UNION ENVIRONMENTAL POLICY AND LEGISLATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
07 03	Development and implementation of Union environmental policy and legislation								
07 03 01	<i>Completion of protection of forests</i>	2	—	p.m.	—	p.m.	0,—	0,—	
07 03 03	<i>Completion of LIFE III (Financial Instrument for the Environment — 2000 to 2006) — Projects on Union territory — Part I (nature protection)</i>	2	—	7 800 000	—	9 482 128	0,—	13 318 695,99	170,75 %

07 03 04	<i>Completion of LIFE III (Financial Instrument for the Environment — 2000 to 2006) — Projects on Union territory — Part II (environmental protection)</i>	2	—	900 000	—	1 896 426	0,—	6 999 660,81	777,74 %
07 03 06	<i>Completion of awareness-raising and other general actions based on the Community action programmes in the field of the environment</i>	2	—	p.m.	—	p.m.	0,—	272 206,69	
07 03 07	<i>LIFE+ (Financial Instrument for the Environment — 2007 to 2013)</i>	2	325 541 000	225 000 000	316 255 000	208 606 805	308 741 384,46	161 235 554,79	71,66 %
07 03 09	<i>Contribution for the European Environment Agency</i>								
07 03 09 01	European Environment Agency — Contribution to Titles 1 and 2	2	22 835 305	22 835 305	22 598 731	22 598 731	24 168 563,11	24 168 563,11	105,84 %
07 03 09 02	European Environment Agency — Contribution to Title 3	2	12 962 092	12 962 092	13 128 165	13 128 165	17 054 468,80	17 054 468,80	131,57 %
	<i>Article 07 03 09 — Subtotal</i>		35 797 397	35 797 397	35 726 896	35 726 896	41 223 031,91	41 223 031,91	115,16 %
07 03 10	<i>Preparatory action — Natura 2000</i>	2	—	—	—	—	0,—	0,—	
07 03 11	<i>Pilot project — Forest protection and conservation</i>	2	—	—	—	p.m.	0,—	867 091,00	
07 03 12	<i>Preparatory action — Future legal basis on harmonised EU forest information</i>	2	p.m.	250 000	1 000 000	500 000			
07 03 13	<i>Preparatory action — An integrated coastal communication and risk management system</i>	2	—	p.m.	—	400 000	0,—	0,—	
07 03 15	<i>Pilot project — Sulphur dioxide and nitrogen oxide emission trading in the Baltic Sea</i>	2	—	—	—	p.m.	0,—	160 000,00	
07 03 16	<i>Pilot project — Development of prevention activities to halt desertification in Europe</i>	2	—	p.m.	—	900 000	0,—	1 207 296,00	
07 03 17	<i>Preparatory action — Climate of the Carpathian basin</i>	2	—	1 200 000	—	1 400 000	0,—	487 542,80	40,63 %
07 03 18	<i>Pilot project — Recovery of obsolete vessels not used in the fishing trade</i>	2	—	p.m.	—	200 000	0,—	177 642,00	
07 03 19	<i>Pilot project — Economic loss due to high non-revenue water amounts in cities</i>	2	—	390 000	—	300 000	0,—	0,—	
07 03 21	<i>Pilot project — Certification of low-carbon farming practices</i>	2	—	370 000	—	400 000	0,—	245 000,00	66,22 %
07 03 22	<i>Pilot project — Complex research on methods of controlling the spread of ragweed and pollen allergies</i>	2	—	p.m.	—	700 000	0,—	642 062,90	
07 03 24	<i>Pilot project — A European refund system for aluminium beverage cans</i>	2	—	p.m.	—	110 000	0,—	79 896,30	
07 03 25	<i>Completion of development of new policy initiatives</i>	2	—	p.m.	—	p.m.	0,—	75 000,00	
07 03 26	<i>Pilot project — Literature review on the potential effects of climate change on drinking water protection areas across the Union and the identification of priorities among different types of drinking water supplies</i>	2	—	185 000	p.m.	250 000	451 929,00	0,—	
07 03 27	<i>Preparatory action — BEST scheme (voluntary Scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories)</i>	2	p.m.	1 200 000	2 000 000	2 000 000	2 000 000,00	0,—	
07 03 28	<i>Pilot project — Plastic recycling cycle and marine environmental impact</i>	2	—	325 000	p.m.	500 000	870 425,00	68 430,00	21,06 %
07 03 29	<i>Preparatory action — Development of prevention activities to halt desertification in Europe</i>	2	p.m.	800 000	1 000 000	1 000 000	977 900,00	0,—	
07 03 30	<i>Pilot project — Atmospheric precipitation — Protection and efficient use of fresh water</i>	2	p.m.	375 000	1 500 000	750 000			
07 03 31	<i>Pilot project — Comparative study of the pressures and measures in the major river basin management plans in the Union</i>	2	—	600 000	p.m.	750 000	1 484 488,00	445 346,40	74,22 %
07 03 32	<i>Pilot project — Long-term impact of carbon neutral housing on waste water systems</i>	2	p.m.	p.m.	1 500 000	750 000			

07 03 33	<i>Pilot project — Marine litter recovery</i>	2	p.m.	250 000	1 000 000	500 000			
07 03 34	<i>Pilot project — Availability, use and sustainability of water for the production of nuclear and fossil energy</i>	2	p.m.	125 000	500 000	250 000			
07 03 35	<i>Pilot project — New knowledge for an integrated management of human activity in the sea</i>	2	p.m.	500 000	2 000 000	1 000 000			
07 03 60	<i>European Chemicals Agency — Activities in the field of biocides legislation — Contribution from Heading 2</i>								
07 03 60 01	European Chemicals Agency — Activities in the field of biocides legislation — Contribution to Titles 1 and 2 from Heading 2	2		3 956 133	3 956 133	p.m.	p.m.	0,—	0,—
	40 02 41					1 491 930	1 491 930		
						1 491 930	1 491 930		
07 03 60 02	European Chemicals Agency — Activities in the field of biocides legislation — Contribution to Title 3 from Heading 2	2		2 114 367	2 114 367	p.m.	p.m.	0,—	0,—
	40 02 41					1 236 510	1 236 510		
						1 236 510	1 236 510		
	<i>Article 07 03 60 — Subtotal</i>			6 070 500	6 070 500	p.m.	p.m.	0,—	0,—
	40 02 41					2 728 440	2 728 440		
						2 728 440	2 728 440		
07 03 70	<i>European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals</i>								
07 03 70 01	European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals — Contribution to Titles 1 and 2	2		590 000	590 000	p.m.	p.m.		
	40 02 41					345 214	345 214		
						345 214	345 214		
07 03 70 02	European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals — Contribution to Title 3	2		971 500	971 500	p.m.	p.m.		
	40 02 41					1 110 386	1 110 386		
						1 110 386	1 110 386		
	<i>Article 07 03 70 — Subtotal</i>			1 561 500	1 561 500	p.m.	p.m.		
	40 02 41					1 455 600	1 455 600		
						1 455 600	1 455 600		
07 03 72	<i>Pilot project — ‘Resource efficiency’ in practice — Closing mineral cycles</i>	2	p.m.	250 000	1 000 000	500 000			
	Chapter 07 03 — Total			368 970 397	283 949 397	363 481 896	268 872 255	355 749 158,37	227 504 457,59
	40 02 41					4 184 040	4 184 040		
	Total including reserves					367 665 936	273 056 295		

Article 07 03 01 — Completion of protection of forests

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from measures and actions for the monitoring of the effects of atmospheric pollution on forests, for monitoring forest fires and for the collection of information and data on forest ecosystems.

Legal basis

Regulation (EC) No 2152/2003 of the European Parliament and of the Council of 17 November 2003 concerning monitoring of forests and environmental interactions in the Community (Forest focus) (OJ L 324, 11.12.2003, p. 1).

Article 07 03 03 — Completion of LIFE III (Financial Instrument for the Environment — 2000 to 2006) — Projects on Union territory — Part I (nature protection)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	7 800 000	—	9 482 128	0,—	13 318 695,99

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from the general objectives of LIFE III Programme — Nature Protection strand, relating to nature protection, and, in particular, targeting the conservation of natural habitats and of species of wild flora and fauna and including nature conservation projects and in particular the development of the European network 'Natura 2000'.

Legal basis

Council Directive 79/409/EEC of 2 April 1979 on the conservation of wild birds (OJ L 103, 25.4.1979, p. 1).

Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7).

Regulation (EC) No 1655/2000 of the European Parliament and of the Council of 17 July 2000 concerning the Financial Instrument for the Environment (LIFE) (OJ L 192, 28.7.2000, p. 1).

Article 07 03 04 — Completion of LIFE III (Financial Instrument for the Environment — 2000 to 2006) — Projects on Union territory — Part II (environmental protection)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	900 000	—	1 896 426	0,—	6 999 660,81

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from the general objectives of LIFE III Programme — Environment Protection strand, concerning the development of innovative and integrated techniques and methods for further development of the Union environment policy and actions and studies providing better coordination of the cross-border effects of environmental and weather conditions on landscape, waterways and water systems.

Legal basis

Regulation (EC) No 1655/2000 of the European Parliament and of the Council of 17 July 2000 concerning the Financial Instrument for the Environment (LIFE) (OJ L 192, 28.7.2000, p. 1).

Article 07 03 06 — Completion of awareness-raising and other general actions based on the Community action programmes in the field of the environment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	272 206,69

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from actions undertaken by the Commission to implement existing legislation, awareness-raising and other general actions based on the Community environmental action programme.

Those actions include grants to projects and service contracts, workshops and seminars, as well as preparation and production costs of audiovisual material, events and exhibitions, press visits, publication and other dissemination and website activities.

Legal basis

Actions carried out by the Commission by virtue of tasks resulting from its prerogatives at institutional level pursuant to the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community and pursuant to Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Decision No 1600/2002/EC of the European Parliament and of the Council of 22 July 2002 laying down the Sixth Community Environment Action Programme (OJ L 242, 10.9.2002, p. 1).

Article 07 03 07 — LIFE+ (Financial Instrument for the Environment — 2007 to 2013)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
325 541 000	225 000 000	316 255 000	208 606 805	308 741 384,46	161 235 554,79

Remarks

This appropriation is intended to cover financial support for measures and projects contributing to the implementation, updating and development of Union environmental policy and legislation, including the integration of the environment into other policies, thereby contributing to sustainable development. In particular, the LIFE+ programme shall support the implementation of the Sixth Environment Action Programme, including the thematic strategies, and finance measures and projects with European added value in three priority areas: nature and biodiversity, environment policy and governance, and information and communication.

This appropriation will cover in particular:

- action grants to innovative and/or demonstration projects via an annual call for proposals, and supporting:
 - best practice or demonstration projects for the implementation of Directives 79/409/EEC and 2009/147/EC or Directive 92/43/EEC, including site and species management and site planning, including the improvement of the ecological coherence of the Natura 2000 network, the monitoring of conservation status, including setting up procedures and structures for such monitoring, the development and implementation of species and habitats conservation action plans, the extension of the Natura 2000 network in marine areas and, in limited cases, the purchase of land,
 - innovative or demonstration projects relating to the environmental objectives of the Union, including the development or dissemination of best practice techniques, know-how and technologies,
 - awareness-raising campaigns, conferences and training, including training for staff involved in fire prevention operations,
 - projects for the development and implementation of Union objectives relating to the broad-based, harmonised, comprehensive and long-term monitoring of forests and environmental interactions,
- in line with the requirement of Regulation (EC) No 614/2007, projects supported through action grants shall represent at least 78 % of the budgetary resources of the programme, of which at least 50 % supporting the conservation of nature and biodiversity,
- support to the operational activities of non-governmental organisations (NGOs) that are primarily active in protecting and enhancing the environment at Union level and involved in the development and implementation of Union policy and legislation,
- measures to support the Commission's role in initiating environment policy development and implementation, through:
 - studies and evaluations,
 - services with a view to the implementation and integration of environmental policy and legislation,
 - seminars and workshops with experts and stakeholders,
 - developing and maintaining networks, databases and information and computer systems directly linked to the implementation of Union environmental policy and legislation, in particular when improving public access to environmental information. The expenditure covered will include the costs of development, maintenance, operation and support (hardware, software and services) of information and communication systems. It will also cover the cost of project management, quality control, security, documentation and training linked to the implementation of these systems,

- information, publication and communication activities, including communication actions and campaigns, events, conferences, workshops, exhibitions and similar awareness-raising measures.

The projects and measures financed by LIFE+ may be implemented through grants or procurement procedures.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 1).

Article 07 03 09 — Contribution for the European Environment Agency

Item 07 03 09 01 — European Environment Agency — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 835 305	22 835 305	22 598 731	22 598 731	24 168 563,11	24 168 563,11

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Commission, if requested by the Agency, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution to participation in Union programmes entered in item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

Legal basis

Regulation (EC) No 401/2009 of the European Parliament and of the Council of 23 April 2009 on the European Environment Agency and the European Environment Information and Observation Network (OJ L 126, 21.5.2009, p. 13).

Item 07 03 09 02 — European Environment Agency — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 962 092	12 962 092	13 128 165	13 128 165	17 054 468,80	17 054 468,80

Remarks

This appropriation is intended to cover a contribution to the European Environment Agency in Copenhagen. The mission of the Agency is to provide the Union and the Member States with objective, reliable and comparable information on the environment at European level, thus enabling them to take the requisite measures to protect the environment, to assess the results of such measures and to inform the public.

The EEA Strategy for the period 2009-2013 adopted by the Management Board on 26 November 2008 is built on three main activities:

- continuing to support the information needs set down in Union and international environmental legislation and especially the Sixth Environment Action Programme,
- providing more timely assessments on how and why the environment is changing and whether environmental policies, including the Sixth Environment Action Programme, the European Union Sustainable Development Strategy and those in related areas have been effective,
- improving the coordination and dissemination of environmental data and knowledge across Europe.

These activities are addressed through four project areas:

- environmental themes,
- cross-cutting themes,
- integrated environmental assessment,
- information services and communications.

Each of the thematic areas being addressed is influenced by a range of societal and sectoral processes in areas such as agriculture, chemicals, energy, transport or land-use development and planning, and needs to be seen in a wider international context.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution to participation in Union programmes entered in item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 36 309 240. An amount of EUR 511 843 coming from the recovery of surplus is added to the amount of EUR 35 797 397 entered in the budget.

Legal basis

Regulation (EC) No 401/2009 of the European Parliament and of the Council of 23 April 2009 on the European Environment Agency and the European Environment Information and Observation Network (OJ L 126, 21.5.2009, p. 13).

Article 07 03 10 — Preparatory action — Natura 2000

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

This article is intended to cover preparatory actions for the management of the Natura 2000 network (i.e. biodiversity maintenance and monitoring, species reintroduction, infrastructure, compensation for landowners), including pilot projects, communication and information activities, and the development of methodologies and management models for sites with different characteristics and ownership.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 11 — Pilot project — Forest protection and conservation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	867 091,00

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and related to measures and actions for monitoring the effects of atmospheric pollution on forests, monitoring and preventing forest fires and collecting information and data on forest ecosystems. Monitoring activities will address concerns relating to soil, biodiversity and forest sinks. These actions will involve grants and study and service contracts in addition to financial contributions to the costs of programmes submitted by Member States and local authorities for activities to:

- continue and further develop the network of observation plots providing information on forest ecosystems,
- continue and further develop a forest fire information system,
- promote measures to prevent and combat forest fires, especially in regions classified as high-risk, as an extension of measures provided for under Council Regulation (EEC) No 2158/92 of 23 July 1992 on protection of the Community's forests against fire (OJ L 217, 31.7.1992, p. 3), expired on 31 December 2002,
- promote re-afforestation measures for areas destroyed by fire, especially in nature reserves and protected areas, respecting the relevant bioclimatic and environmental features and using species and varieties suited to local conditions,
- promote and further develop the monitoring scheme and the evaluation of information gathered and develop a platform for the exchange of data with and between Member States and other interested stakeholders,
- support re-afforestation programmes in fire-affected regions,
- investigate the causes and consequences of fires, which have been particularly serious in recent years, in particular for the European forestry sector,
- promote appropriate measures to prevent forest fires, such as firebreaks, forest tracks, access points, water points and forest management programmes.

Meetings of Member States' experts may also be covered by this appropriation.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 12 — Preparatory action — Future legal basis on harmonised EU forest information

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	250 000	1 000 000	500 000		

Remarks

The preparatory action shall contribute to maintaining a Union level system and collection of comparable and harmonised forest information to serve as a basis for the provision of policy-relevant information on forests in the Union as required under international obligations and key action 8 of the Forest Action Plan (COM(2006) 0302) in preparation for an upcoming regulation on harmonised Union forest information.

More specifically, this preparatory action will consist in collecting quantitative and qualitative forest data relating to climate change, air pollution, biodiversity and forest condition, including soil condition and carbon sequestration, based on existing transnationally harmonised monitoring methods and standards, preferably for large-scale and intensive monitoring plots, ensuring continuity of data series where possible. The action shall aim at collecting representative forest information throughout the Union.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 13 — Preparatory action — An integrated coastal communication and risk management system

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	400 000	0,—	0,—

Remarks

This appropriation is intended to fund a project which will develop and establish (1) a complementary participatory coastal communication system and (2) a cooperative coastal risk protection and management system for Member States in the Baltic Sea region and in particular the Baltic States themselves, whose 50 years under occupation excluded them from normal development processes, as a result of which there is a disparity in terms of development with older Member States. Sustainable coastal development (including integrated applications) is to be actively re-enhanced and non-traditional innovations explored and fleshed out, tested and disseminated not only in relation to established implementation methods, i.e. not only vertically and horizontally across management levels, but also with particular attention being paid to developing new methodologies for participatory communication and cooperation, thus increasing coastal awareness and behaviour development among all stakeholders.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 15 — Pilot project — Sulphur dioxide and nitrogen oxide emission trading in the Baltic Sea

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	160 000,00

Remarks

This appropriation is intended to cover payments to fund a pilot project intended:

- to cut sulphur dioxide (SO₂) and nitrogen oxide (NO_x) emissions in the Baltic Sea by launching a pilot emissions trading scheme between stakeholders willing to participate on a voluntary basis,
- to include shipping in research and preparatory work by the Commission for policy development for open trading with land-based resources, to be complementary to ongoing work undertaken by the Commission in order to identify and define the conditions for a possible trading system for both SO₂ and NO_x in future legislation.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 16 — Pilot project — Development of prevention activities to halt desertification in Europe

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	900 000	0,—	1 207 296,00

Remarks

This appropriation is intended to finance a pilot project to cover research, data collection, evaluation, field visits, and monitoring, consultations and networking for the development of prevention activities in order to halt desertification in Europe.

A number of Member States have taken measures individually in this respect; therefore, numerous examples both of good and of bad practice exist, alongside interdisciplinary studies and suggestions, based on but not limited to assessing damage caused by modern intensive agricultural practices and climate change-related issues.

According to reports from the secretariat of the United Nations Convention to Combat Desertification, Portugal, Spain, Italy, Greece, Malta, Latvia, Hungary, Romania, Turkey and Belarus, as well as other countries and regions in the Union and across Europe, are facing this major environmental and economic problem, and 'business as usual' scenarios show that agricultural productivity is expected to drop even further in the next few years, thus threatening the security of food supply.

Moreover, desertification results not only in agricultural losses, but also in loss of biodiversity, reduction in soil fertility and reduction in the capacity of soil to retain water, increased erosion and reduced carbon capture. Furthermore, floods and droughts linked to climate change are becoming more frequent and more drastic in their effects, increasing to an even greater extent the risk and negative economic and social consequences of desertification (see paragraph 17 of the European Parliament resolution of 9 October 2008 on addressing the challenge of water scarcity and droughts in the European Union (OJ C 9E, 15.1.2010, p. 33).

The pilot project will involve:

- best practice exchange,
- demonstration of innovative approaches, know-how, new technologies, new methods and instruments, e.g. for water conservation,
- development of a monitoring scheme for the evaluation of information gathered and development of a platform for exchange of data with and between Member States, candidate countries, and western Balkan and European Neighbourhood Policy (ENP) countries which would be open for consultation by other stakeholders,
- awareness-raising campaigns with and between Member States, candidate countries, and western Balkan and ENP countries, as well as other stakeholders facing this environmental and economic problem, with the aim of helping to maintain vegetation cover and surface and underground moisture levels, thus decreasing aridity and halting desertification,
- development of concrete pilot projects at local level to support innovative local measures on the conservation of rainwater and surface water.

The pilot project could be improved in the future by addressing the issue of desertification on a Europe-wide basis in bilateral or multilateral agreements.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 17 — Preparatory action — Climate of the Carpathian basin

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 200 000	—	1 400 000	0,—	487 542,80

Remarks

The main aim of this project is to investigate the detailed weather-related and spatial structure of the Carpathians and the Carpathian basin with integrated or at least comparable methods. The basic results will be a contribution to regional climate variability and change studies, and applied climatology. The climate of the region has not been described as a geographical unit for about 90 years.

National meteorological measurement networks have different instruments and often different regulations. Accurate climate field modelling needs larger areas, but smaller countries cannot be used as a model for reproducing the climate of neighbouring regions. These factors make comparison between national maps impossible. Additionally, some countries have national climate atlases already, while some do not. The project will collect information on the measurements and on the existing databases. The next step is the development of climate maps by exchanging data and information. In parallel with that, data quality and standardisation methods will be compared. It is suggested that a mapping method be used for which there is no need for large amounts of data to be exchanged between countries. The scale of the project will be enlarged to include the south-eastern Europe region at the next stage in collaboration with the parallel south-eastern Europe climate mapping project, the precursor of which was the 'Summer School on Preparation of Climate Atlas' organised by the Hungarian Meteorological Service (<http://www.met.hu/pages/seminars/seeera/index.htm>) in 2007.

The climate of the Carpathians and the Carpathian basin is fundamental input information for weather and climate forecasting. The Carpathians Environment Outlook project (under the United Nations Environment Programme (UNEP) umbrella), Danube (seventh European corridor) and the Carpathian basin cover a large part of the watershed area. What will be produced will be common maps and comparable national climate and meteorological maps, and there will be a possibility of enlarging the scope of the project, plus a database of gridded maps for other future large projects.

Project starting date: 1 January 2009.

Project end date: 31 December 2010.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 18 — Pilot project — Recovery of obsolete vessels not used in the fishing trade

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	200 000	0,—	177 642,00

Remarks

This pilot project is intended to step up action to protect the environment and manage environmental risks in coastal areas.

The aim is to foster best practice in the sustainable management of coastal areas by stepping up existing non-traditional measures in the sector and exploring the scope for new measures, raising awareness of the needs of coastal areas and ensuring better stakeholder behaviour.

This appropriation is intended to cover the cost of measures put forward by Member States and local authorities with a view to:

- establishing an inventory of non-fishing vessels by analysing the life cycle of such vessels, the materials used to build them and what happens to them once they are taken out of service,
- looking into the feasibility of introducing rules governing the scrapping of obsolete vessels and/or the procedures for the disposal of such vessels,
- recycling vessels and the materials used therein – in particular resins and glass fibre – which are either wasted when disposed of in landfills or pollute the surrounding area when vessels are abandoned,
- the possible reuse of recyclable materials,
- proper management of hazardous waste, in particular fuel, oil and heavy metals, which need to be decontaminated,
- conducting public information and awareness campaigns fostering public debate and the pooling of ideas on environmental and social issues with a bearing on sustainable development and involving both maritime firms and private individuals.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 19 — Pilot project — Economic loss due to high non-revenue water amounts in cities

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	390 000	—	300 000	0,—	0,—

Remarks

The aim of the project is to assess non-revenue water and related economic loss in large cities throughout the Union. The proposed goals can be summarised as follows:

- evaluation and estimation of non-revenue water in various representative cities,
- analysis of the consequences, the related economic loss and environmental impact.

Project starting date: 1 January 2010.

Project end date: 31 December 2012.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 21 — Pilot project — Certification of low-carbon farming practices

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	370 000	—	400 000	0,—	245 000,00

Remarks

The aim of this pilot project is to develop a certification scheme for low-carbon farming practices in the Union. The scheme should target the whole farming sector and should aim to take into account all the main factors contributing to carbon emissions from farming, including the utilisation of fertilisers and other inputs, the handling of waste products, the production of renewable energy, the development of carbon sinks and the use of other climate mitigating practices and technologies. In order to ensure its relevance throughout the territory of the Union, the certification scheme to be developed in the pilot project should be tested through practical trials on a number of farming regions appropriately situated in various parts of the Union.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 22 — Pilot project — Complex research on methods of controlling the spread of ragweed and pollen allergies

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	700 000	0,—	642 062,90

Remarks

The aim of this project is to create the most effective method of controlling the spread of ragweed, as well as preventing and treating pollen allergies based on international scientific research, data collection and evaluation.

The objectives of the project are for the countries involved:

- to conduct scientific research on the feasibility and effectiveness of the various types of ragweed control (mechanical, biological, chemical, etc.),
- to develop the most effective method for controlling the spread of ragweed,
- to conduct studies on the impact of pollen contamination on society and public health, with special regard to children under the age of 10, a population group which is seriously affected by allergies,
- to assess the costs and damages incurred in connection with the treatment of patients suffering from ragweed-related allergies and their complications (medicines, sick leave, loss of earnings, etc.),
- to create allergy prevention and treatment methods in order to reduce allergic diseases and their complications,
- to develop an effective prevention mechanism for ensuring that contamination does not spread to Member States which are not yet affected.

Due to the allergenic properties of its pollen, the spread of common ragweed is of particular public concern in several European countries. The most contaminated areas in Europe are in France, Germany, Denmark, Italy, Austria, Hungary, Poland, Romania and Bulgaria. As the cross-border spread of ragweed pollen is widely acknowledged, eradication programmes limited to particular countries will not succeed and pan-European action is needed.

Project starting date: 1 January 2010.

Project end date: 31 December 2011.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 24 — Pilot project — A European refund system for aluminium beverage cans

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	110 000	0,—	79 896,30

Remarks

The purpose of this pilot project will be to investigate how to harmonise the refund system for cans at Union level or, at least, develop further cooperation between Member States in order to ensure can recycling.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 25 — Completion of development of new policy initiatives

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	75 000,00

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from actions undertaken by the Commission to develop new policy initiatives including awareness-raising and other general actions based on the Community environment action programme.

Legal basis

Actions carried out by the Commission by virtue of tasks resulting from its prerogatives at institutional level pursuant to the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community and pursuant to Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Decision No 1600/2002/EC of the European Parliament and of the Council of 22 July 2002 laying down the Sixth Community Environment Action Programme (OJ L 242, 10.9.2002, p. 1).

Article 07 03 26 — Pilot project — Literature review on the potential effects of climate change on drinking water protection areas across the Union and the identification of priorities among different types of drinking water supplies

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	185 000	p.m.	250 000	451 929,00	0,—

Remarks

Project title: Literature review on the potential effects of climate change on drinking water protection areas across the Union and the identification of priorities among different types of drinking water supplies

Budget: EUR 500 000.

Project starting date: 1 January 2011.

Project end date: 31 December 2011.

Aim of the project

Given climate change processes, long-term security of public drinking water supply can be achieved through the utilisation of vulnerable water reserves (e.g. bank-filtered water protection areas or other types of drinking water protection areas).

A literature review on the potential effects of climate change on drinking water protection areas across the Union will be a first step in a further analysis, alongside results from other projects, to identify with greater certainty prospective strategic drinking water reserves for the future.

Short description of the project

Within the scope of the project, a literature review will be carried out of operating and natural recharge mechanisms, under extreme climate conditions, for water resources for public drinking water supply (ground waters, surface waters, karstic waters, bank-filtered waters) across the Union. The review of the effects of climate change on different types of drinking water protection areas will

involve identifying priority topics and areas. The outcomes of the literature review will be compared with the results of other projects, such as the ‘Preparatory action on climate in the Carpathian region’ dealing with the effects of climate change on that area. The project will focus inter alia on assessing the vulnerability of sources of and facilities for drinking water supply and sanitation to climate change impacts.

The ultimate aim is to ensure the long-term preservation of vulnerable water protection areas so as to secure a safe public water supply regardless of the impact of climate change scenarios.

Without an analysis of the impacts of climate change, efforts to ensure security of supply would not have a proper basis and may even prove inadequate for keeping drinking water protection areas secure. In extreme cases, this may result in an inadequate supply of drinking water.

As the supply of safe water is one of the world’s major challenges, Europe will gain a competitive advantage if measures are planned before the impact of unfavourable processes is felt.

Countries concerned

All the countries whose water supply comes from vulnerable water protection areas (ground waters, surface waters, bank filtration, karstic systems), particularly those lying along large rivers and in upland areas. The countries most affected are:

Hungary, Bulgaria, Romania, Slovakia, Slovenia, Serbia, Poland, Italy, Austria and Germany.

Outcome of the project:

- literature review of potential impacts of climate change on different types of water protection areas across the Union,
- identification of priority topics and areas.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 27 — Preparatory action — BEST scheme (voluntary Scheme for biodiversity and ecosystem services in the territories of the Union’s outermost regions and overseas countries and territories)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 200 000	2 000 000	2 000 000	2 000 000,00	0,—

Remarks

This appropriation is intended to finance a scheme, encouraged by the Council, to promote the conservation and sustainable use of biodiversity and ecosystem services in Union’s overseas countries and territories, drawing on the experience gained with Union nature conservation programmes such as Natura 2000, from which most outermost regions and overseas countries and territories are excluded.

The BEST scheme steps up the financial means to protect biodiversity and promote the sustainable use of ecosystem services in the Union’s outermost regions and overseas countries and territories, with a view to reconciling the environmental and development needs of these specific areas.

Found in every ocean, from polar to tropical latitudes, the Union’s outermost regions and overseas countries and territories are home to a unique diversity of species and ecosystems of global significance, which are highly vulnerable to human impact, invasive species and increasingly, climate change.

The voluntary scheme aims therefore to develop solutions that maintain healthy and resilient ecosystems and reduce the pressures on biodiversity. This appropriation supports the designation and management of protected areas and the restoration of degraded ecosystems, promoting natural solutions to fight climate change, including the restoration of mangroves and the protection of coral reefs. The scheme also aims to promote research in these areas, in particular by financing international research and educational programmes. The scheme encourages the development of frameworks and the creation of partnerships between local administrations, civil society, researchers, universities, land-owners and the private sector. It serves to reinforce cooperation on environment and climate change issues.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 28 — Pilot project — Plastic recycling cycle and marine environmental impact

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	325 000	p.m.	500 000	870 425,00	68 430,00

Remarks

This appropriation is intended to cover a pilot project assessing the effectiveness of the plastic recycling cycle at Union level and its potential loopholes, with a specific emphasis on water and marine environmental impacts. In-depth local or regional assessments, as well as studies focusing on coastal areas, could also be developed under this pilot project in order to identify concrete challenges, good practices and recommendations for action.

The assessment and study carried out under this pilot project would therefore aim at identifying technical loopholes and deficiencies in the plastic recycling chain in the Union, as well as potential legislative improvement in order to reduce the amount of land-based plastic reaching the marine environment.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 29 — Preparatory action — Development of prevention activities to halt desertification in Europe

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	800 000	1 000 000	1 000 000	977 900,00	0,—

Remarks

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 30 — Pilot project — Atmospheric precipitation — Protection and efficient use of fresh water

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	375 000	1 500 000	750 000		

Remarks

Project end date: 31 December 2013.

Objectives of the project

The Carpathian basin is a unique geographic, climatic, ecological and economic region of Europe which spreads across eight

countries of which five are Member States. The main water source of the region is the atmospheric precipitation - the other being the water import delivered by the Danube River. To retain, secure, regulate and use precipitation within the basin is highly influenced by land use patterns. Soil is a major factor of water storage with an ability to influence amount, dynamics, distribution, availability and quality of water. The aim of the project is to provide reliable scientific bases, plausible means of methodology for implementation, protection and efficient use of fresh water derived from atmospheric precipitation in the Carpathian basin.

Short description of the project and its key tasks

An inventory and analysing review of research results related to the fields listed above. The use of precipitation by means of appropriate and advanced land use patterns. The improvement of water storage and influencing amount, dynamics, distribution, availability and quality of water. The elaboration of patterns of protection and efficient use of fresh water in the Carpathian basin. A review of scientific results and implementation techniques in the field of sustainability, sustainable ecosystems, and also in relation to nature conservation and environmental protection. A review of climate change impacts on different water resources, reserves, surface and subsurface water bodies, their utility, vulnerability and future prospects; establishment of on site case studies in favour of testing various water retaining land use patterns under different ecological conditions representing various soil-climatic types and different cropping and management systems. Making recommendations for further use. Creating a compendium of adaptation actions in the Carpathian basin and across Europe. The proposed project does not only focus on the Carpathian region: the geographical focus of the project covers additional geographical areas within the Union, upstream and downstream regions of the Danube River, for which the implementation of natural water retention measures can be applied, in relation to climate change and socio-economic scenarios.

Countries involved

Hungary, Slovakia, Ukraine, Romania, Serbia, Croatia, Slovenia and Austria.

Expected results

Short-term results: compilation and dissemination of research results in the field of atmospheric precipitation protection and use efficiency. Direct access to data, information and evaluations (common database).

Long-term results: elaborating a methodology on the assessment and management of atmospheric precipitation in relation to water availability. Contributing to decision makers in the field of fresh water use and management at local, regional and European level. Supporting of European policies, especially Environment for Europe (EfE) and Assessment of Assessments (AoA) tasks, with regard to environmental, social, health, economic and rural development issues.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 31 — Pilot project — Comparative study of the pressures and measures in the major river basin management plans in the Union

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	600 000	p.m.	750 000	1 484 488,00	445 346,40

Remarks

The river district authorities submit that the River Basin Management Plans (RBMP) will be operational by 2012 and they will deliver their results by 2015 or at a later stage. A comparison of the major River Basin Management Plans' pressures and measures is necessary for the European Parliament to understand the different approaches and their relation with other policy areas.

The project will aim at scrutinising the RBMPs of the major districts in the Union. The project will use the same software to compare the pressures (load of pollutants, flows) and in the end the measures listed by the district authorities in order to restore good status. The expected outcome is a pan-European view on the pressures and concerns supported by a comparable geographical analysis, land occupations patterns, and economical perspectives. Specific areas of concern will be: source water protection measures, rural, urban and industrial pressures

Involved countries: Danube and Rhine riparian countries, Spain, Italy, the United Kingdom and Poland.

Outcome of the project: Homogenous perspective on pressures and solution throughout the Union on water management.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 32 — Pilot project — Long-term impact of carbon neutral housing on waste water systems

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	1 500 000	750 000	

Remarks

There is a call to minimise the energy consumption of housing, resulting in the design of 'carbon-neutral' housing, rooting in better isolation and in energy consumption increase, inter alia by heat recovery. Close to 50 % of household water is heated for domestic purposes (cleaning, hygiene etc.), and this long-term tendency will impact water supply both quantitatively and qualitatively, and waste water services also in terms of quantity, quality and heat content.

Countries involved

Germany, Belgium, the United Kingdom, the Netherlands, Sweden, Austria and France.

Outcome of the project

The proposed amount would be used for several demonstration sites, which would provide a concrete example of the mid-term impact of current energy saving policies on the urban water cycle.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 33 — Pilot project — Marine litter recovery

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	250 000	1 000 000	500 000	

Remarks

This pilot project aims at assisting Member States in reaching the target laid down in Directive 2008/56/EC of the European Parliament and of the Council of 17 June 2008 establishing a framework for community action in the field of marine environmental policy (Marine Strategy Framework Directive) (OJ L 164, 25.6.2008, p. 19) of achieving good environmental status ('GES') for EU coastal and inland waters by 2020 through environmental action using an innovative collection and recovery system. The project will engage the Union's fishing community along with Union's plastics producers, converters and recyclers in cleaning up floating marine litter on EU coastal and inland waters. Fishermen shall be funded through the European Fisheries Fund (EFF) to go on special trips to collect floating marine debris in their coastal regions. The project shall use an innovative trawl system which is capable of collecting between 2 and 8 tonnes of floating marine debris per trawl. This trawl system has been developed in cooperation with fishermen so as not to detrimentally affect marine life or collect anything but floating marine debris. Fishermen shall use this trawl in coastal waters whilst static trawls may be used on inland waters. Following the recovery of marine litter, recyclers shall then assess its recycling potential. Where the waste is not fit for recycling energy recovery shall be the solution. However the aim is to make a high percentage of recovered waste suitable for recycling. The project shall conduct tests for a period of two years (July 2012 to July 2014) in several Member States (to be defined following Member States initial assessment(s) — July 2012 under Directive 2008/56/EC). During this period, the project shall monitor the volumes, geographic location and consistency of marine litter collected by means of an online monitoring system, through which Member States may study problem areas and progress made on collection, recycling and the cleaning of coastal and inland waters. A benchmarking system will be set up to assist in better defining GES. The tests will take into account the initial assessment Member States must produce by July 2012 and the benchmarking system will be consistent with indicators that Member States will have defined (under Directive 2008/56/EC).

The intention is that following the pilot tests, Member States shall be able to take over the running of the trawls and recovery systems whilst continuing to record data on volumes, location, etc. of marine litter and recycling. By doing this Member States shall be provided with a valuable tool (depending on the outcome of the project, i.e. the quantities of marine litter recovered) which will contribute and anticipate on reaching the legal obligations set out in Directive 2008/56/EC, namely achieving GES for EU coastal and inland waters. Furthermore, following the expiry of the project and the potential taking over of these actions by regions, Member States shall be provided with a tool for maintaining this GES for EU waters. Through these environmental actions and the synergies created between the fishing community (EFF), industry and national authorities, marine litter may not only be tackled sufficiently to contribute towards GES, but also the marine litter may be avoided and the sustainability of EU waters and a healthy marine environment may be achieved.

If at the end of the tests the quantity of marine debris found in EU coastal waters is low or even negligible, this project will have demonstrated the success of Member States in reaching GES for coastal and inland waters. In this case it will make sense for Member States to continue to use primarily inland static trawls (which are placed on river weirs and other locations where they will not impede marine traffic) in order to avoid marine litter reaching coastal waters and becoming an even greater problem to the marine environment and potentially, to human health through the ingestion of micro plastics by marine organisms and their potential to enter the human food chain.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 34 — Pilot project — Availability, use and sustainability of water for the production of nuclear and fossil energy

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	125 000	500 000	250 000	

Remarks

This pilot project will take one year and is aimed at investigating the availability and use of cooling water for the production of nuclear and fossil energy. Energy produced from fossil and nuclear sources requires large quantities of cooling water. During the heat wave of 2003, several energy-generating plants across Europe were forced to halt energy production due to the lack of cooling water. The shutdown of nuclear power plants, e.g. in France, Germany and Spain did not affect domestic production, but caused energy exports to drop considerably. With climate change exacerbating weather conditions, water resources will very likely decrease further, thus making this resource even scarcer. It is necessary to conduct a study on the current need for cooling water for nuclear and fossil energy production while taking the location of existing and future power plants (and their proximity to water sources) into consideration. The project will build on finalised and current assessments (such as ClimWatAdapt) to provide a detailed picture of the vulnerability of the energy/water system, including an inventory of power plants and its vulnerability to floods, droughts, heatwaves, etc.

Involved countries

All Member States.

Outcome of the project

Guidelines for the location of future power plants, identification and quantification of the investments needed to decrease the vulnerability of existing power plants.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 35 — Pilot project — New knowledge for an integrated management of human activity in the sea

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	2 000 000	1 000 000		

Remarks

This appropriation is intended to cover expenditure linked to the implementation of a pilot project for new knowledge for an integrated management of human activity in the sea. The purpose of this pilot project is to develop a new monitoring concept and new decision making tools for the integrated assessment of the ecosystem of the seas. This would entail the further development and the testing of measuring systems with the aim of creating a single European standard. The aim is to support the development of integrated monitoring strategies, based on existing sampling across various disciplines, scope potential for joint programmes and use of existing platforms for multiple purposes. The pilot project would demonstrate a practical way in which current effort could be redeployed to more efficiently use existing resources and thus facilitate improved management of human activities. Developing integrated monitoring tools at this scale would serve to meet the objectives of Directive 2008/56/EC of the European Parliament and of the Council of 17 June 2008 establishing a framework for community action in the field of marine environmental policy (Marine Strategy Framework Directive) (OJ L 164, 25.6.2008, p. 19) and to potentially reduce Member States monitoring costs (e.g. multi-use of existing monitoring platforms) i.e. resource efficiency. However, the pilot project could help test strategic approaches to the integrated monitoring of human activities and their effects on the environment and thereby support upcoming requirements of Member States to develop monitoring programmes by 2014 under the Directive 2008/56/EC.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 07 03 60 — European Chemicals Agency — Activities in the field of biocides legislation — Contribution from Heading 2

Item 07 03 60 01 — European Chemicals Agency — Activities in the field of biocides legislation — Contribution to Titles 1 and 2 from Heading 2

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
07 03 60 01	3 956 133	3 956 133	p.m.	p.m.	0,—	0,—
40 02 41			1 491 930	1 491 930		
Total	3 956 133	3 956 133	1 491 930	1 491 930	0,—	0,—

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) for the activities related to the implementation of the biocides legislation.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council of 12 June 2009, concerning the placing on the market and use of biocidal products (COM(2009) 0267).

Communication from the Commission to the European Parliament pursuant to Article 294(6) of the Treaty on the Functioning of the European Union concerning the position of the Council on the adoption of a Regulation of the European Parliament and of the Council concerning the placing on the market and use of biocidal products (COM(2011) 498 Final) of 11 August 2011.

Item 07 03 60 02 — European Chemicals Agency — Activities in the field of biocides legislation — Contribution to Title 3 from Heading 2

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
07 03 60 02	2 114 367	2 114 367	p.m.	p.m.	0,—	0,—
40 02 41			1 236 510	1 236 510		
Total	2 114 367	2 114 367	1 236 510	1 236 510	0,—	0,—

Remarks

This appropriation is intended to cover the Agency's operating expenditure (Title 3) for the activities related to the implementation of the biocides legislation.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The Union contribution for 2013 amounts to a total of EUR 6 070 500.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council of 12 June 2009, concerning the placing on the market and use of biocidal products (COM(2009) 0267).

Communication from the Commission to the European Parliament pursuant to Article 294(6) of the Treaty on the Functioning of the European Union concerning the position of the Council on the adoption of a Regulation of the European Parliament and of the Council concerning the placing on the market and use of biocidal products (COM(2011) 498 Final) of 11 August 2011.

Article 07 03 70 — European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals

Item 07 03 70 01 — European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals — Contribution to Titles 1 and 2

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
07 03 70 01	590 000	590 000	p.m.	p.m.		
40 02 41			345 214	345 214		
Total	590 000	590 000	345 214	345 214		

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) for the activities related to the implementation of legislation on the export and import of dangerous chemicals (recast of Regulation (EC) No 689/2008 of the European Parliament and of the Council of 17 June 2008 concerning the export and import of dangerous chemicals (OJ L 204, 31.7.2008, p. 1)).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Regulation XX/XX of the European Parliament and of the Council concerning the export and import of dangerous chemicals (OJ L XX, XX.XX.2012, p. X).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council of 5 May 2011, concerning the export and import of dangerous chemicals (COM(2011) 0245).

Item 07 03 70 02 — European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals — Contribution to Title 3

Figures

	Budget 2013		Appropriations 2012		Outturn 2011
	Commitments	Payments	Commitments	Payments	
07 03 70 02	971 500	971 500	p.m.	p.m.	
40 02 41			1 110 386	1 110 386	
Total	971 500	971 500	1 110 386	1 110 386	

Remarks

This appropriation is intended to cover the Agency's operating expenditure (Title 3) for activities related to the implementation of legislation on the export and import of dangerous chemicals (recast of Regulation (EC) No 689/2008 of the European Parliament and of the Council of 17 June 2008 concerning the export and import of dangerous chemicals (OJ L 204, 31.7.2008, p. 1)).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The Union contribution for 2013 amounts to a total of EUR 1 561 500.

Legal basis

Regulation XX/XX of the European Parliament and of the Council concerning the export and import of dangerous chemicals (OJ L XX, XX.XX.2012, p. x).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council of 5 May 2011, concerning the export and import of

dangerous chemicals (COM(2011) 0245).

Article 07 03 72 — Pilot project — ‘Resource efficiency’ in practice — Closing mineral cycles

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	250 000	1 000 000	500 000	

Remarks

This pilot project seeks to investigate and promote improved techniques of resource efficiency on farms through the recycling of raw materials, processing animal manure into renewable energy and fine-tuning the fertilisation of plants and crops. The funding will be used to instigate on-farm projects in different Member States that will look at new and innovative ways of managing resources more efficiently and close the mineral cycles on farm. Sharing knowledge and best practices among the farming community is a central element in this approach. The main findings of these projects will be shared within all Member States and used for Union’s policy making purposes with regard to goals of the EU-2020 Strategy. Examples of the projects that will be undertaken are: the increased use and production of renewable energies on farm, recycling of animal and crop waste, better water and soil management, more targeted use of fertilisers on arable crops and grassland, and uptake of new technologies in livestock production.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 07 12 — IMPLEMENTATION OF UNION POLICY AND LEGISLATION ON CLIMATE ACTION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
07 12	Implementation of Union policy and legislation on climate action								
07 12 01	Implementation of Union policy and legislation on climate action	2	20 700 000	19 300 000	19 300 000	15 171 404	17 027 772,64	8 540 189,00	44,25 %
	Chapter 07 12 — Total		20 700 000	19 300 000	19 300 000	15 171 404	17 027 772,64	8 540 189,00	44,25 %

Article 07 12 01 — Implementation of Union policy and legislation on climate action

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 700 000	19 300 000	19 300 000	15 171 404	17 027 772,64	8 540 189,00

Remarks

Under the LIFE+ programme, this appropriation is intended to finance measures to support the Commission’s role in initiating policy and legislation development and implementation in the area of climate action, taking into account the following priorities:

- ensuring the implementation of the European Union commitments under the Kyoto Protocol to the United Nations Framework Convention on Climate Change,
- developing new policies and further implementation of the ‘Climate and Energy’ package,

- ensuring the adaptation of the Union economy and society to the adverse impacts of climate change and mitigating such impacts,
- ensuring the implementation and use of market-based instruments, in particular greenhouse gas emission trading, in order to achieve via a cost-efficient emission reduction, the '20/20/20' climate/energy targets of the Europe 2020 Strategy supporting the transition towards a low-carbon economy/society.

This appropriation is intended to cover expenditure incurred by the Commission through:

- studies and evaluations, economic analyses and modelling of scenarios,
- administrative arrangements with DG JRC,
- cooperation with Eurocontrol on implementation of aviation and ETS,
- services with a view to the implementation and integration of environmental policy and legislation in the area of climate action,
- conferences, seminars and workshops with experts and stakeholders,
- developing and maintaining networks, databases and information and computer systems directly linked to the implementation of Union climate policy and legislation, in particular when improving public access to environmental information. The expenditure covered will include the costs of development, maintenance, operation and support (hardware, software and services) of policy support systems, in particular the Community Independent Transaction Log (CITL) and EU Single Registry and the Ozone Depleting Monitoring System (ODS). It will also cover the cost of project management, quality control, security, documentation and training linked to the implementation of these systems,
- information, publication and dissemination activities, including events, exhibitions, audio-visual productions and similar awareness-raising measures to promote climate action objectives, the transition towards a low-carbon economy/society or the climate action sub-programme of the newly proposed LIFE+ Regulation 2014-2020.

The measures financed by LIFE+ may be implemented through grants or procurement procedures.

Legal basis

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 1).

CHAPTER 07 13 — CLIMATE MAINSTREAMING AND INNOVATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
07 13 07 13 03	Climate mainstreaming and innovation <i>Preparatory action — Mainstreaming climate action, adaptation and innovation</i>	2	5 000 000	5 000 000	5 000 000	3 750 000	4 980 765,94	325 293,15	6,51 %
Chapter 07 13 — Total			5 000 000	5 000 000	5 000 000	3 750 000	4 980 765,94	325 293,15	6,51 %

Article 07 13 03 — Preparatory action — Mainstreaming climate action, adaptation and innovation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	5 000 000	5 000 000	3 750 000	4 980 765,94	325 293,15

Remarks

This appropriation is intended to cover work needed to underpin the Union's developing policy on the mainstreaming of climate action into other policies and programmes and adaptation to climate change, as basis for impact assessment and the preparation of future policy decisions.

Climate action is put forward as one of the central pillars of the Europe 2020 Strategy. Achieving the Union climate goals means reducing emissions significantly more quickly in the next decades than in the last decade.

In addition, the move to a low carbon, resource efficient and climate resilient economy will require harnessing the contribution of many Union policies (in particular cohesion, agricultural, rural development, research and innovation, transport and energy programmes, external action, etc.) to climate action, in particular through mainstreaming and adaptation measures.

The preparatory action should therefore cover conferences, studies and preparatory work aimed at:

- identifying the structural and technological changes required to move to a low carbon, resource efficient and climate resilient economy by 2050 through a pathway and the intermediate milestones in 2030,
- identifying actions, strategies and legal instruments to be undertaken at Union, national, regional and local levels to mitigate climate change and adapt to its impact (for instance through funding improvements in infrastructure and production methods in vulnerable sectors) and meet the 20/20/20 climate objectives set by the Union in the 2020 Strategy,
- developing innovative support mechanisms to develop low carbon and adaptation policies and strategies, including possible new financial instruments to fully exploit the potential of new technologies, to reduce losses caused by climate-change-related events, such as severe drought and flooding and extreme climate events, as well as to develop the Union's capacity for disaster prevention and response,
- supporting the development of 'climate proofing' tools, risk based assessments of programmes and measures to enhance adaptive capacity and resilience to climate change support and 'climate tracking' methodologies to monitor climate related expenditure under the mainstreaming objective in the next multiannual financial framework 'to increase the proportion of climate mainstreaming to at least 20 % of the future EU total budget', with contribution from different policies,
- cooperation with relevant international organisations, their institutions and bodies, shall be possible where needed for the purpose of achieving the climate action objectives.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 08 — RESEARCH

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 01	Administrative expenditure of the 'Research' policy area		347 037 055	347 037 055	341 227 540	341 227 540	329 879	329 879
	40 01 40				4 490	4 490	548,62	548,62
					341 232 030	341 232 030		
08 02	Cooperation — Health	1	1 002 798 000	757 453 000	939 533 855	493 934 702	850 584	514 836
							621,53	782,73
08 03	Cooperation — Food, agriculture and fisheries, and biotechnology	1	356 725 000	317 924 000	312 784 295	181 450 215	274 225	185 430
							130,09	487,82
08 04	Cooperation — Nanosciences, nanotechnologies, materials and new production technologies	1	615 456 000	601 399 000	510 906 344	368 666 918	471 627	415 589
							638,37	386,22
08 05	Cooperation — Energy	1	217 825 000	169 478 000	189 932 521	144 811 788	161 131	118 107
							610,87	811,89
08 06	Cooperation — Environment (including climate change)	1	336 586 000	271 991 000	285 273 359	214 026 879	258 475	269 132
							931,23	372,11
08 07	Cooperation — Transport (including aeronautics)	1	558 819 000	489 678 243	483 484 270	430 934 281	432 909	396 537
							352,88	401,79
08 08	Cooperation — Socioeconomic sciences and the humanities	1	112 181 000	67 960 000	92 395 240	54 274 481	86 360 644,74	49 364 837,18
08 09	Cooperation — Risk-sharing finance facility (RSFF)	1	p.m.	p.m.	198 004 478	181 450 215	204 760	204 760
							000,00	000,00
08 10	Ideas	1	1 707 158 000	1 226 786 278	1 564 948 330	818 082 810	1 332 343	733 822
							546,24	780,52
08 12	Capacities — Research infrastructures	1	74 663 000	134 969 000	50 228 387	126 769 285	160 066	205 691
							351,28	420,00

08 13	Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)	1	270 553 000	236 953 000	251 176 486	182 498 997	229 311 140,02	172 825 421,02
08 14	Capacities — Regions of knowledge	1	26 496 000	20 700 000	20 078 078	18 299 254	19 304 773,00	16 581 088,49
08 15	Capacities — Research potential	1	73 939 000	58 000 000	66 609 035	56 521 742	65 320 488,00	52 934 445,55
08 16	Capacities — Science in society	1	63 376 000	38 456 000	44 828 259	27 650 291	45 864 192,00	31 194 908,00
08 17	Capacities — International cooperation activities	1	39 683 000	27 597 000	32 102 471	31 917 093	28 937 707,00	19 496 817,00
08 18	Capacities — Risk-sharing finance facility (RSFF)	1	50 000 000	50 000 000	p.m.	p.m.	51 190 000,00	51 190 000,00
08 19	Capacities — Support for coherent development of research policies	1	13 411 000	9 850 000	13 101 602	9 434 504	10 037 335,00	5 762 666,99
08 20	Euratom — Fusion energy	1	937 355 000	584 984 000	1 129 274 000	371 849 555	396 090 000,00	235 116 604,68
08 21	Euratom — Nuclear fission and radiation protection	1	55 839 000	57 000 000	54 105 000	49 898 809	52 000 000,00	35 780 564,00
08 22	Completion of previous framework programmes and other activities	1	p.m.	27 054 000	p.m.	113 860 010	208 635 431,08	517 943 687,58
08 23	Research programme of the research fund for coal and steel	1	p.m.	p.m.	p.m.	p.m.	61 197 634,00	50 999 625,11
Title 08 — Total			6 859 900 055	5 495 269 576	6 579 993 550	4 217 559 369	5 730 253 075,95	4 612 978 657,30
40 01 40					4 490	4 490		
Total including reserves					6 579 998 040	4 217 563 859		

Remarks

These remarks apply to all the budget headings in this title (with the exception of Chapter 08 22).

These appropriations will be used in accordance with Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1) and Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

For all appropriations under this title the same definition of small and medium-sized enterprises (SMEs) as is used for the horizontal SME-specific programmes within the same framework programme shall apply. That definition reads as follows: ‘An eligible SME is a legal entity that complies with the SME definition set out in Commission Recommendation 2003/361/EC and is not a research centre, research institute, contract research organisation or consultancy firm’. All research activities conducted pursuant to the Seventh Framework Programme will be carried out in compliance with fundamental ethical principles (in accordance with Article 6(1) of Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1)), including animal welfare requirements. This will include, in particular, the principles laid down in Article 6 of the Treaty on European Union and in the Charter of Fundamental Rights of the European Union. Particular account will be taken of the need to step up efforts to enhance the participation and role of women in science and research.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations also cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not, information, publications, administrative and technical operation, and certain other expenditure Items relating to internal infrastructure linked with the achievement of the objective of the measure of which they form an integral part, including the action and initiatives necessary for preparation and monitoring of the Union’s strategy on research, technological development and demonstration (RTD).

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

The possibility of third countries or institutes from third countries taking part in European Cooperation in the field of Scientific and Technical Research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of Scientific and Technical Research will be entered in Item

6 0 1 6 of the of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be made available under Article 08 22 04.

In order to be able to meet the goal of 15 % SME participation in the projects financed by these appropriations, as laid down in Decision No 1982/2006/EC, more specific action is needed. Qualifying projects under the SME specific programmes should be made eligible for funding under the thematic programme when they fulfil the necessary (thematic) requirements.

CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
08 01	Administrative expenditure of the ‘Research’ policy area					
08 01 01	Expenditure related to staff in active employment in the ‘Research’ policy area	5	9 041 655	9 193 290	9 340 340,93	103,30 %
08 01 02	External staff and other management expenditure of the ‘Research’ policy area					
08 01 02 01	External staff	5	268 912	210 031	219 017,00	81,45 %
08 01 02 11	Other management expenditure	5	394 554	392 244	440 843,00	111,73 %
	40 01 40			4 490		
				396 734		
	<i>Article 08 01 02 — Subtotal</i>		663 466	602 275	659 860,00	99,46 %
	40 01 40			4 490		
				606 765		
08 01 03	Expenditure related to information and communication technology equipment and services of the ‘Research’ policy area	5	561 934	586 975	702 509,91	125,02 %
08 01 04	Support expenditure for operations of the ‘Research’ policy area					
08 01 04 30	European Research Council Executive Agency (ERCEA)	1.1	39 000 000	39 000 000	35 600 737,00	91,28 %
08 01 04 31	Research Executive Agency (REA)	1.1	49 300 000	47 339 000	38 496 928,00	78,09 %
08 01 04 40	European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management	1.1	39 390 000	39 000 000	35 900 000,00	91,14 %
	<i>Article 08 01 04 — Subtotal</i>		127 690 000	125 339 000	109 997 665,00	86,14 %
08 01 05	Support expenditure for operations in the ‘Research’ policy area					
08 01 05 01	Expenditure related to research staff	1.1	127 793 000	124 219 000	128 017 000,12	100,18 %
08 01 05 02	External staff for research	1.1	26 287 000	26 287 000	27 690 716,00	105,34 %
08 01 05 03	Other management expenditure for research	1.1	55 000 000	55 000 000	53 471 456,66	97,22 %
	<i>Article 08 01 05 — Subtotal</i>		209 080 000	205 506 000	209 179 172,78	100,05 %
	Chapter 08 01 — Total		347 037 055	341 227 540	329 879 548,62	95,06 %
	40 01 40			4 490		
	Total including reserves			341 232 030		

Article 08 01 01 — Expenditure related to staff in active employment in the ‘Research’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 041 655	9 193 290	9 340 340,93

Article 08 01 02 — External staff and other management expenditure of the ‘Research’ policy area

Item 08 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
268 912	210 031	219 017,00

Item 08 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
08 01 02 11	394 554	392 244	440 843,00
40 01 40		4 490	
Total	394 554	396 734	440 843,00

Article 08 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Research’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
561 934	586 975	702 509,91

Article 08 01 04 — Support expenditure for operations of the ‘Research’ policy area

Item 08 01 04 30 — European Research Council Executive Agency (ERCEA)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
39 000 000	39 000 000	35 600 737,00

Remarks

This appropriation is intended to cover the operating costs of the European Research Council Executive Agency incurred as a result of the Agency’s role in the management of the specific ‘Ideas’ programme in the field of frontier research.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The Agency’s establishment plan is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3).

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the

participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

Reference acts

Commission Decision 2008/37/EC of 14 December 2007 setting up the 'European Research Council Executive Agency' for the management of the specific Community programme 'Ideas' in the field of frontier research in application of Council Regulation (EC) No 58/2003 (OJ L 9, 12.1.2008, p. 15).

Item 08 01 04 31 — Research Executive Agency (REA)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
49 300 000	47 339 000	38 496 928,00

Remarks

This appropriation is intended to cover the operating costs of the Research Executive Agency incurred as a result of the Agency's role in the management of certain areas of the specific 'People', 'Capacities' and 'Cooperation' programmes in the field of research.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 272).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Reference acts

Commission Decision 2008/46/EC of 14 December 2007 setting up the 'Research Executive Agency' for the management of certain areas of the specific Community programmes People, Capacities and Cooperation in the field of research in application of Council Regulation (EC) No 58/2003 (OJ L 11, 15.1.2008, p. 9).

Item 08 01 04 40 — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
39 390 000	39 000 000	35 900 000,00

Remarks

Fusion offers the prospect of an almost limitless supply of clean energy, with ITER being the crucial next step in the progress towards this ultimate goal. To this end, the European Organisation for ITER and the Development of Fusion Energy, in the form of a Joint Undertaking was established. This European Joint Undertaking for ITER and the Development of Fusion Energy (Fusion for Energy) has the following tasks:

- (a) to provide the contribution of Euratom to the ITER International Fusion Energy Organization;
- (b) to provide the contribution of Euratom to broader approach activities with Japan for the rapid realisation of fusion energy;
- (c) to implement a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities including the International Fusion Materials Irradiation Facility (IFMIF).

Legal basis

Council Decision of 25 September 2006 concerning the conclusion, by the Commission, of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project, of the Arrangement on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation on the ITER Project and of the Agreement on the Privileges and Immunities of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project.

Commission Decision 2006/943/Euratom of 17 November 2006 on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project and of the Agreement on Privileges and Immunities of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project (OJ L 358, 16.12.2006, p. 60).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

Article 08 01 05 — Support expenditure for operations in the 'Research' policy area

Item 08 01 05 01 — Expenditure related to research staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
127 793 000	124 219 000	128 017 000,12

Item 08 01 05 02 — External staff for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
26 287 000	26 287 000	27 690 716,00

Item 08 01 05 03 — Other management expenditure for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
55 000 000	55 000 000	53 471 456,66

CHAPTER 08 02 — COOPERATION — HEALTH

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 02	Cooperation — Health								
08 02 01	Cooperation — Health	1.1	791 490 000	627 563 000	639 533 855	398 334 028	686 776 621,53	496 363 548,73	79,09 %
08 02 02	Cooperation — Health — Innovative Medicines Initiative Joint Undertaking	1.1	207 068 000	125 650 000	294 300 000	90 725 107	159 098 520,00	14 622 613,00	11,64 %
08 02 03	Cooperation — Health — Support expenditure for Innovative Medicines Initiative Joint Undertaking	1.1	4 240 000	4 240 000	5 700 000	4 875 567	4 709 480,00	3 850 621,00	90,82 %
	Chapter 08 02 — Total		1 002 798 000	757 453 000	939 533 855	493 934 702	850 584 621,53	514 836 782,73	67,97 %

Article 08 02 01 — Cooperation — Health

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
791 490 000	627 563 000	639 533 855	398 334 028	686 776 621,53	496 363 548,73

Remarks

The actions carried out in the area of health are intended to improve the health of the European public and boost the competitiveness of industries and companies linked to the health sector in Europe, while at the same time addressing world health problems, including new epidemics. The emphasis will be on translational research (transposing basic findings into clinical applications), developing and

validating new treatments, identifying ways of promoting health and preventing illness, diagnostic tools and technologies for making diagnoses, as well as sustainable and effective healthcare systems. Special attention will be given to communicating research findings and to the initiation of a dialogue with civil society, in particular patient groups, at as early a stage as possible in new developments produced by biomedical and genetics research.

Funding may be given to clinical research on many diseases, for instance HIV/AIDS, malaria, tuberculosis, diabetes and other chronic diseases (e.g. arthritis, rheumatic diseases and musculo-skeletal diseases, as well as respiratory diseases), or rare diseases.

An increased share of funding should go to research on diseases related to ageing.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 400, 30.12.2006, p. 86).

Reference acts

European Parliament resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).

Article 08 02 02 — Cooperation — Health — Innovative Medicines Initiative Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
207 068 000	125 650 000	294 300 000	90 725 107	159 098 520,00	14 622 613,00

Remarks

The Innovative Medicines Initiative Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the 'Health' theme of the specific 'Cooperation' programme implementing the Seventh Framework Programme. It shall have the objective of significantly improving the efficiency and effectiveness of the drug development process with the long-term aim that the pharmaceutical sector produce more effective and safer innovative medicines. In particular it shall:

- support 'pre-competitive pharmaceutical research and development' in the Member States and countries associated to the Seventh Framework Programme via a coordinated approach to overcome the identified research bottlenecks in the drug development process,
- support the implementation of the research priorities as set out by the Research Agenda of the Joint Technology Initiative on Innovative Medicines ('Research Activities'), notably by awarding grants following competitive calls for proposals,
- ensure complementarity with other activities of the Seventh Framework Programme,
- be a public-private partnership aiming at increasing the research investment in the biopharmaceutical sector in the Member States and countries associated to the Seventh Framework Programme by pooling resources and fostering collaboration between the public and private sectors,
- promote the involvement of small and medium-sized enterprises in its activities, in line with the objectives of the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 73/2008 of 20 December 2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines (OJ L 30, 4.2.2008, p. 38).

Article 08 02 03 — Cooperation — Health — Support expenditure for Innovative Medicines Initiative Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 240 000	4 240 000	5 700 000	4 875 567	4 709 480,00	3 850 621,00

Remarks

The Innovative Medicines Initiative Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the ‘Health’ theme of the specific ‘Cooperation’ programme implementing the Seventh Framework Programme. It shall have the objective of significantly improving the efficiency and effectiveness of the drug development process with the long-term aim that the pharmaceutical sector produce more effective and safer innovative medicines. In particular it shall:

- support ‘pre-competitive pharmaceutical research and development’ in the Member States and countries associated to the Seventh Framework Programme via a coordinated approach to overcome the identified research bottlenecks in the drug development process,
- support the implementation of the research priorities as set out by the Research Agenda of the Joint Technology Initiative on Innovative Medicines (‘Research Activities’), notably by awarding grants following competitive calls for proposals,
- ensure complementarity with other activities of the Seventh Framework Programme,
- be a public-private partnership aiming at increasing the research investment in the biopharmaceutical sector in the Member States and countries associated to the Seventh Framework Programme by pooling resources and fostering collaboration between the public and private sectors,
- promote the involvement of small and medium-sized enterprises in its activities, in line with the objectives of the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 73/2008 of 20 December 2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines (OJ L 30, 4.2.2008, p. 38).

CHAPTER 08 03 — COOPERATION — FOOD, AGRICULTURE AND FISHERIES, AND BIOTECHNOLOGY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 03	Cooperation — Food, agriculture and fisheries, and biotechnology								
08 03 01	Cooperation — Food, agriculture and fisheries, and biotechnology	1.1	356 725 000	317 924 000	312 784 295	181 450 215	274 225 130,09	185 430 487,82	58,33 %
	Chapter 08 03 — Total		356 725 000	317 924 000	312 784 295	181 450 215	274 225 130,09	185 430 487,82	58,33 %

Article 08 03 01 — Cooperation — Food, agriculture and fisheries, and biotechnology

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
356 725 000	317 924 000	312 784 295	181 450 215	274 225 130,09	185 430 487,82

Remarks

The actions carried out in this area are intended to help establish the integrated scientific and technological bases needed to develop a European ‘knowledge-based bioeconomy’ by bringing together science, industry and other stakeholders. Three pillars support this approach: (1) sustainable production and management of biological resources from land, forest, and aquatic environments; (2) farm to fork: food, health and well being, and (3) life sciences and biotechnology for sustainable non-food products and processes. This way new and emerging research opportunities can be exploited that address social and economic challenges, such as the growing demand for environmentally and animal friendly systems of production and distribution of safer, healthier and higher quality food in line with consumer requirements and control of food-related risks, relying in particular on biotechnology tools, as well as health risks associated with environmental changes.

This appropriation is also intended to fund the development and improvement of analysis methods (e.g. residue analysis in food and feedingstuffs).

Since, under current legislation, no specific funds are earmarked for fisheries research, at least the same percentage share will be maintained for fisheries research as under the previous budget. This appropriation is further intended to fund the development and improvement of the maximum sustainable yield (MSY) concept as a policy instrument for sustainable exploitation of fisheries resources and the development of a policy concept restricting discard of by catches.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ

L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

CHAPTER 08 04 — COOPERATION — NANOSCIENCES, NANOTECHNOLOGIES, MATERIALS AND NEW PRODUCTION TECHNOLOGIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 04	Cooperation — Nanosciences, nanotechnologies, materials and new production technologies								
08 04 01	<i>Cooperation — Nanosciences, nanotechnologies, materials and new production technologies</i>	1.1	606 664 000	588 708 000	501 040 344	362 900 430	461 174 640,37	409 974 303,22	69,64 %
08 04 02	<i>Cooperation — Nanosciences, nanotechnologies, materials and new production technologies — Fuel Cells and Hydrogen Joint Undertaking</i>	1.1	8 792 000	12 691 000	9 866 000	5 766 488	10 452 998,00	5 615 083,00	44,24 %
Chapter 08 04 — Total			615 456 000	601 399 000	510 906 344	368 666 918	471 627 638,37	415 589 386,22	69,10 %

Article 08 04 01 — Cooperation — Nanosciences, nanotechnologies, materials and new production technologies

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
606 664 000	588 708 000	501 040 344	362 900 430	461 174 640,37	409 974 303,22

Remarks

The actions carried out in this area are intended to help reach the critical mass of capacities needed to develop and exploit, in particular with a view to eco-efficiency and reducing the discharge of dangerous substances into the environment, state-of-the-art technologies which are the basis for products, services and manufacturing procedures in years to come, based mainly on knowledge and intelligence.

Sufficient appropriations must be provided for nano research in connection with environmental and health risk assessment, since only 5 to 10 % of global nano research is devoted to this today.

Sufficient budgetary appropriations must be provided for activities promoting research and the emergence of resource-efficiency processes and practices including eco-design, reusability, recyclability and research into substitution of hazardous or critical substances.

Expenditure on meetings, conferences, workshops and high-level scientific or technological seminars of European interest organised by the Commission, the funding of studies, grants, monitoring and assessment of specific programmes, funding the IMS secretariat, high-level scientific or technological assessments and evaluations, as well as actions carried out under the previous framework programmes will also be charged.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82

thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Article 08 04 02 — Cooperation — Nanosciences, nanotechnologies, materials and new production technologies — Fuel Cells and Hydrogen Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 792 000	12 691 000	9 866 000	5 766 488	10 452 998,00	5 615 083,00

Remarks

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the 'Energy', 'Nano-sciences, Nano-technologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including Aeronautics)' themes of the specific 'Cooperation' programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; and aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

CHAPTER 08 05 — COOPERATION — ENERGY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 05 08 05 01	Cooperation — Energy Cooperation — Energy	1.1	201 580 000	154 894 000	178 319 521	112 930 985	104 567 761,87	84 154 973,09	54,33 %
08 05 02	Cooperation — Energy — Fuel Cells and Hydrogen Joint Undertaking	1.1	15 006 000	13 345 000	10 374 000	30 756 719	55 489 960,00	32 871 634,00	246,32 %
08 05 03	Support expenditure for the Fuel Cells and Hydrogen Joint Undertaking	1.1	1 239 000	1 239 000	1 239 000	1 124 084	1 073 889,00	1 081 204,80	87,26 %
Chapter 08 05 — Total			217 825 000	169 478 000	189 932 521	144 811 788	161 131 610,87	118 107 811,89	69,69 %

Article 08 05 01 — Cooperation — Energy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
201 580 000	154 894 000	178 319 521	112 930 985	104 567 761,87	84 154 973,09

Remarks

Efforts will focus on the following areas:

Renewable electricity generation

Technologies to increase overall conversion efficiency, driving down the cost of electricity production from indigenous renewable energy sources, and demonstration of technologies adapted to different regional conditions.

Renewable fuel production

Integrated conversion technologies to develop and drive down the unit cost of solid, liquid and gaseous fuels produced from renewable energy sources, aimed at the cost-effective production and use of carbon-neutral fuels, in particular liquid biofuels for transport.

CO₂ capture and storage technologies for near zero emission power generation

To drastically reduce the environmental impact of fossil fuel consumption in order to develop highly efficient near zero emission electricity production plants, based on CO₂ capture and on storage technologies.

Clean coal technologies

To substantially improve the efficiency of plants, reliability and costs through developing and demonstrating clean coal conversion technologies. This includes also further action in developing and implementing efficient technologies on wood waste burning as production method of bio-coal as self-sustainable energy generation.

Smart energy networks

To increase the efficiency, safety and reliability of the European electricity networks, and their capability to manage the energy flows generated by the markets. Long-term planning of the development of the pan-European electricity network within the framework of the work of the European electricity grid initiative. To remove obstacles to the large-scale deployment and effective integration of distributed and renewable energy sources.

Energy efficiency and savings

New concepts and technologies to improve energy efficiency and savings for buildings, services and industry. This includes the integration of strategies and technologies for energy efficiency, the use of new and renewable energy technologies and energy demand management.

Recognising their important contribution to future sustainable energy systems, renewables and end-use energy efficiency will be the major part of this theme over the period 2007-2013 and will represent at least two-thirds of it from 2012 onwards. Focus will be placed on activities best supporting the development of the SET-Plan initiatives within the framework of the Technology Roadmaps.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Part of this appropriation is intended to foster common approaches to key global challenges, such as energy security and resource scarcity, by pooling resources and fostering the exchange of best practices for the advancement of research and development in the field of energy. Measures will aim at improving the efficacy of actions by the international community and will be complementary to existing mechanisms. The appropriations will be used to fund innovative ventures between European and third countries that are beyond the scope of a single country to undertake and beyond the scope of current tools available under existing legal instruments. In implementing this action the Commission will ensure a balanced distribution of subsidies. It will help actors at a global level to engage in research partnerships in order to foster innovation in the field of secure, clean and efficient energy.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Article 08 05 02 — Cooperation — Energy — Fuel Cells and Hydrogen Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 006 000	13 345 000	10 374 000	30 756 719	55 489 960,00	32 871 634,00

Remarks

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the 'Energy', 'Nano-sciences, Nano-technologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including Aeronautics)' themes of the specific 'Cooperation' programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

Article 08 05 03 — Support expenditure for the Fuel Cells and Hydrogen Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 239 000	1 239 000	1 239 000	1 124 084	1 073 889,00	1 081 204,80

Remarks

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the 'Energy', 'Nano-sciences, Nano-technologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including Aeronautics)' themes of the specific 'Cooperation' programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, particularly by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

CHAPTER 08 06 — COOPERATION — ENVIRONMENT (INCLUDING CLIMATE CHANGE)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 06	Cooperation — Environment (including climate change)								
08 06 01	Cooperation — Environment (including climate change)	1.1	332 635 000	266 288 000	280 840 359	211 873 065	254 457 516,23	267 143 697,11	100,32 %
08 06 02	Cooperation — Environment — Fuel Cells and Hydrogen Joint Undertaking	1.1	3 951 000	5 703 000	4 433 000	2 153 814	4 018 415,00	1 988 675,00	34,87 %
	Chapter 08 06 — Total		336 586 000	271 991 000	285 273 359	214 026 879	258 475 931,23	269 132 372,11	98,95 %

Article 08 06 01 — Cooperation — Environment (including climate change)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
332 635 000	266 288 000	280 840 359	211 873 065	254 457 516,23	267 143 697,11

Remarks

Environmental research within the Seventh Framework Programme will be implemented through the ‘Environment (including climate change)’ theme. The objective is to promote sustainable management of the natural and human environment and its resources by advancing our knowledge of the interactions between the biosphere, ecosystems and human activities, and developing new technologies, tools and services, in order to address in an integrated way global environmental issues. Emphasis will be put on prediction of climate, ecological, earth and ocean systems changes and on tools and technologies, for monitoring, prevention and mitigation of environmental pressures and risks including on human health, and for the sustainability of the natural and man-made environment.

Research on this theme will contribute to the implementation of international commitments and initiatives such as Global Earth Observation (GEO). In addition, it will support the research needs arising from the existing and future Union legislation and policies, associated thematic strategies and the action plans on environmental technologies and on environment and health. Research will also contribute technological developments that will improve the market positioning of European enterprises, in particular of small and medium-sized enterprises, in areas such as environmental technologies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Article 08 06 02 — Cooperation — Environment — Fuel Cells and Hydrogen Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 951 000	5 703 000	4 433 000	2 153 814	4 018 415,00	1 988 675,00

Remarks

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013), and in particular the ‘Energy’, ‘Nano-sciences, Nano-technologies, Materials and new Production Technologies’, ‘Environment (including climate change)’, and ‘Transport (including Aeronautics)’ themes of the specific ‘Cooperation’ programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

CHAPTER 08 07 — COOPERATION — TRANSPORT (INCLUDING AERONAUTICS)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 07	Cooperation — Transport (including aeronautics)								
08 07 01	Cooperation — Transport (including aeronautics)	1.1	311 890 000	328 340 000	323 818 270	289 945 649	261 570 437,20	276 720 957,43	84,28 %
08 07 02	Cooperation — Transport — Clean Sky Joint Undertaking	1.1	226 514 477	133 151 243	137 460 000	131 555 035	153 560 786,00	114 423 095,00	85,93 %
08 07 03	Cooperation — Transport — Support expenditure for Clean Sky Joint Undertaking	1.1	2 888 523	2 889 000	2 540 000	2 304 418	2 718 031,68	2 585 807,36	89,51 %
08 07 04	Cooperation — Transport — Fuel Cells and Hydrogen (FCH) Joint Undertaking	1.1	17 526 000	25 298 000	19 666 000	7 129 179	15 060 098,00	2 807 542,00	11,10 %

	Chapter 08 07 — Total	558 819 000	489 678 243	483 484 270	430 934 281	432 909 352,88	396 537 401,79	80,98 %
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Article 08 07 01 — Cooperation — Transport (including aeronautics)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
311 890 000	328 340 000	323 818 270	289 945 649	261 570 437,20	276 720 957,43

Remarks

For the Seventh Framework Programme for the period 2007 to 2013, an integrated approach has been proposed which links all transport modes (aeronautics, road, rail, waterborne), addresses the socio-economic and technological dimensions of research and knowledge development, and encapsulates both innovation and the policy framework. It is in line with the White Paper on transport and the updated versions of the strategic research agendas of the four technology platforms in the field of transport. Based on technological advances, the general objective is to develop integrated, 'greener', 'smarter' and safer pan-European transport systems for the benefit of citizens and society, respecting the environment and natural resources and securing and further developing the competitiveness and the leading role attained by the European industries in the global market.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Article 08 07 02 — Cooperation — Transport — Clean Sky Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
226 514 477	133 151 243	137 460 000	131 555 035	153 560 786,00	114 423 095,00

Remarks

The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme, and in particular the 'Transport (including Aeronautics)' theme of the specific 'Cooperation' programme.

The objectives of the Clean Sky Joint Undertaking shall be the following:

- accelerating in the Union the development, validation and demonstration of clean air transport technologies for the earliest possible deployment,
- ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of air transport,

- creating a radically innovative air transport system based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts,
- accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 71/2008 of 20 December 2007 setting up the Clean Sky Joint Undertaking (OJ L 30, 4.2.2008, p. 1).

Article 08 07 03 — Cooperation — Transport — Support expenditure for Clean Sky Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 888 523	2 889 000	2 540 000	2 304 418	2 718 031,68	2 585 807,36

Remarks

The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the ‘Transport (including Aeronautics)’ theme of the specific ‘Cooperation’ programme.

The objectives of the Clean Sky Joint Undertaking shall be the following:

- accelerating in the Union the development, validation and demonstration of clean air transport technologies for earliest possible deployment,
- ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of air transport,
- creating a radically innovative air transport system based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts,
- accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ

L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 71/2008 of 20 December 2007 setting up the Clean Sky Joint Undertaking (OJ L 30, 4.2.2008, p. 1).

Article 08 07 04 — Cooperation — Transport — Fuel Cells and Hydrogen (FCH) Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 526 000	25 298 000	19 666 000	7 129 179	15 060 098,00	2 807 542,00

Remarks

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the 'Energy', 'Nano-sciences, Nano-technologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including Aeronautics)' themes of the specific 'Cooperation' programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

CHAPTER 08 08 — COOPERATION — SOCIOECONOMIC SCIENCES AND THE HUMANITIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 08	Cooperation — Socioeconomic sciences and the humanities								
08 08 01	Cooperation — Socioeconomic sciences and the humanities	1.1	112 181 000	67 960 000	92 395 240	54 274 481	86 360 644,74	49 364 837,18	72,64 %
	Chapter 08 08 — Total		112 181 000	67 960 000	92 395 240	54 274 481	86 360 644,74	49 364 837,18	72,64 %

Article 08 08 01 — Cooperation — Socioeconomic sciences and the humanities

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
112 181 000	67 960 000	92 395 240	54 274 481	86 360 644,74	49 364 837,18

Remarks

The aim of actions carried out in this area is to mobilise, as part of a coherent effort, the wealth and diversity of research capacities in the economic, political, historical, social and human sciences that are necessary to understand and manage issues relating to the emergence of a knowledge-based society and new forms of interpersonal relations and relations between individuals and institutions.

Part of the actions should analyse, from a historical perspective, the legal, social, economic and political issues relating to the development of the European integration process (the constitutional cultures and the legal traditions of the Member States and the Union, the development of a European civil society, national economic policies and European economic governance, the national political cultures and Europe).

Part of the appropriations should be used to carry out research on the scope, scale and composition of volunteer work in the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

CHAPTER 08 09 — COOPERATION — RISK-SHARING FINANCE FACILITY (RSFF)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 09	Cooperation — Risk-sharing finance facility (RSFF)								
08 09 01	Cooperation — Risk-sharing finance facility (RSFF)	1.1	p.m.	p.m.	198 004 478	181 450 215	204 760 000,00	204 760 000,00	
	Chapter 08 09 — Total		p.m.	p.m.	198 004 478	181 450 215	204 760 000,00	204 760 000,00	

Article 08 09 01 — Cooperation — Risk-sharing finance facility (RSFF)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	198 004 478	181 450 215	204 760 000,00	204 760 000,00

Remarks

The objective of the risk-sharing finance facility (RSFF) is to foster private investment in research by improving access to debt financing for participants in large European research projects. RSFF will enable the European Investment Bank to expand, directly and indirectly (via its network of intermediary banks), its portfolio of loans to participants in such projects.

RSFF will contribute to the implementation of the Europe 2020 Strategy, in particular to the achievement of the 3 % investment in research objective, by helping overcome market deficiencies, increasing the total amount of funds available for research and diversifying its sources.

In line with the outcome of the mid-term review of RSFF, the Commission is encouraged to test new risk-sharing models with the EIB Group, based on a portfolio first loss piece approach, allowing more risky research and innovation intensive projects to be supported. A dedicated instrument should also be introduced within RSFF, based on the same risk-sharing approach in order to support research-intensive and innovative SMEs and small mid-caps investing in research, development and innovation. This should facilitate access to finance to a key target group.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

CHAPTER 08 10 — IDEAS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 10 08 10 01	Ideas Ideas	1.1	1 707 158 000	1 226 786 278	1 564 948 330	818 082 810	1 332 343 546,24	733 822 780,52	59,82 %
	Chapter 08 10 — Total		1 707 158 000	1 226 786 278	1 564 948 330	818 082 810	1 332 343 546,24	733 822 780,52	59,82 %

Article 08 10 01 — Ideas

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 707 158 000	1 226 786 278	1 564 948 330	818 082 810	1 332 343 546,24	733 822 780,52

Remarks

The general aim of the activities carried out as part of the specific 'Ideas' programme, through the creation of the European Research Council, is to identify the best research teams in Europe and to stimulate research at the frontiers of knowledge by funding high-risk and multi-disciplinary projects evaluated according to the sole criteria of excellence as judged by peers at European level, while encouraging in particular the creation of networks among research groups in different countries in order to promote the development of a European scientific community.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

CHAPTER 08 12 — CAPACITIES — RESEARCH INFRASTRUCTURES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 12 08 12 01	Capacities — Research infrastructures Capacities — Research infrastructures	1.1	74 663 000	134 969 000	50 228 387	126 769 285	160 066 351,28	205 691 420,00	152,40 %
	Chapter 08 12 — Total		74 663 000	134 969 000	50 228 387	126 769 285	160 066 351,28	205 691 420,00	152,40 %

Article 08 12 01 — Capacities — Research infrastructures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
74 663 000	134 969 000	50 228 387	126 769 285	160 066 351,28	205 691 420,00

Remarks

The general aim of the activities carried out under this heading is to help establish a fabric of research infrastructures at the highest level in Europe and to promote their optimum use on a European scale.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

CHAPTER 08 13 — CAPACITIES — RESEARCH FOR THE BENEFIT OF SMALL AND MEDIUM-SIZED ENTERPRISES (SMEs)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 13	Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)								
08 13 01	Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)	1.1	270 553 000	236 953 000	251 176 486	182 498 997	229 311 140,02	172 825 421,02	72,94 %
	Chapter 08 13 — Total		270 553 000	236 953 000	251 176 486	182 498 997	229 311 140,02	172 825 421,02	72,94 %

Article 08 13 01 — Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
270 553 000	236 953 000	251 176 486	182 498 997	229 311 140,02	172 825 421,02

Remarks

The objective of the specific activities in favour of European small and medium-sized enterprises, carried out in order to support European competitiveness and enterprise and innovation policy, is to help them boost their technological capacities in conventional or new fields and develop their ability to operate at European and international level. These activities will supplement research in priority thematic areas.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Article 08 16 01 — Capacities — Science in society

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
63 376 000	38 456 000	44 828 259	27 650 291	45 864 192,00	31 194 908,00

Remarks

With a view to building an effective and democratic European knowledge society, the aim of the actions carried out under this heading is to stimulate the harmonious integration of scientific and technological endeavour and associated research policies in the European social web.

Actions carried out under this heading will also support the coordination of national research policies and the monitoring and analysis of research related policies and industrial strategies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

CHAPTER 08 17 — CAPACITIES — INTERNATIONAL COOPERATION ACTIVITIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 17 08 17 01	Capacities — International cooperation activities Capacities — International cooperation activities	1.1	39 683 000	27 597 000	32 102 471	31 917 093	28 937 707,00	19 496 817,00	70,65 %
	Chapter 08 17 — Total		39 683 000	27 597 000	32 102 471	31 917 093	28 937 707,00	19 496 817,00	

Article 08 17 01 — Capacities — International cooperation activities

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
39 683 000	27 597 000	32 102 471	31 917 093	28 937 707,00	19 496 817,00

Remarks

The general objective of the international cooperation activities carried out under the ‘Capacities’ heading of the Seventh Framework Programme is to help create a strong and coherent science and technology policy of the Union by developing strategic partnerships with third countries and by addressing the specific problems of third countries as well as global ones. These activities will involve the following groups of third countries: the candidate countries, associated and industrialised countries, as well as international cooperation partner countries (Asia, Latin America, Eastern Europe and Central Asia, African, Caribbean and Pacific countries, Mediterranean partner countries and countries in the western Balkans).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Part of this appropriation is intended to foster common approaches to key global challenges, such as an ICT strategy that not only competes with rapidly emerging ICT markets, e.g. in Asia, but also sets standards for global ICT policy-making in the interest of European values, by pooling resources and fostering the exchange of best practices for the advancement of research, development and innovation in the field of ICT. Measures will aim at improving the efficacy of actions by the international community and be complementary to existing mechanisms and successful working relationships. Part of this appropriation is also intended to foster common approaches to key global challenges, such as energy security and resource scarcity, by pooling resources and fostering the exchange of best practices for the advancement of research and development in the field of energy. The appropriations will be used to fund innovative ventures between European and third countries that are beyond the scope of a single country to undertake and beyond the scope of current tools available under existing legal instruments. In implementing this action the Commission will ensure a balanced distribution of subsidies. It will help actors at a global level to engage in research partnerships in order to foster innovation in the field of ICT, prepare the Union and its partners for a leading role in setting future ICT standards and foster innovation in the field of secure, clean and efficient energy.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme ‘Capacities’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

CHAPTER 08 18 — CAPACITIES — RISK-SHARING FINANCE FACILITY (RSFF)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
08 18	Capacities — Risk-sharing finance facility (RSFF)								
08 18 01	Capacities — Risk-sharing finance facility (RSFF)	1.1	50 000 000	50 000 000	p.m.	p.m.	51 190 000,00	51 190 000,00	102,38 %
	Chapter 08 18 — Total		50 000 000	50 000 000	p.m.	p.m.	51 190 000,00	51 190 000,00	102,38 %

Article 08 18 01 — Capacities — Risk-sharing finance facility (RSFF)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
50 000 000	50 000 000	p.m.	p.m.	51 190 000,00	51 190 000,00

Remarks

The objective of the risk-sharing finance facility (RSFF) is to foster private investment in research by improving access to debt financing for participants in European research infrastructures. RSFF will enable the European Investment Bank to expand, directly and indirectly (via its network of intermediary banks), its portfolio of loans to participants in such infrastructures.

RSFF will contribute to the implementation of the Europe 2020 Strategy, in particular to the achievement of the 3 % investment in research objective, by helping overcome market deficiencies, increasing the total amount of funds available for research and diversifying its sources.

In line with the outcome of the mid-term review of the RSFF, the Commission is encouraged to test new risk-sharing models with the EIB Group, based on a portfolio first-loss piece approach, facilitating access to debt financing for strategic Union research infrastructure

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

CHAPTER 08 19 — CAPACITIES — SUPPORT FOR COHERENT DEVELOPMENT OF RESEARCH POLICIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payment s 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
08 19	Capacities — Support for coherent development of research policies								
08 19 01	Capacities — Support for coherent development of research policies	1.1	13 411 000	9 850 000	13 101 602	9 434 504	10 037 335,00	5 762 666,99	58,50 %
	Chapter 08 19 — Total		13 411 000	9 850 000	13 101 602	9 434 504	10 037 335,00	5 762 666,99	58,50 %

Article 08 19 01 — Capacities — Support for coherent development of research policies

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
13 411 000	9 850 000	13 101 602	9 434 504	10 037 335,00	5 762 666,99

Remarks

Increasing investment in research and development up to the 3 % objective and improving its effectiveness is a top priority under the Europe 2020 Strategy. Thus, the development of a coherent mix of policies to leverage public and private research investments is a major concern for public authorities. Actions under this heading will support the development of effective and coherent research policies at regional, national and Union level, through the provision of structured information, indicators and analyses, and through actions aimed at coordinating research policies, in particular application of the open method of coordination to research policy.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

CHAPTER 08 20 — EURATOM — FUSION ENERGY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 20	Euratom — Fusion energy								
08 20 01	Euratom — Fusion energy	1.1	71 845 000	84 374 000	61 374 000	59 610 025	44 330 000,00	44 680 805,68	52,96 %
08 20 02	Euratom — European Joint Undertaking for ITER — Fusion for Energy (F4E)	1.1	865 510 000	500 610 000	1 067 900 000	312 239 530	351 760 000,00	190 435 799,00	38,04 %
	Chapter 08 20 — Total		937 355 000	584 984 000	1 129 274 000	371 849 555	396 090 000,00	235 116 604,68	40,19 %

Article 08 20 01 — Euratom — Fusion energy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
71 845 000	84 374 000	61 374 000	59 610 025	44 330 000,00	44 680 805,68

Remarks

Fusion offers the prospect of an almost limitless supply of clean energy, with ITER being the crucial next step in the progress towards this ultimate goal. The realisation of the ITER project therefore lies at the heart of the present Union strategy. It must be accompanied by a strong and focused European research and development programme to prepare for the exploitation of ITER and to develop the technologies and knowledge base that will be needed during ITER operation and beyond.

Legal basis

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

Article 08 20 02 — Euratom — European Joint Undertaking for ITER — Fusion for Energy (F4E)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
865 510 000	500 610 000	1 067 900 000	312 239 530	351 760 000,00	190 435 799,00

Remarks

Fusion offers the prospect of an almost limitless supply of clean energy, with ITER being the crucial next step in the progress towards this ultimate goal. To this end, the European Organisation for ITER and the Development of Fusion Energy, in the form of a Joint Undertaking was established. This European Joint Undertaking for ITER and the Development of Fusion Energy (Fusion for Energy) has the following tasks:

- (a) to provide the contribution of Euratom to the ITER International Fusion Energy Organization;
- (b) to provide the contribution of Euratom to broader approach activities with Japan for the rapid realisation of fusion energy;
- (c) to implement a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities including the International Fusion Materials Irradiation Facility (IFMIF).

Legal basis

Council Decision of 25 September 2006 concerning the conclusion, by the Commission, of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project, of the Arrangement on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation on the ITER Project and of the Agreement on the Privileges and Immunities of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project.

Commission Decision 2006/943/Euratom of 17 November 2006 on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project and of the Agreement on Privileges and Immunities of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project (OJ L 358, 16.12.2006, p. 60).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

CHAPTER 08 21 — EURATOM — NUCLEAR FISSION AND RADIATION PROTECTION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 21	Euratom — Nuclear fission and radiation protection								
08 21 01	Euratom — Nuclear fission and radiation protection	1.1	55 839 000	57 000 000	54 105 000	49 898 809	52 000 000,00	35 780 564,00	62,77 %
	Chapter 08 21 — Total		55 839 000	57 000 000	54 105 000	49 898 809	52 000 000,00	35 780 564,00	62,77 %

Article 08 21 01 — Euratom — Nuclear fission and radiation protection

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
55 839 000	57 000 000	54 105 000	49 898 809	52 000 000,00	35 780 564,00

Remarks

The objective of this action is to establish a sound scientific and technical basis in order to accelerate practical developments for the safer management of long-lived radioactive waste, to promote safer, more resource-efficient and competitive exploitation of nuclear energy and to ensure a robust and socially acceptable system of protection of man and the environment against the effects of ionising radiation.

Legal basis

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings,

research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

CHAPTER 08 22 — COMPLETION OF PREVIOUS FRAMEWORK PROGRAMMES AND OTHER ACTIVITIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 22	Completion of previous framework programmes and other activities								
08 22 01	Completion of programmes (prior to 1999)	1.1	—	—	—	—	0,—	0,—	
08 22 02	Completion of the fifth framework programme (1998 to 2002)								
08 22 02 01	Completion of the fifth EC framework programme (1998 to 2002)	1.1	—	—	—	—	145 091,43	809 398,11	
08 22 02 02	Completion of the fifth Euratom framework programme (1998 to 2002)	1.1	—	—	—	—	0,—	28 456,00	
	<i>Article 08 22 02 — Subtotal</i>		—	—	—	—	145 091,43	837 854,11	
08 22 03	Completion of the sixth framework programme (2003 to 2006)								
08 22 03 01	Completion of the sixth EC framework programme (2003 to 2006)	1.1	—	25 253 000	—	108 870 129	810 136,85	306 357 331,62	1213,15 %
08 22 03 02	Completion of the sixth Euratom framework programme (2003 to 2006)	1.1	—	1 801 000	—	4 989 881	87 868,77	9 521 790,00	528,69 %
	<i>Article 08 22 03 — Subtotal</i>		—	27 054 000	—	113 860 010	898 005,62	315 879 121,62	1167,59 %
08 22 04	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development								
		1.1	p.m.	p.m.	p.m.	p.m.	207 592 334,03	201 226 711,85	
	Chapter 08 22 — Total		p.m.	27 054 000	p.m.	113 860 010	208 635 431,08	517 943 687,58	1914,48 %

Article 08 22 01 — Completion of programmes (prior to 1999)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

This article is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

This article is also intended to cover other annual actions outside the framework programme.

Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Council Decision 94/268/Euratom of 26 April 1994 concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998) (OJ L 115, 6.5.1994, p. 31).

Council Decision 96/253/Euratom of 4 March 1996 adapting Decision 94/268/Euratom concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998), following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 72).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Article 08 22 02 — Completion of the fifth framework programme (1998 to 2002)

Item 08 22 02 01 — Completion of the fifth EC framework programme (1998 to 2002)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	145 091,43	809 398,11

Remarks

This item is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82

thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Item 08 22 02 02 — Completion of the fifth Euratom framework programme (1998 to 2002)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	28 456,00

Remarks

This item is intended to cover earlier commitments.

Legal basis

Council Decision 1999/64/Euratom of 22 December 1998 concerning the Fifth Framework Programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Article 08 22 03 — Completion of the sixth framework programme (2003 to 2006)

Item 08 22 03 01 — Completion of the sixth EC framework programme (2003 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	25 253 000	—	108 870 129	810 136,85	306 357 331,62

Remarks

This appropriation is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'structuring the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 44).

Decision No 1209/2003/EC of the European Parliament and of the Council of 16 June 2003 on Community participation in a research and development programme aimed at developing new clinical interventions to combat HIV/AIDS, malaria and tuberculosis through a long-term partnership between Europe and developing countries, undertaken by several Member States (OJ L 169, 8.7.2003, p. 1).

Item 08 22 03 02 — Completion of the sixth Euratom framework programme (2003 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 801 000	—	4 989 881	87 868,77	9 521 790,00

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Council Decision 2002/668/Euratom of 3 June 2002 concerning the sixth framework programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities, also contributing to the creation of the European Research Area (2002 to 2006) (OJ L 232, 29.8.2002, p. 34).

Council Decision 2002/837/Euratom of 30 September 2002 adopting a specific programme (Euratom) for research and training on nuclear energy (2002-2006) (OJ L 294, 29.10.2002, p. 74).

Article 08 22 04 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	207 592 334,03	201 226 711,85

Remarks

This article is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

CHAPTER 08 23 — RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 23	Research programme of the research fund for coal and steel								
08 23 01	Research programme for steel	1.1	p.m.	p.m.	p.m.	p.m.	45 104 419,47	38 438 312,11	
08 23 02	Research programme for coal	1.1	p.m.	p.m.	p.m.	p.m.	16 093 214,53	12 561 313,00	
	Chapter 08 23 — Total		p.m.	p.m.	p.m.	p.m.	61 197 634,00	50 999 625,11	

Remarks

Article 08 23 01 — Research programme for steel

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	45 104 419,47	38 438 312,11

Remarks

This article, in the framework of the Research Fund for Coal and Steel, is intended to cover the financing of steel research projects which are not covered by the framework programme for research and technological development activities.

The appropriations for 2012 will be determined according to the outturn for the ECSC in liquidation on 31 December 2010 and will be provisioned from the balance sheet for the ECSC in liquidation on 31 December 2010 (assigned revenue). In accordance with Article 4 of Decision 2003/76/EC, 72,8 % of the amount allotted from the Fund will go to the steel sector.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Item 6 1 1 3 of the statement of revenue will give rise to the provision of additional appropriations.

Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

Council Decision 2003/77/EC of 1 February 2003 laying down multiannual financial guidelines for managing the assets of the ECSC in liquidation and, on completion of the liquidation, the Assets of the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 25).

Article 08 23 02 — Research programme for coal

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	16 093 214,53	12 561 313,00

Remarks

This article, in the framework of the Research Fund for Coal and Steel, is intended to cover the financing of coal research projects which are not covered by the framework programme for research and technological development activities.

The appropriations for 2012 will be determined according to the outturn for the ECSC in liquidation on 31 December 2010 and will be provisioned from the balance sheet for the ECSC in liquidation on 31 December 2010 (assigned revenue). In accordance with Article 4 of Decision 2003/76/EC, 27,2 % of the amount allotted from the Fund will go to the coal sector.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Item 6 1 1 3 of the statement of revenue will give rise to the provision of additional appropriations.

Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

Council Decision 2003/77/EC of 1 February 2003 laying down multiannual financial guidelines for managing the assets of the ECSC in liquidation and, on completion of the liquidation, the Assets of the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 25).

TITLE 09 — INFORMATION SOCIETY AND MEDIA

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 01	Administrative expenditure of the 'Information society and media' policy area 40 01 40		128 064 204	128 064 204	127 943 271 24 695 127 967 966	127 943 271 24 695 127 967 966	130 507 307,38	130 507 307,38
09 02	Regulatory framework for the Digital Agenda 40 02 41		17 637 969 391 985 18 029 954	27 382 969 391 985 27 774 954	30 200 070 391 985 30 592 055	28 279 731 391 985 28 671 716	28 512 466,43	22 646 762,44
09 03	information and communication technologies take-up	1	144 265 000	131 384 016	132 850 000	118 848 984	125 326 164,64	117 070 858,07
09 04	Cooperation — information and communication technologies (ICTs)	1	1 477 769 000	1 237 136 256	1 354 972 225	1 026 806 757	1 259 004 372,87	1 123 509 307,61
09 05	Capacities — Research infrastructures	1	37 403 000	54 580 897	31 349 262	54 435 064	93 135 086,00	93 547 404,00
	Title 09 — Total		1 805 139 173	1 578 548 342	1 677 314 828	1 356 313 807	1 636 485 397,32	1 487 281 639,50
	40 01 40, 40 02 41 Total including reserves		391 985 1 805 531 158	391 985 1 578 940 327	416 680 1 677 731 508	416 680 1 356 730 487		

CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INFORMATION SOCIETY AND MEDIA’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
09 01	Administrative expenditure of the ‘Information society and media’ policy area					
09 01 01	Expenditure related to staff in active employment in the ‘Information society and media’ policy area	5	40 276 463	39 970 823	39 951 059,85	99,19 %
09 01 02	External staff and other management expenditure in support of the ‘Information society and media’ policy area					
09 01 02 01	External staff	5	2 349 798	2 264 044	2 487 696,00	105,87 %
09 01 02 11	Other management expenditure	5	1 684 783	1 856 338	1 905 980,00	113,13 %
	40 01 40			24 695 1 881 033		
	<i>Article 09 01 02 — Subtotal</i>		4 034 581	4 120 382	4 393 676,00	108,90 %
	40 01 40			24 695 4 145 077		
09 01 03	Expenditure related to information and communication technology equipment and services of the ‘Information society and media’ policy area	5	2 503 160	2 552 066	3 007 348,48	120,14 %
09 01 04	Support expenditure for operations of the ‘Information society and media’ policy area					
09 01 04 01	Definition and implementation of the Union’s policy in the field of electronic communication — Expenditure on administrative management	1.1	690 000	690 000	666 354,04	96,57 %
09 01 04 03	Competitiveness and Innovation Framework Programme — Information and Communication Technologies policy support — Expenditure on administrative management	1.1	1 480 000	1 480 000	1 511 095,82	102,10 %
09 01 04 04	Safer Internet programme — Expenditure on administrative management	1.1	150 000	200 000	110 407,55	73,61 %
09 01 04 06	Other measures in the audiovisual and media sector — Expenditure on administrative management	3.2	p.m.	p.m.	48 065,78	
	<i>Article 09 01 04 — Subtotal</i>		2 320 000	2 370 000	2 335 923,19	100,69 %
09 01 05	Support expenditure for research activities of the ‘Information society and media’ policy area					
09 01 05 01	Expenditure related to research staff	1.1	48 600 000	48 100 000	48 854 791,80	100,52 %
09 01 05 02	External staff for research	1.1	12 875 000	12 875 000	11 869 448,58	92,19 %
09 01 05 03	Other management expenditure for research	1.1	17 455 000	17 955 000	20 095 059,48	115,12 %
	<i>Article 09 01 05 — Subtotal</i>		78 930 000	78 930 000	80 819 299,86	102,39 %
	Chapter 09 01 — Total		128 064 204	127 943 271	130 507 307,38	101,91 %
	40 01 40			24 695 127 967 966		
	Total including reserves					

Article 09 01 01 — Expenditure related to staff in active employment in the ‘Information society and media’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
40 276 463	39 970 823	39 951 059,85

Article 09 01 02 — External staff and other management expenditure in support of the ‘Information society and media’ policy area

Item 09 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 349 798	2 264 044	2 487 696,00

Item 09 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
09 01 02 11	1 684 783	1 856 338	1 905 980,00
40 01 40		24 695	
Total	1 684 783	1 881 033	1 905 980,00

Article 09 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Information society and media’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 503 160	2 552 066	3 007 348,48

Article 09 01 04 — Support expenditure for operations of the ‘Information society and media’ policy area

Item 09 01 04 01 — Definition and implementation of the Union’s policy in the field of electronic communication — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
690 000	690 000	666 354,04

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the policy or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 09 02 01.

Item 09 01 04 03 — Competitiveness and Innovation Framework Programme — Information and Communication Technologies policy support — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 480 000	1 480 000	1 511 095,82

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

Legal basis

See Articles 09 03 01 and 09 03 02.

Item 09 01 04 04 — Safer Internet programme — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
150 000	200 000	110 407,55

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Items 09 02 02 01 and 09 02 02 02.

09 02 02 01	Safer Internet programme	1.1	2 700 000	12 700 000	14 700 000	13 294 857	14 753 861,13	6 161 769,79	48,52 %
09 02 02 02	Completion of Safer Internet plus — Promoting safer use of the Internet and new online technologies	1.1	—	p.m.	—	75 302	0,—	2 739 239,24	
	<i>Article 09 02 02 — Subtotal</i>		2 700 000	12 700 000	14 700 000	13 370 159	14 753 861,13	8 901 009,03	70,09 %
09 02 03	European Network and Information Security Agency								
09 02 03 01	European Network and Information Security Agency — Contribution to Titles 1 and 2	1.1	5 434 458	5 434 458	5 502 248	5 502 248	5 661 263,96	5 661 263,96	104,17 %
	40 02 41		<u>391 985</u> <u>5 826 443</u>	<u>391 985</u> <u>5 826 443</u>	<u>391 985</u> <u>5 894 233</u>	<u>391 985</u> <u>5 894 233</u>			
09 02 03 02	European Network and Information Security Agency — Contribution to Title 3	1.1	2 379 815	2 379 815	2 349 885	2 349 885	2 441 656,54	2 441 656,54	102,60 %
	<i>Article 09 02 03 — Subtotal</i>		7 814 273	7 814 273	7 852 133	7 852 133	8 102 920,50	8 102 920,50	103,69 %
	40 02 41		<u>391 985</u> <u>8 206 258</u>	<u>391 985</u> <u>8 206 258</u>	<u>391 985</u> <u>8 244 118</u>	<u>391 985</u> <u>8 244 118</u>			
09 02 04	Body of European Regulators for Electronic Communications (BEREC) — Office								
09 02 04 01	Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Titles 1 and 2	1.1	3 165 705	3 165 705	3 620 881	3 620 881	1 712 023,44	1 727 605,86	54,57 %
09 02 04 02	Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Title 3	1.1	602 991	602 991	672 056	672 056	150 000,00	150 000,00	24,88 %
	<i>Article 09 02 04 — Subtotal</i>		3 768 696	3 768 696	4 292 937	4 292 937	1 862 023,44	1 877 605,86	49,82 %
09 02 05	Other measures in the audiovisual and media sector	3.2	950 000	1 000 000	950 000	950 000	945 958,80	1 478 509,00	147,85 %
09 02 06	Preparatory action — Erasmus for Journalists	3.2	—	p.m.	p.m.	p.m.	599 475,33	340 067,58	
	Chapter 09 02 — Total		17 637 969	27 382 969	30 200 070	28 279 731	28 512 466,43	22 646 762,44	82,70 %
	40 02 41		<u>391 985</u>	<u>391 985</u>	<u>391 985</u>	<u>391 985</u>			
	Total including reserves		18 029 954	27 774 954	30 592 055	28 671 716			

Article 09 02 01 — Definition and implementation of the Union's policy in the field of electronic communication

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 405 000	2 100 000	2 405 000	1 814 502	2 248 227,23	1 946 650,47

Remarks

This appropriation is intended to cover expenditure on a set of measures designed to:

- carry out the Union's policy on electronic communication networks and services with a view to launching initiatives designed to meet the challenges in this sector;
- promote and monitor the implementation of the regulatory framework for communication services (including the mechanism provided for in Article 7 of Directive 2002/21/EC of the European Parliament and of the Council of 7 March 2002 on a common regulatory framework for electronic communications networks and services (Framework Directive) (OJ L 108, 24.4.2002, p. 33);
- facilitate the transition to the information society, in relation with electronic communications networks and services, particularly as a follow-up to the Lisbon summit;
- enable third countries to pursue a policy of opening up their markets to the same extent as in the Union.

The specific objectives of these measures are:

- the formulation of a Union policy and strategy in the field of communication services and networks (including convergence between electronic communications and audiovisual environments, aspects related to the Internet, etc.);

- the development of radio spectrum policy in the Union;
- the development of activities in the mobile and satellite communications sector, particularly as regards frequencies;
- an analysis of the situation and the legislation adopted in these areas;
- the coordination of these policies and initiatives as regards the international environment (e.g. WRC, CEPT, etc.);
- the development of activities and initiatives in relation to the information society.

These measures consist, inter alia, of preparing analyses and progress reports, consulting stakeholders and the public, preparing legislative proposals and monitoring the application of legislation, and translations of notifications and consultations under Article 7 of the Framework Directive for electronic communications.

This appropriation is intended to cover, in particular, contracts for analysis and expert reports, specific studies, evaluation reports, coordination activities, grants and the part-financing of certain measures.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level pursuant to Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 09 02 02 — Promoting safer use of the Internet and new online technologies

Item 09 02 02 01 — Safer Internet programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 700 000	12 700 000	14 700 000	13 294 857	14 753 861,13	6 161 769,79

Remarks

This action is geared, in a balanced manner, to the implementation of operational and technical measures designed to promote safer use of the Internet and other communication technologies, particularly for children, and to fight against illegal content and harmful conduct online. In line with this objective, the programme will focus on practical help for the end user and in particular children, parents, carers, teachers and educators.

The specific objectives of this programme are:

- tackling illegal content and harmful conduct online by supporting actions aiming at reducing the amount of illegal content circulated online and dealing adequately with harmful conduct online, with particular focus on online distribution of child sexual abuse material, grooming and bullying;
- promoting a safer online environment by bringing together stakeholders to find ways to promote a safer online environment and to protect children from content and conduct that may be harmful for them. The aim is to encourage stakeholders to cooperate, take responsibility and develop and implement systems of self-regulation and foster privacy-by-design;
- ensuring public awareness by providing contact points where the public and in particular children, parents, carers, teachers and educators can be informed about opportunities and risks related to the use of online technologies and means of staying safe online;
- establishing a knowledge base in order to set up and continue to develop a base for dealing adequately both with existing and emerging uses, risks and consequences, and mapping both quantitative and qualitative aspects in this context. The acquired knowledge will feed into the implementation of the proposed programme as well as into the design of adequate and proportional actions for ensuring online safety for all users.

The programme will seek to involve and bring together the different stakeholders whose cooperation is essential, but who do not necessarily always come together unless the appropriate structures are put in place.

Those stakeholders include content providers, Internet service providers, mobile network operators, regulators, standards bodies, industry self-regulatory bodies, national, regional and local authorities responsible for industry, education, consumer protection, families, law enforcement, children's rights and child welfare and non-governmental organisations active in consumer protection,

families, children's rights and child welfare.

These measures will be implemented by means of the following shared-cost actions:

- pilot projects and best practice actions; ad-hoc projects in areas relevant to the programme, including projects demonstrating best practice or involving innovative uses of existing technology, especially for data protection purposes and the protection of fundamental rights;
- networks and national actions bringing together a variety of stakeholders to ensure action throughout Europe and to facilitate coordination activities and the transfer of knowledge;
- Europe-wide investigation carried out on a comparable basis into the way adults and children use online technologies, the resulting risks for children and the effects of harmful practices on children, and behavioural and psychological aspects with emphasis on child sexual abuse related to the use of online technologies, investigation on upcoming risk situations due to transforming behaviours or technological developments, etc.

Accompanying measures will contribute to the implementation of the programme or the preparation of future activities. Those measures will include:

- benchmarking and opinion surveys to produce reliable data on safer use of online technologies for all Member States collected through comparable methodologies;
- technical assessment of technologies such as filtering designed to promote safer use of the Internet and new online technologies;
- studies in support of the programme and its actions;
- exchange of information through conferences, seminars, workshops or other meetings and the management of clustered activities;
- dissemination, information and communication activities.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 (1)(d) of the Financial Regulation.

Part of the appropriation under this budget item will be used to support projects for analysing and spreading good practices throughout the Union and reflecting on a framework for the assessment of media literacy levels and activities. Particular attention may be given to projects which analyse media representations and media values, encourage the production and distribution of media literacy related content, stimulate the use of media in order to improve participation in social and community life and concentrate on the implementation of media literacy initiatives bridging the media industry and the education world. Beneficiaries may be organisations from the public and private sectors which have expertise and European experience in media literacy issues.

Legal basis

Decision No 1351/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing a multiannual Community programme on protecting children using the Internet and other communication technologies (OJ L 348, 24.12.2008, p. 118).

Item 09 02 02 02 — Completion of Safer Internet plus — Promoting safer use of the Internet and new online technologies

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	75 302	0,—	2 739 239,24

Remarks

This appropriation is intended to cover earlier commitments relating to the Safer Internet plus programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82

thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 854/2005/EC of the European Parliament and of the Council of 11 May 2005 establishing a multiannual Community Programme on promoting safer use of the Internet and new online technologies (OJ L 149, 11.6.2005, p. 1).

Article 09 02 03 — European Network and Information Security Agency

Item 09 02 03 01 — European Network and Information Security Agency — Contribution to Titles 1 and 2

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
09 02 03 01	5 434 458	5 434 458	5 502 248	5 502 248	5 661 263,96	5 661 263,96
40 02 41	391 985	391 985	391 985	391 985		
Total	5 826 443	5 826 443	5 894 233	5 894 233	5 661 263,96	5 661 263,96

Remarks

This appropriation is intended to cover the staff and administrative expenditure of the European Network and Information Security Agency (Titles 1 and 2).

The Agency was set up to enhance the capability of the Union, the Member States and, as a consequence, the business community to prevent, address and respond to network and information security problems. In order to achieve this goal, the Agency will be developing a high level of expertise and stimulating broad cooperation between actors from the public and private sectors.

The Agency's aim is to provide assistance and to deliver advice to the Commission and the Member States on issues related to network and information security falling within its competencies and to assist the Commission, where called upon, in the technical preparatory work for updating and developing Union legislation in the field of network and information security.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Discussions with the Greek government are on-going with regard to a possible agreement on a permanent liaison office in Athens.

Conditions for releasing the reserve

The reserve will be released once the corresponding basic act is adopted pursuant to the procedure laid down in Article 294 of the

Treaty on the Functioning of the European Union.

Legal basis

Regulation (EC) No 460/2004 of the European Parliament and of the Council of 10 March 2004 establishing the European Network and Information Security Agency (OJ L 77, 13.3.2004, p. 1).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 September 2010, concerning the European Network and Information Security Agency (ENISA) (COM(2010)0521).

Item 09 02 03 02 — European Network and Information Security Agency — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 379 815	2 379 815	2 349 885	2 349 885	2 441 656,54	2 441 656,54

Remarks

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme only (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

Pursuant to Article 185 of the Financial Regulation and the corresponding Articles of the framework Financial Regulation for each of the bodies set up by the Communities, the role of the budgetary authority has been strengthened.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union contribution for 2013 amounts to a total of EUR 8 335 553. An amount of EUR 129 295 coming from the recovery of surplus is added to the amount of EUR 8 206 258 entered in the budget.

Legal basis

Regulation (EC) No 460/2004 of the European Parliament and of the Council of 10 March 2004 establishing the European Network and Information Security Agency (OJ L 77, 13.3.2004, p. 1).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 September 2010, concerning the European Network and Information Security Agency (ENISA) (COM(2010)0521).

Article 09 02 04 — Body of European Regulators for Electronic Communications (BEREC) — Office

Item 09 02 04 01 — Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 165 705	3 165 705	3 620 881	3 620 881	1 712 023,44	1 727 605,86

Remarks

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2).

BEREC acts as a specialised and independent expert advisory body assisting the Commission and the national regulatory authorities in the implementation of the Union regulatory framework for electronic communications in order to promote a consistent regulatory approach across the Union. BEREC is neither a Union body nor has legal personality.

The Office is established as a Union body with legal personality, providing BEREC with professional and administrative support in carrying out the tasks conferred on it by Regulation (EC) No 1211/2009.

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Office is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EC) No 1211/2009 of the European Parliament and of the Council of 25 November 2009 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1).

Item 09 02 04 02 — Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
602 991	602 991	672 056	672 056	150 000,00	150 000,00

Remarks

This appropriation is intended to cover the Office's operational expenditure relating to the work programme only (Title 3).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

Pursuant to Article 185 of the Financial Regulation and the corresponding Articles of the framework Financial Regulation for each of the bodies set up by the Communities, the role of the budgetary authority has been strengthened.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive

from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union contribution for 2013 amounts to a total of EUR 4 192 879. An amount of EUR 424 183 coming from the recovery of surplus is added to the amount of EUR 3 768 696 entered in the budget.

Legal basis

Regulation (EC) No 1211/2009 of the European Parliament and of the Council of 25 November 2009 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1).

Article 09 02 05 — Other measures in the audiovisual and media sector

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
950 000	1 000 000	950 000	950 000	945 958,80	1 478 509,00

Remarks

This appropriation is intended to cover the following measures:

- the implementation of the Audiovisual Media Services Directive,
- the monitoring of the evolution of the media sector, including pluralism, and
- the collection and dissemination of economic and legal information and analysis concerning the audiovisual sector.

Legal basis

Directive 89/552/EEC of the European Parliament and of the Council of 3 October 1989 on the coordination of certain provisions laid down by law, regulation or administrative action in Member States concerning the provision of audiovisual media services (Audiovisual Media Services Directive) (OJ L 298, 17.10.1989, p. 23).

Article 09 02 06 — Preparatory action — Erasmus for Journalists

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	599 475,33	340 067,58

Remarks

This appropriation is intended to cover earlier commitments related to the preparatory action Erasmus for Journalists.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 09 03 — INFORMATION AND COMMUNICATION TECHNOLOGIES TAKE-UP

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
09 03	information and communication technologies take-up								
09 03 01	Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP)								
		1.1	144 265 000	130 000 000	132 850 000	108 870 129	125 326 164,64	93 777 071,14	72,14 %
09 03 02	Completion of eContent plus — Promotion of European digital content								
		1.1	—	1 384 016	—	8 029 172	0,—	15 431 585,36	1114,99 %
09 03 03	Preparatory action — Internet-based system for better legislation and for public participation								
		1.1	—	p.m.	—	p.m.	0,—	971 107,49	
09 03 04	Completion of previous programmes								
09 03 04 01	Completion of trans-European telecommunications networks (eTEN)	1.1	—	p.m.	—	1 949 683	0,—	6 862 584,24	
09 03 04 02	Completion of the MODINIS programme	1.1	—	p.m.	—	p.m.	0,—	28 509,84	
	<i>Article 09 03 04 — Subtotal</i>		—	p.m.	—	1 949 683	0,—	6 891 094,08	
	Chapter 09 03 — Total		144 265 000	131 384 016	132 850 000	118 848 984	125 326 164,64	117 070 858,07	89,11 %

Article 09 03 01 — Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
144 265 000	130 000 000	132 850 000	108 870 129	125 326 164,64	93 777 071,14

Remarks

The ICT PSP is one of the three specific programmes under the Competitiveness and Innovation Framework Programme (CIP).

Actions will be stepped up at Union level for stimulating wider uptake of information and communication technologies (ICTs). Synergistic actions at Union level aim to reduce uncertainties and the risks of duplication by sharing and replicating experiences and lessons learned and foster the internal market dimension of services triggered by ICTs promoting interoperability and combating fragmentation. They aim also to stimulate the regulatory and technological environments necessary to promote innovation and to overcome potential barriers (e.g. cultural, linguistic, technical, legal and disability- or 'dys' condition-related).

As indicated in the legal basis, the ICT PSP shall provide for the following actions:

- development of the single European information space and strengthening of the internal market for ICT products and services;
- stimulation of innovation through a wider adoption of and investment in ICTs;
- development of an inclusive information society and more efficient and effective services in areas of public interest, and improvement of the quality of life, particularly for persons with disabilities and persons suffering from 'dys' conditions (dyslexia, dyspraxia, dysphasia, dyscalculia, etc.).

This will be done in particular by supporting the development of ICT-based services and the development and use of digital content in areas of public interest, including in the areas of ICT for health and inclusion; ICT for government and governance; ICT for energy efficiency, smart mobility and environment; digital libraries, and publicly accessible online Books in Print catalogues, better exploitation of public sector information, education and learning.

Part of ICT PSP appropriations in the CIP may be used to ensure testing, and support deployment across the Union, of the next-

generation 112, innovative services for interoperable emergency services and 112 for all citizens, enabling quicker, more efficient reactions and life-saving, inter alia, through remodelling the traditional technical architecture for emergency calling and lowering its cost and complexity, with the ultimate aim of providing an optimum response to 112 calls made by all citizens, including users with disabilities. Furthermore, dissemination, information and education actions should promote the knowledge and appropriate use of '112'. In addition, an SMS SOS service should be developed and tested to enable people with disabilities to send requests for help to the emergency services.

The ICT PSP in the CIP will stimulate wider uptake of ICT for improved services. It will also ensure that European businesses and in particular small and medium-sized enterprises can benefit from the new opportunities brought by the increasing demand for these ICT-based services. As indicated in the CIP legal basis, the support to the development and use of digital content is fully integrated in the ICT PSP from 2009 on. During a transition phase for 2007 and 2008, this has been done under the eContent plus programme (through its own legal basis).

The main part of the support will go to a limited number of high-impact pilot projects and best practice actions per year. Accompanying measures, such as thematic networks bringing together a variety of stakeholders around specific objectives, will also be launched. They will be complemented by the monitoring of the European information society, by measures providing the background knowledge that is needed for policy decision-making, and by actions for promoting and raising awareness of the benefits brought by ICT to citizens, businesses (in particular small and medium-sized enterprises) or public organisations.

The ICT PSP in the CIP should contribute to testing the design of new financial instruments such as the Project Bond Initiative in the area of ICT, and in particular high-speed broadband. It should contribute to the preparation of new financial instruments for the forthcoming multiannual financial framework 2014-2020. It should in particular, test how private and public long-term investors can invest in favour of the deployment of ICT broadband infrastructure. The Commission is invited to work with the EIB Group as well as other long-term investors to develop innovative ways of attracting funding for infrastructure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties, entered in Item 6 0 3 3 of the statement of revenue, will give rise to the provision of additional appropriations to be entered in this item in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Article 09 03 02 — Completion of eContent plus — Promotion of European digital content

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 384 016	—	8 029 172	0,—	15 431 585,36

Remarks

This appropriation is intended to cover earlier commitments relating to the eContent plus programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for

participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 96/339/EC of 20 May 1996 adopting a multiannual Community programme to stimulate the development of a European multimedia content industry and to encourage the use of multimedia content in the emerging information society (INFO 2000) (OJ L 129, 30.5.1996, p. 24).

Council Decision 96/664/EC of 21 November 1996 on the adoption of a multiannual programme to promote the linguistic diversity of the Community in the information society (OJ L 306, 28.11.1996, p. 40).

Council Decision 2001/48/EC of 22 December 2000 adopting a multiannual Community programme to stimulate the development and use of European digital content on the global networks and to promote linguistic diversity in the information society (OJ L 14, 18.1.2001, p. 32).

Decision No 456/2005/EC of the European Parliament and of the Council of 9 March 2005 establishing a multiannual Community programme to make digital content in Europe more accessible, usable and exploitable (OJ L 79, 24.3.2005, p. 1).

Article 09 03 03 — Preparatory action — Internet-based system for better legislation and for public participation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	971 107,49

Remarks

This Article is intended to cover earlier commitments relating to the creation of an Internet-based system for better legislation and for public participation.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 09 03 04 — Completion of previous programmes

Item 09 03 04 01 — Completion of trans-European telecommunications networks (eTEN)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	1 949 683	0,—	6 862 584,24

Remarks

This appropriation is intended to cover earlier commitments related to networks in the telecommunications sectors.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 2717/95/EC of the European Parliament and of the Council of 9 November 1995 on a set of guidelines for the development of the EURO-ISDN (Integrated Services Digital Network) as a trans-European network (OJ L 282, 24.11.1995, p. 16).

Decision No 1336/97/EC of the European Parliament and of the Council of 17 June 1997 on a series of guidelines for trans-European telecommunications networks (OJ L 183, 11.7.1997, p. 12).

Item 09 03 04 02 — Completion of the MODINIS programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	28 509,84

Remarks

This item is intended to cover earlier commitments relating to the MODINIS multiannual programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 98/253/EC of 30 March 1998 adopting a multiannual Community programme to stimulate the establishment of the Information Society in Europe (Information Society) (OJ L 107, 7.4.1998, p. 10).

Decision No 2256/2003/EC of the European Parliament and of the Council of 17 November 2003 adopting a multiannual programme (2003-05) for the monitoring of the eEurope 2005 action plan, dissemination of good practices and the improvement of network and information security (MODINIS) (OJ L 336, 23.12.2003, p. 1).

CHAPTER 09 04 — COOPERATION — INFORMATION AND COMMUNICATION TECHNOLOGIES (ICTs)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
09 04	Cooperation — information and communication technologies (ICTs)								
09 04 01	Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)								
09 04 01 01	Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)	1.1	1 301 428 065	1 140 000 000	1 244 472 420	949 891 875	1 117 369 631,31	1 032 847 397,71	90,60 %
09 04 01 02	Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking	1.1	65 000 000	29 239 766	53 721 430	27 217 532	26 000 000,00	10 826 842,98	37,03 %

09 04 01 03	Cooperation — Information and communication technologies — Support expenditure for ARTEMIS Joint Undertaking	1.1	911 793	911 793	1 758 156	1 595 089	1 048 611,08	1 054 100,56	115,61 %
09 04 01 04	Cooperation — Information and communication technologies — ENIAC Joint Undertaking	1.1	110 000 000	55 555 555	53 721 430	36 290 043	63 646 170,00	12 500 000,00	22,50 %
09 04 01 05	Cooperation — Information and communication technologies — Support expenditure for ENIAC Joint Undertaking	1.1	429 142	429 142	1 298 789	1 178 328	906 558,00	912 195,57	212,56 %
	<i>Article 09 04 01 — Subtotal</i>		1 477 769 000	1 226 136 256	1 354 972 225	1 016 172 867	1 208 970 970,39	1 058 140 536,82	86,30 %
09 04 02	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>	1.1	p.m.	p.m.	p.m.	p.m.	50 025 798,86	6 840 617,08	
09 04 03	<i>Completion of previous European Community framework programmes (prior to 2007)</i>	1.1	—	11 000 000	—	10 633 890	7 603,62	58 528 153,71	532,07 %
	Chapter 09 04 — Total		1 477 769 000	1 237 136 256	1 354 972 225	1 026 806 757	1 259 004 372,87	1 123 509 307,61	90,82 %

Article 09 04 01 — Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)

Item 09 04 01 01 — Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 301 428 065	1 140 000 000	1 244 472 420	949 891 875	1 117 369 631,31	1 032 847 397,71

Remarks

The objective of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the ‘Information and Communication Technologies’ theme of the specific ‘Cooperation’ programme is to improve the competitiveness of European industry and to enable Europe to master and shape the future developments in ICTs in accordance with a long-term European ICT strategy so that the demands of its society and economy are met and European standards help shape the global ICT developments rather than being overtaken by other growing global markets.

Activities will strengthen Europe’s scientific and technology base and ensure its global leadership in ICTs, help drive and stimulate innovation through ICT use and ensure that ICT progress is rapidly transformed into benefits for Europe’s citizens, businesses, industry and governments.

The ICT theme prioritises strategic research around key technology pillars, ensures end-to-end integration of technologies and provides the knowledge and the means to develop a wide range of innovative ICT applications.

The activities leverage industrial and technological advances in the ICT sector and improve the competitive edge of important ICT-intensive sectors — both through innovative high-value ICT-based products and services and from new or improved organisational processes in businesses and administrations alike. The ICT theme also supports other policies of the Union by mobilising ICTs to meet public and societal demands.

Activities cover collaboration and exchange of best practices with a view to setting common standards for the Union that are compatible with, or set, a global standard, networking actions and national programme coordination initiatives. This appropriation is also intended to cover the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programmes and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to

implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of these appropriations is intended to foster common approaches to key global challenges, such as an ICT strategy that is not only able to compete with rapidly emerging ICT markets (e.g. in Asia), but that can also set standards for global ICT policy-making in the interest of European values, by pooling resources and fostering the exchange of best practices for the advancement of research, development and innovation in the field of ICT. Measures will aim at improving the efficacy of actions by the international community and will be complementary to existing mechanisms and successful working relationships. The appropriations will be used to fund innovative ventures between European and third countries. The scope of such ventures will go beyond what could be undertaken by a single country and will benefit both the Union and its partners in preparing their leading role for setting future ICT standards. In implementing this action, the Commission will ensure a balanced distribution of subsidies. It will help actors at a global level to engage in research partnerships in order to foster innovation in the field of ICT.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Item 09 04 01 02 — Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
65 000 000	29 239 766	53 721 430	27 217 532	26 000 000,00	10 826 842,98

Remarks

The ARTEMIS Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme. It shall, in particular:

- define and implement a Research Agenda for the development of key technologies for Embedded Computing Systems across different application areas in order to strengthen European competitiveness and sustainability and allow the emergence of new markets and societal applications,
- support the implementation of research and development (R&D) activities in particular by awarding funding to participants in selected projects following competitive calls for proposals,
- promote a public-private partnership aiming at mobilising and pooling Union, national and private efforts, increasing overall R&D investments in the field of Embedded Computing Systems, and fostering collaboration between the public and private sectors,
- ensure the efficiency and durability of the Joint Technology Initiative on Embedded Computing Systems,
- achieve synergy and coordination of European R&D efforts in the field of Embedded Computing Systems including the progressive integration into the ARTEMIS Joint Undertaking of the related activities in this field currently implemented through intergovernmental R&D schemes (Eureka).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 74/2008 of 20 December 2007 on the establishment of the 'ARTEMIS Joint Undertaking' to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30, 4.2.2008, p. 52).

Item 09 04 01 03 — Cooperation — Information and communication technologies — Support expenditure for ARTEMIS Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
911 793	911 793	1 758 156	1 595 089	1 048 611,08	1 054 100,56

Remarks

The ARTEMIS Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme. It shall, in particular:

- define and implement a Research Agenda for the development of key technologies for Embedded Computing Systems across different application areas in order to strengthen European competitiveness and sustainability and allow the emergence of new markets and societal applications,
- support the implementation of R&D activities in particular by awarding funding to participants in selected projects following competitive calls for proposals,
- promote a public-private partnership aiming at mobilising and pooling Union, national and private efforts, increasing overall R&D investments in the field of Embedded Computing Systems, and fostering collaboration between the public and private sectors,
- ensure the efficiency and durability of the Joint Technology Initiative on Embedded Computing Systems,
- achieve synergy and coordination of European R&D efforts in the field of Embedded Computing Systems including the progressive integration into the ARTEMIS Joint Undertaking of the related activities in this field currently implemented through intergovernmental R&D schemes (Eureka).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 74/2008 of 20 December 2007 on the establishment of the 'ARTEMIS Joint Undertaking' to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30, 4.2.2008, p. 52).

Item 09 04 01 04 — Cooperation — Information and communication technologies — ENIAC Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
110 000 000	55 555 555	53 721 430	36 290 043	63 646 170,00	12 500 000,00

Remarks

The ENIAC Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme.

It shall, in particular:

- define and implement a Research Agenda for the development of key competences for nanoelectronics across different application areas in order to strengthen European competitiveness and sustainability and allow the emergence of new markets and societal applications;
- support the activities required for the implementation of the Research Agenda, in particular by awarding funding to participants in selected projects following competitive calls for proposals;
- promote a public-private partnership aiming at mobilising and pooling Union, national and private efforts, increasing overall R&D investments in the field of nanoelectronics, and fostering collaboration between the public and private sectors;
- achieve synergy and coordination of European R&D efforts in the field of nanoelectronics including, when added value can be created, the progressive integration into the ENIAC Joint Undertaking of the related activities in this field currently implemented through intergovernmental R&D schemes (Eureka);
- promote the involvement of small and medium-sized enterprises in its activities in line with the objectives of the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 72/2008 of 20 December 2007 setting up the ENIAC Joint Undertaking (OJ L 30, 4.2.2008, p. 21).

Item 09 04 01 05 — Cooperation — Information and communication technologies — Support expenditure for ENIAC Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
429 142	429 142	1 298 789	1 178 328	906 558,00	912 195,57

Remarks

The ENIAC Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the 'Information and

Communication Technologies' theme of the specific 'Cooperation' programme.

It shall, in particular:

- define and implement a Research Agenda for the development of key competences for nanoelectronics across different application areas in order to strengthen European competitiveness and sustainability and allow the emergence of new markets and societal applications;
- support the activities required for the implementation of the Research Agenda, in particular by awarding funding to participants in selected projects following competitive calls for proposals;
- promote a public-private partnership aiming at mobilising and pooling Union, national and private efforts, increasing overall R&D investments in the field of nanoelectronics, and fostering collaboration between the public and private sectors;
- achieve synergy and coordination of European R&D efforts in the field of nanoelectronics including, when added value can be created, the progressive integration into the ENIAC Joint Undertaking of the related activities in this field currently implemented through intergovernmental R&D schemes (Eureka);
- promote the involvement of small and medium-sized enterprises in its activities in line with the objectives of the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 72/2008 of 20 December 2007 setting up the ENIAC Joint Undertaking (OJ L 30, 4.2.2008, p. 21).

Article 09 04 02 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	50 025 798,86	6 840 617,08

Remarks

This Article is intended to cover expenditure corresponding to revenue giving rise to the accrual of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Article 09 04 03 — Completion of previous European Community framework programmes (prior to 2007)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	11 000 000	—	10 633 890	7 603,62	58 528 153,71

Remarks

This appropriation is intended to cover earlier commitments related to the completion of previous European Community framework programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: "structuring the European Research Area" (2002-2006) (OJ L 294, 29.10.2002, p. 44).

CHAPTER 09 05 — CAPACITIES — RESEARCH INFRASTRUCTURES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
09 05 09 05 01	Capacities — Research infrastructures Capacities — Research infrastructures	1.1	37 403 000	54 580 897	31 349 262	54 435 064	93 135 086,00	93 547 404,00	171,39 %
	Chapter 09 05 — Total		37 403 000	54 580 897	31 349 262	54 435 064	93 135 086,00	93 547 404,00	171,39 %

Article 09 05 01 — Capacities — Research infrastructures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 403 000	54 580 897	31 349 262	54 435 064	93 135 086,00	93 547 404,00

Remarks

Investing in knowledge is the best way for Europe to foster sustainable growth in a global economy and the research programme is the cornerstone of Europe's knowledge policy. The specific programme 'Capacities' under the Seventh Framework Programme for research, technological development and demonstration activities aims to enhance research and innovation capacity throughout Europe and beyond through the emergence and reinforcement of multidisciplinary European poles of excellence. In particular, research infrastructures play a key role in supporting the creation of knowledge, its dissemination, application and exploitation, thus fostering innovation.

This activity addresses more specifically the deployment of ICT-based infrastructures, or e-infrastructures. These infrastructures provide services to research communities by bringing the power of distributed ICT-based resources (computing, connectivity, storage, data and instrumentation) to virtual communities. The reinforcement of a European approach in this domain establishes synergies between national infrastructures or initiatives, ensures that critical masses are reached, rationalises new investments, and strives for world leadership. It can make a significant contribution to boosting European research potential and its exploitation, and consolidating e-infrastructures as a cornerstone of the European Research Area, a 'forerunner' of cross-discipline innovation and a driver in changing the way science is conducted.

Also entered in this article are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, grants, monitoring and evaluation of the specific programmes and of the framework programmes, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for EU action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes. In this context, actions of coordination of, and support to, strategies of international collaboration with developing and leading regions are promoted for global research communities and towards the new e-Science paradigm.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the

participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: "Capacities" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

TITLE 10 — DIRECT RESEARCH

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
10 01	Administrative expenditure of the 'Direct research' policy area	1	350 080 000	350 080 000	340 064 100	340 064 100	373 934 677,90	373 934 677,90
10 02	Directly financed research operational appropriations — Seventh Framework Programme (2007 to 2013) — EU	1	32 898 000	33 000 000	31 531 064	29 032 034	35 024 712,20	30 824 968,56
10 03	Directly financed research operational appropriations — Seventh Framework Programme (2007 to 2011 and 2012 to 2013) — Euratom	1	10 250 000	10 400 000	9 894 900	9 072 511	10 542 161,47	10 194 218,64
10 04	Completion of previous framework programmes and other activities	1	p.m.	p.m.	p.m.	56 250	27 886 101,45	24 236 731,30
10 05	Historical liabilities resulting from nuclear activities carried out by the Joint Research Centre pursuant to the Euratom Treaty	1	30 900 000	31 500 000	29 403 800	25 856 656	26 362 274,04	23 545 121,14
	Title 10 — Total		424 128 000	424 980 000	410 893 864	404 081 551	473 749 927,06	462 735 717,54

Remarks

These remarks apply to all the budget headings in the 'Direct research' policy area (with the exception of Chapter 10 05).

The appropriations cover not only expenditure on operations and staff covered by the Staff Regulations, but also other expenditure on staff, contracting, infrastructure, information and publications and any other administrative expenditure arising from research and technological development operations, including exploratory research.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations.

Miscellaneous revenue may give rise to the provision of additional appropriations to be used in Chapters 10 02, 10 03 or 10 04 or Article 10 01 05, depending on their purpose.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The possibility of third countries or organisations from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Item 6 0 1 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

The additional appropriations will be provided under Articles 10 02 02 and 10 03 02.

The appropriations in this title cover the cost of the staff working in the financial and administrative departments of the Joint Research Centre and of the support which they need (approximately 15 % of the cost).

CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘DIRECT RESEARCH’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
10 01	Administrative expenditure of the ‘Direct research’ policy area					
10 01 05	Support expenditure for operations in the ‘Direct research’ policy area					
10 01 05 01	Expenditure related to research staff	1.1	205 100 000	199 141 100	188 527 756,88	91,92 %
10 01 05 02	External staff for research	1.1	43 000 000	42 977 100	63 694 857,99	148,13 %
10 01 05 03	Other management expenditure for research	1.1	101 980 000	97 945 900	121 712 063,03	119,35 %
	<i>Article 10 01 05 — Subtotal</i>		350 080 000	340 064 100	373 934 677,90	106,81 %
	Chapter 10 01 — Total		350 080 000	340 064 100	373 934 677,90	106,81 %

Article 10 01 05 — Support expenditure for operations in the ‘Direct research’ policy area

Remarks

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 2 2 1, 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations. Such revenue will be used to cover, inter alia, expenditure incurred on staff and resources in respect of work for third parties carried out by the Joint Research Centre.

The appropriations could be supplemented by Joint Research Centre’s participation on a competitive basis in indirect action and in scientific and technical activities in support of Union policies.

Item 10 01 05 01 — Expenditure related to research staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
205 100 000	199 141 100	188 527 756,88

Remarks

This appropriation covers expenditure relating to staff covered by the Staff Regulations occupying posts on the authorised establishment plan of the Joint Research Centre for the execution of tasks entrusted to it, and in particular:

- direct action, consisting of scientific and technical support activities, research activities and exploratory research activities undertaken in the establishments of the Joint Research Centre,
- indirect action, consisting of programmes implemented as part of the Joint Research Centre’s activities conducted on a competitive basis.

The breakdown of appropriations for staff expenditure is as follows:

Programme	Appropriations
Framework programme (nuclear)	59 234 525
Framework programme (non-nuclear)	145 865 475
Non-framework programme	p.m.
Total	205 100 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

Legal basis

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/975/EC of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013)) (OJ L 47, 18.2.2012, p. 40).

Item 10 01 05 02 — External staff for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
43 000 000	42 977 100	63 694 857,99

Remarks

This appropriation is intended to cover all expenditure relating to staff occupying posts not on the establishment plan of the Joint Research Centre, i.e. agency staff, seconded national experts, visiting scientists, grant holders and contract staff, for the purposes of implementing the activities of the Centre.

The breakdown of appropriations for external research staff is as follows:

Programme	Appropriations
Framework programme (nuclear)	10 592 775
Framework programme (non-nuclear)	32 407 225
Non-framework programme	p.m.
Total	43 000 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

Legal basis

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/975/EC of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013)) (OJ L 47, 18.2.2012, p. 40).

Item 10 01 05 03 — Other management expenditure for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
101 980 000	97 945 900	121 712 063,03

Remarks

This appropriation is intended to cover all other staff expenditure not covered by Items 10 01 05 01 and 10 01 05 02. It is intended for expenditure not directly proportional to the staff present.

It also covers expenditure on organising competitions and interviewing candidates, vocational training, missions, receptions and representation, and expenditure on social and medical infrastructure.

This appropriation is also intended to cover expenditure in respect of all resources used for the implementation of the Joint Research Centre activities.

This includes:

- expenditure on scientific and technical support for the institutes of the Joint Research Centre (workshops, computer centres, nuclear support activities, radiation protection, irradiation equipment (reactors, cyclotron, particle accelerators), hot cells, research departments, stores, etc.), including that directly associated with the operation of the scientific divisions,
- all expenditure on administrative and technical infrastructure, including that for the Directorate-General of the Joint Research Centre, incurred in providing support for its institutes,
- specific expenditure relating to the units concerned at the Geel, Ispra, Karlsruhe, Seville and Petten sites, including the

Directorate-General of the Joint Research Centre divided between Brussels and Ispra (purchases of all types and contracts).

The breakdown of appropriations for other management expenditure (research) is as follows:

Programme	Appropriations
Framework programme (nuclear)	37 948 100
Framework programme (non-nuclear)	64 031 900
Non-framework programme	p.m.
Total	101 980 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

Legal basis

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/975/EC of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013)) (OJ L 47, 18.2.2012, p. 40).

CHAPTER 10 02 — DIRECTLY FINANCED RESEARCH OPERATIONAL APPROPRIATIONS — SEVENTH FRAMEWORK PROGRAMME (2007 TO 2013) — EU

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
10 02	Directly financed research operational appropriations — Seventh Framework Programme (2007 to 2013) — EU								
10 02 01	<i>Non-nuclear activities of the Joint Research Centre (JRC)</i>	1.1	32 898 000	33 000 000	31 531 064	29 032 034	33 901 658,88	29 720 402,49	90,06 %
10 02 02	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>	1.1	p.m.	p.m.	p.m.	p.m.	1 123 053,32	1 104 566,07	
	Chapter 10 02 — Total		32 898 000	33 000 000	31 531 064	29 032 034	35 024 712,20	30 824 968,56	93,41 %

Article 10 02 01 — Non-nuclear activities of the Joint Research Centre (JRC)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
32 898 000	33 000 000	31 531 064	29 032 034	33 901 658,88	29 720 402,49

Remarks

This appropriation is intended to cover the scientific and technical support and research activities carried out by the Joint Research Centre in accordance with the non-nuclear specific programme for the following themes:

- prosperity in a knowledge-intensive society,
- solidarity and the responsible management of resources,
- security and freedom,
- Europe as world partner.

It covers specific expenditure relating to the research and support activities in question (purchases of all types and contracts). This includes expenditure on scientific infrastructure directly incurred for the projects concerned.

This appropriation is also intended to cover expenditure of any type concerning research activities relating to activities under this article entrusted to the Joint Research Centre within the framework of its participation on a competitive basis in indirect actions.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/975/EC of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

Article 10 02 02 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	1 123 053,32	1 104 566,07

Remarks

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in projects in the field of non-nuclear research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

CHAPTER 10 03 — DIRECTLY FINANCED RESEARCH OPERATIONAL APPROPRIATIONS — SEVENTH FRAMEWORK PROGRAMME (2007 TO 2011 AND 2012 TO 2013) — EURATOM

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
10 03	Directly financed research operational appropriations — Seventh Framework Programme (2007 to 2011 and 2012 to 2013) — Euratom								
10 03 01	<i>Nuclear activities of the Joint Research Centre (JRC)</i>	1.1	10 250 000	10 400 000	9 894 900	9 072 511	9 756 028,70	9 023 306,82	86,76 %
10 03 02	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>	1.1	p.m.	p.m.	p.m.	p.m.	786 132,77	1 170 911,82	
	Chapter 10 03 — Total		10 250 000	10 400 000	9 894 900	9 072 511	10 542 161,47	10 194 218,64	98,02 %

Article 10 03 01 — Nuclear activities of the Joint Research Centre (JRC)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 250 000	10 400 000	9 894 900	9 072 511	9 756 028,70	9 023 306,82

Remarks

This appropriation is intended to cover the scientific and technical support and research activities carried out by the Joint Research Centre in accordance with the nuclear specific programme for the following themes:

- nuclear waste management, environmental impact, basic knowledge and research on decommissioning,
- nuclear safety,
- nuclear security.

It covers the activities necessary for implementing safeguards pursuant to Chapter 7 of Title II of the Euratom Treaty and the obligations arising from the Non-Proliferation Treaty and implementation of the Commission's programme to support the International Atomic Energy Agency (IAEA).

It covers specific expenditure relating to the research and support activities in question (purchases of all types and contracts). This includes expenditure on scientific infrastructure directly incurred for the projects concerned.

It is also intended to cover expenditure of any type concerning research activities relating to activities under this article entrusted to the Joint Research Centre within the framework of its participation on a competitive basis in indirect actions.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 40).

Article 10 03 02 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	786 132,77	1 170 911,82

Remarks

This article is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or states (non-European Economic Area) which take part in nuclear activities in the field of nuclear research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

CHAPTER 10 04 — COMPLETION OF PREVIOUS FRAMEWORK PROGRAMMES AND OTHER ACTIVITIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
10 04	Completion of previous framework programmes and other activities								
10 04 01	Completion of previous joint programmes								
10 04 01 01	Completion of previous joint programmes — EC	1.1	—	p.m.	—	6 351	200 870,12	68 724,72	
10 04 01 02	Completion of previous joint programmes — Euratom	1.1	—	p.m.	—	49 899	138 388,44	236 503,66	
	<i>Article 10 04 01 — Subtotal</i>		—	p.m.	—	56 250	339 258,56	305 228,38	
10 04 02	Provision of services and work on behalf of outside bodies								
10 04 02		1.1	p.m.	p.m.	p.m.	p.m.	3 091 531,25	3 399 413,92	
10 04 03	RTD support for the Union's policies on a competitive basis								
10 04 03		1.1	p.m.	p.m.	p.m.	p.m.	17 130 185,78	14 303 613,80	
10 04 04	Operation of the high-flux reactor (HFR)								
10 04 04 01	Operation of the high-flux reactor (HFR) — Completion of previous supplementary HFR programmes	1.1	p.m.	p.m.	p.m.	p.m.	505 419,86	504 692,85	
10 04 04 02	Operation of the high-flux reactor (HFR) — Supplementary HFR programmes	1.1	p.m.	p.m.	p.m.	p.m.	6 819 706,00	5 723 782,35	
	<i>Article 10 04 04 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	7 325 125,86	6 228 475,20	
	Chapter 10 04 — Total		p.m.	p.m.	p.m.	56 250	27 886 101,45	24 236 731,30	

Article 10 04 01 — Completion of previous joint programmes

Item 10 04 01 01 — Completion of previous joint programmes — EC

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	6 351	200 870,12	68 724,72

Remarks

This appropriation is intended to cover commitments entered into prior to the Seventh Framework Programme concerning the non-nuclear activities of the JRC.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Item 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Item 10 04 01 02 — Completion of previous joint programmes — Euratom

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	49 899	138 388,44	236 503,66

Remarks

This appropriation is intended to cover commitments entered into prior to the Seventh Framework Programmes concerning the nuclear activities of the JRC.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Council Decision 94/268/Euratom of 26 April 1994 concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998) (OJ L 115, 6.5.1994, p. 31).

Council Decision 96/253/Euratom of 4 March 1996 adapting Decision 94/268/Euratom concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998), following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 72).

Council Decision 1999/64/Euratom of 22 December 1998 concerning the Fifth Framework Programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 2002/668/Euratom of 3 June 2002 concerning the sixth framework programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities, also contributing to the creation of the European Research Area (2002 to 2006) (OJ L 232, 29.8.2002, p. 34).

Article 10 04 02 — Provision of services and work on behalf of outside bodies

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	3 091 531,25	3 399 413,92

Remarks

This article is intended to receive the appropriations required for the expenditure specific to the various tasks performed on behalf of outside bodies, which is evaluated jointly with the outside body in question in each individual case.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 4 of the statement of revenue may give rise to the provision of additional appropriations.

Additional appropriations will be provided for this article, in accordance with Article 18 and Article 161(2) of the Financial Regulation, to cover specific expenditure for each contract with an outside body to the amount of the revenue to be entered in Item 6 2 2 3 of the statement of revenue.

The services planned are, in particular, the following:

- supplies, the provision of services and work carried out in general against payment,
- the operation, for the benefit of Member States, of facilities or the performance of research that is additional to the specific research programmes,
- the performance of research activities or the provision of services within the industrial clubs for which the partners from industry must pay an enrolment fee and annual subscriptions,
- in-cyclotron irradiation,
- chemical decontamination,
- radiation protection,
- metallography,
- cooperation contracts relating to radioactive waste,
- training,
- outside customers of the Ispra data-processing centre,
- certified reference materials,
- irradiation for outside bodies in the high-flux reactor (HFR) at the Petten establishment of the Joint Research Centre.

Legal basis

Council Decision 89/340/EEC of 3 May 1989 concerning work for third parties performed by the Joint Research Centre relevant to the European Economic Community (OJ L 142, 25.5.1989, p. 10).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Articles 18 and 161 thereof.

Article 10 04 03 — RTD support for the Union's policies on a competitive basis

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.		
				17 130 185,78	14 303 613,80

Remarks

This article is intended to receive the appropriations required for the expenditure specific to the various scientific support tasks performed by the Joint Research Centre on a competitive basis in support of the Union's policies, outside the Research and Technological Development Framework Programme. Additional appropriations will be provided for this article, in accordance with Article 18 and Article 161(2) of the Financial Regulation, to cover specific expenditure for each contract with Union services to the amount of the revenue to be entered in Item 6 2 2 6 of the statement of revenue.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Item 6 2 2 4 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Council Decision 89/340/EEC of 3 May 1989 concerning work for third parties performed by the Joint Research Centre relevant to the European Economic Community (OJ L 142, 25.5.1989, p. 10).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Articles 18 and 161 thereof.

Article 10 04 04 — Operation of the high-flux reactor (HFR)

Item 10 04 04 01 — Operation of the high-flux reactor (HFR) — Completion of previous supplementary HFR programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	505 419,86	504 692,85

Remarks

This appropriation is intended to cover part of expenses of any kind incurred during the implementation of HFR programmes and not covered by the payment appropriations available for previous financial years.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Item 6 2 2 1 of the statement of revenue may give rise to the provision of additional appropriations.

The main objectives of the programme are as follows:

- to ensure over 250 days' operation of the HFR per annum in order to guarantee the availability of neutrons for experimental purposes,
- to ensure the rational use of this reactor according to the needs of research institutes requesting the assistance of the HFR in areas such as:
 - improving the safety of existing nuclear reactors,
 - health, and in particular the development of medical isotopes for medical research and the testing of therapeutic techniques,
 - fusion,
 - basic research and training,
 - waste management, and in particular the possibility of developing nuclear fuels for the elimination of weapons-grade plutonium.

In accordance with Article 18 of the Financial Regulation, this article will, in the course of the financial year, be provided with additional appropriations within the limits of the revenue from Member States concerned (currently the Netherlands, Belgium and France) to be entered in Item 6 2 2 1 of the statement of revenue.

Legal basis

Council Decision 84/1/Euratom, EEC of 22 December 1983 adopting a research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community and for the European Economic Community (1984 to 1987) (OJ L 3, 5.1.1984, p. 21).

Council Decision 88/523/Euratom of 14 October 1988 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 286, 20.10.1988, p. 37).

Council Decision 92/275/Euratom of 29 April 1992 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (1992-1995) (OJ L 141, 23.5.1992, p. 27).

Council Decision 96/419/Euratom of 27 June 1996 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (1996-1999) (OJ L 172, 11.7.1996, p. 23).

Council Decision 2000/100/Euratom of 24 January 2000 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 29, 4.2.2000, p. 24).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 18 thereof.

Council Decision 2004/185/Euratom of 19 February 2004 concerning the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 57, 25.2.2004, p. 25).

Council Decision 2007/773/Euratom of 26 November 2007 on a one year extension of the supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 312, 30.11.2007, p. 29).

Council Decision 2009/410/Euratom of 25 May 2009 on the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 132, 29.5.2009, p. 13).

Item 10 04 04 02 — Operation of the high-flux reactor (HFR) — Supplementary HFR programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.		
				6 819 706,00	5 723 782,35

Remarks

The main objectives of the programme are primarily:

- to ensure the safe and reliable operation of the high flux reactor (HFR), in order to guarantee the availability of the neutron flux for experimental purposes,
- to allow an efficient use of HFR by research institutes in a broad range of disciplines: improvement of the safety of existing nuclear reactors, health including the development of medical isotopes to answer the questions of medical research, nuclear fusion, fundamental research and training, and waste management including the possibility to study the safety behaviour of nuclear fuels for the new generation of reactor systems.

In accordance with Article 18 of the Financial Regulation, this item will, in the course of the financial year, be provided with additional appropriations within the limits of the revenue from, notably, the three Member States concerned (currently the Netherlands, Belgium and France) to be entered in Item 6 2 2 1 of the statement of revenue.

Legal basis

Council Decision 2009/410/Euratom of 25 May 2009 on the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 132, 29.5.2009, p. 13).

Reference acts

Proposal for a Council Decision, 2012/xxx/Euratom to be submitted by the Commission, on the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (2012-2015) (COM(XXX) XXX).

CHAPTER 10 05 — HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
10 05	Historical liabilities resulting from nuclear activities carried out by the Joint Research Centre pursuant to the Euratom Treaty								
10 05 01	Decommissioning of nuclear installations and waste management	1.1	30 900 000	31 500 000	29 403 800	25 856 656	26 362 274,04	23 545 121,14	74,75 %
	Chapter 10 05 — Total		30 900 000	31 500 000	29 403 800	25 856 656	26 362 274,04	23 545 121,14	74,75 %

Article 10 05 01 — Decommissioning of nuclear installations and waste management

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 900 000	31 500 000	29 403 800	25 856 656	26 362 274,04	23 545 121,14

Remarks

This appropriation is intended to finance an action programme to reduce and dispose of nuclear liabilities resulting from activities conducted by the Joint Research Centre since its establishment.

It is intended to cover the decommissioning of plants that have been shut down and waste from such plants.

This appropriation is also intended to finance operations undertaken by the Commission on the basis of the powers conferred on it by Article 8 of the Euratom Treaty in conformity with the provisions of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Reference acts

Communication from the Commission to the European Parliament and to the Council of 17 March 1999 on the historical liabilities resulting from nuclear activities carried out by the Joint Research Centre under the Euratom Treaty — Decommissioning of obsolete nuclear installations and waste management (COM(1999) 00114).

Communication from the Commission to the European Parliament and to the Council of 19 May 2004 concerning the decommissioning of nuclear installations and waste management — Nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (SEC(2004) 0621).

Communication from the Commission to the European Parliament and to the Council of 12 January 2009 concerning the decommissioning of nuclear installations and management of radioactive waste — Management of nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (COM(2008) 0903).

TITLE 11 — MARITIME AFFAIRS AND FISHERIES

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area		42 260 023	42 260 023	41 078 650	41 078 650	40 833 934,79	40 833 934,79
	40 01 40				19 779	19 779		
					41 098 429	41 098 429		
11 02	Fisheries markets	2	26 496 768	27 050 000	29 996 768	30 370 025	29 957 772,69	34 358 651,73
11 03	International fisheries and law of the sea						152 979	162 880
		2	38 510 000	37 710 000	35 600 000	35 776 949	639,41	147,47
	40 02 41		115 220 000	115 220 000	119 200 000	120 800 000		
			153 730 000	152 930 000	154 800 000	156 576 949		
11 04	Governance of the common fisheries policy	2	5 390 000	4 877 000	6 400 000	5 641 866	5 965 400,61	5 222 818,80
11 06	European Fisheries Fund (EFF)						656 248	445 085
		2	686 307 712	522 600 000	671 875 602	487 002 069	974,59	068,39
11 07	Conservation, management and exploitation of living aquatic resources	2	51 200 000	40 250 000	53 500 000	42 376 540	52 338 860,74	36 636 931,45
11 08	Control and enforcement of the common fisheries policy	2	57 863 900	34 683 900	58 760 900	36 106 750	60 517 887,28	41 803 218,25
11 09	Maritime policy	2	—	15 585 000	16 560 000	7 170 532	0,—	5 490 581,98
	Title 11 — Total		908 028 403	725 015 923	913 771 920	685 523 381	998 842 470,11	772 311 352,86
	40 01 40, 40 02 41		115 220 000	115 220 000	119 219 779	120 819 779		
	Total including reserves		1 023 248 403	840 235 923	1 032 991 699	806 343 160		

CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MARITIME AFFAIRS AND FISHERIES’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
11 01	Administrative expenditure of the ‘Maritime affairs and fisheries’ policy area					
11 01 01	Expenditure related to staff in active employment in the ‘Maritime affairs and fisheries’ policy area	5	30 412 839	29 678 337	29 824 883,21	98,07 %
11 01 02	External staff and other management expenditure in support of the ‘Maritime affairs and fisheries’ policy area					
11 01 02 01	External staff	5	2 514 500	2 550 747	2 499 308,00	99,40 %
11 01 02 11	Other management expenditure	5	2 792 542	2 779 656	3 037 158,65	108,76 %
	40 01 40			19 779		
				2 799 435		
	<i>Article 11 01 02 — Subtotal</i>		5 307 042	5 330 403	5 536 466,65	104,32 %
	40 01 40			19 779		
				5 350 182		
11 01 03	Expenditure related to information and communication technology equipment and services of the ‘Maritime affairs and fisheries’ policy area	5	1 890 142	1 894 910	2 243 099,12	118,67 %
11 01 04	Support expenditure for operations in the ‘Maritime affairs and fisheries’ policy area					
11 01 04 01	Structural measures in the fisheries sector — Financial Instrument for Fisheries Guidance (FIFG) and European Fisheries Fund (EFF) — Non-operational technical assistance	2	850 000	850 000	850 000,00	100,00 %
11 01 04 02	Closer dialogue with the fishing industry and those affected by the common fisheries policy — Expenditure on administrative management	2	180 000	200 000	172 656,12	95,92 %
11 01 04 03	Support for the management of fish resources (collection of basic data and improvement of scientific advice) — Expenditure on administrative management	2	445 000	425 000	421 829,69	94,79 %
11 01 04 04	International fisheries agreements — Expenditure on administrative management	2	1 800 000	1 700 000	1 425 000,00	79,17 %
11 01 04 05	Contributions to international organisations — Expenditure on administrative management	2	450 000	400 000	360 000,00	80,00 %
11 01 04 06	Inspection and surveillance of fishing activities in EU waters and elsewhere — Expenditure on administrative management	2	p.m.	—	0,—	
11 01 04 07	Programme to support the further development of an integrated maritime policy (IMP) — Expenditure on administrative management	2	200 000	100 000		
11 01 04 08	European Agriculture Guarantee Fund (EAGF) — Non-operational technical assistance	2	725 000	500 000		
	<i>Article 11 01 04 — Subtotal</i>		4 650 000	4 175 000	3 229 485,81	69,45 %
	Chapter 11 01 — Total		42 260 023	41 078 650	40 833 934,79	96,63 %
	40 01 40			19 779		
	Total including reserves			41 098 429		

Article 11 01 01 — Expenditure related to staff in active employment in the ‘Maritime affairs and fisheries’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
30 412 839	29 678 337	29 824 883,21

Article 11 01 02 — External staff and other management expenditure in support of the ‘Maritime affairs and fisheries’ policy area

Item 11 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 514 500	2 550 747	2 499 308,00

Item 11 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
11 01 02 11	2 792 542	2 779 656	3 037 158,65
40 01 40		19 779	
Total	2 792 542	2 799 435	3 037 158,65

Article 11 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Maritime affairs and fisheries’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 890 142	1 894 910	2 243 099,12

Article 11 01 04 — Support expenditure for operations in the ‘Maritime affairs and fisheries’ policy area

Item 11 01 04 01 — Structural measures in the fisheries sector — Financial Instrument for Fisheries Guidance (FIFG) and European Fisheries Fund (EFF) — Non-operational technical assistance

Figures

Budget 2013	Appropriations 2012	Outturn 2011
850 000	850 000	850 000,00

Remarks

This appropriation is intended to cover expenditure incurred on external staff at headquarters (contract agents, seconded national experts or agency staff) and support expenditure (representation expenses, training, meetings, missions relating to the external personnel financed under this line) required for the implementation of the EFF as provided for in Article 46 of Council Regulation (EC) No 1198/2006 relating to technical assistance.

Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Item 11 01 04 02 — Closer dialogue with the fishing industry and those affected by the common fisheries policy — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
180 000	200 000	172 656,12

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, the participation of stakeholders in ad hoc meetings, seminars and conferences pertaining to major topics in relation to the common fisheries policy and the integrated maritime policy, on information technology, information and publications directly linked to realising the objective of the programme or on activities covered by this item, and any other technical or administrative assistance expenditure not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 11 04 01.

Item 11 01 04 03 — Support for the management of fish resources (collection of basic data and improvement of scientific advice) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
445 000	425 000	421 829,69

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information technology, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item and any other technical or administrative assistance expenditure not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Articles 11 07 01 and 11 07 02.

Item 11 01 04 04 — International fisheries agreements — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 800 000	1 700 000	1 425 000,00

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on missions by third country delegations attending meetings to negotiate fisheries agreements and joint committees,
- expenditure on information technology (IT) covering both equipment and services,
- expenditure on external staff (contract agents, local agents or seconded national experts) in the Union's delegations in third countries, as well as for additional logistical and infrastructure costs, such as the cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations of this item,
- expenditure on studies, meetings of experts, information and publications directly linked to the preparation and the

implementation of international fisheries agreements.

Legal basis

See Article 11 03 01.

Item 11 01 04 05 — Contributions to international organisations — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
450 000	400 000	360 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or on activities covered by this item and any other technical or administrative assistance expenditure not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Articles 11 03 02, 11 03 03 and 11 03 04.

Item 11 01 04 06 — Inspection and surveillance of fishing activities in EU waters and elsewhere — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	—	0,—

Remarks

This item is intended to cover expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) in the context of control and surveillance of fisheries activities.

Legal basis

See Article 11 08 02.

Item 11 01 04 07 — Programme to support the further development of an integrated maritime policy (IMP) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
200 000	100 000	

Remarks

This appropriation is intended to cover expenditure on studies, evaluation measures, expert meetings, information technology including informatics tools, systems and networks necessary for the effective and efficient implementation of the Programme and the achievement of its objectives and any other technical or administrative assistance expenditure as required by the Commission for the implementation of the Programme.

Legal basis

See Article 11 09 05.

Item 11 01 04 08 — European Agriculture Guarantee Fund (EAGF) — Non-operational technical assistance

Figures

Budget 2013	Appropriations 2012	Outturn 2011
725 000	500 000	

Remarks

This appropriation is intended to cover the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for the implementation of the common agricultural policy, in particular as regards fisheries products, in accordance with Article 5(a) to (d) of Regulation (EC) No 1290/2005.

Legal basis

See Article 11 02 01.

CHAPTER 11 02 — FISHERIES MARKETS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 02	Fisheries markets								
11 02 01	Intervention in fishery products								
11 02 01 01	Intervention in fishery products — New measures	2	11 500 000	11 500 000	15 000 000	14 412 834	14 961 004,69	17 160 348,22	149,22 %
11 02 01 03	Preparatory action — Monitoring centre for fisheries market prices	2	—	550 000	—	1 734 000	0,—	323 000,00	58,73 %
	<i>Article 11 02 01 — Subtotal</i>		11 500 000	12 050 000	15 000 000	16 146 834	14 961 004,69	17 483 348,22	145,09 %
11 02 03	Fisheries programme for the outermost regions								
11 02 03 01	Fisheries programme for the outermost regions — New measures	2	14 996 768	15 000 000	14 996 768	14 223 191	14 996 768,00	16 875 303,51	112,50 %
	<i>Article 11 02 03 — Subtotal</i>		14 996 768	15 000 000	14 996 768	14 223 191	14 996 768,00	16 875 303,51	112,50 %
	Chapter 11 02 — Total		26 496 768	27 050 000	29 996 768	30 370 025	29 957 772,69	34 358 651,73	127,02 %

Remarks

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter, in accordance with Articles 18 and 154 of the Financial Regulation.

Article 11 02 01 — Intervention in fishery products

Item 11 02 01 01 — Intervention in fishery products — New measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
11 500 000	11 500 000	15 000 000	14 412 834	14 961 004,69	17 160 348,22

Remarks

This appropriation is intended to cover expenditure relating to the common organisation of the market in fishery products and, in particular, on the intervention mechanisms, on the allowance for producer organisations and on the systems for communication and the exchange of information between the Member States and the Commission.

It is also intended to cover expenditure on evaluations in accordance with Article 41 of Regulation (EC) No 104/2000.

Legal basis

Council Regulation (EC) No 104/2000 of 17 December 1999 on the common organisation of the markets in fishery and aquaculture products (OJ L 17, 21.1.2000, p. 22).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Item 11 02 01 03 — Preparatory action — Monitoring centre for fisheries market prices

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	550 000	—	1 734 000	0,—	323 000,00

Remarks

This appropriation is intended to cover the introduction of a system to monitor and observe the price formation and evaluation process for fishery and aquaculture products.

The monitoring centre will partner and complement the monitoring system for food product prices which is being developed by the Union, ensuring that the large market for Union fishery products is not left outside the general price monitoring system.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 11 02 03 — Fisheries programme for the outermost regions

Item 11 02 03 01 — Fisheries programme for the outermost regions — New measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 996 768	15 000 000	14 996 768	14 223 191	14 996 768,00	16 875 303,51

Remarks

This appropriation is intended to cover expenditure relating to the scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the Azores, Madeira, the Canary Islands and the French departments of Guiana and Réunion as a result of remoteness of those regions.

Legal basis

Council Regulation (EC) No 2328/2003 of 22 December 2003 introducing a scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the Azores, Madeira, the Canary Islands and the French departments of Guiana and Réunion, as a result of those regions' remoteness (OJ L 345, 31.12.2003, p. 34).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 791/2007 of 21 May 2007 introducing a scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the outermost regions the Azores, Madeira, the Canary Islands, French Guiana and Réunion (OJ L 176, 6.7.2007, p. 1).

CHAPTER 11 03 — INTERNATIONAL FISHERIES AND LAW OF THE SEA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 03 <i>11 03 01</i>	International fisheries and law of the sea <i>International fisheries agreements</i>	2	29 010 000	29 010 000	25 500 000	26 200 000	147 571 248,95	155 655 108,95	536,56 %
	40 02 41		115 220 000 144 230 000	115 220 000 144 230 000	119 200 000 144 700 000	120 800 000 147 000 000			
<i>11 03 02</i> <i>11 03 03</i>	<i>Contributions to international organisations</i> <i>Preparatory work for new international fisheries organisations and other non-compulsory contributions to international organisations</i>	2	4 800 000	4 500 000	4 400 000	4 172 136	3 250 561,08	3 174 091,55	70,54 %
<i>11 03 04</i>	<i>European Union financial contribution to the bodies set up by the United Nations Convention on the Law of the Sea, 1982</i>	2	4 500 000	4 000 000	5 500 000	5 215 170	1 919 811,20	3 812 928,79	95,32 %
	Chapter 11 03 — Total	2	200 000	200 000	200 000	189 643	238 018,18	238 018,18	119,01 %
			38 510 000	37 710 000	35 600 000	35 776 949	152 979 639,41	162 880 147,47	431,93 %
	40 02 41 Total including reserves		115 220 000 153 730 000	115 220 000 152 930 000	119 200 000 154 800 000	120 800 000 156 576 949			

Article 11 03 01 — International fisheries agreements

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03 01	29 010 000	29 010 000	25 500 000	26 200 000	147 571 248,95	155 655 108,95
40 02 41	115 220 000	115 220 000	119 200 000	120 800 000		
Total	144 230 000	144 230 000	144 700 000	147 000 000	147 571 248,95	155 655 108,95

Remarks

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union/Community has negotiated or intends to renew or renegotiate with third countries.

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this budget line.

Legal basis

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Regulations and Decisions concerning the conclusion of agreements and/or protocols adopted with regard to fisheries between the Union/Community and the governments of the following countries:

Country	Regulation	Date	Official Journal	Duration
Argentina (p.m.)	Regulation (EC) No 3447/93	28 September 1993	L 318, 20.12.1993	24.5.1994 to 23.5.1999
	Currently no Protocol in force			
Cape Verde	Regulation (EC) No 2027/2006	19 December 2006	L 414, 30.12.2006	1.9.2006 to 31.8.2011
	Decision 2011/405/EU	9 June 2011	L 181, 9.7.2011	1.9.2011 to 31.8.2014
	Decision 2011/679/EU	10 October 2011	L 269, 14.10.2011	

Comoros	Regulation (EC) No 1660/2005	6 October 2005	L 267, 12.10.2005	1.1.2005 to 31.12.2010
	Regulation (EC) No 1563/2006	5 October 2006	L 290, 20.10.2006	
	Decision 2011/294/EU	13 May 2011	L 134, 21.5.2011	1.1.2011 to 31.12.2013
Côte d'Ivoire	Regulation (EC) No 953/2005	21 June 2005	L 164, 24.6.2005	1.7.2004 to 30.6.2007
	Decision 2008/151/EC	12 February 2008	L 48, 22.2.2008	1.7.2007 to 30.6.2013
	Regulation (EC) No 242/2008	17 March 2008	L 75, 18.3.2008	1.7.2007 to 30.6.2013
Gabon	Decision 2006/788/EC	7 November 2006	L 319, 18.11.2006	
	Regulation (EC) No 450/2007	16 April 2007	L 109, 26.4.2007	3.12.2005 to 2.12.2011
	Negotiations for the renewal of the protocol ongoing			
Greenland	Regulation (EC) 753/2007	28 June 2007	L 172, 30.6.2007	1.1.2007 to 31.12.2012
	New Protocol initialled on 3 February 2012 – Legislative procedure ongoing			
Guinea-Bissau	Regulation (EC) No 1491/2006	10 October 2006	L 279, 11.10.2006	
	Regulation (EC) No 241/2008	17 March 2008	L 75, 18.3.2008	16.6.2007 to 15.6.2011
	Decision 2011/885/EU	14 November 2011	L 344, 28.12.2011	16.6.2011 to 15.6.2012
	New Protocol initialled on 10 February 2012 – Legislative procedure ongoing			
Equatorial Guinea (p.m.)	Regulation (EEC) No 1966/84 (suspended since June 2001)	28 June 1984	L 188, 16.7.1984	
Republic of Guinea	Regulation (EC) No 830/2004	26 April 2004	L 127, 29.4.2004	1.1.2004 to 31.12.2008
	Decision 2009/473/EC	28 May 2009	L 156, 19.6.2009	1.1.2009 to 31.12.2012
	repealed by Decision 2009/1016/EU	22 December 2009	L 348, 29.12.2009	
	Currently no protocol in force			
Kiribati	Regulation (EC) No 893/2007	23 July 2007	L 205, 7.8.2007	16.9.2006 to 15.9.2012
	New Protocol initialled on 3 June 2012 – Legislative procedure ongoing			
Madagascar	Decision 2007/797/EC	15 November 2007	L 331, 17.12.2007	
	Regulation (EC) No 31/2008	15 November 2007	L 15, 18.1.2008	1.1.2007 to 31.12.2012
	New Protocol initialled on 10 May 2012 – Legislative procedure ongoing			
Mauritius	Regulation (EC) No 2003/2004	21 October 2004	L 348, 24.11.2004	3.12.2003 to 2.12.2007
	New Protocol initialled on 23 February 2012 – Legislative procedure ongoing			
Mauritania	Regulation (EC) No 1801/2006	30 November 2006	L 343, 8.12.2006	1.8.2006 to 31.7.2008
	Regulation (EC) No 704/2008	15 July 2008	L 203, 31.7.2008	1.8.2008 to 31.7.2012
	New Protocol initialled on 26 July 2012 – Legislative procedure ongoing			
Federated States of Micronesia	Regulation (EC) No 805/2006	25 April 2006	L 151, 6.6.2006	26.2.2007 to 25.2.2010
	Decision 2011/116/EU	13 December 2010	L 52, 25.2.2011	
	Awaiting for entry into force of new Protocol			
Morocco	Regulation (EC) No 764/2006	22 May 2006	L 141, 29.5.2006	28.2.2007 to 27.2.2011 ⁴
	Decision 2011/491/EU	12 July 2011	L 202, 5.8.2011	28.2.2011 to 28.2.2012
	Repealed by Decision 2012/15/EU	20 December 2011	L 6, 10.1.2012	
	Currently no protocol in force			
Mozambique	Regulation (EC) No 1446/2007	22 November 2007	L 331, 17.12.2007	1.1.2007 to 31.12.2011
	Decision (EC) 2012/91/EU	23 January 2012	L 46, 17.02.2012	1.2.2012 to 31.01.2015
São Tomé and Príncipe	Regulation (EC) No 894/2007	23 July 2007	L 205, 7.8.2007 and L 330, 15.12.2007	1.6.2006 to 31.5.2010
	Decision 2011/296/EU	24 February 2011	L 136, 24.2.2011	13.5.2011 to 12.5.2014
	Decision 2011/420/EU	12 July 2011	L 188, 19.7.2011	
Senegal (p.m.)	Regulation (EC) No 2323/2002	16 December 2002	L 349, 24.12.2002	1.7.2002 to 30.6.2006
	Currently no protocol in force			
Seychelles	Regulation (EC) No 1562/2006	5 October 2006	L 290, 20.10.2006	
	Decision 2010/814/EU	20 December 2010	L 345, 30.12.2010	18.1.2011 to 17.1.2014
	Decision 2011/474/EU	12 July 2011	L 196, 28.7.2011	

⁴ The protocol related to the agreement with Morocco was initially foreseen from 1 March 2006 to 28 February 2010. Due to a delay in the ratification process it entered into force on 27 February 2007 and was valid for four years from that date.

Solomon Islands	Regulation (EC) No 563/2006	13 March 2006	L 105, 13.4.2006	9.10.2006 to 8.10.2009
	Decision 2010/397/EU	3 June 2010	L 190, 22.7.2010	9.10.2009 to 8.10.2012
	Decision 2010/763/EU	6 December 2010	L 324, 9.12.2010	
Tanzania (p.m.)	Proposed agreement withdrawn			

Article 11 03 02 — Contributions to international organisations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 800 000	4 500 000	4 400 000	4 172 136	3 250 561,08	3 174 091,55

Remarks

This appropriation is intended to finance the Union's active participation in international fisheries organisations responsible for the long-term conservation and sustainable exploitation of marine fisheries resources:

- CCAMLR (Council Decision 81/691/EEC of 4 September 1981 on the conclusion of the Convention on the conservation of Antarctic marine living resources (OJ L 252, 5.9.1981, p. 26)),
- NASCO (North Atlantic Salmon Conservation Organisation) (Council Decision 82/886/EEC of 13 December 1982 concerning the conclusion of the Convention for the Conservation of Salmon in the North Atlantic Ocean (OJ L 378, 31.12.1982, p. 24)),
- ICCAT (Council Decision 86/238/EEC of 9 June 1986 on the accession of the Community to the International Convention for the Conservation of Atlantic Tunas, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of the States Parties to the Convention signed in Paris on 10 July 1984 (OJ L 162, 18.6.1986, p. 33)),
- NEAFC (North-East Atlantic Fisheries Commission) (Council Decision 81/608/EEC of 13 July 1981 concerning the conclusion of the Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (OJ L 227, 12.8.1981, p. 21)),
- FAO (Council Decision of 25 November 1991 on the accession of the European Community to the Food and Agriculture Organisation of United Nations) and FAO bodies, the Fishery Committee for the Eastern Central Atlantic (CECAF) and the Western Central Atlantic Fishery Commission (WECAFC),
- NAFO (Northwest Atlantic Fisheries Organisation) (Council Regulation (EEC) No 3179/78 of 28 December 1978 concerning the conclusion by the European Economic Community of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (OJ L 378, 30.12.1978, p. 1)),
- IOTC (Council Decision 95/399/EC of 18 September 1995 on the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission (OJ L 236, 5.10.1995, p. 24)),
- GFCM (Council Decision 98/416/EC of 16 June 1998 on the accession of the European Community to the General Fisheries Commission for the Mediterranean (OJ L 190, 4.7.1998, p. 34)),
- SEAFO (South East Atlantic Fisheries Organisation) (Council Decision 2002/738/EC of 22 July 2002 on the conclusion by the European Community of the Convention on the Conservation and Management of Fishery Resources in the South-East Atlantic Ocean (OJ L 234, 31.8.2002, p. 39)),
- SWAFO (Multilateral Agreement for the Conservation of the Marine Fauna and Flora in the High Seas Waters of the South-West Atlantic, negotiating mandate No 13428/97),
- SIOFA (Council Decision 2008/780/EC of 29 September 2008 on the conclusion, on behalf of the European Community, of the Southern Indian Ocean Fisheries Agreement (OJ L 268, 9.10.2008, p. 27)),
- WCPFC (Western and Central Pacific Fisheries Commission, ex-MHLC) (Council Decision 2005/75/EC of 26 April 2004 on the accession of the Community to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (OJ L 32, 4.2.2005, p. 1)),
- (AIDCP) (Council Decision 2005/938/EC of 8 December 2005 on the approval on behalf of the European Community of the Agreement on the International Dolphin Conservation Programme (OJ L 348, 30.12.2005, p. 26)),
- (IATTC) (Council Decision 2006/539/EC of 22 May 2006 on the conclusion, on behalf of the European Community of the Convention for the Strengthening of the Inter-American Tropical Tuna Commission established by the 1949 Convention between the United States of America and the Republic of Costa Rica (OJ L 224, 16.8.2006, p. 22)),
- arrangement for the conservation and management of swordfish stocks in the south-east Pacific, negotiating mandate in progress,

- South Pacific Regional Fisheries Management Organisation, negotiating mandate in progress,
- the Bering Sea Convention.

This appropriation is intended to cover, inter alia:

- expenditure arising from the Union's compulsory contributions to the budgets of international fisheries organisations,
- Union membership and voluntary funding of FAO, fisheries section.

Legal basis

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Article 11 03 03 — Preparatory work for new international fisheries organisations and other non-compulsory contributions to international organisations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 500 000	4 000 000	5 500 000	5 215 170	1 919 811,20	3 812 928,79

Remarks

This appropriation is intended to fund:

- preparatory work on new international fisheries organisations (South Indian Ocean Fisheries Agreement, South Pacific Regional Fisheries Management Organisation, etc.),
- international fisheries organisations in which the Union has observer status (Article 217 of the Treaty on the Functioning of the European Union):
 - the International Whaling Commission (IWC),
 - the Organisation for Economic Cooperation and Development (OECD),
- support for the follow-up and implementation of some regional projects, in particular by contributing to specific joint international control and inspection activities. This appropriation should also cover surveillance programmes to be negotiated in West Africa and the Western Pacific.

This appropriation is also intended to cover, inter alia:

- registration fees for meetings of international fisheries organisations in which the Union has observer status,
- financial contributions to preparations for new international fisheries organisations which are of interest to the Union,
- financial contributions to scientific work carried out by international fisheries organisations which is of special interest to the Union,
- financial contributions to activities (working, informal or extraordinary meetings of the contracting parties) which uphold the interests of the Union in international fisheries organisations and strengthen cooperation with its partners in these organisations with whom it has relations in the fisheries sector. In this connection, the costs of participation by representatives of third countries in negotiations and meetings within international forums and organisations, when their presence becomes necessary for Union interests, may also be charged to this article,
- grants to regional bodies in which coastal states are involved in the sub-region concerned.

This relates amongst others to the following organisations:

- CCAMLR (Council Decision 81/691/EEC of 4 September 1981 on the conclusion of the Convention on the conservation of Antarctic marine living resources (OJ L 252, 5.9.1981, p. 26)),
- NASCO (North Atlantic Salmon Conservation Organisation) (Council Decision 82/886/EEC of 13 December 1982 concerning the conclusion of the Convention for the Conservation of Salmon in the North Atlantic Ocean (OJ L 378, 31.12.1982, p. 24)),
- ICCAT (Council Decision 86/238/EEC of 9 June 1986 on the accession of the Community to the International Convention for the Conservation of Atlantic Tunas, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of

the States Parties to the Convention signed in Paris on 10 July 1984 (OJ L 162, 18.6.1986, p. 33)),

- NEAFC (North-East Atlantic Fisheries Commission) (Council Decision 81/608/EEC of 13 July 1981 concerning the conclusion of the Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (OJ L 227, 12.8.1981, p. 21)),
- FAO (Council Decision of 25 November 1991 on the accession of the European Community to the Food and Agriculture Organisation of the United Nations),
- NAFO (Northwest Atlantic Fisheries Organisation) (Council Regulation (EEC) No 3179/78 of 28 December 1978 concerning the conclusion by the European Economic Community of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (OJ L 378, 30.12.1978, p. 1)),
- IOTC (Council Decision 95/399/EC of 18 September 1995 on the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission (OJ L 236, 5.10.1995, p. 24)),
- GFCM (Council Decision 98/416/EC of 16 June 1998 on the accession of the European Community to the General Fisheries Commission for the Mediterranean (OJ L 190, 4.7.1998, p. 34)),
- Fishery Committee for the Eastern Central Atlantic (CECAF),
- Western Central Atlantic Fishery Commission (WECAFC),
- SEAFO (South East Atlantic Fisheries Organisation) (Council Decision 2002/738/EC of 22 July 2002 on the conclusion by the European Community of the Convention on the Conservation and Management of Fishery Resources in the South-East Atlantic Ocean (OJ L 234, 31.8.2002, p. 39)),
- SWAFO (Multilateral Agreement for the Conservation of the Marine Fauna and Flora in the High Seas Waters of the South-West Atlantic negotiating mandate No 13428/97),
- SIOFA (Council Decision 2008/780/EC of 29 September 2008 on the conclusion, on behalf of the European Community, of the Southern Indian Ocean Fisheries Agreement (OJ L 268, 9.10.2008, p. 27)),
- WCPFC (Western and Central Pacific Fisheries Commission, ex-MHLC) (Council Decision 2005/75/EC of 26 April 2004 on the accession of the Community to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (OJ L 32, 4.2.2005, p. 1)),
- AIDCP (Council Decision 2005/938/EC of 8 December 2005 on the approval on behalf of the European Community of the Agreement on the International Dolphin Conservation Programme (OJ L 348, 30.12.2005, p. 26)),
- South Pacific Regional Fisheries Organisation: pending ratification, the negotiating mandate remains effective,
- IATTC (Council Decision 2006/539/EC of 22 May 2006 on the conclusion, on behalf of the European Community of the Convention for the Strengthening of the Inter-American Tropical Tuna Commission established by the 1949 Convention between the United States of America and the Republic of Costa Rica (OJ L 224 16.8.2006, p. 22)),
- arrangement for the conservation and management of swordfish stocks in the south-east Pacific, negotiating mandate in progress,
- the Bering Sea Convention,
- COREP (Regional Fisheries Committee for the Gulf of Guinea),
- CSRP (West Africa Subregional Fisheries Commission),
- IOC (Indian Ocean Commission),
- other international organisations which could be identified in the context of the implementation of regional surveillance, fisheries and maritime governance programmes, in particular in West Africa and the Western Pacific.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6)(d) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Article 11 03 04 — European Union financial contribution to the bodies set up by the United Nations Convention on the Law of the Sea, 1982

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000	200 000	200 000	189 643	238 018,18	238 018,18

Remarks

This appropriation is intended to cover the European Union's financial contributions to the bodies set up by the United Nations Convention on the Law of the Sea, in particular the International Seabed Authority and the International Tribunal for the Law of the Sea.

Legal basis

Council Decision 98/392/EC of 23 March 1998 concerning the conclusion by the European Community of the United Nations Convention of 10 December 1982 on the Law of the Sea and the Agreement of 28 July 1994 relating to the implementation of Part XI thereof (OJ L 179, 23.6.1998, p. 1).

United Nations Convention on the Law of the Sea (OJ L 179, 23.6.1998, p. 3).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

CHAPTER 11 04 — GOVERNANCE OF THE COMMON FISHERIES POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 04 <i>11 04 01</i>	Governance of the common fisheries policy <i>Closer dialogue with the fishing industry and those affected by the common fisheries policy</i> Chapter 11 04 — Total	2	5 390 000	4 877 000	6 400 000	5 641 866	5 965 400,61	5 222 818,80	107,09 %
			5 390 000	4 877 000	6 400 000	5 641 866	5 965 400,61	5 222 818,80	107,09 %

Article 11 04 01 — Closer dialogue with the fishing industry and those affected by the common fisheries policy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 390 000	4 877 000	6 400 000	5 641 866	5 965 400,61	5 222 818,80

Remarks

This appropriation is intended to finance the following under the action plan for closer dialogue with the fishing industry and those affected by the common fisheries policy and the integrated maritime policy:

- grants to Regional Advisory Councils (RACs) to cover operational costs as well as interpretation and translation costs of RAC meetings,
- the implementation of measures to provide documentation about and explain the common fisheries policy aimed at the fishing industry and those affected by the common fisheries policy, as well as the integrated maritime policy.

The Commission will continue supporting the functioning of the RACs with a financial contribution and by participating in meetings,

preparing relevant documents and ensuring that all RAC opinions are included when drafting new legislation. If the Commission does not include the RAC opinion or only parts of the opinion, the Commission should state why and where it deviates from the RAC opinion. The involvement of people working in fisheries and other interest groups in the common fisheries policy process will be strengthened, so that greater account of specific regional characteristics is taken.

Part of the appropriation is earmarked also for information and communication activities linked to the common fisheries policy and the integrated maritime policy as well as for communication activities addressed to the interested parties. Efforts will continue to be made to inform the stakeholders and the specialised media in the new Member States as well as in the countries joining the European Union in the forthcoming enlargement about the common fisheries policy and the integrated maritime policy.

Any revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Legal basis

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Decision 2004/585/EC of 19 July 2004 establishing Regional Advisory Councils under the Common Fisheries Policy (OJ L 256, 3.8.2004, p. 17).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6)(d) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 11 06 — EUROPEAN FISHERIES FUND (EFF)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 06	European Fisheries Fund (EFF)								
11 06 01	Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)	2	p.m.	15 000 000	p.m.	21 334 787	0,—	323 976,81	2,16 %
11 06 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	2	p.m.	p.m.	p.m.	p.m.	0,—	172 858,60	
11 06 03	Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
11 06 04	Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Outside Objective 1 areas (2000 to 2006)	2	p.m.	5 000 000	p.m.	7 111 596	0,—	170 953,65	3,42 %
11 06 05	Completion of earlier programmes — Former Objective 5a (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
11 06 06	Completion of earlier programmes — Initiatives prior to 2000	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
11 06 08	Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
11 06 09	Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
11 06 11	European Fisheries Fund (EFF) — Operational technical assistance	2	3 500 000	2 600 000	4 346 082	3 413 566	3 044 489,59	3 507 870,95	134,92 %
11 06 12	European Fisheries Fund (EFF) — Convergence objective	2	519 652 868	375 000 000	507 543 231	341 356 590	496 297 184,00	320 405 478,47	85,44 %

11 06 13	<i>European Fisheries Fund (EFF) — Non-convergence objective</i>	2	163 154 844	125 000 000	159 986 289	113 785 530	156 907 301,00	120 503 929,91	96,40 %
			686 307 712	522 600 000	671 875 602	487 002 069	656 248 974,59	445 085 068,39	85,17 %
	Chapter 11 06 — Total								

Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Item 6 5 0 0 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Article 18 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Regulation (EC) No 1260/1999 determines the conditions for repayment of an advance without having the effect of reducing the contribution from the Structural Funds towards the assistance concerned. Any revenue from the repayment of advances entered in Item 6 1 5 7 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation.

Measures to combat fraud are funded under Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (EFF) (OJ L 223, 15.8.2006, p. 1).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Article 11 06 01 — Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	15 000 000	p.m.	21 334 787	0,—	323 976,81

Remarks

This appropriation is intended to cover the financing, by the FIFG, of Objective 1 commitments remaining to be settled from the 2000-2006 programming period.

Legal basis

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

Article 11 06 02 — Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	172 858,60

Remarks

The special programme for peace and reconciliation is intended to cover commitments remaining to be settled from the 2000-2006 programming period.

Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 2(4) thereof.

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

Article 11 06 03 — Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing, by the FIGG of commitments remaining to be settled from previous programming periods in respect of former Objectives 1 and 6.

Legal basis

Council Regulation (EEC) No 4028/86 of 18 December 1986 on Community measures to improve and adapt structures in the fisheries and aquaculture sector (OJ L 376, 31.12.1986, p. 7).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EC) No 2468/98 of 3 November 1998 laying down the criteria and arrangements regarding Community structural assistance in the fisheries and aquaculture sector and the processing and marketing of its products (OJ L 312, 20.11.1998, p. 19).

Article 11 06 04 — Completion of the Financial Instrument for Fisheries Guidance (FIGG) — Outside Objective 1 areas (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	5 000 000	p.m.	7 111 596	0,—	170 953,65

Remarks

This appropriation is intended to cover the financing, by the FIGG, of commitments remaining to be settled from the 2000-2006 programming period, in respect of areas falling outside the scope of Objective 1.

Legal basis

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999,

p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

Article 11 06 05 — Completion of earlier programmes — Former Objective 5a (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing, by the FIFG, of commitments remaining to be settled from earlier programming periods in respect of former Objective 5a 'Fisheries', including measures funded pursuant to Article 4 of Regulation (EEC) No 2080/93.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EC) No 2468/98 of 3 November 1998 laying down the criteria and arrangements regarding Community structural assistance in the fisheries and aquaculture sector and the processing and marketing of its products (OJ L 312, 20.11.1998, p. 19).

Article 11 06 06 — Completion of earlier programmes — Initiatives prior to 2000

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing, by the FIFG, of the commitments remaining to be settled from Community initiatives preceding the 2000-2006 programming period.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Reference acts

Commission notice to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (Pesca) (OJ C 180, 1.7.1994, p. 1).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (Regis II)

(OJ C 180, 1.7.1994, p. 44).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (Peace I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (Peace I) (COM(97) 642 final).

Article 11 06 08 — Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the settlement of commitments entered into, in earlier programming periods, by the FIFG in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the Regulations concerned. It also funds the former multiannual measures, in particular those approved and implemented under the other abovementioned regulations, which are not covered by the priority objectives of the Structural Funds. This appropriation will also be used if necessary to cover financing due under the FIFG for measures for which the corresponding commitment appropriations are not available or provided for in programming for 2000 to 2006.

Legal basis

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 3760/92 of 20 December 1992 establishing a Community system for fisheries and aquaculture (OJ L 389, 31.12.1992, p. 1).

Council Regulation (EEC) No 2847/93 of 12 October 1993 establishing a control system applicable to the common fisheries policy (OJ L 261, 20.10.1993, p. 1).

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

Article 11 06 09 — Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the specific measure aimed at promoting the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco.

Following the sinking of the *Prestige*, EUR 30 000 000 was allocated to specific measures to compensate the fishermen and the shellfish culture and aquaculture industries affected by the oil spills.

Legal basis

Council Regulation (EC) No 2561/2001 of 17 December 2001 aiming to promote the conversion of fishing vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco (OJ L 344, 28.12.2001, p. 17).

Council Regulation (EC) No 2372/2002 of 20 December 2002 instituting specific measures to compensate the Spanish fisheries, shellfish industry and aquaculture, affected by the oil spills from the *Prestige* (OJ L 358, 31.12.2002, p. 81).

Article 11 06 11 — European Fisheries Fund (EFF) — Operational technical assistance

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 500 000	2 600 000	4 346 082	3 413 566	3 044 489,59	3 507 870,95

Remarks

This appropriation is intended to cover EFF technical assistance measures as provided for in Article 46 of Regulation (EC) No 1198/2006. The technical assistance measures include studies, evaluations, measures aimed at the partners, measures to disseminate information, installation, operation and interconnection of computerised systems for the management, monitoring, audit, inspection and evaluation, improvements in evaluation methods and the exchange of information on practices in this field and the establishment of trans-national and Union networks of actors in the sustainable development of coastal fishing zones.

Technical assistance covers preparatory, monitoring, audit, evaluation, supervision and management measures required to implement the EFF.

This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- support for networking and exchange of best practices.

Legal basis

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Article 11 06 12 — European Fisheries Fund (EFF) — Convergence objective

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
519 652 868	375 000 000	507 543 231	341 356 590	496 297 184,00	320 405 478,47

Remarks

This appropriation is intended to cover the operational programmes of the European Fisheries Fund (EFF) convergence objective for the 2007-2013 programming period.

Particular importance will be attached to the economic diversification of regions affected by a reduction in fishing activity, to the adjustment of fleet capacity and to fleet renewal, without involving an increase in fishing efforts, as well as to the sustainable development of the fishing zones.

Actions financed under this article should take into account the need to maintain a stable and enduring balance between the capacity of the fishing fleets and the resources available, and the need to promote a safety culture within fishing.

This appropriation is also intended to cover the financing of actions to improve fishing gear selectivity.

Legal basis

Council Regulation (EC) 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Article 11 06 13 — European Fisheries Fund (EFF) — Non-convergence objective

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
163 154 844	125 000 000	159 986 289	113 785 530	156 907 301,00	120 503 929,91

Remarks

This appropriation is intended to cover EFF measures without convergence objectives as regards commitments relating to the 2007-2013 programming period.

Particular importance will be attached to the economic diversification of regions affected by a reduction in fishing activity and to fleet renewal, without involving an increase in fishing efforts, as well as to the sustainable development of the fishing zones.

Actions financed under this article should take into account the need to promote a safety culture within fishing.

This appropriation is also intended to cover the financing of actions to improve fishing gear selectivity.

Legal basis

Council Regulation (EC) 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

CHAPTER 11 07 — CONSERVATION, MANAGEMENT AND EXPLOITATION OF LIVING AQUATIC RESOURCES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 07	Conservation, management and exploitation of living aquatic resources								
11 07 01	Support for the management of fishery resources (collection of basic data)	2	46 000 000	35 500 000	47 500 000	38 307 795	48 178 319,74	34 285 452,85	96,58 %

11 07 02	Support for the management of fishery resources (improvement of scientific advice)	2	5 200 000	4 000 000	4 500 000	3 318 745	4 160 541,00	2 351 478,60	58,79 %
11 07 03	Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders	2	—	750 000	1 500 000	750 000			
Chapter 11 07 — Total			51 200 000	40 250 000	53 500 000	42 376 540	52 338 860,74	36 636 931,45	91,02 %

Article 11 07 01 — Support for the management of fishery resources (collection of basic data)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
46 000 000	35 500 000	47 500 000	38 307 795	48 178 319,74	34 285 452,85

Remarks

This appropriation covers:

- the Union financial contribution to the expenditure incurred by Member States for the collection, management and use of data in the framework of multiannual national programmes,
- the financing of studies and pilot projects carried out by the Commission, where appropriate in cooperation with the Member States, necessary for the conservation, management and exploitation of living aquatic resources in the framework of the common fisheries policy.

Legal basis

Council Regulation (EC) No 1543/2000 of 29 June 2000 establishing a Community framework for the collection and management of the data needed to conduct the common fisheries policy (OJ L 176, 15.7.2000, p. 1).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Council Regulation (EC) No 199/2008 of 25 February 2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 60, 5.3.2008, p. 1).

Reference acts

Commission Regulation (EC) No 665/2008 of 14 July 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 199/2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 186, 15.7.2008, p. 3).

Commission Regulation (EC) No 1078/2008 of 3 November 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States for the collection and management of the basic fisheries data (OJ L 295, 4.11.2008, p. 24).

Article 11 07 02 — Support for the management of fishery resources (improvement of scientific advice)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 200 000	4 000 000	4 500 000	3 318 745	4 160 541,00	2 351 478,60

Remarks

This appropriation covers:

- expenditure for partnership contracts with national research institutions for the provision of scientific advice,

- expenditure for administrative arrangements with the Joint Research Centre, or any other Union consultative body, to provide the secretariat for the Scientific, Technical and Economic Committee for Fisheries (STECF), to conduct the pre-analysis of the data and to prepare the data to evaluate the situation of the fisheries resources,
- indemnities paid to the members of the STECF, and/or the experts invited by the STECF for their participation and work performed during the working groups and plenary sessions,
- indemnities paid to independent experts providing the Commission with scientific advice, or providing training to administrators or stakeholders in the interpretation of the scientific advice,
- contributions to international bodies in charge of stocks assessments and for providing scientific advice.

Legal basis

Council Regulation (EC) No 1543/2000 of 29 June 2000 establishing a Community framework for the collection and management of the data needed to conduct the common fisheries policy (OJ L 176, 15.7.2000, p. 1).

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Reference acts

Commission Decision 2005/629/EC of 26 August 2005 establishing a Scientific, Technical and Economic Committee for Fisheries (OJ L 225, 31.8.2005, p. 18).

Article 11 07 03 — Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	750 000	1 500 000	750 000	

Remarks

This appropriation is intended to cover the financing of a pilot project to develop collaborative research between scientists and stakeholders and tools for a common governance and sustainable fisheries management. The common fisheries policy reform presented in July 2011 identifies a number of priorities aimed at achieving ecological sustainability as well as orientating governance of fisheries towards a regionalised implementation of principles, defined at Union level. Regulations (EC) No 2371/2002 (OJ L 358, 31.12.2002, p. 59) and (EC) No 1967/2006 (OJ L 409, 30.12.2006, p. 11) set out the need to adopt management plans at national and Union levels. Those management plans should be focused mostly on basins with limited international waters and bordered by both Member States and third countries; basins where the exchange of information and experience among countries has already been undertaken, within multilateral operating entities, and basins where morphological, environmental and economic conditions allow a comparison of similar experiences, according to common parameters. As a good example, the north-central Adriatic area, corresponding to the geographical sub-area (GSA) 17 of FAO classification, demonstrates all three abovementioned basin characteristics.

The objective of this pilot project is to foster collaborative policy-oriented research in the fisheries sector involving scientists and stakeholders, particularly fishermen, at the geographical level of sea-basins and fisheries and aiming at improving the scientific knowledge underpinning decisions on fisheries management strategies and options.

The following tasks could be suitable for support under such a pilot framework:

- collecting, storing and analysing of qualitative and quantitative data and information which would appear to be necessary to carry out assessments on fish stock and fisheries and which would be complementary to those that are compulsory under the Data Collection Framework; to that end, creating an important tool to support decisions of the Union and national governments through the construction of a Geographic Informative System (GIS); with this tool it will be possible to develop models, which should represent best practice, hereby facilitating the activities of regional planning and governance of the basins; the project seeks to ensure the implementation and updating of the GIS, at various stages of acquisition, loading, processing and analysis of data, with definition of procedures for extraction and consultation of the basic components, using all data available from every

level, nationally and regionally; the basic requirement of the GIS to unify existing databases held by different governments in order to improve the interconnection and the flow of information now and in the future; the dissemination and access to different levels and contents of the geodatabase will be determined and adjusted on indications of the European Commission: any authorised entity may have a series of information that allow evaluating the temporal variation of different parameters,

- building methodologies, models, approaches, etc. allowing improvements in assessment of fish stocks, assessment of fisheries and evaluation and assessment of management options, and/or further developments to make an ecosystem approach to fisheries management operational and operable,
- running evaluation and assessment processes, particularly when backing the design and the follow-up of multiannual management plans,
- building up of forums where scientists and fishermen can exchange knowledge in order to reduce the gap between their diverging approaches.

The pilot project should fulfil some specific conditions, such as:

- being built at sea-basin or fishery levels,
- favouring an integrated approach among scientific fields linked to ecology, biology, technology and humanities,
- favouring an inclusive, collaborative and iterative way of working,
- being oriented towards results that are likely to be directly usable in the scientific expertise process and allowing policymakers to make relevant and reliable choices in the decision-making process,
- not being duplicated with research projects or studies already funded by or eligible for funding by the Seventh Framework programme (FP7) or DG MARE's scientific studies programme.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 11 08 — CONTROL AND ENFORCEMENT OF THE COMMON FISHERIES POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 08	Control and enforcement of the common fisheries policy								
11 08 01	Financial contribution to the Member States for expenses in the field of control	2	46 330 000	23 350 000	47 430 000	23 894 961	45 529 850,00	28 021 980,03	120,01 %
11 08 02	Inspection and surveillance of fishing activities in EU waters and elsewhere	2	2 600 000	2 400 000	2 300 000	2 180 889	2 138 037,28	1 931 238,22	80,47 %
11 08 05	European Fisheries Control Agency (EFCA)								
11 08 05 01	European Fisheries Control Agency (EFCA) — Contribution to Titles 1 and 2	2	7 311 359	7 311 359	7 337 359	7 337 359	7 280 000,00	7 280 000,00	99,57 %
11 08 05 02	European Fisheries Control Agency (EFCA) — Contribution to Title 3	2	1 622 541	1 622 541	1 693 541	2 693 541	5 570 000,00	4 570 000,00	281,66 %
	<i>Article 11 08 05 — Subtotal</i>		8 933 900	8 933 900	9 030 900	10 030 900	12 850 000,00	11 850 000,00	132,64 %
	Chapter 11 08 — Total		57 863 900	34 683 900	58 760 900	36 106 750	60 517 887,28	41 803 218,25	120,53 %

Article 11 08 01 — Financial contribution to the Member States for expenses in the field of control

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
46 330 000	23 350 000	47 430 000	23 894 961	45 529 850,00	28 021 980,03

Remarks

This appropriation is intended to cover expenditure incurred by Member States in implementing the monitoring and control systems applicable to the common fisheries policy for:

- investments relating to control activities carried out by administrative bodies or by the private sector including implementation of new control technologies such as electronic recording systems (ERS), vessel monitoring systems (VMS), automatic identification systems (AIS) connected with vessels detection systems (VDS) and the purchase and modernisation of control means,
- training and exchange programmes for civil servants responsible for monitoring control and surveillance tasks in the fisheries area,
- implementation of pilot inspection and observer schemes,
- cost/benefit analysis, assessment of expenditure and audits incurred by competent authorities in carrying out monitoring, control and surveillance,
- initiatives, including seminars and media tools, aimed at enhancing awareness both among fishermen and other players such as inspectors, public prosecutors and judges, and among the general public of the need to fight irresponsible and illegal fishing and on the implementation of the rules of the common fisheries policy,
- implementation of systems and procedures to enable traceability and instruments to control fleet capacity through the monitoring of engine power,
- pilot projects such as CCTV (closed circuit television).

Legal basis

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999 (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Reference acts

Commission Regulation (EC) No 391/2007 of 11 April 2007 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the Common Fisheries Policy (OJ L 97, 12.4.2007, p. 30).

Commission implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ L 112, 30.4.2011, p. 1).

Article 11 08 02 — Inspection and surveillance of fishing activities in EU waters and elsewhere

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 600 000	2 400 000	2 300 000	2 180 889	2 138 037,28	1 931 238,22

Remarks

This appropriation is intended to cover expenditure incurred by the Commission in fulfilling its mandate to apply, to verify and to audit the implementation of the common fisheries policy rules.

It covers administrative expenditure, which includes the cost of verification, inspection and audit missions to control and evaluate the application of the rules of the CFP, meetings of experts, Commission officials' equipment, information technology (including the setting-up and management of computerised databases) studies and training.

Legal basis

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (OJ L 286, 29.10.2008, p. 1).

Reference acts

Commission implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ L 112, 30.4.2011, p. 1).

Article 11 08 05 — European Fisheries Control Agency (EFCA)

Item 11 08 05 01 — European Fisheries Control Agency (EFCA) — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 311 359	7 311 359	7 337 359	7 337 359	7 280 000,00	7 280 000,00

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in the Part entitled 'Establishment plan staff' of Section III — Commission.

Legal basis

Council Regulation (EC) No 768/2005 of 26 April 2005 establishing a Community Fisheries Control Agency (OJ L 128, 21.5.2005, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Reference acts

Commission Decision 2009/988/EU of 18 December 2009 designating the Community Fisheries Control Agency as the body to carry out certain tasks under Council Regulation (EC) No 1005/2008 (OJ L 338, 19.12.2009, p. 104).

Item 11 08 05 02 — European Fisheries Control Agency (EFCA) — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 622 541	1 622 541	1 693 541	2 693 541	5 570 000,00	4 570 000,00

Remarks

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1) (f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union contribution for 2013 amounts to a total of EUR 9 216 900. An amount of EUR 283 000, coming from the recovery of surplus, is added to the amount of EUR 8 933 900 entered in the budget.

Legal basis

Council Regulation (EC) No 768/2005 of 26 April 2005 establishing a Community Fisheries Control Agency (OJ L 128, 21.5.2005, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Reference acts

Commission Decision 2009/988/EU of 18 December 2009 designating the Community Fisheries Control Agency as the body to carry out certain tasks under Council Regulation (EC) No 1005/2008 (OJ L 338, 19.12.2009, p. 104).

CHAPTER 11 09 — MARITIME POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 09	Maritime policy								
11 09 01	<i>Preparatory action — Maritime policy</i>	2	—	345 000	—	2 600 000	0,—	4 417 827,59	1280,53 %
11 09 02	<i>Pilot project — Networking and best practices in maritime policy</i>	2	—	—	—	2 200 000	0,—	1 072 754,39	
11 09 05	<i>Programme to support the further development of an integrated maritime policy (IMP)</i>	2	—	15 240 000	16 560 000	2 370 532	0,—	0,—	
	Chapter 11 09 — Total		—	15 585 000	16 560 000	7 170 532	0,—	5 490 581,98	35,23 %

Article 11 09 01 — Preparatory action — Maritime policy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	345 000	—	2 600 000	0,—	4 417 827,59

Remarks

This appropriation is intended to cover study work needed to underpin the developing maritime policy with sound facts and analyses, as far as there are gaps and as far as this is necessary as a basis for impact assessment and the preparation of future policy decisions. This is notably the case regarding socio-economic and legal matters in the maritime domain.

Three cross-cutting areas have been identified so far for potential future action: ‘Maritime spatial planning’, ‘Integration and convergence of marine data collection systems’ and ‘Maritime surveillance’. This appropriation is also intended to cover expenditure for carrying out feasibility studies with a view to elaborating future proposals in these areas.

This appropriation is also intended to promote integration of various systems for the monitoring and surveillance of the seas, collate scientific data on the sea, and disseminate networks and best practices in the field of maritime policy and the coastal economy.

Legal basis

Preparatory actions within the meaning of Article 49(6)(b) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 11 09 02 — Pilot project — Networking and best practices in maritime policy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	2 200 000	0,—	1 072 754,39

Remarks

This appropriation is intended to cover the promotion of pilot projects seeking to integrate various systems for the monitoring and surveillance of the seas, collate scientific data on the sea, and disseminate networks and best practices in the field of maritime policy and the coastal economy.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 11 09 05 — Programme to support the further development of an integrated maritime policy (IMP)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	15 240 000	16 560 000	2 370 532	0,—	0,—

Remarks

This appropriation is intended to cover expenditure arising from the programme to support the further development of the integrated maritime policy.

This appropriation is also intended to cover, inter alia:

- the European Marine Observation and Data Network,
- implementation of the road map for the Common Information Sharing Environment,

- pilot studies on cross-border maritime spatial planning,
- information technology applications such as the maritime forum and the European Atlas of the Seas,
- events and conferences,
- studies, to be carried out at a European and sea-basin scale, in order to identify barriers to growth, assess new opportunities and determine human impact on the marine environment.

Legal basis

Regulation (EU) No 1255/2011 of the European Parliament and of the Council of 30 November 2011 establishing a Programme to support the further development of an Integrated Maritime Policy (OJ L 321, 5.12.2011, p. 1).

TITLE 12 — INTERNAL MARKET

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
12 01	Administrative expenditure of the 'Internal market' policy area 40 01 40		64 700 183	64 700 183	60 414 994 97 284 60 512 278	60 414 994 97 284 60 512 278	62 091 408,30	62 091 408,30
12 02	Internal market policy	1	9 800 000	10 555 000	11 250 000	9 980 984	12 414 857,23	10 924 869,40
12 04	Free movement of capital, company law and corporate governance	1	28 666 000	28 116 000	29 181 000	27 124 506	23 474 000,00	23 122 516,00
	Title 12 — Total 40 01 40 Total including reserves		103 166 183	103 371 183	100 845 994 97 284 100 943 278	97 520 484 97 284 97 617 768	97 980 265,53	96 138 793,70

CHAPTER 12 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
12 01	Administrative expenditure of the 'Internal market' policy area					
12 01 01	<i>Expenditure related to staff in active employment in the 'Internal market' policy area</i>	5	50 859 308	46 765 864	47 893 595,70	94,17 %
12 01 02	<i>External staff and other management expenditure in support of the 'Internal market' policy area</i>					
12 01 02 01	External staff	5	6 454 085	6 568 336	6 423 121,45	99,52 %
12 01 02 11	Other management expenditure	5	3 525 911	3 394 875	3 477 999,74	98,64 %
	40 01 40 <i>Article 12 01 02 — Subtotal</i> 40 01 40		9 979 996	9 963 211 97 284 10 060 495	9 901 121,19	99,21 %
12 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Internal market' policy area</i>	5	3 160 879	2 985 919	3 600 245,59	113,90 %
12 01 04	<i>Support expenditure for operations in the 'Internal market' policy area</i>					
12 01 04 01	Implementation and development of the internal market — Expenditure on administrative management	1.1	700 000	700 000	696 445,82	99,49 %
	<i>Article 12 01 04 — Subtotal</i>		700 000	700 000	696 445,82	99,49 %
	Chapter 12 01 — Total 40 01 40 Total including reserves		64 700 183	60 414 994 97 284 60 512 278	62 091 408,30	95,97 %

Article 12 01 01 — Expenditure related to staff in active employment in the ‘Internal market’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
50 859 308	46 765 864	47 893 595,70

Article 12 01 02 — External staff and other management expenditure in support of the ‘Internal market’ policy area

Item 12 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 454 085	6 568 336	6 423 121,45

Item 12 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
12 01 02 11	3 525 911	3 394 875	3 477 999,74
40 01 40		97 284	
Total	3 525 911	3 492 159	3 477 999,74

Article 12 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Internal market’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 160 879	2 985 919	3 600 245,59

Article 12 01 04 — Support expenditure for operations in the ‘Internal market’ policy area

Item 12 01 04 01 — Implementation and development of the internal market — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
700 000	700 000	696 445,82

Remarks

This appropriation is intended to cover expenditure on studies, surveys, meetings of experts, information, activities and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to

implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 12 02 01.

CHAPTER 12 02 — INTERNAL MARKET POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
12 02	Internal market policy								
12 02 01	<i>Implementation and development of the internal market</i>	1.1	8 800 000	8 000 000	8 800 000	7 167 283	10 086 203,02	9 330 349,14	116,63 %
12 02 02	<i>Solvit programme and Single Market Assistance Services action plan</i>	1.1	p.m.	950 000	p.m.	1 088 701	1 293 240,64	1 102 619,35	116,07 %
12 02 03	<i>Pilot project — Single Market Forum</i>	1.1	—	855 000	1 200 000	600 000	1 035 413,57	491 900,91	57,53 %
12 02 04	<i>Pilot project — Capacity building of end-users and other non-industry stakeholders for Union policymaking in the area of financial services</i>	1.1	1 000 000	750 000	1 250 000	1 125 000			
	Chapter 12 02 — Total		9 800 000	10 555 000	11 250 000	9 980 984	12 414 857,23	10 924 869,40	103,50 %

Article 12 02 01 — Implementation and development of the internal market

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 800 000	8 000 000	8 800 000	7 167 283	10 086 203,02	9 330 349,14

Remarks

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion of the internal market and its operation and development, and measures contributing, in particular, to:

- greater proximity to citizens and businesses, including the development and strengthening of dialogue with citizens and businesses: via measures intended to make the operation of the internal market more effective, and to ensure that citizens and businesses are able to gain access to the most extensive rights and opportunities resulting from the opening up and deepening of the internal market without borders and able to exercise those rights and opportunities in full; and via monitoring and evaluation measures relating to the practical exercise by citizens and businesses of their rights and opportunities with a view to identifying and facilitating the removal of any obstacles which may be preventing them from exercising those rights in full,
- implementing and monitoring the provisions governing public contracts with a view to ensuring their optimum operation and the genuine openness of tenders, including the awareness-raising and training of the various parties to these contracts; the introduction and use of new technologies in the various fields of operation of these contracts; the continuous adaptation of the legislative and regulatory framework in the light of developments arising from these contracts, particularly the globalisation of markets and existing or future international agreements,
- improvement, through the European business test panel (EBTP), of the legal environment for citizens and businesses, for which promotion activities, awareness-raising and training actions could be envisaged; fostering cooperation, development and coordination of legislation in the field of company law and assistance with the creation of European limited companies and European economic interest groupings,
- strengthening administrative cooperation through, inter alia, the Internal Market Information System (IMI), the deepening of

knowledge of internal market legislation in the Member States and its sound application by them, and support for administrative cooperation between the authorities responsible for implementing legislation relating to the internal market with a view to achieving the Lisbon strategic goals as set out in the annual policy strategy,

- the setting-up of a system that can effectively and efficiently deal with problems faced by citizens or businesses, arising from the misapplication of internal market legislation by a public administration in another Member State; production of feedback information through the Solvit system by use of an online database system which is accessible to all coordination centres and which will also be made accessible to citizens and businesses; support for the initiative through training measures, promotion campaigns and targeted actions with a particular focus on the new Member States,
- interactive policymaking (IPM), in so far as it concerns the completion, development and operation of the internal market, makes up part of the Commission's governance and of the regulatory policy initiatives to better respond to the demands of citizens, consumers and business. The appropriations entered under this item will also cover training, awareness-raising and network actions to the benefit of such participants with a view to making Union policymaking on the internal market more comprehensive and effective, and as part of the process of assessing the actual impact of internal market policies (or the lack of them) on the ground,
- a comprehensive review of regulations with a view to making necessary changes and producing an overall analysis of the effectiveness of the measures taken with a view to the sound operation of the internal market and the evaluation of the overall impact of the internal market on businesses and the economy, including the purchase of data and access by Commission departments to external databases, as well as targeted actions aimed at improving understanding of the functioning of the internal market and rewarding active participation in fostering the functioning of the internal market,
- guaranteeing the completion and management of the internal market, especially in the fields of pensions, free movement of services, recognition of professional qualifications, and intellectual and industrial property: the development of proposals for putting in place a Union patent,
- broadening the strategy regarding the development of statistics on service sectors and statistical development projects in cooperation with Eurostat and the Organisation for Economic Cooperation and Development (OECD),
- monitoring the effects of removing obstacles to the internal market for services,
- the development of a unified area for security and defence, with action working towards the coordination of public procurement procedures for these products at Union level; appropriations may cover devising studies and awareness-raising measures regarding the application of the legislation adopted,
- strengthening and developing financial and capital markets and financial services provided to business and private individuals; adapting the market framework especially as regards the monitoring and regulation of the activities of operators and of transactions, so as to take account of changes at Union and world levels, of the reality of the euro and of new financial instruments, by putting forward new initiatives intended to consolidate, and provide a detailed analysis of, the results of the first Financial Services Action Plan,
- improvement of payment systems and retail financial services in the internal market; reduction in the cost of, and time needed for, such transactions, taking into account the internal market dimension; development of the technical aspects so as to establish one or more payment systems on the basis of the follow-up in respect of Commission communications; carrying out studies in this area,
- developing and strengthening the external aspects of the directives applicable in respect of financial institutions, the mutual recognition of financial instruments vis-à-vis third countries, international negotiations and assistance for third countries in establishing a market economy,
- implementing the many measures put forward in the Action Plan on Company Law and Corporate Governance which could lead to studies on a number of targeted subjects, with a view to drawing up the necessary legislative proposals,
- analysis of the effect of measures in place as part of the follow-up to the progressive liberalisation of postal services, coordination of Union policies on postal services with regard to international systems and in particular with regard to participants in Universal Postal Union (UPU) activities; cooperation with central and eastern European countries; practical implications of the application of the General Agreement on Trade (GATS) provisions to the postal sector and overlap with UPU regulations,
- implementing of the law of the Union and international provisions in the field of money laundering, including participation in intergovernmental or ad hoc measures in this field; contributions relating to Commission participation as a member of the Financial Action Task Force (FATF) on money laundering created under the OECD,
- active participation in meetings held by international associations such as the International Association of Insurance Supervisors (IAIS/AICA) and the International Organisation of Securities Commission (IOSCO); this also comprises expenses related to the Commission's participation as a member of the group,

- development of evaluations and impact studies on the various aspects of the policies covered by this chapter for the purpose of devising new measures or revising existing measures relating to them,
- creation and maintenance of systems directly linked to putting into place and monitoring policies launched within the framework of the internal market for services,
- support of activities which seek to contribute to the achievement of the Union's policy objectives by enhancing supervisory convergence and cooperation, and in the field of financial reporting, both inside and outside the Union.

In order to achieve these objectives, this appropriation covers the costs of consultation, studies, surveys, evaluations, participation, production and the development of publicity, awareness-raising and training materials (printed matter, audiovisual material, assessments, computer tools, the collection and dissemination of information, information and advice measures for citizens and businesses).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to cover expenditure incurred by the Commission for ensuring the effective functioning of the European Counterfeiting and Piracy Observatory.

These appropriations are also intended for setting up a central coordination body assisting the Member States with market surveillance cooperation, building on existing structures and experience. This coordination body would support the cooperation, pooling of know-how and sharing of best practices between Member States to ensure the same high level of market surveillance throughout the European Union, in accordance with Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products (OJ L 218, 13.8.2008, p. 30). To this end, regular joint trainings for representatives of national market surveillance authorities from all Member States will be organised, focusing on relevant practical aspects of market surveillance such as follow-up of complaints, monitoring accidents, verifying that corrective action has been taken, follow-up of scientific and technical knowledge concerning safety issues and coordination with customs authorities. Furthermore, exchanges of national officials and joint visit programmes will foster the exchange of experiences between Member States. In addition, comparative data on the resources dedicated to market surveillance in the different Member States will be collected and discussed at the appropriate level with national authorities. The aim is to create awareness for the adequate resources needed to guarantee efficient, comprehensive and consistent market surveillance throughout the internal market, and contribute to the upcoming revision of the Union product safety rules, particularly those concerning market surveillance, and the preparation of the follow-up to the Customs 2013 programme.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication of 18 June 2002 entitled 'A Methodological Note for the Horizontal Evaluation of Services of General Economic Interest' (COM(2002) 0331 final).

Article 12 02 02 — Solvit programme and Single Market Assistance Services action plan

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	950 000	p.m.	1 088 701	1 293 240,64	1 102 619,35

Remarks

This appropriation is intended to cover expenditure arising in connection with measures for the management and development of Solvit and for the implementation of the single market assistance services action plan.

The Solvit system has proved to be one of the most effective out-of-court dispute settlement mechanisms.

It can effectively and efficiently deal with problems faced by citizens or businesses, arising as a result of the misapplication of internal market legislation by a public administration in another Member State. Furthermore, the Solvit system is able to produce feedback information by using an online database system which is accessible to all coordination centres and to which citizens and business can directly submit their problems.

However, Solvit like many other public assistance services relating to Union issues is still not widely known among potential users of the system. At the same time citizens and businesses are often very confused about the right address for their requests for information, assistance or problem solving. To remedy this, the Commission has announced, as part of the single market review, that it will endeavour to create a single web-based access point guiding citizens and business to the right service. In October 2010 the Commission launched a new website, Your Europe, which provides citizens and businesses with information, and sends them directly to relevant services such as Solvit in the event that they need help. Your Europe, together with the Europe Direct call centre, represents the single contact point for the Solvit system. The Commission shall inform the competent committee of the European Parliament in a yearly report about the frequency of use of the contact point and possible measures to further improve it.

Member States should be urged to take appropriate measures to notify their citizens about the provision of such a single contact point.

Moreover, in the Commission's action plan of 8 May 2008 on an integrated approach for providing Single Market Assistance Services to citizens and businesses, a range of actions was proposed to increase cooperation between the existing assistance services so that they can offer a better, faster and more streamlined overall service to citizens and businesses.

Promotion of all these services also needs to take place in a more coordinated way to avoid citizens and businesses being confused by too many brand names.

Additionally, the Commission shall inform the competent committee of the European Parliament about the concrete measures which have been undertaken in this field.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 12 02 03 — Pilot project — Single Market Forum

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	855 000	1 200 000	600 000	1 035 413,57	491 900,91

Remarks

The Single Market Forum (SMF) is an annual event organised by the Commission with the European Parliament, which takes place in the Member State holding the Union presidency, and brings together representatives of citizens, businesses and consumer organisations as well as representatives of the Member States and the Union institutions in order to improve coordination and better governance of the single market. The debates and workshops held during the SMF should deal with transposition, application and enforcement of single market legislation, and should allow for exchange of best practices between stakeholders and for informing citizens of their rights in the single market.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 12 02 04 — Pilot project — Capacity building of end-users and other non-industry stakeholders for Union policymaking in the area of financial services

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
1 000 000	750 000	1 250 000	1 125 000	

Remarks

The financial and economic crisis has significantly shaken the confidence of consumers, retail investors and SMEs in the regulations that are meant to protect them from failings in the financial system. Therefore, it is more essential than ever that the concerns of end users and other non-industry stakeholders are taken into account in designing initiatives that aim to restore citizens' confidence in the soundness of the financial sector and in the ability of financial integration to deliver concrete benefits to them.

This pilot project aims to enhance the capacity of civil society organisations to participate in Union policymaking in the area of financial services, with a view to providing policymakers with a counterweight to the lobbies of the financial services sector and inform the wider public of the issues at stake in the regulation of financial markets for consumers, end-users, retail investors and other non-industry stakeholders.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 12 04 — FREE MOVEMENT OF CAPITAL, COMPANY LAW AND CORPORATE GOVERNANCE

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
12 04	Free movement of capital, company law and corporate governance								
12 04 01	Specific activities in the field of financial services, financial reporting and auditing								
12 04 02	European Banking Authority								
12 04 02 01	European Banking Authority — Contribution to Titles 1 and 2	1.1	7 474 000	7 274 000	7 099 000	7 099 000	3 956 600,00	3 956 600,00	54,39 %
12 04 02 02	European Banking Authority — Contribution to Title 3	1.1	1 071 000	1 071 000	1 200 000	1 200 000	1 116 400,00	1 116 400,00	104,24 %
	<i>Article 12 04 02 — Subtotal</i>		8 545 000	8 345 000	8 299 000	8 299 000	5 073 000,00	5 073 000,00	60,79 %
12 04 03	European Insurance and Occupational Pensions Authority								
12 04 03 01	European Insurance and Occupational Pensions Authority — Contribution to Titles 1 and 2	1.1	4 809 000	4 509 000	5 070 000	5 070 000	3 098 600,00	3 098 600,00	68,72 %
12 04 03 02	European Insurance and Occupational Pensions Authority — Contribution to Title 3	1.1	1 029 000	1 029 000	1 192 000	1 192 000	1 168 400,00	1 168 400,00	113,55 %
	<i>Article 12 04 03 — Subtotal</i>		5 838 000	5 538 000	6 262 000	6 262 000	4 267 000,00	4 267 000,00	77,05 %
12 04 04	European Securities and Markets Authority								
12 04 04 01	European Securities and Markets Authority — Contribution to Titles 1 and 2	1.1	5 433 000	5 433 000	5 838 477	5 838 477	5 264 000,00	5 264 000,00	96,89 %
12 04 04 02	European Securities and Markets Authority — Contribution to Title 3	1.1	1 200 000	1 200 000	1 281 523	1 281 523	1 520 000,00	1 520 000,00	126,67 %
	<i>Article 12 04 04 — Subtotal</i>		6 633 000	6 633 000	7 120 000	7 120 000	6 784 000,00	6 784 000,00	102,28 %
	Chapter 12 04 — Total		28 666 000	28 116 000	29 181 000	27 124 506	23 474 000,00	23 122 516,00	82,24 %

Article 12 04 01 — Specific activities in the field of financial services, financial reporting and auditing

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 650 000	7 600 000	7 500 000	5 443 506	7 350 000,00	6 998 516,00

Remarks

The general objective of this programme is to improve the conditions for the functioning of the internal market by supporting the operations, activities or actions of certain bodies in the fields of financial services, financial reporting and auditing.

Union funding is vital in order to ensure the efficient and effective supervision of the internal market in financial services, particularly in view of the recent financial crisis.

The following activities are covered by the programme:

(a) activities supporting the implementation of Union policies aimed at supervisory convergence, in particular by means of training of personnel of national supervisory authorities and management of information technology projects in the field of financial services;

(b) activities developing or providing input to the development of standards, applying, assessing or monitoring standards or overseeing standard setting processes in support of the implementation of Union policies in the field of financial reporting and auditing.

Legal basis

Decision No 716/2009/EC of the European Parliament and of the Council of 16 September 2009 establishing a Community programme to support specific activities in the field of financial services, financial reporting and auditing (OJ L 253, 25.9.2009, p. 8).

Article 12 04 02 — European Banking Authority

Item 12 04 02 01 — European Banking Authority — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 474 000	7 274 000	7 099 000	7 099 000	3 956 600,00	3 956 600,00

Remarks

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 72) constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Banking Authority (EBA) is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the

European Supervisory Authority (European Banking Authority), established by Regulation (EU) No 1093/2010, the European Supervisory Authority is to form part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

Legal basis

Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority) (OJ L 331, 15.12.2010, p. 12).

Item 12 04 02 02 — European Banking Authority — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 071 000	1 071 000	1 200 000	1 200 000	1 116 400,00	1 116 400,00

Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Banking Authority), established by Regulation (EU) No 1093/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's operational expenditure relating to the work programme (Title 3).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 9 672 000. An amount of EUR 1 327 000, corresponding to the recovery of surplus which stems from the Union contribution in 2011, is added to the amount of EUR 8 354 000 entered in the budget.

Legal basis

Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority) (OJ L 331, 15.12.2010, p. 12).

Article 12 04 03 — European Insurance and Occupational Pensions Authority

Item 12 04 03 01 — European Insurance and Occupational Pensions Authority — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 809 000	4 509 000	5 070 000	5 070 000	3 098 600,00	3 098 600,00

Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Insurance and Occupational Pensions Authority) established by Regulation (EU) No 1094/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Insurance and Occupational Pensions Authority (EIOPA) is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority) (OJ L 331, 15.12.2010, p. 48).

Item 12 04 03 02 — European Insurance and Occupational Pensions Authority — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 029 000	1 029 000	1 192 000	1 192 000	1 168 400,00	1 168 400,00

Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof as well as to the European Supervisory Authority (European Insurance and Occupational Pensions Authority) established by Regulation (EU) No 1094/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's operational expenditure relating to the work programme (Title 3).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 6 660 000. An amount of EUR 1 122 000, corresponding to the recovery of surplus which stems from the Union contribution in 2011, is added to the amount of EUR 5 538 000 entered in the budget.

Legal basis

Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority) (OJ L 331, 15.12.2010, p. 48).

Article 12 04 04 — European Securities and Markets Authority

Item 12 04 04 01 — European Securities and Markets Authority — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 433 000	5 433 000	5 838 477	5 838 477	5 264 000,00	5 264 000,00

Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Securities and Markets Authority), established by Regulation (EU) No 1095/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Securities and Markets Authority (ESMA) is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority) (OJ L 331, 15.12.2010, p. 84).

Item 12 04 04 02 — European Securities and Markets Authority — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	1 200 000	1 281 523	1 281 523	1 520 000,00	1 520 000,00

Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Securities and Markets Authority), established by Regulation (EU) No 1095/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure

confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's operational expenditure relating to the work programme (Title 3).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 8 357 400. An amount of EUR 1 724 400, corresponding to the recovery of surplus which stems from the Union contribution in 2011, is added to the amount of EUR 6 633 000 entered in the budget.

Legal basis

Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority) (OJ L 331, 15.12.2010, p. 84).

TITLE 13 — REGIONAL POLICY

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 01	Administrative expenditure of the 'Regional policy' policy area 40 01 40		89 883 386	89 883 386	89 624 810 16 463 89 641 273	89 624 810 16 463 89 641 273	86 609 307,40	86 609 307,40
13 03	European Regional Development Fund and other regional operations	1	30 390 578 699	28 190 134 163	29 611 464 423	26 235 431 887	28 699 290 053,43	25 840 063 410,00
13 04	Cohesion Fund	1	12 350 000 000	9 672 000 000	11 788 814 578	8 757 388 636	11 020 238 878,86	6 450 271 953,00
13 05	Pre-accession operations related to the structural policies		549 770 452	606 200 000	555 341 668	455 543 710	486 621 159,00	351 405 253,00
13 06	Solidarity Fund		p.m.	p.m.	18 061 682	18 061 682	201 935 540,00	268 827 080,00
	Title 13 — Total 40 01 40 Total including reserves		43 380 232 537	38 558 217 549	42 063 307 161 16 463 42 063 323 624	35 556 050 725 16 463 35 556 067 188	40 494 694 938,69	32 997 177 003,40

CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL POLICY' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
13 01	Administrative expenditure of the 'Regional policy' policy area					
13 01 01	<i>Expenditure related to staff in active employment in the 'Regional policy' policy area</i>	5	60 311 948	59 156 818	59 468 688,13	98,60 %
13 01 02	<i>External staff and other management expenditure in support of the 'Regional policy' policy area</i>					
13 01 02 01	External staff	5	2 105 270	2 137 197	2 532 676,52	120,30 %
13 01 02 11	Other management expenditure	5	3 101 813	3 094 285	3 398 608,09	109,57 %

	40 01 40							
						16 463		
						3 110 748		
	<i>Article 13 01 02 — Subtotal</i>	5 207 083		5 231 482			5 931 284,61	113,91 %
	40 01 40					16 463		
						5 247 945		
13 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Regional policy' policy area</i>							
13 01 04	<i>Support expenditure for operations in the 'Regional policy' policy area</i>							
13 01 04 01	European Regional Development Fund (ERDF) — Expenditure on administrative management		5					
13 01 04 02	Instrument for Pre-Accession Assistance (IPA) — Regional development component — Expenditure on administrative management	11 300 000		11 600 000			9 373 091,67	82,95 %
13 01 04 03	Cohesion Fund (CF) — Expenditure on administrative management	5 116 000		5 659 450			3 333 485,00	65,16 %
		4 200 000		4 200 000			4 030 109,01	95,95 %
	<i>Article 13 01 04 — Subtotal</i>	20 616 000		21 459 450			16 736 685,68	81,18 %
	Chapter 13 01 — Total	89 883 386		89 624 810			86 609 307,40	96,36 %
	40 01 40					16 463		
	Total including reserves					89 641 273		

Article 13 01 01 — Expenditure related to staff in active employment in the 'Regional policy' policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
60 311 948	59 156 818	59 468 688,13

Article 13 01 02 — External staff and other management expenditure in support of the 'Regional policy' policy area

Item 13 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 105 270	2 137 197	2 532 676,52

Item 13 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
13 01 02 11	3 101 813	3 094 285	3 398 608,09
40 01 40		16 463	
Total	3 101 813	3 110 748	3 398 608,09

Article 13 01 03 — Expenditure related to information and communication technology equipment and services of the 'Regional policy' policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 748 355	3 777 060	4 472 648,98

Article 13 01 04 — Support expenditure for operations in the ‘Regional policy’ policy area

Item 13 01 04 01 — European Regional Development Fund (ERDF) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
11 300 000	11 600 000	9 373 091,67

Remarks

This appropriation is intended to cover the ERDF-funded technical assistance provided for in Article 45 of Regulation (EC) No 1083/2006. Technical assistance may finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing that Regulation.

It may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) up to EUR 3 060 000, as well as missions relating to this staff.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Item 13 01 04 02 — Instrument for Pre-Accession Assistance (IPA) — Regional development component — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 116 000	5 659 450	3 333 485,00

Remarks

This appropriation is intended to cover the technical assistance measures for the Instrument for Pre-Accession Assistance provided for in Article 16 of Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82):

- expenditure linked to the preparation, appraisal, approval, follow-up, control, audit and evaluation of multiannual programmes and/or individual operations and projects under IPA regional development component. These actions may include technical assistance contracts, studies, short-term expertise, meetings, exchange of experience, networking, information and publicity and awareness creation events, training activities and publications directly linked to the achievement of the objective of the programme and any other support measures carried out at the level of the Commission central services or the delegations in the beneficiary countries,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the benefit of beneficiary countries and the Commission,
- expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as for the additional logistical and infrastructure cost, such as cost of training, meetings, missions, information technology and telecommunications and of renting directly caused by the presence in the delegation of the

external staff remunerated from the appropriations of this item,

- installation, operation and interconnection of computerised systems for management, inspection and evaluation,
- improvements in evaluation methods and the exchange of information on practices in this field.

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners.

This appropriation covers administrative expenditure under Chapter 13 05.

Item 13 01 04 03 — Cohesion Fund (CF) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 200 000	4 200 000	4 030 109,01

Remarks

This appropriation is intended to cover the technical assistance measures in the Cohesion Fund provided for in Article 45 of Regulation (EC) No 1083/2006. Technical assistance may finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing that Regulation.

It may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) up to EUR 1 340 000, as well as missions relating to such staff.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing a Cohesion Fund (OJ L 210, 31.7.2006, p. 79).

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 03	European Regional Development Fund and other regional operations								
13 03 01	Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)	1.2	p.m.	618 000 000	p.m.	1 200 000 000	0,—	1 205 703 487,67	195,10 %
13 03 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 03	Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

13 03 04	Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)	1.2	p.m.	62 000 000	p.m.	145 596 619	0,—	221 104 965,69	356,62 %
13 03 05	Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 06	Completion of Urban (2000 to 2006)	1.2	p.m.	3 000 000	p.m.	10 000 000	0,—	13 580 784,49	452,69 %
13 03 07	Completion of earlier programmes — Community initiatives (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 08	Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	1 388 614,20	
13 03 09	Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 12	Union contribution to the International Fund for Ireland	1.1	p.m.	p.m.	p.m.	13 608 766	0,—	15 000 000,00	
13 03 13	Completion of Interreg III Community initiative (2000 to 2006)	1.2	p.m.	42 000 000	p.m.	90 000 000	0,—	16 382 485,44	39,01 %
13 03 14	Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)	1.2	p.m.	p.m.	—	—			
13 03 16	European Regional Development Fund (ERDF) — Convergence	1.2	25 081 705 801	22 933 000 000	24 398 779 141	20 603 000 000	23 589 013 386,00	19 252 298 369,57	83,95 %
13 03 17	European Regional Development Fund (ERDF) — PEACE	1.2	34 060 138	45 000 000	33 392 292	40 000 000	32 737 542,00	35 766 100,95	79,48 %
13 03 18	European Regional Development Fund (ERDF) — Regional competitiveness and employment	1.2	4 022 082 950	3 490 000 000	3 946 682 563	3 400 965 947	3 875 763 242,00	4 134 845 386,00	118,48 %
13 03 19	European Regional Development Fund (ERDF) — European territorial cooperation	1.2	1 202 729 810	952 000 000	1 168 910 427	685 160 555	1 147 093 337,00	897 806 562,26	94,31 %
13 03 20	European Regional Development Fund (ERDF) — Operational technical assistance	1.2	50 000 000	40 000 000	50 000 000	35 000 000	45 616 031,04	35 640 715,30	89,10 %
13 03 21	Pilot project — Pan-European coordination of Roma integration methods	1.2	p.m.	p.m.	p.m.	p.m.	0,—	2 234 182,01	
13 03 22	Pilot project — Erasmus for elected local and regional representatives	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 23	Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	92 000	p.m.	p.m.	0,—	681 939,68	741,24 %
13 03 24	Preparatory action — Promoting a more favourable environment for micro-credit in Europe	1.2	p.m.	p.m.	p.m.	p.m.	0,—	4 920 000,00	
13 03 26	Pilot project — Suburbs sustainable regeneration	1.2	p.m.	142 163	500 000	500 000	473 875,00	0,—	
13 03 27	Preparatory action — RURBAN — Partnership for sustainable urban-rural development	1.2	p.m.	p.m.	p.m.	1 000 000	960 896,50	256 798,98	
13 03 28	Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	1 500 000	2 000 000	2 000 000	2 000 000,00	83 675,34	5,58 %
13 03 29	Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination	1.2	p.m.	400 000	1 500 000	1 500 000	1 499 380,89	479 596,42	119,90 %
13 03 30	Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region	1.2	p.m.	600 000	2 000 000	2 000 000	2 000 000,00	680 000,00	113,33 %
13 03 31	Technical assistance and dissemination of information on the European Union Strategy for the Baltic Sea Region and an improved knowledge of macro-regions strategy	1.2	p.m.	500 000	2 500 000	2 500 000	2 132 363,00	1 209 746,00	241,95 %

13 03 32	Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy	1.2	p.m.	600 000	1 200 000	600 000				
13 03 33	Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status	1.2	p.m.	600 000	2 000 000	1 000 000				
13 03 34	Preparatory action — Erasmus for elected local and regional representatives	1.2	p.m.	700 000	2 000 000	1 000 000				
13 03 40	Risk sharing instrument financed from the ERDF Convergence envelope	1.2	p.m.	p.m.						
13 03 41	Risk sharing instrument financed from the ERDF Regional competitiveness and employment envelope	1.2	p.m.	p.m.						
Chapter 13 03 — Total				30 390 578 699	28 190 134 163	29 611 464 423	26 235 431 887	28 699 290 053,43	25 840 063 410,00	91,66 %

Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections for which any revenue is entered in Item 6 5 0 0 of the statement of revenue. This revenue may be used to provide additional appropriations in accordance with Article 18 of the Financial Regulation in specific cases where they are required to cover the risk of corrections adopted earlier being cancelled or reduced. Regulation (EC) No 1083/2006 provides for financial corrections for the period 2007 to 2013.

Regulation (EC) No 1260/1999 lays down the conditions for the repayment of payments on account which does not have the effect of reducing the contribution from the Structural Funds to the operation concerned. Any revenue arising from the repayment of payments on account entered in Item 6 1 5 7 of the statement of revenue will be used to provide additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation. Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

Measures to combat fraud are funded from Article 24 02 01.

Legal basis

Treaty establishing the European Community, and in particular Articles 158, 159 and 161 thereof.

Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Article 13 03 01 — Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	618 000 000	p.m.	1 200 000 000	0,—	1 205 703 487,67

Remarks

This appropriation is intended to cover ERDF Objective 1 commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Article 13 03 02 — Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing of commitments remaining to be settled from the period 2000 to 2006 for the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland. The special programme for peace and reconciliation was continued, in accordance with the decisions of the Berlin European Council, mentioned below, to provide EUR 500 000 000 (1999 prices) for the new period of validity of the programme (2000 to 2004). Following the request in the conclusions of the Brussels European Council of 17 and 18 June 2004, an additional EUR 105 000 000, to be allocated in 2005 and 2006, was added to align operations under the programme with the other programmes under the Structural Funds that came to an end in 2006. Additionality is to be fully observed. The Commission is to submit an annual report to the European Parliament on this measure.

Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

Commission Decision C(2001) 638 on the approval of Community structural assistance for the EU operational programme for Peace and Reconciliation (PEACE II Programme) concerned by Objective 1 in Northern Ireland (United Kingdom) and in the Border Region (Ireland).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Conclusions of the Brussels European Council of 17 and 18 June 2004, and in particular paragraph 49 thereof.

Article 13 03 03 — Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing, by the ERDF, of commitments remaining to be settled from the programming period prior to 2000 for former Objectives 1 and 6.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Article 13 03 04 — Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	62 000 000	p.m.	145 596 619	0,—	221 104 965,69

Remarks

This appropriation is intended to cover ERDF Objective 2 commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Article 13 03 05 — Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing by the three Funds (ERDF, ESF and EAGGF Guidance Section) of commitments remaining to be settled from the programming periods prior to 2000 in respect of former Objectives 2 and 5(b).

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Article 13 03 06 — Completion of Urban (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 000 000	p.m.	10 000 000	0,—	13 580 784,49

Remarks

This appropriation is intended to cover the Community initiative Urban II commitments remaining to be settled from the programming period 2000 to 2006. This Community initiative was focused on the economic and social regeneration of cities and urban neighbourhoods in crisis with a view to promoting sustainable urban development.

Legal basis

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Reference acts

Communication from the Commission to the Member States of 28 April 2000 laying down guidelines for a Community initiative concerning economic and social regeneration of cities and of neighbourhoods in crisis in order to promote sustainable urban development (URBAN II) (OJ C 141, 19.5.2000, p. 8).

Article 13 03 07 — Completion of earlier programmes — Community initiatives (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the funding of commitments remaining to be settled from ERDF commitments relating to Community initiatives prior to 2000.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Reference acts

Commission notice to the Member States of 13 May 1992 laying down guidelines for operational programmes which Member States are invited to establish within the framework of a Community initiative for regions heavily dependent on the textiles and clothing sector (RETEX) (OJ C 142, 4.6.1992, p. 5).

Commission notice to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational

programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (PESCA) (OJ C 180, 1.7.1994, p. 1).

Commission notice to the Member States of 15 June 1994 laying down guidelines for the operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 180, 1.7.1994, p. 6).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to propose in the framework of a Community initiative concerning the adaptation of small and medium-sized enterprises to the single market (SMEs initiative) (OJ C 180, 1.7.1994, p. 10).

Commission notice to the Member States of 15 June 1994 laying down guidelines for the RETEX initiative (OJ C 180, 1.7.1994, p. 17).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to establish in the framework of a Community initiative concerning defence conversion (Konver) (OJ C 180, 1.7.1994, p. 18).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of steel areas (Resider II) (OJ C 180, 1.7.1994, p. 22).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of coal-mining areas (Rechar II) (OJ C 180, 1.7.1994, p. 26).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative 'Adaptation of the workforce to industrial change' (Adapt), aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 180, 1.7.1994, p. 30).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative 'Employment and development of human resources' aimed at promoting employment growth mainly through the development of human resources (Employment) (OJ C 180, 1.7.1994, p. 36).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission notice to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (Peace I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (Urban) (OJ C 200, 10.7.1996, p. 4).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative on employment and development of human resources aimed at promoting employment growth mainly through the development of human resources (OJ C 200, 10.7.1996, p. 13).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative on adaptation of the workforce to industrial change (Adapt) aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 200, 10.7.1996, p. 7).

Commission notice to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (Peace I) (COM(1997) 0642).

Article 13 03 08 — Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 388 614,20

Remarks

This appropriation is intended to cover the ERDF commitments for the innovative measures and technical assistance remaining to be settled from the programming period 2000 to 2006 as provided for in Articles 22 and 23 of Regulation (EC) No 1260/1999. The innovative measures include studies, pilot projects and exchanges of experience. They were intended in particular to bring about a qualitative improvement in Structural Fund measures. Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation the ERDF. The appropriation may, in particular, be used to meet:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures taken by partners in preparation for the next programming period.

Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Article 13 03 09 — Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the settlement of commitments entered into, in earlier programming periods prior to 2000, by the ERDF in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the Regulations concerned. It also funds the former multiannual measures, in particular those approved and implemented under the other Regulations mentioned below, which are not covered by the priority objectives of the Funds. This appropriation will also be used if necessary to cover financing where the corresponding commitment appropriations are not available or provided for in programming for 2000 to 2006.

Legal basis

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Article 13 03 12 — Union contribution to the International Fund for Ireland

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	13 608 766	0,—	15 000 000,00

Remarks

This appropriation is intended to cover the Union contribution to the financing of the International Fund for Ireland set up by the Anglo-Irish Agreement of 15 November 1985 with a view to promoting economic and social progress and encouraging contacts, dialogue and reconciliation between the peoples of Ireland.

The activities under the International Fund for Ireland may complement and support activities promoted by the initiative programme to aid the peace process in both parts of Ireland.

Legal basis

Council Regulation (EC) No 177/2005 of 24 January 2005 concerning Community financial contributions to the International Fund for Ireland (2005-2006) (OJ L 30, 3.2.2005, p. 1).

Regulation (EU) No 1232/2010 of the European Parliament and of the Council of 15 December 2010 concerning European Union financial contributions to the International Fund for Ireland (2007 to 2010) (OJ L 346, 30.12.2010, p. 1).

Article 13 03 13 — Completion of Interreg III Community initiative (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	42 000 000	p.m.	90 000 000	0,—	16 382 485,44

Remarks

This appropriation is intended to cover commitments remaining to be settled under the Interreg III Community initiative relating to cross-border, transnational and interregional cooperation from the programming period 2000 to 2006.

Special attention will be paid to cross-border activities, in particular with a view to better coordination with the Phare, Tacis, ISPA and MEDA programmes.

This appropriation is also intended to cover the costs of coordinating activities in the field of cross-border labour mobility and skills. Suitable attention will be paid to cooperation with the outermost regions.

These appropriations can be combined with Phare cross-border cooperation appropriations for common projects at the external borders of the Union.

This appropriation is intended to cover, inter alia, preparatory operations for local and regional cooperation between the old and new Member States and the applicant countries in the fields of democracy and social and regional development.

Legal basis

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Reference acts

Communication from the Commission to the Member States of 2 September 2004 laying down guidelines for a Community initiative concerning trans-European cooperation intended to encourage harmonious and balanced development of the European territory — INTERREG III (OJ C 226, 10.9.2004, p. 2).

Article 13 03 14 — Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	—		

Remarks

This article is intended to cover the commitments remaining to be settled for projects from the programming period 2000 to 2006 in the regions bordering the candidate countries in accordance with the rules of the Interreg III Community initiative relating to cross-border, transnational and interregional cooperation. The measures take into account the communication from the Commission on the impact of enlargement on regions bordering candidate countries 'Community action for border regions' (COM(2001) 437 final).

Article 13 03 16 — European Regional Development Fund (ERDF) — Convergence

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 081 705 801	22 933 000 000	24 398 779 141	20 603 000 000	23 589 013 386,00	19 252 298 369,57

Remarks

This appropriation is intended to cover the programmes under the ERDF objective of the convergence in the programming period 2007 to 2013. This objective is aimed at speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment.

Part of this appropriation is intended to be used to address intra-regional disparities so as to ensure that the overall state of development of a given region does not hide poverty loops and disadvantaged territorial units.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Article 13 03 17 — European Regional Development Fund (ERDF) — PEACE

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
34 060 138	45 000 000	33 392 292	40 000 000	32 737 542,00	35 766 100,95

Remarks

This appropriation is intended to cover the PEACE programme under the European territorial cooperation objective of the ERDF. The PEACE programme shall be implemented as a cross-border cooperation programme within the meaning of Article 3(2)(c) of Regulation (EC) No 1083/2006.

The PEACE programme shall promote social and economic stability in the regions concerned, including notably actions to promote cohesion between communities. The eligible area shall be the whole of Northern Ireland and the border counties of Ireland. This programme will be implemented in full compliance with the principle of additionality of structural fund operations.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Reference acts

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Article 13 03 18 — European Regional Development Fund (ERDF) — Regional competitiveness and employment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 022 082 950	3 490 000 000	3 946 682 563	3 400 965 947	3 875 763 242,00	4 134 845 386,00

Remarks

This appropriation is intended to cover the programmes under the ERDF objective of the regional competitiveness in the programming period 2007 to 2013. This objective shall, outside the least developed regions, be aimed at strengthening regions' competitiveness and attractiveness as well as employment by taking into consideration the goals set in the Europe 2020 Strategy.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Article 13 03 19 — European Regional Development Fund (ERDF) — European territorial cooperation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 202 729 810	952 000 000	1 168 910 427	685 160 555	1 147 093 337,00	897 806 562,26

Remarks

This appropriation is intended to cover the programmes under the ERDF objective of European territorial cooperation in the programming period 2007 to 2013. This objective shall be aimed at strengthening territorial cooperation and macro-regional cooperation and exchange of experience at the appropriate level.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development

Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Article 13 03 20 — European Regional Development Fund (ERDF) — Operational technical assistance

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
50 000 000	40 000 000	50 000 000	35 000 000	45 616 031,04	35 640 715,30

Remarks

This appropriation is intended to finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- development of 'how-to guides' and toolkits regarding applications for grants and implementation of projects,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures approved by the Commission in the context of the preparation of the next programming period.

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners.

In order to provide help and support for local actors in the Union involved in running Structural Funds and Cohesion Fund programmes — especially in the new Member States — the Commission should propose a training and mobility scheme which would allow them to strengthen their skills in running programmes and exchange best practices and ideas on the problems they encounter on a regular basis. This would improve overall governance and institutional capacity building for the management of programmes and territorial policies.

The lack of efficient on-the-spot training of local authority and local staff responsible for management of Union funds is a major cause of procedural error, poor control and low absorption rates. Establishing a network of trainers to work locally will increase cost effectiveness and the efficiency of the policy.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Article 13 03 21 — Pilot project — Pan-European coordination of Roma integration methods

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	2 234 182,01

Remarks

The aim of the pilot project is to produce a complex analysis based on current understanding of the Roma community's situation in the Union, emphasising the entire complex and synergetic spectrum of education and training, beginning with pre-school children, through the further education of young mothers up to training for older people.

The pilot project should also reflect the proper preparation and implementation of the institutional process in terms of consultations, networking, data collection, evaluation, field visits, research, etc. A number of Member States have taken measures to promote Roma integration. Examples both of good and of bad practice are numerous, alongside a growing corpus of sociological, legal and policy-oriented research.

A Roma Unit could be set up to explore, from a Union policy implementation point of view, sectoral issues and propose appropriate actions and measures to be included in the proposals for a Roma Inclusion Action Plan.

It could also take on the task of examining cross-sector cooperation and use of the existing different financial instruments, proposing pilot projects and detecting transferable and sustainable good practice which can then contribute to producing concrete policy proposals.

In this context, reference can be made to the need for long-term proposals on the housing and urban development aspects (linked to issues of segregation, ghettoisation, etc., when badly prepared) in connection with innovative use of regional funds and EIB/EBRD support, or the huge issue of data collection (and protection), statistics, etc., but also the obviously related issues of combating crime and trafficking, as well as basic registration problems.

Particular attention has to be given to the issue of media coverage and communication in general.

As an essential prerequisite for success, a process of structured dialogue with Roma civil society has to be developed at local, national and Union level.

The European Parliament has been a pioneer in promoting Roma rights; its first resolution on the issue goes back to 1984. During the sixth parliamentary term, the European Parliament has adopted a number of resolutions on the specific situation of Roma in Europe. In particular, in its resolution of 31 January 2008 on a European strategy on the Roma (OJ C 68 E, 21.3.2009, p. 31), the European Parliament urged 'the Commission to develop a European Framework Strategy on Roma Inclusion aimed at providing policy coherence at Union level as regards the social inclusion of Roma and urges the Commission at the same time to comprehensively shape a Community Action Plan on Roma Inclusion with the task of providing financial support for realising the objective of the European Framework Strategy on Roma Inclusion'. Also, in its resolution of 10 July 2008 on the census of the Roma on the basis of ethnicity in Italy (OJ C 294 E, 3.12.2009, p. 54), the European Parliament reiterated those requests and again called on the Commission and the Member States, within the framework of a European Union Roma Strategy and in the context of the Decade of Roma Inclusion 2005-2015, to enact legislation and policies to support Roma communities while promoting their integration in all fields, to launch anti-racism and anti-discrimination programmes in schools, employment and the media and to enhance the exchange of expertise and best practices.

The European Parliament reiterates in this context the importance of developing strategies at Union and national level, making full use of the opportunities provided by Union funds, to abolish Roma segregation in education, to ensure equal access to quality education for Roma children (participation in mainstream education, introduction of special scholarship and trainee programmes, additional and concrete measures to promote pre-school education for Roma children and postgraduate studies at national and international level for Roma students as well as educating an effective group of 'Roma diplomats' able to bridge the gap between Roma communities and public institutions), to ensure and improve Roma access to labour markets, to provide equal access to health care and social security benefits, to combat discriminatory practices in the provision of housing, and to increase the participation of the Roma in social, economic, cultural and political life.

The European Parliament reaffirms the need to set up a network of academic and civil experts with a clear mandate to propose specific pilot projects and elaborate projects triggering immediate changes.

The aim of the pilot project is also to ensure the integrated education of Roma families, focusing simultaneously on the early integration of children in pre-school education, the training of parents and the conducting of community activities for the whole family, also with a view to improving adults' passive skills.

In its conclusions of 14 December 2007, the European Council, 'conscious of the very specific situation faced by the Roma across the Union', invited 'Member States and the Union to use all means to improve their inclusion'. In its conclusions of 19 and 20 June 2008, it stated that '...it looks forward to the results (...) of the forthcoming conference on this issue to be held in September [2008]. It invites the Council to take this into account in its examination of the revised Social Agenda. The European Council will return to this issue before the end of the year'.

Article 13 03 22 — Pilot project — Erasmus for elected local and regional representatives

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

The objective of this pilot project is to provide help and support for local and regional councils in the Union.

The first principle of the pilot project is to encourage and support the mobility of both elected local and regional representatives within the Union. The second principle of the pilot project is that the mobility element is included in an agreed programme of on-the-spot training and sharing of experience with focus on 'economic and social cohesion'.

Goals are:

- to encourage multilateral cooperation between territorial and local and regional institutions on a political level,
- to support the mobility of elected local and regional representatives,
- to stimulate common research and exchange of ideas on the problems they encounter on a regular basis by means of on-the-spot training and the sharing of experience,
- to promote the exchange of best practices.

The pilot project is thus a tool to enhance the learning and experience of elected local and regional representatives; and to increase the capacity of local and regional councils in regard to the application of concepts, democratic principles and strategies.

Article 13 03 23 — Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	92 000	p.m.	p.m.	0,—	681 939,68

Remarks

This project aims to develop a comprehensive strategy that would promote knowledge of, and experience from, Union regional policy for third countries. This strategy should include the organisation of international events, information activities, network building between regional and local stakeholders, development of joint projects and new regional partnerships, as well as the exchange of good practices between regions. By promoting the Union cohesion policy model as a success story through a number of regional dialogues, the Union will use these actions to exemplify and advance its values, fundamental principles, organisational structures and policies on a global scale. Cooperation with international organisations active in this field (Forum of Global Associations of Regions (FOGAR) and United Cities and Local Government (UCLG)) will prove to be particularly beneficial to that end.

Article 13 03 24 — Preparatory action — Promoting a more favourable environment for micro-credit in Europe

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	4 920 000,00

Remarks

The Council has on several occasions (in 2000 and in March 2003) acknowledged the advantages of micro-finance for small firms. The European Council has, for the first time, asked Member States to pay particular attention to using micro-credit to foster the establishment and growth of small firms. Micro-credit was one of the focuses of the European multiannual programme (MAP/2002 to

2006) financial instruments which the Council adopted in December 2001, defining ‘micro-loan’ as a loan of less than EUR 25 000.

The Competitiveness and Innovation Programme (CIP), which has been in operation since 2006, also deals with micro-credit, including under the second facility (SME Guarantee Facility). This facility, which is administered by the European Investment Fund (EIF), seeks to encourage financial institutions to play a more important role in offering small loans, which normally carry with them proportionally high loan management costs for borrowers unable to provide adequate security. In addition to guarantees and counter-guarantees, financial intermediaries are entitled to subsidies to reduce the high administrative costs associated with micro-loans.

The aim of this preparatory action is to foster the development of micro-credit in Europe, in line with the Europe 2020 Strategy growth and employment targets and the recommendations set out in the European Parliament resolution of 11 July 2007 on financial services policy (2005 to 2010) — White Paper (OJ C 175 E, 10.7.2008, p. 392), with particular reference to the need for a micro-credit action plan.

The purpose of the preparatory action is to:

- ensure that the micro-credit business in Europe becomes self-financing in the medium term by building up the equity of micro-finance institutions, particularly non-banking institutions. The project could be administered by the EIF, the Union financial institution which specialises in small and medium-sized enterprise and micro-enterprise funding and which also administers the Union financial instruments,
- foster greater synergy between existing financial instruments covering inter-related areas (CIP, Joint European Resources for Micro to Medium Enterprises (Jeremie), ERDF, EDF),
- foster social inclusion through entrepreneurship and the economic growth it brings. Associations working with less-favoured groups may be recipients of the funding made available.

The purpose of the appropriation is to cover the implementation of the preparatory action adopted for 2008; it will be used, in particular, to develop a seed fund for non-banking institutions.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 26 — Pilot project — Suburbs sustainable regeneration

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	142 163	500 000	500 000	473 875,00	0,—

Remarks

The pilot project aims to support the demolition and reconstruction of obsolete and deteriorated buildings within the suburbs of European cities, testing the introduction of innovative and advanced criteria for constructive safety, engineering quality, sustainability and energy efficiency. The main objective is to promote social integration in the suburbs through an intervention in housing policy.

The project should finance:

- the selection of at least five testing suburbs of European cities, highly populated, in which there is a strong need for building regeneration interventions,
- the definition of advanced safety requirements with a view to each specific context (i.e. anti-seismic measures) and of quality requirements for the residential structures, engineering and construction, including the design of private and common spaces, the selection of materials, technical solutions, etc.,
- the definition of energy saving targets and energy mix with a higher usage of renewable sources for the new residential buildings,
- the selection of the most effective mix of financial tools to promote the regeneration of deteriorated urban areas and the innovation in the construction industry (revolving funds, regional aid, tax rates bonification, public-private partnership, etc.),
- the definition of a set of measures to offer temporary housing alternatives to the residents of the testing sites areas and the involvement of them and of the local communities in the redesigning process,
- the definition of an evaluation model to monitor and evaluate the project outcome,

— the start-up of regeneration programmes in the testing areas.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 27 — Preparatory action — RURBAN — Partnership for sustainable urban-rural development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 000 000	960 896,50	256 798,98

Remarks

The aim of this preparatory action is to develop a partnership model(s) for towns or cities and rural areas surrounding them, to achieve better cooperation between different actors in developing and implementing common urban-rural initiatives based on the integrated approach. The model(s) could be used in the next programming period for urban-rural development projects co-funded by the Union.

More specifically, the preparatory action should aim to:

- identify common challenges and potentials of urban and rural areas,
- review existing urban-rural partnerships in the Member States, identify areas of cooperation and local/regional actors involved (public, private, non-governmental organisations, etc.),
- identify innovative good practice examples of urban-rural cooperation in existing partnerships,
- create a model/models for an urban-rural partnership and elaborate a practical guide for this partnership to be possibly used in the Commission's Strategic Guidelines on cohesion for the next programming period after 2013.

The preparatory action should be implemented in the years 2011-2012.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 28 — Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 500 000	2 000 000	2 000 000	2 000 000,00	83 675,34

Remarks

This preparatory action aims to develop a comprehensive strategy that would promote knowledge of, and disseminate experience gained from, Union regional policy for third countries, on the basis of the successful implementation of the earlier pilot project, as well as to address the specific needs of the Union's ultra-peripheral territories, which do not have the status of outermost regions. The international dimension of cohesion policy matured considerably in 2009 and 2010 as a result, largely, of the additional opportunities afforded by the resources provided under the pilot project. This preparatory action aims to widen the scope of activities to the Union's ultra-peripheral regions and focus more particularly on developing regional governance, running training programmes in place-based policy design and strategic planning, as well as on procedural questions regarding control mechanisms and good financial management.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the

Financial Regulation applicable to the general budget of European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 29 — Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	1 500 000	1 500 000	1 499 380,89	479 596,42

Remarks

Starting date of the project: 1 January 2011.

End date of the project: 31 December 2013.

The European Council of 19 June 2009 has formally asked the Commission to present a European Union Strategy for the Danube Region before the end of 2010. The strategy, which will be launched under the Hungarian Presidency of the European Union, at the beginning of 2011, will be accompanied by an action plan, which will be permanently developed and updated taking into account the proposal from the Member States. Article 3 of the Treaty on European Union improves the Union's ability to promote economic, social and territorial cohesion, and solidarity among Member States. This preparatory action is needed in order to develop the necessary capacity building and to speed up the coordination process to ensure the right governance model for the implementation of the European Union Strategy for the Danube Region.

The Danube Region has an established history of networking and co-operation in many policy areas. The strategy and its action plan will offer the opportunity to move from words to action and to deliver real benefits for the region as a whole. There is a need for a common strategic vision to guide future territorial development for the Danube Region. It is clear that no one acting alone can apply the range of measures necessary to confront the challenges and exploit the opportunities of the region. A strategy for the Danube Region, consisting of detailed and consistent actions, with an appropriate financial support are essential to develop the Danube Region and to fully exploit the opportunities open to the region.

The Danube links ten European countries — Germany, Austria, the Slovak Republic, Hungary, Croatia, Serbia, Romania, Bulgaria, the Republic of Moldova and Ukraine — six of which are Member States, and in a broader territorial context the region also comprises the Czech Republic, Slovenia, Bosnia and Herzegovina, and Montenegro.

The Danube region is an important crossover point between the Union's Cohesion Policy programmes, programmes for countries covered by the European Neighbourhood Policy and potential candidates for accession, and therefore represents an area where enhanced synergies between different Union policies can be developed: cohesion, transport, tourism, agriculture, fisheries, economic and social development, energy, environment, enlargement and neighbourhood policy and the European Union Strategy for the Danube Region is to be developed in the following cooperation areas: social development and protection, sustainable economic development, transport and energy infrastructure, environmental protection, culture and education.

The European Union Strategy for the Danube Region should be implemented by making use of all available funding resources, from the Union, Member States and other riparian countries, International Financial Institutions (IFI), as well as private capital. The focus should be on improved, more efficient and effective ways to coordinate the different funding instruments and the proposed specific actions. It is expected that the European Union Strategy for the Danube Region through a better cooperation between Member States and Danube riparian countries, will boost the social and economic development of the region. This will create new business opportunities, will accelerate the development of sustainable, more efficient and intermodal transport system as well as for a sustainable transport and tourism and will improve the quality of life of all citizens living in the Danube river basin with full respect of the environment.

The preparatory action thus shall promote or create a governance model to be implemented in the specific areas concerning the Danube Region.

The funding is intended to finance activities related to the definition of the governance model required by the development and implementation of the European Union Strategy for the Danube Region. The governance model will improve the cooperation between all participant countries and regions to common flagship projects in the field of:

- environmentally friendly use of the Danube by inland navigation, intermodality with other transport modes along the Danube through the improvement of all infrastructures (with priority for the better use of existing infrastructure) and by creating a multi-modal transport system all along the river, environmentally-friendly use of water power along the Danube, preservation and improvement of Danube water quality in accordance with the Directive 2000/60/EC of the European Parliament and of the Council of 23 October 2000 establishing a framework for Community action in the field of water policy (OJ L 327, 22.12.2000,

p. 1), stringent vessel safety requirements,

- sustainable tourism: ecotourism, the development of the cycle routes along almost the entire Danube, development of the conventional mass cultural tourism, urban and rural tourism, business and cruise tourism, sports tourism,
- joint projects (to promote and implement) in order to increase cooperation in the field of energy, especially on energy efficiency and renewable energy resources, in the light of the region's potential as a source of bioenergy, and to encourage the use of biomass, solar, wind and hydro energy,
- promoting research infrastructure tailored to the specific strengths of the regions and of specific clusters of excellence for product innovation and marketing,
- a common research and development programme in, and for, the Danube Region and which is aimed at supporting scientific development and innovation by ensuring cooperation between Danube states in fields of environmental research, fisheries, agriculture, infrastructure (including the energy field), transport, training and mobility for researchers and socioeconomic aspects,
- university exchange programmes within the region and university networks in order to foster centres of excellence capable of competing at international level and to strengthen the Danube identity through training and improving governance, life long learning,
- ensuring the security of the region.

The European Union Strategy for the Danube Region — Not just a strategy

There must be — concrete, visible actions — to overcome the challenges facing the region. In its action plan, the Commission should insist that Member States and other stakeholders take responsibility as lead partners for specific priority areas and flagship projects, for example, by developing a specific and integrated governance in line with a common and integrated approach to the European Union Strategy for the Danube Region adopted by the Commission on 8 December 2010.

The Commission will fulfil the need for an independent, multi-sector body/approach that can guarantee the necessary co-ordination, monitoring and follow-up of the action plan, as well as a regular updating of the plan and strategy as necessary. The Commission is not proposing additional funding or other resources at this time. However, some of the specific actions and projects will require financial support. A major source is the Structural Funds available in the region – most programmes already allow actions envisaged in the strategy. Programming authorities can review the allocation criteria and facilitate the selection of projects aligned with the strategy. In addition, Member States will examine funding projects and actions aligned with the strategy priorities from their own resources. The European Investment Bank and other international and regional financial institutions could also contribute.

Enhanced efforts through the action plan should be carried out in close coordination with any such ongoing developments (in particular new regulations), including at Union level, to ensure coherence and efficiency.

The on-going economic crisis implies a less-favourable climate for investment, affecting both public sectors and private business generally. This makes it all the more essential that the European Union Strategy for the Danube Region allows the partners in the region to take a longer perspective, recognising that when this crisis has passed the regions that have best prepared will be those best equipped to take advantage of the new opportunities and innovations.

This preparatory action, established on the initiative of the European Parliament, is to have a duration of three years, from January 2011 to December 2013, and the amount to be allocated is EUR 1 500 000 per year.

The above projects will require time-intensive preparations with the Member States concerned and with relevant authorities of the third countries. First disbursements took place in 2011.

Based upon the existing legal framework, this preparatory action, presents solutions for the sustainable cooperation between Member States and other riparian countries. It substantiates the development of the European Union Strategy for the Danube Region and its integration into the overall policy of the Union.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 30 — Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	600 000	2 000 000	2 000 000	2 000 000,00	680 000,00

Remarks

This appropriation should be used to organise or develop:

- training programmes, seminars for young people with the aim of emphasising the common regional identity of the nations living in the Danube macro-region; the programmes should stress civic education and opportunities for cultural exchanges which will contribute to a progressive, sustainable European future-oriented dimension of coexistence by addressing the questions of understanding each other's regional presence, togetherness and the need for cooperation; and by providing scope for dialogues and reconciliation,
- the improvement and development of new solutions for handling expertise by means of accessible common platforms and project information as well as building networks.

The pilot project will promote social and economic stability in the regions concerned, including actions to develop cohesion between communities by means of opening space for familiarising with, and giving due acknowledgement to, each other's cultures and histories and will also highlight the added value of transnational cooperation. This should create a sustainable basis for a common platform giving access to regional expertise and increasing regional cooperation and should in addition allow lessons to be drawn from the experience of a macro-region strategy. The eligible area shall be the Danube macro-region and the surrounding countries in line with the European Neighbourhood Policy. The programmes eligible for funds shall involve participants from at least three Member States from the region.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 31 — Technical assistance and dissemination of information on the European Union Strategy for the Baltic Sea Region and an improved knowledge of macro-regions strategy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	2 500 000	2 500 000	2 132 363,00	1 209 746,00

Remarks

This appropriation is intended to ensure:

- the proper circulation of information through newsletters (including on-line), reports and conferences, and specifically an annual forum,
- the organisation of on-site events to familiarise all interested European regions with the Baltic Sea approach and the principles of macro-regions,
- successful governance of the strategy through the decentralised system that has been established and, in particular, the operation of the system of priority area coordinators and flagship project leaders,
- technical and administrative support for planning and coordination of activities related to the European Union Strategy for the Baltic Sea Region,
- availability of seed money for planning and preparing projects supporting the strategy,
- support for civil society participation,

- the continued helping of priority area coordinators in their coordination activity,
- participation in an implementation facility with the EIB, if requested by Baltic Member States,
- the development of a more ambitious communication strategy on the European Union Strategy for the Baltic Sea Region.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Article 13 03 32 — Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	600 000	1 200 000	600 000	

Remarks

Union institutions support the drafting of a European strategy for the Atlantic area. A cross-cutting action plan with concrete priorities needs to be defined in order to implement this strategy from 2014 onwards. Atlantic stakeholders should be involved in the drafting of this action plan.

The action plan should be closely linked to regional policy and the Integrated Maritime Policy, and should also facilitate synergies with other Union policy areas such as trans-European transport networks, the common fisheries policy, climate and environment actions, the research and development framework programme, and energy policy, etc.

The preparatory action will finance a stakeholder dialogue platform, called the Atlantic Forum, to define the priority projects and governance of the Atlantic Strategy.

Funding for the preparatory action will serve to:

- put in place the Atlantic Forum, encouraging key stakeholders to work together within workshops and ensuring adequate publicity and broad participation,
- engage forum members in a process leading to the adoption of the cross-cutting action plan for the Atlantic Strategy in accordance with the needs of the regions concerned and a clear focus on sustainable growth in Atlantic coastal regions and maritime sectors,
- support the technical work necessary to identify, and test the feasibility of, concrete priority actions to be included in the action plan.

A subcontractor will assist stakeholders in drafting the action plan. The subcontractor will be in charge of implementing the preparatory action and will work under the supervision of the Commission.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 33 — Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	600 000	2 000 000	1 000 000	

Remarks

The plan to change Mayotte's status from overseas country or territory to outermost region is the first of its kind for the Union. The process of making this status change has been simplified by the entry into force of the Treaty on the Functioning of the European Union, Article 355(6) of which provides for a unanimous vote on the matter in the European Council. The French Government is currently drawing up an application for Mayotte to be granted outermost region status from 2014. This places Mayotte in a similar situation to that of pre-accession countries, which are required to carry out essential structural reforms in connection with which the Union provides various types of support intended to facilitate the transposition of the *acquis* and the implementation of Union policies. As a result of the change of status, the local authorities in Mayotte will be required to handle new types of Union funding involving different amounts and different procedures from those applying under the European Development Fund (EDF), their current source of funding. This preparatory action will focus primarily on technical assistance measures for the training of Mayotte administrative staff who will be responsible for drawing up, managing, following up and monitoring future operational programmes. As a territory of a Member State, Mayotte is not entitled to pre-accession instrument funding; at the same time, given that it is not yet a region as referred to in the general provisions on the Structural Funds, it is not entitled to technical assistance either.

The aim of the preparatory action is to provide the department of Mayotte with support with its changeover to the status of outermost region.

A number of measures are needed:

- the training of local staff who will be responsible for drawing up, managing, following up and monitoring future operational programmes,
- carrying out of studies prior to drawing up future operational programmes, plus *ex-ante* evaluation so as to produce an analysis of the area and provide support for local actors in order to lay down a regional strategy and programme priorities,
- organising of general information meetings for elected representatives, local actors and officials on the consequences of the changeover to outermost-region status, and institutional notification arrangements concerning the launch of programmes,
- setting up of a Europe unit within Mayotte's economic and regional affairs secretariat for information gathering and circulation to public partners, for setting up and implementing operational programmes and for informing and supporting project promoters.

This preparatory action may apply to any other territory in a similar position.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 34 — Preparatory action — Erasmus for elected local and regional representatives

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	700 000	2 000 000	1 000 000	

Remarks

The objective of this preparatory action is to provide help and support for local and regional councils in the Union.

The first principle of the preparatory action is to encourage and support the mobility of both elected local and regional representatives within the Union. The second principle of the preparatory action is that the mobility element is included in an agreed programme of on-the-spot training and sharing of experience with focus on 'economic and social cohesion'.

Goals are:

- to encourage multilateral cooperation between territorial and local and regional institutions on a political level,
- to support the mobility of elected local and regional representatives,
- to stimulate common research and exchange of ideas on the problems they encounter on a regular basis by means of on-the-spot training and the sharing of experience,
- to promote the exchange of best practices.

The preparatory action is thus a tool to enhance the learning and experience of elected local and regional representatives, and to

increase the capacity of local and regional councils in regard to the application of concepts, democratic principles and strategies.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 13 03 40 — Risk sharing instrument financed from the ERDF Convergence envelope

Figures

Budget 2013		Appropriations 2012	Outturn 2011
Commitments	Payments		
p.m.	p.m.		

Remarks

New article

This budget line is intended to finance the Risk Sharing Instruments from the ERDF Convergence envelope for Member States experiencing or threatened with serious difficulties with respect to their financial stability in accordance with Commission proposal COM(2011) 655 final for a Regulation of the European Parliament and of the Council amending Council Regulation (EC) No 1083/2006.

Reflows and amounts left-over after the completion of an operation covered by the risk sharing instrument may be reused within the risk sharing instrument if the Member State still meets the conditions specified in Article 77(2) of Council Regulation 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left-over shall be considered as assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left-over entered in Item 6 1 4 4 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Article 13 03 41 — Risk sharing instrument financed from the ERDF Regional competitiveness and employment envelope

Figures

Budget 2013		Appropriations 2012	Outturn 2011
Commitments	Payments		
p.m.	p.m.		

Remarks

New article

This budget line is intended to finance the Risk Sharing Instruments from the ERDF Regional competitiveness and employment envelope for Member States experiencing or threatened with serious difficulties with respect to their financial stability.

Reflows and amounts left-over after the completion of an operation covered by the risk sharing instrument may be reused within the risk sharing instrument if the Member State still meets the conditions specified in Article 77(2) of Council Regulation 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left-over shall be considered as assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left-over entered in Item 6 1 4 4 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the European Commission on 12 October 2011, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (COM(2011) 655 final).

CHAPTER 13 04 — COHESION FUND

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
13 04	Cohesion Fund								
13 04 01	Cohesion Fund — Completion of previous projects (prior to 2007)	1.2	p.m.	848 000 000	p.m.	950 388 636	0,—	944 940 110,54	111,43 %
13 04 02	Cohesion Fund	1.2	12 350 000 000	8 824 000 000	11 788 814 578	7 807 000 000	11 020 238 878,86	5 505 331 842,46	62,39 %
13 04 03	Risk sharing instrument financed from the CF envelope	1.2	p.m.	p.m.					
	Chapter 13 04 — Total		12 350 000 000	9 672 000 000	11 788 814 578	8 757 388 636	11 020 238 878,86	6 450 271 953,00	66,69 %

Remarks

Annex II to Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1) determines the conditions under which advances which do not have the effect of reducing the contribution of the Fund to the operation concerned are to be repaid. Any revenue resulting from such repayments of advances entered in Item 6 1 5 7 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation. Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

Article 13 04 01 — Cohesion Fund — Completion of previous projects (prior to 2007)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	848 000 000	p.m.	950 388 636	0,—	944 940 110,54

Remarks

This appropriation is intended to cover the commitments of the Cohesion Fund remaining to be settled before 2000 and completion of the programming period 2000 to 2006.

Anti-fraud operations will be financed under Article 24 02 01.

This appropriation is also intended to fund measures taken by partners for the next programming period.

Legal basis

Council Regulation (EEC) No 792/93 of 30 March 1993 establishing a cohesion financial instrument (OJ L 79, 1.4.1993, p. 74).

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Reference acts

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

Article 13 04 02 — Cohesion Fund

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 350 000 000	8 824 000 000	11 788 814 578	7 807 000 000	11 020 238 878,86	5 505 331 842,46

Remarks

This appropriation is intended to cover the commitments for the Cohesion Fund in the programming period 2007 to 2013.

Anti-fraud operations will be financed under Article 24 02 01.

This appropriation is also intended to finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures approved by the Commission in the context of the preparation of the next programming period.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing the Cohesion Fund (EC) (OJ L 210, 31.7.2006, p. 79).

Reference acts

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

Treaty on the Functioning of the European Union, and in particular Articles 174 and 177 thereof.

Article 13 04 03 — Risk sharing instrument financed from the CF envelope

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments				
p.m.		p.m.			

Remarks

New article

This budget line is intended to finance the Risk Sharing Instruments from the Cohesion Fund envelope for Member States experiencing or threatened with serious difficulties with respect to their financial stability.

Reflows and amounts left-over after the completion of an operation covered by the risk sharing instrument may be reused within the risk sharing instrument if the Member State still meets the conditions specified in Article 77(2) of Council Regulation 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left-over shall be considered as assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left-over entered in Item 6 1 4 4 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the European Commission on 12 October 2011, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (COM(2011) 655 final).

CHAPTER 13 05 — PRE-ACCESSION OPERATIONS RELATED TO THE STRUCTURAL POLICIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 05	Pre-accession operations related to the structural policies								
13 05 01	Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)								
13 05 01 01	Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)	4	p.m.	300 000 000	p.m.	235 009 566	0,—	165 868 813,64	55,29 %
13 05 01 02	Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries	4	p.m.	p.m.	p.m.	p.m.	0,—	28 310 364,65	
	<i>Article 13 05 01 — Subtotal</i>		p.m.	300 000 000	p.m.	235 009 566	0,—	194 179 178,29	64,73 %
13 05 02	Instrument for Pre-Accession Assistance (IPA) — Regional development component	4	462 000 000	216 200 000	462 453 000	141 897 374	390 900 000,00	80 469 498,97	37,22 %
13 05 03	Instrument for Pre-Accession Assistance (IPA) — Cross-border cooperation (CBC) component								
13 05 03 01	Cross-border cooperation (CBC) — Contribution from Subheading 1-b	1.2	51 491 401	50 000 000	50 481 765	50 000 000	49 491 927,00	45 386 497,95	90,77 %
13 05 03 02	Cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4	4	36 279 051	40 000 000	42 406 903	28 636 770	46 229 232,00	31 370 077,79	78,43 %
	<i>Article 13 05 03 — Subtotal</i>		87 770 452	90 000 000	92 888 668	78 636 770	95 721 159,00	76 756 575,74	85,29 %
	Chapter 13 05 — Total		549 770 452	606 200 000	555 341 668	455 543 710	486 621 159,00	351 405 253,00	57,97 %

Article 13 05 01 — Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)

Remarks

Aid provided by the Instrument for Structural Policies for Pre-accession (ISPA) was intended to help the candidate countries of

Central and Eastern Europe in their accession to the Union. ISPA was used to help the beneficiary countries to comply with the *acquis* of the Union in the environment and transport fields.

Item 13 05 01 01 — Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000 000	p.m.	235 009 566	0,—	165 868 813,64

Remarks

This appropriation is intended to cover assistance under ISPA and the technical assistance provided outside the Commission which is required for implementation in the candidate countries of Central and Eastern Europe.

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

Legal basis

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

Council Regulation (EC) No 2257/2004 of 20 December 2004 amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 2666/2000, to take into account Croatia's candidate status (OJ L 389, 30.12.2004, p. 1).

Item 13 05 01 02 — Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	28 310 364,65

Remarks

This appropriation is intended to cover assistance under ISPA and the technical assistance provided outside the Commission which is required for implementation in the candidate countries which became Member States on 1 May 2004.

Legal basis

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

Article 13 05 02 — Instrument for Pre-Accession Assistance (IPA) — Regional development component

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
462 000 000	216 200 000	462 453 000	141 897 374	390 900 000,00	80 469 498,97

Remarks

This appropriation is intended to cover Union assistance to the candidate countries covered by IPA in progressive alignment with Union standards and policies, including where appropriate the *acquis* of the Union, with a view to membership.

The regional development component shall support countries in policy development and preparation for the implementation and management of Union cohesion policy, in particular in their preparation for the Structural Funds.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Article 13 05 03 — Instrument for Pre-Accession Assistance (IPA) — Cross-border cooperation (CBC) component

Item 13 05 03 01 — Cross-border cooperation (CBC) — Contribution from Subheading 1-b

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
51 491 401	50 000 000	50 481 765	50 000 000	49 491 927,00	45 386 497,95

Remarks

This appropriation is intended to provide the European Regional Development Fund contribution for cross-border cooperation and the technical assistance provided outside the Commission which is required for the implementation in the Member States.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Item 13 05 03 02 — Cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
36 279 051	40 000 000	42 406 903	28 636 770	46 229 232,00	31 370 077,79

Remarks

This appropriation is intended to provide the contribution from the Instrument for Pre-Accession Assistance (IPA) for cross-border cooperation projects and technical assistance provided outside the Commission which is required for implementation in the candidate and potential candidate countries.

This appropriation is also intended to provide the IPA contribution for the participation of candidate and potential candidate countries in the relevant transnational and interregional cooperation programmes.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210,

CHAPTER 13 06 — SOLIDARITY FUND*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 06	Solidarity Fund								
13 06 01	European Union Solidarity Fund — Member States	3.2	p.m.	p.m.	18 061 682	18 061 682	196 934 486,00	263 826 026,00	
13 06 02	European Union Solidarity Fund — Countries negotiating for accession	4	p.m.	p.m.	p.m.	p.m.	5 001 054,00	5 001 054,00	
	Chapter 13 06 — Total		p.m.	p.m.	18 061 682	18 061 682	201 935 540,00	268 827 080,00	

Article 13 06 01 — European Union Solidarity Fund — Member States*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	18 061 682	18 061 682	196 934 486,00	263 826 026,00

Remarks

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major disasters in the Member States. Assistance should be provided mainly in connection with natural disasters, but may also be provided, where warranted by the urgency of the situation, to the Member States concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

Allocation of the appropriations will be decided on in an amending budget with the sole purpose of mobilising the European Union Solidarity Fund.

Legal basis

Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 April 2005, establishing the European Union Solidarity Fund (COM(2005) 108 final).

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Article 13 06 02 — European Union Solidarity Fund — Countries negotiating for accession*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	5 001 054,00	5 001 054,00

Remarks

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major disasters in countries involved in accession negotiations with the Union. Assistance should be provided mainly in connection with natural disasters, but may also be provided, where warranted by the urgency of the situation, to the countries concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

Allocation of the appropriations will be decided on in an amending budget with the sole purpose of mobilising the European Union Solidarity Fund.

Legal basis

Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 April 2005, establishing the European Union Solidarity Fund (COM(2005) 108 final).

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

TITLE 14 — TAXATION AND CUSTOMS UNION

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
14 01	Administrative expenditure of the 'Taxation and customs union' policy area		57 691 905	57 691 905	56 759 910	56 759 910	58 176 506,02	58 176 506,02
	40 01 40				151 912	151 912		
					56 911 822	56 911 822		
14 02	Policy strategy and coordination for the Directorate-General for Taxation and Customs Union	1	3 500 000	2 600 000	3 400 000	2 404 215	2 999 999,40	2 780 447,00
14 03	International aspects of taxation and customs	4	1 250 000	1 650 000	1 300 000	1 909 118	2 170 528,45	1 465 043,47
14 04	Customs policy	1	53 000 000	39 000 000	53 000 000	31 753 788	49 234 927,02	39 957 824,35
14 05	Taxation policy	1	30 000 000	21 000 000	28 200 000	17 237 770	27 800 000,00	20 384 015,94
	Title 14 — Total		145 441 905	121 941 905	142 659 910	110 064 801	140 381 960,89	122 763 836,78
	40 01 40				151 912	151 912		
	Total including reserves				142 811 822	110 216 713		

CHAPTER 14 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TAXATION AND CUSTOMS UNION' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
14 01	Administrative expenditure of the 'Taxation and customs union' policy area					
14 01 01	Expenditure related to staff in active employment in the 'Taxation and customs union' policy area	5	45 105 529	44 067 833	44 099 633,32	97,77 %
14 01 02	External staff and other management expenditure in support of the 'Taxation and customs union' policy area					
14 01 02 01	External staff	5	5 693 615	5 852 798	6 267 181,14	110,07 %
14 01 02 11	Other management expenditure	5	2 837 477	2 773 625	3 240 970,60	114,22 %
	40 01 40			151 912	110 216 713	
				2 925 537		

	<i>Article 14 01 02 — Subtotal</i>		8 531 092	8 626 423	9 508 151,74	111,45 %
	<i>40 01 40</i>			151 912		
				8 778 335		
14 01 03	<i>Expenditure related to information and communication technology equipment and services of the ‘Taxation and customs union’ policy area</i>	5	2 803 284	2 813 654	3 316 720,96	118,32 %
14 01 04	<i>Support expenditure for operations in the ‘Taxation and customs union’ policy area</i>					
14 01 04 01	Implementation and development of the internal market — Expenditure on administrative management	1.1	120 000	120 000	120 000,00	100,00 %
14 01 04 02	Customs 2013 and Fiscalis 2013 — Expenditure on administrative management	1.1	1 132 000	1 132 000	1 132 000,00	100,00 %
	<i>Article 14 01 04 — Subtotal</i>		1 252 000	1 252 000	1 252 000,00	100,00 %
	Chapter 14 01 — Total		57 691 905	56 759 910	58 176 506,02	100,84 %
	40 01 40			151 912		
	Total including reserves			56 911 822		

Article 14 01 01 — Expenditure related to staff in active employment in the ‘Taxation and customs union’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
45 105 529	44 067 833	44 099 633,32

Article 14 01 02 — External staff and other management expenditure in support of the ‘Taxation and customs union’ policy area

Item 14 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 693 615	5 852 798	6 267 181,14

Item 14 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
14 01 02 11	2 837 477	2 773 625	3 240 970,60
40 01 40		151 912	
Total	2 837 477	2 925 537	3 240 970,60

Article 14 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Taxation and customs union’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 803 284	2 813 654	3 316 720,96

Article 14 01 04 — Support expenditure for operations in the ‘Taxation and customs union’ policy area

Item 14 01 04 01 — Implementation and development of the internal market — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
120 000	120 000	120 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices’ contracts expire during subsequent years.

Legal basis

See Article 14 02 01.

Item 14 01 04 02 — Customs 2013 and Fiscalis 2013 — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 132 000	1 132 000	1 132 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as exists between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Articles 14 04 02 and 14 05 03.

CHAPTER 14 02 — POLICY STRATEGY AND COORDINATION FOR THE DIRECTORATE-GENERAL FOR TAXATION AND CUSTOMS UNION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
14 02	Policy strategy and coordination for the Directorate-General for Taxation and Customs Union								
14 02 01	Implementation and development of the internal market	1.1	3 500 000	2 600 000	3 400 000	2 404 215	2 999 999,40	2 780 447,00	106,94 %

	Chapter 14 02 — Total		3 500 000	2 600 000	3 400 000	2 404 215	2 999 999,40	2 780 447,00	106,94 %
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Article 14 02 01 — Implementation and development of the internal market

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 500 000	2 600 000	3 400 000	2 404 215	2 999 999,40	2 780 447,00

Remarks

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion, operation and development of the internal market.

It supports Union customs policy and taxation policy and includes actions which cannot be financed by the Customs 2013 and Fiscalis 2013 programmes.

In the field of taxation and customs, this appropriation is intended primarily to cover:

- the costs of consultations, studies, analyses and impact assessments,
- activities in customs classification, and the acquisition of data,
- investment in software,
- the production and development of publicity, awareness-raising and training materials.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 14 03 — INTERNATIONAL ASPECTS OF TAXATION AND CUSTOMS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
14 03	International aspects of taxation and customs								
14 03 03	<i>Membership of international organisations in the field of customs and tax</i>	4	1 250 000	1 250 000	1 300 000	1 240 927	1 170 528,45	1 170 528,45	93,64 %
14 03 04	<i>Good governance in the area of tax</i>	4	p.m.	400 000	p.m.	668 191	1 000 000,00	294 515,02	73,63 %
	Chapter 14 03 — Total		1 250 000	1 650 000	1 300 000	1 909 118	2 170 528,45	1 465 043,47	88,79 %

Article 14 03 03 — Membership of international organisations in the field of customs and tax

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 250 000	1 250 000	1 300 000	1 240 927	1 170 528,45	1 170 528,45

Remarks

This appropriation is intended to cover the contributions of the Union to:

- the World Customs Organisation (WCO),
- the International Tax Dialogue (ITD).

Legal basis

Council Decision 2007/668/EC of 25 June 2007 on the exercise of rights and obligations akin to membership ad interim by the European Community in the World Customs Organisation (OJ L 274, 18.10.2007, p. 11).

Reference acts

Commission Decision of 4 June 2008 on the Community's participation in the work of the International Tax Dialogue.

Article 14 03 04 — Good governance in the area of tax

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	668 191	1 000 000,00	294 515,02

Remarks

This appropriation is intended to cover expenditure on studies, consultations, workshops, trainings, conferences, expenditure on technical and administrative assistance, meetings of experts, information and publications directly linked to the promotion of good governance in tax matters.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 14 04 — CUSTOMS POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
14 04	Customs policy								
14 04 01	Completion of previous Customs programmes	1.1	—	p.m.	—	p.m.	0,—	0,—	
14 04 02	Customs 2013								
		1.1	53 000 000	39 000 000	53 000 000	31 753 788	49 234 927,02	39 957 824,35	102,46 %
	Chapter 14 04 — Total		53 000 000	39 000 000	53 000 000	31 753 788	49 234 927,02	39 957 824,35	102,46 %

Article 14 04 01 — Completion of previous Customs programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover expenditure on the implementation of the Community customs action programme, and in particular the financing of joint actions, information technology actions as well as other actions.

It covers in particular:

- the travel and subsistence expenses of people from participating countries attending seminars and workshops, exchanges of officials, training, follow-up and comparative analysis operations,
- costs involved in organising seminars, workshops and other similar meetings,
- the cost of purchasing and developing any material required for training,
- the maintenance, development and running costs of current information exchange and communication systems, the operating costs of the network and the running costs of Community components installed on Commission premises (or on the premises of a designated subcontractor). The systems and networks concerned are: the common communications network/common systems interface (CCN/CSI) to the extent necessary to support the functioning of the systems listed here, the data dissemination system (DDS), the new computerised transit system (NCTS/NSTI), the information system on the integrated tariff of the Community (TARIC), the information system for transfer of origin stamps and the transmission of transit stamps (TCO/TCT), the European customs inventory of chemical substances (ECICS), the European binding tariff information system (EBTI/RTCE), the tariff quota surveillance management system (TQS), the inward-processing relief system (IPR), the unit values system, the suspensions information system and actions connected with customs computerisation (eCustoms and customs modernisation),
- as regards the communication and information exchange systems, set up by the management procedure laid down in Article 4 of Decision 1999/468/EC: the costs of design, installation, operation and development, principally the hardware, software and network connections which must be common to all Member States to ensure the interconnection and interoperability of the systems,
- the cost of user assistance, maintenance, operation and development of the anti-fraud information system (AFIS),
- costs connected with other actions which may be necessary to meet the objectives of the programme.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and western Balkan potential candidate countries, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

Decision No 253/2003/EC of the European Parliament and of the Council of 11 February 2003 adopting an action programme for customs in the Community (Customs 2007) (OJ L 36, 12.2.2003, p. 1).

Article 14 04 02 — Customs 2013

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
53 000 000	39 000 000	53 000 000	31 753 788	49 234 927,02	39 957 824,35

Remarks

This appropriation is intended to cover expenditure on the implementation of the Customs 2013 programme, and in particular the financing of joint actions, information technology (IT) actions and other actions.

It covers in particular:

- the cost of the acquisition, development, installation, maintenance and day-to-day operation of the Union components of the communication and information exchange systems, which comprise: the common communications network/common systems

interface (CCN/CSI), including communication costs relating to the anti-fraud information system (AFIS); the computerised transit system (CTS); the tariff systems, in particular the data dissemination system (DDS), the combined nomenclature (CN), the information system on the integrated tariff of the Community (TARIC), the European binding tariff information system (EBTI), the tariff quota and surveillance system (TQS), the suspensions information system (Suspensions), the specimen management system (SMS), the information system for processing procedures (ISPP), the European customs inventory of chemical substances (ECICS) and the registered exporters system (REX); the system for increasing security set out in Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code (OJ L 302, 19.10.1992, p. 1), including the Union's risk management system, the export control system (ECS), the import control system (ICS) and the system for authorised economic operators (AEO); and any new customs-related communication and information exchange systems, including electronic customs systems, established under the legislation of the Union and provided for in the work programme,

- expenses relating to preparatory, monitoring, control, audit and evaluation activities which are required directly for the management of the programme and the achievement of its objectives, and in particular studies, meetings, information and publication actions, and expenses linked to IT networks focusing on information exchange,
- the travel and living expenses incurred by officials from the participating countries relating to benchmarking activities, working visits, seminars, workshops, project and steering groups, training, and monitoring actions,
- the organisational costs of seminars, workshops, and other similar meetings,
- the travel and living expenses incurred due to the participation of ad hoc experts and participants,
- the cost of the acquisition, development, installation, maintenance of training systems and modules in so far as they are common to all participating countries,
- the costs of any other activity required in order to achieve the objectives of the programme.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and western Balkan potential candidate countries, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Decision No 624/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing an action programme for customs in the Community (Customs 2013) (OJ L 154, 14.6.2007, p. 25).

Decision No 70/2008/EC of the European Parliament and of the Council of 15 January 2008 on a paperless environment for customs and trade (OJ L 23, 26.1.2008, p. 21).

Regulation (EC) No 450/2008 of the European Parliament and of the Council of 23 April 2008 laying down the Community Customs Code (Modernised Customs Code) (OJ L 145, 4.6.2008, p. 1).

CHAPTER 14 05 — TAXATION POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
14 05 14 05 02 14 05 03	Taxation policy <i>Computerisation of the excise system (EMCS)</i> <i>Fiscalis 2013</i>	1.1	—	p.m.	—	p.m.	0,—	64 007,94	
		1.1	30 000 000	21 000 000	28 200 000	17 237 770	27 800 000,00	20 320 008,00	96,76 %
	Chapter 14 05 — Total		30 000 000	21 000 000	28 200 000	17 237 770	27 800 000,00	20 384 015,94	97,07 %

Article 14 05 02 — Computerisation of the excise system (EMCS)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	64 007,94

Remarks

This appropriation is intended to cover the expenses incurred in putting in place a multiannual programme for computerising the excise system, and will cover in particular:

- the development, support and testing of the system, management and quality control for the products developed and installed, coordination, the hardware included in the definition of the Union components of the system and its functional and technical specifications,
- establishing information and training activities,
- the security plan for the system.

Legal basis

Decision No 1152/2003/EC of the European Parliament and of the Council of 16 June 2003 on computerising the movement and surveillance of excisable products (OJ L 162, 1.7.2003, p. 5).

Article 14 05 03 — Fiscalis 2013

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 000 000	21 000 000	28 200 000	17 237 770	27 800 000,00	20 320 008,00

Remarks

This appropriation is intended to cover expenditure on the implementation of the Fiscalis 2013 programme, and in particular the financing of joint actions, information technology (IT) actions and other actions.

It covers in particular:

- the cost of the acquisition, development, installation, maintenance and day-to-day operation of the Union components of the communication and information exchange systems, which comprise: the common communications network/common systems interface (CCN/CSI); the VAT information exchange system (VIES); excise systems; the excise movement control system (EMCS); and any new tax-related communication and information exchange systems set up under the legislation of the Union and provided for in the work programme,

- expenses relating to preparatory, monitoring, control, audit and evaluation activities which are required directly for the management of the programme and the achievement of its objectives, in particular studies, meetings, information and publication actions, and expenses linked to IT networks focusing on information exchange,
- the travel and living expenses incurred by officials from the participating countries relating to multilateral controls, working visits, seminars and project groups,
- the organisational costs relating to seminars and other similar meetings,
- the travel and living expenses incurred for the participation of ad hoc experts and participants,
- the cost of the acquisition, development, installation, and maintenance of training systems and modules in so far as they are common to all participating countries,
- the costs of any other activity required in order to achieve the objectives of the programme.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and western Balkan potential candidate countries, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1798/2003 of 7 October 2003 on administrative cooperation in the field of value added tax and repealing Regulation (EEC) No 218/92 (OJ L 264, 15.10.2003, p. 1).

Decision No 1482/2007/EC of the European Parliament and of the Council of 11 December 2007 establishing a Community programme to improve the operation of taxation systems in the internal market (Fiscalis 2013) (OJ L 330, 15.12.2007, p. 1).

TITLE 15 — EDUCATION AND CULTURE

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 01	Administrative expenditure of the 'Education and culture' policy area 40 01 40		124 567 991	124 567 991	125 157 657 29 933 125 187 590	125 157 657 29 933 125 187 590	134 017 389,68	134 017 389,68
15 02	Lifelong learning, including multilingualism		1 372 267 510	1 307 451 135	1 345 007 430	1 109 141 456	1 397 140 302,50	1 375 911 670,62
15 04	Developing cultural and audiovisual cooperation in Europe		172 265 000	157 293 750	173 780 000	157 485 000	178 444 371,13	166 857 126,08
15 05	Encouraging and promoting cooperation in the field of youth and sports	3	140 450 000	130 159 000	145 108 000	130 000 000	163 102 282,59	156 079 484,87
15 07	People — Programme for the mobility of researchers	1	954 252 000	800 499 900	906 662 068	589 555 947	846 603 637,00	584 227 243,53
	Title 15 — Total 40 01 40 Total including reserves		2 763 802 501	2 519 971 776	2 695 715 155 29 933 2 695 745 088	2 111 340 060 29 933 2 111 369 993	2 719 307 982,90	2 417 092 914,78

CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
15 01	Administrative expenditure of the 'Education and culture' policy area					

15 01 01	<i>Expenditure related to staff in active employment in the 'Education and culture' policy area</i>	5	53 016 976	52 261 852	52 768 543,03	99,53 %
15 01 02	<i>External staff and other management expenditure in support of the 'Education and culture' policy area</i>					
15 01 02 01	External staff	5	3 885 021	3 973 490	4 776 887,45	122,96 %
15 01 02 11	Other management expenditure	5	3 333 017	3 361 487	5 481 756,45	164,47 %
	40 01 40			29 933		
				3 391 420		
	<i>Article 15 01 02 — Subtotal</i>		7 218 038	7 334 977	10 258 643,90	142,13 %
	40 01 40			29 933		
				7 364 910		
15 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Education and culture' policy area</i>	5	3 294 977	3 336 828	3 967 061,60	120,40 %
15 01 04	<i>Support expenditure for operations in the 'Education and culture' policy area</i>					
15 01 04 14	Erasmus Mundus — Expenditure on administrative management	1.1	914 000	914 000	1 014 776,39	111,03 %
15 01 04 17	Cooperation with third countries on education and vocational training — Expenditure on administrative management	4	80 000	80 000	79 912,96	99,89 %
15 01 04 22	Lifelong learning — Expenditure on administrative management	1.1	8 500 000	8 750 000	9 252 127,54	108,85 %
15 01 04 30	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 1a	1.1	21 395 000	21 444 000	23 315 475,00	108,98 %
15 01 04 31	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 3b	3.2	15 572 000	15 572 000	16 640 262,00	106,86 %
15 01 04 32	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 4	4	263 000	600 000	600 000,00	228,14 %
15 01 04 44	Culture Programme (2007 to 2013) — Expenditure on administrative management	3.2	550 000	550 000	640 322,20	116,42 %
15 01 04 55	Youth in Action — Expenditure on administrative management	3.2	780 000	780 000	1 283 173,66	164,51 %
15 01 04 60	MEDIA 2007 — Support programme for the European audiovisual sector — Expenditure on administrative management	3.2	725 000	725 000	881 378,09	121,57 %
15 01 04 68	MEDIA Mundus — Expenditure on administrative management	3.2	75 000	75 000	169 257,05	225,68 %
	<i>Article 15 01 04 — Subtotal</i>		48 854 000	49 490 000	53 876 684,89	110,28 %
15 01 05	<i>Support expenditure for research activities of the 'Education and culture' policy area</i>					
15 01 05 01	Expenditure related to research staff	1.1	1 952 000	1 952 000	1 800 000,00	92,21 %
15 01 05 02	External staff for research	1.1	700 000	700 000	586 660,00	83,81 %
15 01 05 03	Other management expenditure for research	1.1	348 000	348 000	657 389,06	188,90 %
	<i>Article 15 01 05 — Subtotal</i>		3 000 000	3 000 000	3 044 049,06	101,47 %
15 01 60	<i>Purchasing of information</i>					
15 01 60 01	Library stocks, subscriptions and purchase and preservation of books	5	2 534 000	2 734 000	2 749 278,01	108,50 %
	<i>Article 15 01 60 — Subtotal</i>		2 534 000	2 734 000	2 749 278,01	108,50 %
15 01 61	<i>Cost of organising graduate traineeships with the institution</i>	5	6 650 000	7 000 000	7 353 129,19	110,57 %
	Chapter 15 01 — Total		124 567 991	125 157 657	134 017 389,68	107,59 %
	40 01 40			29 933		
	Total including reserves			125 187 590		

Article 15 01 01 — Expenditure related to staff in active employment in the 'Education and culture' policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
53 016 976	52 261 852	52 768 543,03

Article 15 01 02 — External staff and other management expenditure in support of the ‘Education and culture’ policy area

Item 15 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 885 021	3 973 490	4 776 887,45

Item 15 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
15 01 02 11	3 333 017	3 361 487	5 481 756,45
40 01 40		29 933	
Total	3 333 017	3 391 420	5 481 756,45

Article 15 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Education and culture’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 294 977	3 336 828	3 967 061,60

Article 15 01 04 — Support expenditure for operations in the ‘Education and culture’ policy area

Item 15 01 04 14 — Erasmus Mundus — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
914 000	914 000	1 014 776,39

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of

the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 15 02 02.

Item 15 01 04 17 — Cooperation with third countries on education and vocational training — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
80 000	80 000	79 912,96

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 15 02 03.

Item 15 01 04 22 — Lifelong learning — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
8 500 000	8 750 000	9 252 127,54

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 15 02 22.

Item 15 01 04 30 — Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 1a

Figures

Budget 2013	Appropriations 2012	Outturn 2011
21 395 000	21 444 000	23 315 475,00

Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the programmes under Heading 1a of the 2007 to 2013 financial framework and the completion of actions supported before 2007.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union/Community programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1720/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing an action programme in the field of lifelong learning (OJ L 327, 24.11.2006, p. 45).

Decision No 1298/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing the Erasmus Mundus 2009-2013 action programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (OJ L 340, 19.12.2008, p. 83).

Reference acts

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

Item 15 01 04 31 — Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 3b

Figures

Budget 2013	Appropriations 2012	Outturn 2011
15 572 000	15 572 000	16 640 262,00

Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the programmes under Heading 3b of the 2007 to 2013 financial framework and the completion of actions supported before 2007.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency’s establishment plan is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1718/2006/EC of the European Parliament and of the Council of 15 November 2006 concerning the implementation of a programme of support for the European audiovisual sector (MEDIA 2007) (OJ L 327, 24.11.2006, p. 12).

Decision No 1719/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing the ‘Youth in Action’ programme for the period 2007 to 2013 (OJ L 327, 24.11.2006, p. 30).

Decision No 1855/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing the Culture Programme (2007 to 2013) (OJ L 372, 27.12.2006, p. 1).

Reference acts

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

Item 15 01 04 32 — Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 4

Figures

Budget 2013	Appropriations 2012	Outturn 2011
263 000	600 000	600 000,00

Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency’s participation in managing the programmes under Heading 4 of the 2007 to 2013 financial framework and the completion of actions supported before 2007.

The Agency’s establishment plan is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3).

Legal basis

Council Decision 2001/196/EC of 26 February 2001 concerning the conclusion of an Agreement between the European Community and the United States of America renewing a programme of cooperation in higher education and vocational education and training (OJ L 71, 13.3.2001, p. 7).

Council Decision 2001/197/EC of 26 February 2001 concerning the conclusion of an Agreement between the European Community and the Government of Canada renewing a cooperation programme in higher education and training (OJ L 71, 13.3.2001, p. 15).

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain

tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Decision 2006/910/EC of 4 December 2006 concerning the conclusion of the Agreement between the European Community and the United States of America renewing the cooperation programme in higher education and vocational education and training (OJ L 346, 9.12.2006, p. 33).

Council Decision 2006/964/EC of 18 December 2006 on the conclusion of the Agreement between the European Community and the Government of Canada establishing a framework for cooperation in higher education, training and youth (OJ L 397, 30.12.2006, p. 14).

Reference acts

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

Item 15 01 04 44 — Culture Programme (2007 to 2013) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
550 000	550 000	640 322,20

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 15 04 44.

Item 15 01 04 55 — Youth in Action — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
780 000	780 000	1 283 173,66

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue

in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 15 05 55.

Item 15 01 04 60 — MEDIA 2007 — Support programme for the European audiovisual sector — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
725 000	725 000	881 378,09

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Item 15 04 66 01.

Item 15 01 04 68 — MEDIA Mundus — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
75 000	75 000	169 257,05

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See new Article 15 04 68.

Article 15 01 05 — Support expenditure for research activities of the 'Education and culture' policy area

Item 15 01 05 01 — Expenditure related to research staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 952 000	1 952 000	1 800 000,00

Item 15 01 05 02 — External staff for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
700 000	700 000	586 660,00

Item 15 01 05 03 — Other management expenditure for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
348 000	348 000	657 389,06

15 02 02	Erasmus Mundus						100 638 103,19	90 890 385,45	93,56 %
15 02 03	Cooperation with third countries on education and vocational training	1.1	108 291 000	97 150 000	105 654 000	86 188 852			121,96 %
15 02 09	Completion of previous programmes in the field of education and training	4	1 024 000	3 600 000	9 000 000	7 636 472	1 395 254,77	4 390 505,75	
15 02 11	European Institute of Innovation and Technology	1.1	—	p.m.	—	p.m.	0,—	2 338 104,95	
15 02 11 01	European Institute of Innovation and Technology — Governing structure	1.1	4 765 110	4 765 110	4 493 000	3 169 028	3 742 285,13	3 298 018,35	69,21 %
15 02 11 02	European Institute of Innovation and Technology — Knowledge and Innovation Communities (KIC)	1.1	118 300 000	103 569 690	74 831 000	65 512 600	60 542 918,00	45 705 908,25	44,13 %
	<i>Article 15 02 11 — Subtotal</i>		123 065 110	108 334 800	79 324 000	68 681 628	64 285 203,13	49 003 926,60	45,23 %
15 02 22	Lifelong learning programme	1.1	1 100 476 000	1 058 000 000	1 110 476 000	907 251 074	1 189 120 538,59	1 189 681 060,40	112,45 %
15 02 23	Preparatory action — Erasmus-style programme for apprentices	1.1	—	275 000	—	p.m.	0,—	298 836,90	108,67 %
15 02 25	European Centre for the Development of Vocational Training								
15 02 25 01	European Centre for the Development of Vocational Training — Contribution to Titles 1 and 2	1.1	12 430 000	12 430 000	12 668 834	12 668 834	13 741 473,16	13 741 473,16	110,55 %
15 02 25 02	European Centre for the Development of Vocational Training — Contribution to Title 3	1.1	4 954 900	4 954 900	4 340 066	4 340 066	3 528 526,84	3 245 526,84	65,50 %
	<i>Article 15 02 25 — Subtotal</i>		17 384 900	17 384 900	17 008 900	17 008 900	17 270 000,00	16 987 000,00	97,71 %
15 02 27	European Training Foundation								
15 02 27 01	European Training Foundation — Contribution to Titles 1 and 2	4	15 081 500	15 081 500	14 468 414	14 468 414	14 328 000,00	14 328 000,00	95,00 %
15 02 27 02	European Training Foundation — Contribution to Title 3	4	4 945 000	5 445 000	5 576 116	5 576 116	7 202 173,05	5 522 000,00	101,41 %
	<i>Article 15 02 27 — Subtotal</i>		20 026 500	20 526 500	20 044 530	20 044 530	21 530 173,05	19 850 000,00	96,70 %
15 02 30	Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges	1.1	—	—	—	—	1 355,77	1 355,77	
15 02 31	Pilot project to cover costs of studies for specialising in European Neighbourhood Policy (ENP) and for related academic activities, including setting-up the ENP Chair in the College of Europe Natolin Campus	1.1	p.m.	579 935	p.m.	580 000	2 899 674,00	2 319 739,00	400,00 %
15 02 32	Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges	1.1	—	p.m.	p.m.	p.m.	0,—	150 755,80	
15 02 33	Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)	1.1	2 000 000	1 600 000	3 500 000	1 750 000			
	Chapter 15 02 — Total		1 372 267 510	1 307 451 135	1 345 007 430	1 109 141 456	1 397 140 302,50	1 375 911 670,62	105,24 %

Article 15 02 02 — Erasmus Mundus

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
108 291 000	97 150 000	105 654 000	86 188 852	100 638 103,19	90 890 385,45

Remarks

This appropriation is intended to cover the Erasmus Mundus II programme (2009-2013), as well as the completion of actions started during the previous phase of the Erasmus Mundus programme 2004-2008. The objectives of the Erasmus Mundus II programme are:

- to foster structured cooperation between higher education institutions and academic staff in Europe and third countries with a view to creating poles of excellence and providing highly trained human resources,
- to contribute to the mutual enrichment of societies by developing a pool of well-qualified, open-minded and internationally experienced women and men through promoting mobility in order for the most talented students and academics from third countries to obtain qualifications and/or experience in the Union and for the most talented European students and academics to do the same in third countries,
- to contribute to the development of human resources and the international cooperation capacity of higher education institutions in third countries through increased mobility streams between the Union and third countries,
- to improve accessibility and enhance the profile and visibility of European higher education in the world as well as its attractiveness for third-country nationals,
- to foster cooperation between higher education institutions and to improve exchanges of academic staff and students in Europe and European Neighbourhood Policy countries — equally from the South and East.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 2317/2003/EC of the European Parliament and of the Council of 5 December 2003 establishing a programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (Erasmus Mundus) (2004 to 2008) (OJ L 345, 31.12.2003, p. 1).

Decision No 1298/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing the Erasmus Mundus 2009-2013 action programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (OJ L 340, 19.12.2008, p. 83).

Article 15 02 03 — Cooperation with third countries on education and vocational training

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 024 000	3 600 000	9 000 000	7 636 472	1 395 254,77	4 390 505,75

Remarks

On the basis of the cooperation agreements between the Union/Community and the United States of America and Canada, this appropriation is in particular intended to cover:

- comparative studies on respective education policies, qualifications and skills,
- establishment of exchange programmes for students, learners, teachers and administrators,
- promotion of interinstitutional cooperation,
- aid for the establishment of links between the industrial sectors concerned and universities,

- promotion of cooperation with the private sector in developing and broadening the programmes,
- development of policy dialogue; complementary measures and prompt dissemination of results.

Assistance is to be increased for European students for studying in the United States and Canada, in particular at universities.

Legal basis

Council Decision 2001/196/EC of 26 February 2001 concerning the conclusion of an Agreement between the European Community and the United States of America renewing a programme of cooperation in higher education and vocational education and training (OJ L 71, 13.3.2001, p. 7).

Council Decision 2001/197/EC of 26 February 2001 concerning the conclusion of an Agreement between the European Community and the Government of Canada renewing a cooperation programme in higher education and training (OJ L 71, 13.3.2001, p. 15).

Council Decision 2006/910/EC of 4 December 2006 concerning the conclusion of the Agreement between the European Community and the United States of America renewing the cooperation programme in higher education and vocational education and training (OJ L 346, 9.12. 2006, p. 33).

Council Decision 2006/964/EC of 18 December 2006 on the conclusion of the Agreement between the European Community and the Government of Canada establishing a framework for cooperation in higher education, training and youth (OJ L 397, 30.12.2006, p. 14).

Article 15 02 09 — Completion of previous programmes in the field of education and training

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	2 338 104,95

Remarks

This appropriation is intended to cover the completion of actions supported before 2007 under the following budget headings:

- Socrates,
- Leonardo da Vinci.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 1999/382/EC of 26 April 1999 establishing the second phase of the Community vocational training action programme 'Leonardo da Vinci' (OJ L 146, 11.6.1999, p. 33).

Decision No 253/2000/EC of the European Parliament and of the Council of 24 January 2000 establishing the second phase of the Community action programme in the field of education 'Socrates' (OJ L 28, 3.2.2000, p. 1).

Decision No 2241/2004/EC of the European Parliament and of the Council of 15 December 2004 on a single Community framework for the transparency of qualifications and competences (Europass) (OJ L 390, 31.12.2004, p. 6).

Article 15 02 11 — European Institute of Innovation and Technology

Item 15 02 11 01 — European Institute of Innovation and Technology — Governing structure

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 765 110	4 765 110	4 493 000	3 169 028	3 742 285,13	3 298 018,35

Remarks

This appropriation is intended to cover staff and administrative expenditure (Titles 1 and 2) of the European Institute of Innovation and Technology (EIT).

The EIT must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure. The Commission, if requested by the EIT, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The establishment plan of the EIT is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EC) No 294/2008 of the European Parliament and of the Council of 11 March 2008 establishing the European Institute of Innovation and Technology (OJ L 97, 9.4.2008, p. 1).

Item 15 02 11 02 — European Institute of Innovation and Technology — Knowledge and Innovation Communities (KIC)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
118 300 000	103 569 690	74 831 000	65 512 600	60 542 918,00	45 705 908,25

Remarks

This appropriation is intended to cover the EIT's operational expenditure relating to the work programme (Title 3) including the Knowledge and Innovation Communities (KIC) designated by the European Institute of Innovation and Technology (EIT).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Regulation (EC) No 294/2008 of the European Parliament and of the Council of 11 March 2008 establishing the European Institute of Innovation and Technology (OJ L 97, 9.4.2008, p. 1).

Article 15 02 22 — Lifelong learning programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 100 476 000	1 058 000 000	1 110 476 000	907 251 074	1 189 120 538,59	1 189 681 060,40

Remarks

In accordance with the decision for an integrated programme in the field of lifelong learning, this appropriation is intended to cover the following specific programmes and horizontal actions:

- Comenius: for general education activities concerning schools up to and including upper-secondary level,
- Erasmus: for education and advanced training activities at higher education level, increase in the number of scholarships and funding for them under the Erasmus programmes,
- Leonardo da Vinci: for all aspects of vocational education and training,
- Grundtvig: for adult education,
- Jean Monnet: projects stimulating teaching, research and debate on the European integration process at higher education institutions and operating grants to certain key institutions and associations,
- a cross-cutting programme: incorporating four key activities to cover policy issues, to make specific provision for language learning and activity related to information and communication technologies where these fall outside the specific programmes, and to provide a better dissemination service.

Special education needs for persons suffering from disabilities or 'dys' conditions can be addressed in all the abovementioned sectoral programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1720/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing an action programme in the field of lifelong learning (OJ L 327, 24.11.2006, p. 45).

Article 15 02 23 — Preparatory action — Erasmus-style programme for apprentices

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	275 000	—	p.m.	0,—	298 836,90

Remarks

This appropriation is intended to cover the costs for the completion of the preparatory action 'Erasmus-style programme for apprentices'.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 02 25 — European Centre for the Development of Vocational Training

Item 15 02 25 01 — European Centre for the Development of Vocational Training — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 430 000	12 430 000	12 668 834	12 668 834	13 741 473,16	13 741 473,16

Remarks

This appropriation is intended to cover the Centre's staff and administrative expenditure (Titles 1 and 2).

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure. The Commission, if requested by the Centre, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The Centre's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training (OJ L 39, 13.2.1975, p. 1).

Item 15 02 25 02 — European Centre for the Development of Vocational Training — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 954 900	4 954 900	4 340 066	4 340 066	3 528 526,84	3 245 526,84

Remarks

This appropriation is intended to cover the Centre's operational expenditure relating to the work programme (Title 3).

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure. The Commission, if requested by the Centre, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The Union contribution for 2013 amounts to a total of EUR 17 433 900. An amount of EUR 49 000 coming from the recovery of surplus is added to the amount of EUR 17 384 900 entered in the budget.

Legal basis

Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training (OJ L 39, 13.2.1975, p. 1).

Article 15 02 27 — European Training Foundation

Item 15 02 27 01 — European Training Foundation — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 081 500	15 081 500	14 468 414	14 468 414	14 328 000,00	14 328 000,00

Remarks

This appropriation is intended to cover the Foundation's staff and administrative expenditure (Titles 1 and 2).

The Foundation must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The establishment plan for the Foundation is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

This appropriation is intended to cover the support of partner countries in the Mediterranean region in reforming their labour markets and vocational training systems, promoting social dialogue and supporting entrepreneurship.

Legal basis

Regulation (EC) No 1339/2008 of the European Parliament and of the Council of 16 December 2008 establishing a European Training Foundation (OJ L 354, 31.12.2008, p. 82).

Item 15 02 27 02 — European Training Foundation — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 945 000	5 445 000	5 576 116	5 576 116	7 202 173,05	5 522 000,00

Remarks

This appropriation is intended to cover the Foundation's operational expenditure regarding its work programme (Title 3).

The Foundation must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Union contribution for 2013 amounts to a total of EUR 20 144 500. An amount of EUR 118 000 coming from the recovery of surplus is added to the amount of EUR 20 026 500 entered in the budget.

Legal basis

Regulation (EC) No 1339/2008 of the European Parliament and of the Council of 16 December 2008 establishing a European Training Foundation (OJ L 354, 31.12.2008, p. 82).

Article 15 02 30 — Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	1 355,77	1 355,77

Remarks

This appropriation is intended to cover the costs for the completion of the pilot project – European Neighbourhood Policy – Enhance education through scholarships and exchanges.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 02 31 — Pilot project to cover costs of studies for specialising in European Neighbourhood Policy (ENP) and for related academic activities, including setting-up the ENP Chair in the College of Europe Natolin Campus

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	579 935	p.m.	580 000	2 899 674,00	2 319 739,00

Remarks

This appropriation is intended to cover the costs for the completion of the pilot project to cover costs of studies for specialising in ENP and for related academic activities, including setting-up the ENP Chair in the college of Europe Natolin Campus.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 02 32 — Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	150 755,80

Remarks

This appropriation is intended to cover the costs for completion of the pilot project for scholarships for students from European Neighbourhood Policy countries who are following courses leading to a Master's degree in European Studies.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 02 33 — Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
2 000 000	1 600 000	3 500 000	1 750 000		

Remarks

This appropriation is intended to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair.

The new enhanced European Neighbourhood Policy, as projected by the Council and the European Parliament in their respective decisions and resolutions, especially in the light of the two major neighbourhood political projects, the Union for the Mediterranean and the Eastern Partnership, necessitates preparing future interlocutors coming from European and neighbouring countries, i.e. personnel for EU-ENP-related jobs. They should be fully and professionally acquainted with the substance and spirit of Union policies, law and institutions and the Union *acquis*. That requires a focused and sophisticated offer, which can be delivered only by a highly recognised academic institution such as the College of Europe which already specialises in this field. The two campuses of the College of Europe — one in Bruges, Belgium, close to the Brussels institutions and expertise, the other in Natolin/Warsaw, Poland, specialising in neighbourhood and border policies (the Frontex agency being based in Warsaw) — are best placed to offer tailor-made programmes and other educational modules.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 15 04 — DEVELOPING CULTURAL AND AUDIOVISUAL COOPERATION IN EUROPE

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
15 04	Developing cultural and audiovisual cooperation in Europe								
15 04 09	Completion of previous programmes/actions								
15 04 09 01	Completion of previous programmes/actions in the field of culture and language	3.2	—	p.m.	—	p.m.	0,—	453 959,84	
15 04 09 02	Completion of previous MEDIA programmes	3.2	—	p.m.	—	p.m.	0,—	104 214,99	
	<i>Article 15 04 09 — Subtotal</i>		—	p.m.	—	p.m.	0,—	558 174,83	
15 04 10	Pilot project — Economy of cultural diversity	3.2	p.m.	225 000	750 000	375 000			
15 04 44	Culture Programme (2007 to 2013)	3.2	59 356 000	51 000 000	58 503 000	50 500 000	59 310 924,30	50 576 970,28	99,17 %
15 04 45	Pilot project — Artist mobility	3.2	—	p.m.	—	350 000	0,—	181 966,96	
15 04 46	Preparatory action — Culture in external relations	3.2	p.m.	150 000	500 000	250 000			
15 04 50	European Heritage Label	3.2	300 000	318 750	350 000	250 000			
15 04 66	MEDIA 2007 — Support programme for the European audiovisual sector								
15 04 66 01	MEDIA 2007 — Support programme for the European audiovisual sector	3.2	108 109 000	101 000 000	106 752 000	100 000 000	114 214 581,83	110 608 856,60	109,51 %
15 04 66 02	Preparatory action — Implementation of the MEDIA 2007 programmes in third countries	4	—	p.m.	—	p.m.	0,—	1 544 561,41	
15 04 66 03	Preparatory action — Circulation of audiovisual works in a digital environment	3.2	p.m.	p.m.	2 000 000	1 000 000			
	<i>Article 15 04 66 — Subtotal</i>		108 109 000	101 000 000	108 752 000	101 000 000	114 214 581,83	112 153 418,01	111,04 %
15 04 68	MEDIA Mundus	3.2	4 500 000	4 600 000	4 925 000	4 760 000	4 918 865,00	3 386 596,00	73,62 %
15 04 70	Pilot project — House of European History		p.m.	p.m.					
	Chapter 15 04 — Total		172 265 000	157 293 750	173 780 000	157 485 000	178 444 371,13	166 857 126,08	106,08 %

Article 15 04 09 — Completion of previous programmes/actions

Item 15 04 09 01 — Completion of previous programmes/actions in the field of culture and language

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	453 959,84

Remarks

This appropriation is intended to cover the completion of measures supported before 2007 under the following budget headings:

- subsidies for European interest organisations,
- framework programme in support of culture.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 508/2000/EC of the European Parliament and of the Council of 14 February 2000 establishing the Culture 2000 programme (OJ L 63, 10.3.2000, p. 1).

Decision No 792/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote bodies active at European level in the field of culture (OJ L 138, 30.4.2004, p. 40).

Item 15 04 09 02 — Completion of previous MEDIA programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	104 214,99

Remarks

This appropriation is intended to cover earlier commitments related to MEDIA programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 (1)(d) of the Financial Regulation.

Legal basis

Council Decision 95/563/EC of 10 July 1995 on the implementation of a programme encouraging the development and distribution of

European audiovisual works (Media II — Development and distribution) (1996-2000) (OJ L 321, 30.12.1995, p. 25).

Council Decision 95/564/EC of 22 December 1995 on the implementation of a training programme for professionals in the European audiovisual programme industry (Media II — Training) (OJ L 321, 30.12.1995, p. 33).

Council Decision 2000/821/EC of 20 December 2000 on the implementation of a programme to encourage the development, distribution and promotion of European audiovisual works (MEDIA Plus — Development, Distribution and Promotion) (2001-2005) (OJ L 336, 30.12.2000, p. 82).

Decision No 163/2001/EC of the European Parliament and of the Council of 19 January 2001 on the implementation of a training programme for professionals in the European audiovisual programme industry (MEDIA-Training) (2001-2005) (OJ L 26, 27.1.2001, p. 1).

Article 15 04 10 — Pilot project — Economy of cultural diversity

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
p.m.	225 000	750 000	375 000		

Remarks

This appropriation is intended to cover the costs for the completion of the pilot project — Economy of cultural diversity.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 04 44 — Culture Programme (2007 to 2013)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
59 356 000	51 000 000	58 503 000	50 500 000	59 310 924,30	50 576 970,28

Remarks

In accordance with the ‘Culture programme 2007-2013’, this appropriation is intended to cover the following measures:

- support for cultural cooperation projects in all artistic and cultural fields except audiovisual,
- support for operating costs of organisations active in the field of culture at European level,
- support for work to analyse, collect and disseminate information in the field of cultural cooperation,
- support for literary translation projects, from one European language to another.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1855/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing the Culture Programme

(2007 to 2013) (OJ L 372, 27.12.2006, p. 1).

Article 15 04 45 — Pilot project — Artist mobility

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	350 000	0,—	181 966,96

Remarks

This appropriation is intended to cover the costs for the completion of the pilot project for artist mobility.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 04 46 — Preparatory action — Culture in external relations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
p.m.	150 000	500 000	250 000		

Remarks

This appropriation is intended to cover the costs for the completion of the preparatory action — Culture in external relations.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 04 50 — European Heritage Label

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
300 000	318 750	350 000	250 000		

Remarks

The European Heritage Label will be awarded to sites which have strong symbolic value for the Union and which highlight European history, the building of Union and European values and human rights that underpin the process of European integration. The aim is to bring citizens, especially young people, closer to the Union. However, the Label is also expected to act as an incentive to increase cultural tourism, bringing possible economic benefits.

This appropriation is intended to cover the costs of:

- the attribution of the Label through a selection procedure by a European panel of independent experts,
- the promotion of the Label.

Legal basis

Decision No 1194/2011/EU of the European Parliament and of the Council of 16 November 2011 establishing a European Union action for the European Heritage Label (OJ L 303, 22.11.2011, p. 1).

Article 15 04 66 — MEDIA 2007 — Support programme for the European audiovisual sector

Item 15 04 66 01 — MEDIA 2007 — Support programme for the European audiovisual sector

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
108 109 000	101 000 000	106 752 000	100 000 000	114 214 581,83	110 608 856,60

Remarks

This appropriation is intended to cover the following measures:

- support for the pre-production phase:
 - favour the acquisition of skills and qualifications for audiovisual professionals in the fields of scriptwriting, management and new technologies. For example: support the mobility of trainers; scholarships for professionals from the new Member States,
 - support the development of audiovisual works, in respect of the creative (scripts) as well as economical aspects (production strategies, distribution and promotion). For example: support the development of individual projects or catalogues of projects; support intended to facilitate access to financing by small and medium-sized enterprises, including the MEDIA Production Guarantee Fund,
- support for the post-production phase:
 - support the transnational distribution of European works, to improve the circulation of non-national European works. For example: support cinema and video distribution of non-national European films; automatic and selective support for distributors of non-national European films, support for promotion kits, support to digitisation,
 - improve the promotion of European works. For example: ensuring access by professionals to European and international markets; ensuring access by the audience to works reflecting European cultural diversity,
- support innovation and enable the programme to adapt to technological changes. Action: support pilot projects, notably in respect of digital technologies, including support to the digitisation of cinema theatres,
- support a network of information offices (MEDIA desks) throughout Europe,
- support for the European Audiovisual Observatory.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 (1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1) of the Financial Regulation.

In accordance with the MEDIA 2007 legal basis, part of the appropriation under this budget line will be used to encourage and support initiatives for image education organised by festivals for young people, in particular in close cooperation with schools and other institutions. Beneficiaries may be organisations from the public and private sectors which have expertise and European experience in media literacy issues.

Legal basis

Decision No 1718/2006/EC of the European Parliament and of the Council of 15 November 2006 concerning the implementation of a programme of support for the European audiovisual sector (MEDIA 2007) (OJ L 327, 24.11.2006, p. 12).

See also Item 15 01 04 31.

Item 15 04 66 02 — Preparatory action — Implementation of the MEDIA 2007 programmes in third countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	1 544 561,41

Remarks

This appropriation is intended to cover the costs of completing the MEDIA International preparatory action.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 15 04 66 03 — Preparatory action — Circulation of audiovisual works in a digital environment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	2 000 000	1 000 000		

Remarks

This appropriation is intended to cover the costs for the completion of the preparatory action — Circulation of audiovisual works in a digital environment.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 04 68 — MEDIA Mundus

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 500 000	4 600 000	4 925 000	4 760 000	4 918 865,00	3 386 596,00

Remarks

In accordance with the decision creating the 'MEDIA Mundus' programme, this appropriation is intended to cover actions in order to:

- strengthen the skills of European and third-country professionals and improve their level of information and knowledge,
- facilitate the search for partners for co-productions and encourage international sales and promotion of audiovisual work,
- encourage cinema operators in European and third countries to reciprocally increase the programming and exhibition conditions of exclusive first releases of audiovisual works,
- increase the supply of audiovisual content and improve broadcasting and distribution conditions for third countries' audiovisual works on European distribution channels and European works on third countries' distribution channels,
- facilitate the organisation of events and film literacy initiatives.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure

of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 (1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1041/2009/EC of the European Parliament and of the Council of 21 October 2009 establishing an audiovisual cooperation programme with professionals from third countries (MEDIA Mundus) (OJ L 288, 4.11.2009, p. 10).

Article 15 04 70 — Pilot project — House of European History

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments				
p.m.	p.m.				

Remarks

New article

This appropriation is intended to contribute towards operational expenditure of the House of European History, which will increase knowledge, awaken curiosity, and create opportunities to reflect on European history by means of a modern exhibition and documentation centre.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 15 05 — ENCOURAGING AND PROMOTING COOPERATION IN THE FIELD OF YOUTH AND SPORTS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
15 05	Encouraging and promoting cooperation in the field of youth and sports								
15 05 06	Special annual events	3.2	p.m.	450 000	1 500 000	2 700 000	4 000 000,00	5 381 398,93	1195,87 %
15 05 09	Completion of previous programmes/actions in the field of youth	3.2	—	p.m.	—	p.m.	0,—	2 703 595,37	
15 05 10	Preparatory action — Amicus — Association of Member States Implementing a Community Universal Service	3.2	—	p.m.	—	p.m.	0,—	290 266,89	
15 05 11	Preparatory action in the field of sport	3.2	p.m.	209 000	p.m.	2 300 000	2 989 358,62	2 855 192,07	1366,12 %
15 05 20	Preparatory action — European partnerships on sport	3.2	p.m.	500 000	4 000 000	2 000 000			
15 05 55	Youth in Action	3.2	140 450 000	129 000 000	139 608 000	123 000 000	156 112 923,97	144 849 031,61	112,29 %
	Chapter 15 05 — Total		140 450 000	130 159 000	145 108 000	130 000 000	163 102 282,59	156 079 484,87	119,91 %

Article 15 05 06 — Special annual events

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	450 000	1 500 000	2 700 000	4 000 000,00	5 381 398,93

Remarks

This appropriation is intended to cover completion costs of measures supported as special annual events.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 05 09 — Completion of previous programmes/actions in the field of youth

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	2 703 595,37

Remarks

This appropriation is intended to cover the completion of measures supported before 2007 under the budget heading Youth.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1031/2000/EC of the European Parliament and of the Council of 13 April 2000 establishing the 'Youth' Community action programme (OJ L 117, 18.5.2000, p. 1).

Decision No 790/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote bodies active at European level in the field of youth (OJ L 138, 30.4.2004, p. 24).

Article 15 05 10 — Preparatory action — Amicus — Association of Member States Implementing a Community Universal Service

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	290 266,89

Remarks

This appropriation is intended to cover completion costs of the preparatory action Amicus — Association of Member States Implementing a Community Universal Service.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 05 11 — Preparatory action in the field of sport

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	209 000	p.m.	2 300 000	2 989 358,62	2 855 192,07

Remarks

This appropriation is intended to cover completion costs of the preparatory action in the field of sport.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 05 20 — Preparatory action — European partnerships on sport

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
p.m.	500 000	4 000 000	2 000 000		

Remarks

This appropriation is intended to cover completion costs of the preparatory action — European partnerships on sport.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 15 05 55 — Youth in Action

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
140 450 000	129 000 000	139 608 000	123 000 000	156 112 923,97	144 849 031,61

Remarks

In accordance with the 'Youth in Action programme 2007-2013', this appropriation is intended to cover the following measures:

- Youth for Europe: the aim of this action is to support exchanges between young people in order to increase their mobility and youth initiatives, projects and activities concerning participation in democratic life, in order to develop young people's citizenship and mutual understanding,
- European Voluntary Service: the aim of this action is to boost young people's participation in various forms of voluntary activities, both within and outside the Union,
- Youth of the World: the aim of this action is to support projects with the partner countries mentioned in Article 5 of Decision No 1719/2006/EC, in particular exchanges of young people and youth workers, and to support initiatives that reinforce young people's mutual understanding, sense of solidarity and the development of cooperation in the field of youth and civil society in

these countries,

- youth workers and support systems: the aim of this action is to support bodies active at European level in the field of youth, in particular the operation of youth non-governmental organisations, their networking, the exchange, training and networking of youth workers, encouraging innovation and quality in the action undertaken, providing young people with information and developing the structures and activities needed for the programme to meet these goals,
- support for policy cooperation: the aim of this action is to organise dialogue between the various actors in the field of youth, in particular the young people themselves, youth workers and policymakers, to contribute to the development of policy cooperation in the youth field and to take the necessary steps and establish the networks necessary to better understand youth.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1719/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing the ‘Youth in Action’ programme for the period 2007 to 2013 (OJ L 327, 24.11.2006, p. 30).

CHAPTER 15 07 — PEOPLE — PROGRAMME FOR THE MOBILITY OF RESEARCHERS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
15 07	People — Programme for the mobility of researchers								
15 07 77	People	1.1	954 252 000	800 000 000	905 662 068	588 805 947	785 982 833,36	572 312 156,62	71,54 %
15 07 78	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>	1.1	p.m.	p.m.	p.m.	p.m.	59 620 908,93	11 415 139,56	
15 07 79	<i>Pilot project — Knowledge partnerships</i>	1.1	p.m.	499 900	1 000 000	750 000	999 894,71	499 947,35	100,01 %
	Chapter 15 07 — Total		954 252 000	800 499 900	906 662 068	589 555 947	846 603 637,00	584 227 243,53	72,98 %

Article 15 07 77 — People

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
954 252 000	800 000 000	905 662 068	588 805 947	785 982 833,36	572 312 156,62

Remarks

Europe needs to become more attractive to researchers, in order to increase its capacity and performance in research and technological development, while consolidating and further developing the European Research Area. Against the background of growing competition at world level, the development of an open and competitive European labour market for researchers with diverse, attractive career prospects is needed.

The added value of the support provided by the specific 'People' programme (implemented through the Marie Curie Actions, the Researchers' Night and the EURAXESS action) is the promotion of the international, interdisciplinary and intersectoral mobility of researchers as a key driver for European Innovation. Marie Curie Actions also foster stronger cooperation between education, research and businesses from different countries in the training and career development of researchers to broaden their skills and prepare them for the jobs of tomorrow. The Marie Curie Actions reinforce a closer partnership between education and businesses in order to increase knowledge exchange and enhance PhD training adapted to the needs of industry. By promoting employment conditions in line with the European researchers' charter and code, they contribute to making a research career in Europe more attractive.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Legal basis

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 272).

Article 15 07 78 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	59 620 908,93	11 415 139,56

Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Article 15 07 79 — Pilot project — Knowledge partnerships

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	499 900	1 000 000	750 000	999 894,71	499 947,35

Remarks

This appropriation is intended to cover completion costs of the pilot project on knowledge partnerships.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 16 — COMMUNICATION

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
16 01	Administrative expenditure of the 'Communication' policy area 40 01 40		130 914 586	130 914 586	126 332 869 5 987 126 338 856	126 332 869 5 987 126 338 856	127 973 462,20	127 973 462,20
16 02	Communication and the media 40 02 41		39 103 000	38 753 000	40 665 000 4 500 000 45 165 000	35 000 000 4 500 000 39 500 000	42 990 822,14	34 809 798,93
16 03	'Going Local' communication 40 02 41		34 826 000	32 600 000	31 760 000 3 300 000 35 060 000	29 200 000 3 400 000 32 600 000	36 064 456,47	36 510 440,53
16 04	Analysis and communication tools		25 760 000	22 500 000	23 230 000	22 260 000	22 981 025,48	26 077 148,40
16 05	Fostering European citizenship	3	31 330 000	32 350 000	32 190 000	32 000 000	43 705 744,10	36 225 347,31
	Title 16 — Total 40 01 40, 40 02 41 Total including reserves		261 933 586	257 117 586	254 177 869 7 805 987 261 983 856	244 792 869 7 905 987 252 698 856	273 715 510,39	261 596 197,37

CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
16 01	Administrative expenditure of the 'Communication' policy area					
16 01 01	Expenditure related to staff in active employment in the 'Communication' policy area					
16 01 01 01	Expenditure related to staff in active employment in the 'Communication' policy area: Headquarters	5	65 551 998	61 854 849	62 416 056,57	95,22 %
	<i>Article 16 01 01 — Subtotal</i>		65 551 998	61 854 849	62 416 056,57	95,22 %
16 01 02	External staff and other management expenditure in support of the 'Communication' policy area					
16 01 02 01	External staff of the Directorate-General for Communication: Headquarters	5	6 190 249	7 276 540	7 794 145,89	125,91 %
16 01 02 03	External staff of the Directorate-General for Communication: Commission Representations	5	16 265 000	15 749 000	15 394 049,67	94,65 %
16 01 02 11	Other management expenditure of the Directorate-General for Communication: Headquarters	5	3 365 317	3 299 156	3 704 729,03	110,09 %

	40 01 40							
		<i>Article 16 01 02 — Subtotal</i>						
	40 01 40							
16 01 03		<i>Expenditure related to information and communication technology equipment and services, buildings and other working expenditure of the 'Communication' policy area</i>						
16 01 03 01		Expenditure related to information and communication technology equipment and services of the Directorate-General for Communication: Headquarters	5	4 074 022	3 949 324	4 684 034,38	114,97 %	
16 01 03 03		Buildings and related expenditure of the Directorate-General for Communication: Commission Representations	5	26 531 000	25 404 000	25 101 631,37	94,61 %	
16 01 03 04		Other working expenditure	5	1 317 000	1 350 000	1 526 272,72	115,89 %	
		<i>Article 16 01 03 — Subtotal</i>		31 922 022	30 703 324	31 311 938,47	98,09 %	
16 01 04		<i>Support expenditure for operations in the 'Communication' policy area</i>						
16 01 04 01		Communication actions — Expenditure on administrative management	3.2	3 200 000	3 200 000	3 100 003,68	96,88 %	
16 01 04 02		Visits to the Commission — Expenditure on administrative management	3.2	800 000	650 000	636 216,00	79,53 %	
16 01 04 03		Europe for Citizens — Expenditure on administrative management	3.2	250 000	230 000	235 322,89	94,13 %	
16 01 04 30		Education, Audiovisual and Culture Executive Agency	3.2	3 370 000	3 370 000	3 381 000,00	100,33 %	
		<i>Article 16 01 04 — Subtotal</i>		7 620 000	7 450 000	7 352 542,57	96,49 %	
		Chapter 16 01 — Total		130 914 586	126 332 869	127 973 462,20	97,75 %	
		40 01 40						
		Total including reserves						

Article 16 01 01 — Expenditure related to staff in active employment in the 'Communication' policy area

Item 16 01 01 01 — Expenditure related to staff in active employment in the 'Communication' policy area: Headquarters

Figures

Budget 2013	Appropriations 2012	Outturn 2011
65 551 998	61 854 849	62 416 056,57

Article 16 01 02 — External staff and other management expenditure in support of the 'Communication' policy area

Item 16 01 02 01 — External staff of the Directorate-General for Communication: Headquarters

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 190 249	7 276 540	7 794 145,89

Item 16 01 02 03 — External staff of the Directorate-General for Communication: Commission Representations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
16 265 000	15 749 000	15 394 049,67

Remarks

This appropriation is intended to cover remuneration, flat-rate overtime, and the institution's social security contributions in respect of local staff, contract staff and agency staff employed in the Commission Representations in the Union.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 4 000.

Legal basis

Conditions of Employment of Other Servants of the European Union.

Item 16 01 02 11 — Other management expenditure of the Directorate-General for Communication: Headquarters

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
16 01 02 11	3 365 317	3 299 156	3 704 729,03
40 01 40		5 987	
Total	3 365 317	3 305 143	3 704 729,03

Remarks

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 3 000.

Article 16 01 03 — Expenditure related to information and communication technology equipment and services, buildings and other working expenditure of the 'Communication' policy area

Item 16 01 03 01 — Expenditure related to information and communication technology equipment and services of the Directorate-General for Communication: Headquarters

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 074 022	3 949 324	4 684 034,38

Item 16 01 03 03 — Buildings and related expenditure of the Directorate-General for Communication: Commission Representations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
26 531 000	25 404 000	25 101 631,37

Remarks

This appropriation is intended to cover:

- the payment of rents and ground rent relating to buildings or parts of occupied buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance workshops,
- the fitting-out of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc.,

- the cost of the necessary equipment,
- expenses relating to the security of persons and buildings, both as regards the health and safety of individuals and the physical and material security of persons and property. These expenses include, for example, the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs as well as contracts for guarding buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment,
- expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major fitting-out operations for premises,
- the cost of the purchase, hire, maintenance and repair of technical equipment and fittings, furniture and vehicles,
- the purchase of books, documents and other non-periodical publications, the updating of existing volumes, binding costs and the purchase of electronic identification equipment,
- expenditure on subscriptions to newspapers, specialist periodicals, official journals, parliamentary papers, foreign trade statistics, news agency reports and various other specialised publications,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information,
- copyright fees,
- the cost of stationery and office supplies,
- insurance,
- the cost of work materials,
- expenses relating to internal meetings,
- the cost of maintenance work and of departmental removals,
- medical expenses arising as a result of the provisions of the Staff Regulations,
- the cost of the installation, upkeep and operation of catering areas,
- other operating expenditure,
- postal and delivery charges,
- telecommunications subscriptions and charges,
- the cost of purchasing and installing telecommunications equipment,
- information technology (IT) expenditure for offices within the Union, in particular expenditure on information and management systems, office automation infrastructure, personal computers, servers and related infrastructure, peripherals (printers, scanners, etc.), office equipment (photocopiers, fax machines, typewriters, dictaphones, etc.) and general expenditure on networks, support, assistance to users, IT training and removals,
- any expenditure to cover the cost of purchase or rental with purchase option of buildings.

This appropriation covers expenditure incurred within the territory of the Union, excluding Joint Research Centre sites, for which expenditure is entered in Article 01 05 of the titles concerned. Similar expenditure incurred outside the Union is entered in Item 01 03 02 of the titles concerned.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 80 000.

Item 16 01 03 04 — Other working expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 317 000	1 350 000	1 526 272,72

Remarks

This appropriation is intended to cover the following expenditure incurred within the Union:

- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information.

It covers expenditure incurred within the territory of the Union with the exception of offices in the Union.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 16 01 04 — Support expenditure for operations in the ‘Communication’ policy area

Item 16 01 04 01 — Communication actions — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 200 000	3 200 000	3 100 003,68

Remarks

This appropriation is intended to cover expenditure on studies, evaluations, meetings of experts, information and publications directly linked to achieving the objectives of the activities coming under the articles mentioned below, together with technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation also covers the repayment of travel and related expenses of persons invited to follow the work of the Commission.

Legal basis

See Articles 16 02 02, 16 02 03, 16 03 01, 16 03 02, 16 03 04 and 16 04 01 and Item 16 04 02 01.

Item 16 01 04 02 — Visits to the Commission — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
800 000	650 000	636 216,00

Remarks

This appropriation is intended to cover administrative expenditure related to the visits, such as hostess services to assist in the organisation of visits, promotional material for distribution to visiting groups, information material/publications communicating Union actions and policies, ad hoc studies and pilot actions related to the visits, and other general administrative expenditure of a technical or administrative nature.

Legal basis

See Article 16 05 02.

Item 16 01 04 03 — Europe for Citizens — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
250 000	230 000	235 322,89

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, as well as any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Item 16 05 01 01.

Item 16 01 04 30 — Education, Audiovisual and Culture Executive Agency

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 370 000	3 370 000	3 381 000,00

Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency, incurred because of the Agency's participation in managing the 'Europe for Citizens' programme under Heading 3b of the 2007 to 2013 financial framework.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Decision 2004/100/EC of 26 January 2004 establishing a Community action programme to promote active European citizenship (civic participation) (OJ L 30, 4.2.2004, p. 6).

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme Europe for Citizens to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).

Reference acts

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

CHAPTER 16 02 — COMMUNICATION AND THE MEDIA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
16 02 16 02 02	Communication and the media <i>Multimedia actions</i>	3.2	28 400 000	29 000 000	26 960 000	23 500 000	31 646 053,75	23 809 821,65	82,10 %
	40 02 41				4 500 000 31 460 000	4 500 000 28 000 000			
16 02 03	<i>Information for the media</i>	3.2	5 150 000	4 200 000	4 950 000	4 000 000	4 589 982,26	4 499 977,28	107,14 %
16 02 04	<i>Operation of radio and television studios and audiovisual equipment</i>	5	5 553 000	5 553 000	6 755 000	6 500 000	6 754 786,13	6 500 000,00	117,05 %
16 02 06	<i>Preparatory action — European research grants for cross-border investigative journalism</i>	5	p.m.	p.m.	1 000 000	500 000			
16 02 07	<i>Pilot project — Share Europe Online</i>	5	p.m.	p.m.	1 000 000	500 000			
	Chapter 16 02 — Total		39 103 000	38 753 000	40 665 000	35 000 000	42 990 822,14	34 809 798,93	89,82 %
	40 02 41 Total including reserves				4 500 000 45 165 000	4 500 000 39 500 000			

Article 16 02 02 — Multimedia actions

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
16 02 02	28 400 000	29 000 000	26 960 000	23 500 000	31 646 053,75	23 809 821,65
40 02 41			4 500 000	4 500 000		
Total	28 400 000	29 000 000	31 460 000	28 000 000	31 646 053,75	23 809 821,65

Remarks

This appropriation is intended to fund general information operations concerning the Union, for the purpose of increasing the visibility of the work of the Union institutions, the decisions taken and the stages in the building of Europe. It concerns essentially the funding or co-funding of the production and/or dissemination of multimedia (radio, TV, internet, etc.), information products, including pan-European networks made of local and national media, as well as the tools necessary to develop such a policy.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 50 000.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

The Commission, when implementing this budget line, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 24 April 2008: Communicating Europe through audiovisual media (SEC(2008) 506 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in

Partnership' (OJ C 13, 20.1.2009, p. 3).

Article 16 02 03 — Information for the media

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 150 000	4 200 000	4 950 000	4 000 000	4 589 982,26	4 499 977,28

Remarks

This appropriation is intended to cover the Union's communication-related expenditure, focusing mainly on the media. The tools developed for better understanding and reporting of topical issues covers mainly:

- multimedia (photo, video, etc.) information material to feed the media and other platforms, including their publication/broadcasting,
- seminars and support for journalists organised by the Commission Representations or central services.

The Commission, when implementing this budget line, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 24 April 2008: Communicating Europe through audiovisual media (SEC(2008) 506 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

Article 16 02 04 — Operation of radio and television studios and audiovisual equipment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 553 000	5 553 000	6 755 000	6 500 000	6 754 786,13	6 500 000,00

Remarks

This appropriation is intended to cover all the costs of operating the Commission's studios and other audiovisual information facilities: staff and the purchase, hire, maintenance and repair of the necessary equipment and material.

It also covers satellite rental to provide information on the Union's activities to television channels. These appropriations must be managed in compliance with the principles of interinstitutional cooperation in order to ensure the dissemination of all information concerning the Union.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC,

Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled ‘Communicating Europe in Partnership’ (COM(2007) 568 final).

Communication to the Commission of 24 April 2008: Communicating Europe through audiovisual media (SEC(2008) 506 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on ‘Communicating Europe in Partnership’ (OJ C 13, 20.1.2009, p. 3).

Article 16 02 06 — Preparatory action — European research grants for cross-border investigative journalism

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	1 000 000	500 000	

Remarks

This preparatory action for European research grants for journalists is intended to facilitate and develop serious cross-border journalistic research at Union level. Calls for tenders will be organised with a view to selecting common investigation projects involving journalists from at least two Member States, with a cross-border or European dimension resulting from a national, regional or local perspective. The results of the journalistic investigation selected will be published in at least all the Member States involved.

For this purpose, a feasibility study will be set-up in order to find new ways to launch this project. The study must look at ways in which independent, critical journalism can be funded by the EU, while ensuring the independence of information.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 16 02 07 — Pilot project — Share Europe Online

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	1 000 000	500 000	

Remarks

Social media and the internet have drastically changed access to information and communication between people. The Union institutions should improve the way they use these groundbreaking tools. The Union institutions are not, as yet, very present on social media networks such as Twitter and Facebook and there is no joint communication policy between the various institutions on the use of these tools.

This Pilot project aims at refocusing the Union’s communication strategy towards social networks, internet and mobile services. Its objective is to improve access to information on Union policies, to involve European citizens in sharing their Union experience and to involve European citizens in the Union’s decision-making process.

With this Pilot project, the intention is to invest in a joint new media strategy on access to information and communication by the three main Union institutions.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 16 03 — ‘GOING LOCAL’ COMMUNICATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
16 03	‘Going Local’ communication								
16 03 01	Information outlets	3.2	13 800 000	12 700 000	13 750 000	12 000 000	13 727 516,91	12 839 878,60	101,10 %
16 03 02	Communication of the Commission Representations								
16 03 02 01	Communication of the Commission Representations	3.2	7 226 000	6 600 000	5 870 000	5 560 000	8 741 215,29	8 535 114,41	129,32 %
	40 02 41				1 000 000 6 870 000	1 000 000 6 560 000			
16 03 02 02	European Public Spaces	5	1 300 000	1 300 000	1 440 000	1 440 000	1 395 652,43	1 250 726,56	96,21 %
	<i>Article 16 03 02 — Subtotal</i>		8 526 000	7 900 000	7 310 000	7 000 000	10 136 867,72	9 785 840,97	123,87 %
	40 02 41				1 000 000 8 310 000	1 000 000 8 000 000			
16 03 04	Communicating Europe in Partnership	3.2	12 500 000	12 000 000	10 700 000	10 200 000	12 200 071,84	13 667 580,96	113,90 %
	40 02 41				2 300 000 13 000 000	2 400 000 12 600 000			
16 03 05	EuroGlobe								
16 03 05 01	Preparatory action — EuroGlobe	3.2	—	—	—	—	0,—	119 988,00	
16 03 05 02	Completion of pilot project EuroGlobe	3.2	—	—	—	—	0,—	97 152,00	
	<i>Article 16 03 05 — Subtotal</i>		—	—	—	—	0,—	217 140,00	
	Chapter 16 03 — Total		34 826 000	32 600 000	31 760 000	29 200 000	36 064 456,47	36 510 440,53	112,00 %
	40 02 41 Total including reserves				3 300 000 35 060 000	3 400 000 32 600 000			

Article 16 03 01 — Information outlets

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
13 800 000	12 700 000	13 750 000	12 000 000	13 727 516,91	12 839 878,60

Remarks

These appropriations are intended to cover:

- the financing of information and documentation outlets/network throughout Europe (*Europe Direct relays*, European documentation centre, Team Europe, etc.); these outlets supplement the work of the Commission Representations and the European Parliament Information Offices in the Member States,
- support, training, coordination and assistance for information networks,
- the financing of production, storage and distribution of information material and communication products by/for these outlets.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 50 000.

Legal basis

Tasks resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Communication to the Commission of 20 July 2005: Action Plan to improve communicating Europe by the Commission (SEC(2005) 985 final).

White Paper of 1 February 2006 on a European Communication policy (COM(2006) 35 final).

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

Article 16 03 02 — Communication of the Commission Representations

Item 16 03 02 01 — Communication of the Commission Representations

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
16 03 02 01	7 226 000	6 600 000	5 870 000	5 560 000	8 741 215,29	8 535 114,41
40 02 41			1 000 000	1 000 000		
Total	7 226 000	6 600 000	6 870 000	6 560 000	8 741 215,29	8 535 114,41

Remarks

This appropriation is intended to cover the Union's expenditure on decentralised communication. The aim of local communication activities is in particular to provide target groups with the tools to gain a better understanding of burning topical issues.

These activities are implemented mainly via the Commission Representations in the Member States through:

- seminars and conferences,
- organisation of or participation in European events, exhibitions and public-relations activities, organisation of individual visits, etc.,
- direct communication measures targeted at the general public (e.g. citizens' advice services),
- direct communication measures targeted at opinion multipliers, in particular stepped-up measures involving the regional daily press, which is a major information outlet for a large number of European citizens,
- the management of information centres for the general public in the Commission Representations.

This appropriation also covers the repayment of travel and related expenses of persons invited to follow the work of the Commission.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 5 000.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

Item 16 03 02 02 — European Public Spaces

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 300 000	1 300 000	1 440 000	1 440 000	1 395 652,43	1 250 726,56

Remarks

This appropriation is intended to cover specifically the opening and management of ‘European Public Spaces’ (EPS) in the Europe Houses that are formally hosting the EPS. The Commission is to manage the logistical arrangements for the EPS for the benefit of both institutions (the European Parliament and the Commission), including operational costs and the organisation of contracted services. The EPS must be run jointly by the two institutions on the basis of an assessment report on the management and the functioning of the EPS, as well as of a working programme for the year to come. Both these documents, which are drafted jointly by the two institutions and constitute the fundamental elements for allocation of funds for the following year, are to be presented to the budgetary authorities in time to be taken into account in the budgetary procedure.

Legal basis

Tasks resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled ‘Communicating Europe in Partnership’ (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on ‘Communicating Europe in Partnership’ (OJ C 13, 20.1.2009, p. 3).

Article 16 03 04 — Communicating Europe in Partnership

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
16 03 04	12 500 000	12 000 000	10 700 000	10 200 000	12 200 071,84	13 667 580,96
40 02 41			2 300 000	2 400 000		
Total	12 500 000	12 000 000	13 000 000	12 600 000	12 200 071,84	13 667 580,96

Remarks

This appropriation is intended to fund communication activities mainly around communication priorities at Commission level and at Union level.

This appropriation is intended primarily to cover the provision of information to citizens, as far as possible in their mother tongue, about the Union’s present and future options and involving them in a debate on this subject.

It covers in particular activities such as:

- communication operations linked to specific annual or multiannual communication priorities,
- ad hoc communication operations on a national or international scale that fit in with the communication priorities,
- communication operations organised in partnership with the European Parliament and/or the Member States in order to create synergies between the means of each partner and to coordinate their information and communication activities on the European Union. One of the privileged tools to address this approach is the management partnership.

This appropriation also covers the repayment of travel and related expenses of persons invited to follow the work of the Commission.

Implemented in close cooperation between the Union institutions and the authorities in the Member States and/or civil society, these activities take account of specific national and regional features.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of the Union's information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years based on a report drawn up by the Commission.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 50 000.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

Article 16 03 05 — EuroGlobe

Item 16 03 05 01 — Preparatory action — EuroGlobe

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	119 988,00

Remarks

This item is intended to cover the preparatory action started in 2007 for a mobile Globe theatre aiming to foster a European public space for debate, culture and learning.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 16 03 05 02 — Completion of pilot project EuroGlobe

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	97 152,00

Remarks

This item is intended to cover the closure of the pilot project started in 2007 for a mobile Globe theatre aiming to foster a European public space for debate, culture and learning.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 16 04 — ANALYSIS AND COMMUNICATION TOOLS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
16 04 16 04 01	Analysis and communication tools Public opinion analysis	3.2	6 600 000	5 900 000	6 150 000	6 000 000	6 034 664,59	7 267 467,30	123,18 %
16 04 02	Online and written information and communication tools								
16 04 02 01	Online and written information and communication tools	3.2	16 860 000	14 500 000	14 380 000	13 760 000	14 070 323,66	14 937 970,53	103,02 %
16 04 02 02	Online summary of legislation (SCAD+)	5	—	p.m.	—	p.m.	600 000,00	259 353,41	
	<i>Article 16 04 02 — Subtotal</i>		16 860 000	14 500 000	14 380 000	13 760 000	14 670 323,66	15 197 323,94	104,81 %
16 04 04	Written publications for general use	5	2 300 000	2 100 000	2 700 000	2 500 000	2 276 037,23	3 612 357,16	172,02 %
	Chapter 16 04 — Total		25 760 000	22 500 000	23 230 000	22 260 000	22 981 025,48	26 077 148,40	115,90 %

Article 16 04 01 — Public opinion analysis

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 600 000	5 900 000	6 150 000	6 000 000	6 034 664,59	7 267 467,30

Remarks

This appropriation is intended to cover the analysis of trends in public opinion, mainly by means of opinion polls (e.g. general-public ‘Eurobarometer’ surveys, ‘flash’ surveys, by telephone, of specific populations on particular subjects, at regional, national, or European level, or qualitative surveys), together with quality control of these surveys.

It also covers qualitative media monitoring analysis.

The Commission, when implementing this budget line, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

Legal basis

Tasks resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled ‘Communicating Europe in Partnership’ (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on ‘Communicating Europe in Partnership’ (OJ C 13, 20.1.2009, p. 3).

Article 16 04 02 — Online and written information and communication tools

Item 16 04 02 01 — Online and written information and communication tools

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
16 860 000	14 500 000	14 380 000	13 760 000	14 070 323,66	14 937 970,53

Remarks

This appropriation is intended to cover online multimedia and written information and communication tools concerning the European Union, for the purpose of providing all citizens with general information on the work of the Union institutions, the decisions taken and the stages in the building of Europe. Online tools make it possible to gather citizens' questions or reactions on European issues. This is a public service task. The information covers all the Union institutions. These tools must be made accessible to people with disabilities, in accordance with Web Accessibility Initiative (WAI) guidelines.

The main types of tool concerned are:

- the Europa site, which must constitute the main point of access to the existing information and websites concerning the administrative information which European citizens might need in their daily lives and which therefore must be better structured and made more user-friendly,
- the *Europe Direct* contact centre (00800-67891011),
- the internet sites, multimedia and written products of the Commission Representations in the Member States,
- online press releases, speeches, memos, etc. (RAPID).

This appropriation is intended to fund the restructuring of the Europa site in a more coherent way. It is also intended to cover information campaigns for facilitating access to these sources of information, especially for the Europe Direct telephone number.

This appropriation also covers the expenditure relating to the production for various target groups of written publications concerning the Union's activities that are often distributed through a decentralised network, mainly:

- the Representations' publications (paper newsletters and periodicals): each Representation produces one or more publications that are distributed among multipliers and cover various fields (social, economic and political),
- the dissemination (also through a decentralised network) of specific basic information on the Union (in all the official Union languages) for the general public, coordinated from headquarters, and promotion of the publications.

Publishing costs cover, among other things, preparation and drafting (including authors' fees), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of the publications.

The Commission, when implementing this budget line, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 21 December 2007: Communicating about Europe via the Internet — Engaging the citizens (SEC(2007) 1742).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in

Partnership' (OJ C 13, 20.1.2009, p. 3).

Item 16 04 02 02 — Online summary of legislation (SCAD+)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	600 000,00	259 353,41

Remarks

This appropriation is intended to cover payments from previous years related to the production of online summaries of Union legislation (SCAD+).

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 21 December 2007: Communicating about Europe via the Internet — Engaging the citizens (SEC(2007) 1742).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

Article 16 04 04 — Written publications for general use

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 300 000	2 100 000	2 700 000	2 500 000	2 276 037,23	3 612 357,16

Remarks

This appropriation is intended to cover expenditure on issuing, in whatever medium, publications on matters of major topical importance relating to Commission activities and the work of the Union, selected under the priority publications programme, as well as the publications provided for in the Treaties and other institutional or reference publications. The publications may be targeted at the teaching profession, opinion leaders and the general public.

Publishing costs cover, among other things, preparation and drafting (including authors' contracts), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of the publications, including in formats accessible to citizens with disabilities. These publications must also include alternative material.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 20 000.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Treaty on the Functioning of the European Union, and in particular Article 249(2) thereof.

Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

CHAPTER 16 05 — FOSTERING EUROPEAN CITIZENSHIP

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
16 05	Fostering European citizenship								
16 05 01	Europe for Citizens								
16 05 01 01	Europe for Citizens	3.2	26 330 000	28 500 000	28 220 000	28 000 000	33 365 576,89	27 568 546,43	96,73 %
16 05 01 02	Preparatory action for the preservation of commemorative sites in Europe	3.2	—	—	p.m.	p.m.	0,—	0,—	
	<i>Article 16 05 01 — Subtotal</i>		26 330 000	28 500 000	28 220 000	28 000 000	33 365 576,89	27 568 546,43	96,73 %
16 05 02	Visits to the Commission	3.2	4 000 000	3 200 000	2 970 000	2 500 000	2 640 000,00	2 528 863,36	79,03 %
16 05 03	European Year of Volunteering 2011								
16 05 03 01	Preparatory action — European Year of Volunteering 2011	3.2	—	—	—	—	0,—	778 127,85	
16 05 03 02	European Year of Volunteering 2011	3.2	—	p.m.	—	900 000	7 700 167,21	5 349 809,67	
	<i>Article 16 05 03 — Subtotal</i>		—	p.m.	—	900 000	7 700 167,21	6 127 937,52	
16 05 06	European Civil Society House	3.2	—	—	p.m.	p.m.	0,—	0,—	
16 05 07	European Year of Citizens 2013								
16 05 07 01	Preparatory action — European Year of Citizens 2013	3.2	p.m.	150 000	1 000 000	600 000			
16 05 07 02	European year of Citizens 2013	3.2	1 000 000	500 000					
	<i>Article 16 05 07 — Subtotal</i>		1 000 000	650 000	1 000 000	600 000			
	Chapter 16 05 — Total		31 330 000	32 350 000	32 190 000	32 000 000	43 705 744,10	36 225 347,31	111,98 %

Article 16 05 01 — Europe for Citizens

Item 16 05 01 01 — Europe for Citizens

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
26 330 000	28 500 000	28 220 000	28 000 000	33 365 576,89	27 568 546,43

Remarks

In accordance with the programme 'Europe for citizens 2007-2013' programme this appropriation is intended to cover the following measures:

- 'Active citizens for Europe', consisting of:
 - town-twinning,

- citizens' projects and support measures;
- 'Active civil society in Europe', consisting of:
 - structural support for European public policy research organisations (think-tanks),
 - structural support for civil society organisations at European level, etc.,
 - support for projects initiated by civil society organisations;
- 'Together for Europe', consisting of:
 - high-visibility events such as commemorations, awards and Europe-wide conferences, etc.,
 - studies, surveys and opinion polls,
 - information and dissemination tools;
- 'Active European Remembrance', consisting of the commemoration of the victims of mass exterminations and mass deportations associated with Nazism and Stalinism, as well as the preservation of the related memorials and archives.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme Europe for Citizens to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).

Item 16 05 01 02 — Preparatory action for the preservation of commemorative sites in Europe

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover costs related to the long-term conservation works of sites such as the Auschwitz-Birkenau concentration camp which is currently facing serious deterioration due to climatic conditions and time.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 16 05 02 — Visits to the Commission

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 000 000	3 200 000	2 970 000	2 500 000	2 640 000,00	2 528 863,36

Remarks

This appropriation is intended to cover the cost of organising visits to the Commission.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 16 05 03 — European Year of Volunteering 2011

Item 16 05 03 01 — Preparatory action — European Year of Volunteering 2011

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	778 127,85

Remarks

This item is intended to cover the following measures at Union and national level:

- information and promotion campaigns to disseminate key messages of the foreseen European Year of Volunteering,
- dissemination of results of studies and research in the field,
- exchange of experience and good practices,
- conferences, events and initiatives to promote debate and raise awareness of the importance and value of volunteering and to celebrate the efforts of volunteers,
- support to adequate structures at national level to coordinate and to organise the implementation of the European Year of Volunteering in the Member States,
- mobilisation and coordination of the work of the key stakeholders at Union level.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 16 05 03 02 — European Year of Volunteering 2011

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	900 000	7 700 167,21	5 349 809,67

Remarks

This appropriation is intended to cover the following initiatives organised at Union, national, regional or local level linked to the objectives of the European Year:

- information and promotion campaigns,
- exchange of experience and good practices,
- undertaking of studies and research as well as dissemination of their results,
- conferences and events to promote debate and raise awareness of the importance and value of voluntary activities stimulating the engagement of citizens and to celebrate the efforts of volunteers and their organisations,
- support to adequate structures at national level to coordinate and to organise the implementation of the European Year of Volunteering in the Member States,
- concrete initiatives in the Member States aimed at promoting the objectives of the European Year and selected further to a call for projects managed at Union level (maximum Union co-financing is set at 80 % of the total eligible cost),
- mobilisation and coordination of the work of the key stakeholders at Union level.

Legal basis

Council Decision 2010/37/EC of 27 November 2009 on the European Year of Voluntary Activities Promoting Active Citizenship

(2011) (OJ L 17, 22.1.2010, p. 43).

Article 16 05 06 — European Civil Society House

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to fund a scoping study and the first steps in setting up a facility for a European Civil Society House. No appropriations are foreseen in 2011 and 2012.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 16 05 07 — European Year of Citizens 2013

Item 16 05 07 01 — Preparatory action — European Year of Citizens 2013

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
p.m.	150 000	1 000 000	600 000		

Remarks

This appropriation is intended to cover the following measures at European level:

- an information and communication campaign to disseminate the key messages of the upcoming European Year of Citizens 2013,
- the development of the European Year of Citizens 2013 website.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 16 05 07 02 — European year of Citizens 2013

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments				
1 000 000	500 000				

Remarks

New item

The general purpose of the European Year shall be to enhance awareness of the rights attached to Union citizenship, in order to help citizens make full use of their right to move and reside freely within the territory of the Member States.

In this context, the European Year shall focus, among others, on the opportunities for civic participation and access to rights by Union

citizens residing in another Member State than their own, by students, workers, consumers, and providers of goods and services across the Union.

On this basis, the specific objectives of the European Year shall be:

- to raise Union citizens' awareness of their right to move and reside freely within the European Union and more generally the rights guaranteed to Union citizens in cross-border situations, including their right to participate in the democratic life of the Union,
- to raise Union citizens' awareness of how they can tangibly benefit from Union rights and policies while living in another Member State, and to stimulate their active participation in civic forums on Union policies and issues,
- to stimulate a debate about the impact and potential of the right to free movement, as an inalienable aspect of Union citizenship, in particular in terms of strengthening societal cohesion and mutual understanding between Union citizens and the bond between citizens and the Union.

Legal basis

Proposal for a Decision of the European Parliament and of the Council on the European Year of Citizens (2013), submitted by the Commission on 11 August 2011 (COM(2011) 489 final).

TITLE 17 — HEALTH AND CONSUMER PROTECTION

Figures

Title Chapter	Heading	Budget 2013		Appropriations 2012		Outturn 2011	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
17 01	Administrative expenditure of the 'Health and consumer protection' policy area 40 01 40	117 282 920	117 282 920	116 502 902 280 045 116 782 947	116 502 902 280 045 116 782 947	118 124 683,58	118 124 683,58
17 02	Consumer policy	20 700 000	19 350 000	21 090 000	20 185 400	21 316 696,04	21 219 074,75
17 03	Public health	220 283 000	212 319 000	214 272 780	210 542 692	222 553 945,40	212 436 104,67
17 04	Food and feed safety, animal health, animal welfare and Plant health	272 276 000	249 576 000	334 250 000	243 828 105	312 605 133,50	256 226 273,31
	Title 17 — Total 40 01 40 Total including reserves	630 541 920	598 527 920	686 115 682 280 045 686 395 727	591 059 099 280 045 591 339 144	674 600 458,52	608 006 136,31

CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND CONSUMER PROTECTION' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
17 01	Administrative expenditure of the 'Health and consumer protection' policy area					
17 01 01	<i>Expenditure related to staff in active employment in the 'Health and consumer protection' policy area</i>	5	79 114 480	77 743 252	78 063 669,77	98,67 %
17 01 02	<i>External staff and other management expenditure in support of the 'Health and consumer protection' policy area</i>					
17 01 02 01	External staff	5	7 596 367	7 776 354	8 077 664,00	106,34 %
17 01 02 11	Other management expenditure	5	9 785 151	9 661 525	10 239 962,42	104,65 %
	40 01 40			280 045 9 941 570		
	<i>Article 17 01 02 — Subtotal</i> 40 01 40		17 381 518	17 437 879	18 317 626,42	105,39 %
				280 045 17 717 924		

17 01 03	Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Health and consumer protection' policy area					
17 01 03 01	Expenditure related to information and communication technology equipment and services of the 'Health and consumer protection' policy area: Headquarters	5	4 916 922	4 963 771	5 866 483,05	119,31 %
17 01 03 03	Buildings and related expenditure of the 'Health and consumer protection' policy area: Grange	5	4 700 000	5 338 000	5 350 050,08	113,83 %
	<i>Article 17 01 03 — Subtotal</i>		9 616 922	10 301 771	11 216 533,13	116,63 %
17 01 04	Support expenditure for operations of the 'Health and consumer protection' policy area					
17 01 04 01	Plant-health measures — Expenditure on administrative management	2	600 000	600 000	441 068,44	73,51 %
17 01 04 02	Programme of the European Union action in the field of health — Expenditure on administrative management	3.2	1 500 000	1 400 000	1 411 399,25	94,09 %
17 01 04 03	Programme of the Union action in the field of consumer policy — Expenditure on administrative management	3.2	1 100 000	950 000	971 125,34	88,28 %
17 01 04 05	Feed and food safety and related activities — Expenditure on administrative management	2	600 000	700 000	420 149,23	70,02 %
17 01 04 07	Animal disease eradication and emergency fund — Expenditure on administrative management	2	300 000	300 000	245 072,00	81,69 %
17 01 04 30	Executive Agency for Health and Consumers — Contribution from programmes under Heading 3b	3.2	5 900 000	5 900 000	5 938 040,00	100,64 %
17 01 04 31	Executive Agency for Health and Consumers — Contribution from programmes under Heading 2	2	1 170 000	1 170 000	1 100 000,00	94,02 %
	<i>Article 17 01 04 — Subtotal</i>		11 170 000	11 020 000	10 526 854,26	94,24 %
	Chapter 17 01 — Total		117 282 920	116 502 902	118 124 683,58	100,72 %
	40 01 40			280 045		
	Total including reserves			116 782 947		

Article 17 01 01 — Expenditure related to staff in active employment in the 'Health and consumer protection' policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
79 114 480	77 743 252	78 063 669,77

Article 17 01 02 — External staff and other management expenditure in support of the 'Health and consumer protection' policy area

Item 17 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 596 367	7 776 354	8 077 664,00

Item 17 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
17 01 02 11	9 785 151	9 661 525	10 239 962,42
40 01 40		280 045	
Total	9 785 151	9 941 570	10 239 962,42

Article 17 01 03 — Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Health and consumer protection’ policy area

Item 17 01 03 01 — Expenditure related to information and communication technology equipment and services of the ‘Health and consumer protection’ policy area: Headquarters

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 916 922	4 963 771	5 866 483,05

Item 17 01 03 03 — Buildings and related expenditure of the ‘Health and consumer protection’ policy area: Grange

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 700 000	5 338 000	5 350 050,08

Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the payment of rent, ground rent and municipal charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings etc., the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment,
- expenses relating to the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance and upgrade of security installations and the purchase of items of equipment,
- expenses relating to the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major repair, fitting-out or remodelling operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
 - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
 - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities,

- etc.),
- kitchen fittings and restaurant equipment,
- various tools for building-maintenance shops,
- facilities required for officials with disabilities,
- studies, documentation and training relating to such equipment,
- the purchase, hire, maintenance and repair of furniture, and in particular:
 - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
 - the replacement of worn-out and broken furniture,
 - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
 - fittings specific to canteens and restaurants,
 - the hire of furniture,
 - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the purchase, hire, maintenance and repair of vehicles, and in particular:
 - new purchases of vehicles, including all associated costs,
 - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
 - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet,
 - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.), including annual national car test,
 - various types of insurance (in particular third-party liability and insurance against theft) and national taxes where payable and insurance costs,
- expenditure on working equipment, and in particular:
 - purchase of uniforms for floor messengers and drivers,
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals, reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- other operating expenditure, such as:
 - expenditure on equipping buildings with telecommunications, notably the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
 - the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
 - the purchase, hire or leasing of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
 - the purchase, hire or leasing of typewriters, word processors or any other electronic office equipment,
 - installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
 - expenditure on the operation of restaurants, cafeterias and canteens, in particular the costs of maintaining equipment and purchasing various supplies, expenditure on current conversions and the renewal of equipment, and substantial expenditure on conversion and renewal which should be clearly distinguished from current conversions, repairs and renewal of equipment and supplies,

- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- licences, subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of interbuilding telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and associated costs and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 01 04 — Support expenditure for operations of the 'Health and consumer protection' policy area

Item 17 01 04 01 — Plant-health measures — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
600 000	600 000	441 068,44

Remarks

This appropriation is intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, evaluating, auditing and control of programmes or projects.

It also covers the cost of studies, meetings of experts, information measures and publications directly linked to the achievement of the objective of the programme or measures covered by this item.

Furthermore, the measures provided for by Regulation (EC) No 1107/2009 of the European Parliament and of the Council of 21 October 2009 concerning the placing of plant protection products on the market (OJ L 309, 24.11.2009, p. 1) may be operational in 2010.

Legal basis

See Article 17 04 04.

Item 17 01 04 02 — Programme of the European Union action in the field of health — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 500 000	1 400 000	1 411 399,25

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information measures and publications directly linked to achievement of the objective of the programme or measures covered by this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 17 03 06.

Item 17 01 04 03 — Programme of the Union action in the field of consumer policy — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 100 000	950 000	971 125,34

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information measures and publications directly linked to the achievement of the objective of the programme or measures covered by this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 17 02 02.

Item 17 01 04 05 — Feed and food safety and related activities — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
600 000	700 000	420 149,23

Remarks

This appropriation is intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, auditing and control of programmes or projects.

It also covers the cost of studies, meetings of experts, information measures and publications directly linked to achievement of the objectives of the programme or measures covered by this item.

It is intended, in particular, to cover expenditure resulting from the application of Regulation (EC) No 882/2004.

Legal basis

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1).

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods (OJ L 354, 31.12.2008, p. 34).

See Article 17 04 07.

Item 17 01 04 07 — Animal disease eradication and emergency fund — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
300 000	300 000	245 072,00

Remarks

This appropriation is intended to cover expenditure on administrative assistance relating to the audit of claims to be presented by the Member States according to Article 3(2), Articles 4 and 14 and Article 27(8) of Decision 2009/470/EC.

Legal basis

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (OJ L 155, 18.6.2009, p. 30).

See Articles 17 04 01 and 17 04 03 01.

Item 17 01 04 30 — Executive Agency for Health and Consumers — Contribution from programmes under Heading 3b

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 900 000	5 900 000	5 938 040,00

Remarks

This appropriation is intended to cover the contribution for expenditure on the Agency's staff and administration.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue

in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

Decision No 1350/2007/EC of the European Parliament and of the Council of 23 October 2007 establishing a second programme of Community action in the field of health (2008-2013) (OJ L 301, 20.11.2007, p. 3).

See Articles 17 02 02, 17 03 01 and 17 03 06.

Reference acts

Commission Decision 2004/858/EC of 15 December 2004 setting up an executive agency, the 'Executive Agency for the Public Health Programme', for the management of Community action in the field of public health — pursuant to Council Regulation (EC) No 58/2003 (OJ L 369, 16.12.2004, p. 73).

Item 17 01 04 31 — Executive Agency for Health and Consumers — Contribution from programmes under Heading 2

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 170 000	1 170 000	1 100 000,00

Remarks

This appropriation is intended to cover the contribution from the Union training strategy in the areas of food law, feed law, animal health and animal welfare rules as well as plant rules for expenditure on the Agency's staff and administration.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1).

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1), and in particular Article 51 thereof.

See Articles 17 04 04 and 17 04 07.

Reference acts

Commission Decision 2004/858/EC of 15 December 2004 setting up an executive agency, the 'Executive Agency for the Public Health Programme', for the management of Community action in the field of public health — pursuant to Council Regulation (EC) No 58/2003 (OJ L 369, 16.12.2004, p. 73).

CHAPTER 17 02 — CONSUMER POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
17 02	Consumer policy								
17 02 01	<i>Completion of Union activities in favour of consumers</i>	3.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
17 02 02	<i>Union action in the field of consumer policy</i>	3.2	20 700 000	19 000 000	21 090 000	19 000 000	20 816 696,04	20 073 018,12	105,65 %
17 02 03	<i>Preparatory action — Monitoring measures in the field of consumer policy</i>	3.2	p.m.	150 000	p.m.	860 400	500 000,00	763 963,00	509,31 %
17 02 04	<i>Pilot project — Transparency and stability in the financial markets</i>	1.1	p.m.	200 000	p.m.	325 000	0,—	382 093,63	191,05 %
Chapter 17 02 — Total			20 700 000	19 350 000	21 090 000	20 185 400	21 316 696,04	21 219 074,75	109,66 %

Article 17 02 01 — Completion of Union activities in favour of consumers

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This payment appropriation is intended to cover commitments relating to previous years under Decision No 20/2004/EC. That Decision was repealed by Decision No 1926/2006/EC (see Article 17 02 02).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 20/2004/EC of the European Parliament and of the Council of 8 December 2003 establishing a general framework for financing Community actions in support of consumer policy for the years 2004 to 2007 (OJ L 5, 9.1.2004, p. 1).

Article 17 02 02 — Union action in the field of consumer policy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 700 000	19 000 000	21 090 000	19 000 000	20 816 696,04	20 073 018,12

Remarks

Decision No 1926/2006/EC establishes a general framework for financing Union action in support of consumer policy (2007-2013) as defined in the multiannual strategy. The decision and the strategy entail two strategic objectives in the medium term:

- ensuring a high level of consumer protection, notably through improved evidence, better consultation and better representation of consumers' interests, and
- ensuring the effective application of consumer protection rules notably through enforcement cooperation, information, education and redress.

The consumer programme consolidates and expands the action areas of the 2002-2006 consumer programmes. It considerably expands Union activities on developing the knowledge and evidence base, enforcement cooperation, market surveillance and product safety, consumer education and capacity building for consumer organisations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

Article 17 02 03 — Preparatory action — Monitoring measures in the field of consumer policy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	150 000	p.m.	860 400	500 000,00	763 963,00

Remarks

The overall objective of this pilot project is to better understand the functioning of the internal market from a consumer and demand-side perspective. This will be pursued through the collection of data and analysis of consumer behaviour.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 02 04 — Pilot project — Transparency and stability in the financial markets

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	200 000	p.m.	325 000	0,—	382 093,63

Remarks

The purpose of the pilot project is to promote consumer empowerment, efficiency and stability of European financial markets, notably through consumer empowerment in financial services via training actions and the provision of advice to consumers.

The project includes:

- the mapping in each Member State of the non-profit entities that provide general advice on financial services to consumers, including the assessment of best practices for the provision of general financial advice,
- the identification of training needs relating to the financial advice of those entities,
- training courses in financial services for consumer associations and other interested non-profit parties who provide information and advice to end users of financial services.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 17 03 — PUBLIC HEALTH

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
17 03	Public health								
17 03 01	Measures in the field of public health protection								
17 03 01 01	Completion of public health programme (2003-2008)	3.2	p.m.	3 000 000	p.m.	10 500 000	0,—	9 261 273,80	308,71 %
	<i>Article 17 03 01 — Subtotal</i>		p.m.	3 000 000	p.m.	10 500 000	0,—	9 261 273,80	308,71 %
17 03 03	European Centre for Disease Prevention and Control								
17 03 03 01	European Centre for Disease Prevention and Control — Contribution to Titles 1 and 2	3.2	37 390 000	37 390 000	36 936 900	36 936 900	35 042 831,32	35 042 537,00	93,72 %
17 03 03 02	European Centre for Disease Prevention and Control — Contribution to Title 3	3.2	19 337 000	19 337 000	19 790 100	19 790 100	21 613 463,00	21 613 463,00	111,77 %
	<i>Article 17 03 03 — Subtotal</i>		56 727 000	56 727 000	56 727 000	56 727 000	56 656 294,32	56 656 000,00	99,87 %
17 03 04	Preparatory action — Public health	3.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
17 03 05	International agreements and membership of international organisations in the field of public health and tobacco control	4	192 000	192 000	200 000	190 912	0,—	0,—	
17 03 06	Union action in the field of health	3.2	49 800 000	40 000 000	48 300 000	37 000 000	48 383 606,48	29 635 940,83	74,09 %
17 03 07	European Food Safety Authority								
17 03 07 01	European Food Safety Authority — Contribution to Titles 1 and 2	3.2	46 890 000	46 890 000	48 266 209	48 266 209	51 721 458,00	51 721 400,00	110,30 %
17 03 07 02	European Food Safety Authority — Contribution to Title 3	3.2	27 444 000	24 980 000	26 813 571	23 992 571	25 588 404,96	23 776 900,00	95,18 %
	<i>Article 17 03 07 — Subtotal</i>		74 334 000	71 870 000	75 079 780	72 258 780	77 309 862,96	75 498 300,00	105,05 %
17 03 08	Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration	1.1	p.m.	p.m.	p.m.	300 000	0,—	878 669,00	
17 03 09	Pilot project — Complex research on Health, Environment, Transport and Climate Change (HETC) — Improvement of indoor and outdoor air quality	2	p.m.	p.m.	p.m.	1 600 000	0,—	1 483 135,00	
17 03 10	European Medicines Agency								
17 03 10 01	European Medicines Agency — Contribution to Titles 1 and 2	1.1	6 165 000	6 165 000	7 655 333	7 655 333	9 569 459,00	9 569 458,60	155,22 %

17 03 10 02	European Medicines Agency — Contribution to Title 3	1.1	27 065 000	27 065 000	15 310 667	15 310 667	24 617 078,64	24 617 078,64	90,96 %
17 03 10 03	Special contribution for orphan medicinal products	1.1	6 000 000	6 000 000	6 000 000	6 000 000	5 017 644,00	4 836 248,80	80,60 %
	<i>Article 17 03 10 — Subtotal</i>		39 230 000	39 230 000	28 966 000	28 966 000	39 204 181,64	39 022 786,04	99,47 %
17 03 11	<i>Pilot project — Fruit and vegetable consumption</i>	2	—	500 000	1 000 000	1 000 000	1 000 000,00	0,—	
17 03 12	<i>Pilot project — Healthy diet: early years and ageing population</i>	2	—	200 000	1 000 000	500 000			
17 03 13	<i>Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes</i>	2	—	200 000	1 000 000	500 000			
17 03 14	<i>Preparatory action — Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage</i>	2	—	200 000	1 000 000	500 000			
17 03 15	<i>Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems</i>	3.2	—	200 000	1 000 000	500 000			
	Chapter 17 03 — Total		220 283 000	212 319 000	214 272 780	210 542 692	222 553 945,40	212 436 104,67	100,06 %

Article 17 03 01 — Measures in the field of public health protection

Item 17 03 01 01 — Completion of public health programme (2003-2008)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 000 000	p.m.	10 500 000	0,—	9 261 273,80

Remarks

This payment appropriation is intended to cover commitments relating to previous years under Decision No 1786/2002/EC of the European Parliament and the Council of 23 September 2002 adopting a programme of Community action in the field of public health for the years 2003-2008.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries for participation in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1786/2002/EC of the European Parliament and of the Council of 23 September 2002 adopting a programme of Community action in the field of public health (2003-2008) (OJ L 271, 9.10.2002, p. 1).

Article 17 03 03 — European Centre for Disease Prevention and Control

Item 17 03 03 01 — European Centre for Disease Prevention and Control — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 390 000	37 390 000	36 936 900	36 936 900	35 042 831,32	35 042 537,00

Remarks

This appropriation is intended to cover the Centre's personnel and administrative expenditure. In particular, Title 1 covers the salaries of permanent staff and seconded experts, the costs relating to recruitment, interim services, staff training and mission expenses. Title 2 'Expenditure' relates to the renting of the ECDC office facilities, the fitting-out of premises, information and communication technology, technical installations, logistics and other administrative costs.

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the Centre's budget, in particular concerning the establishment plans published in the budget, for which the budgetary authority's prior agreement is required. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The Centre's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Legal basis

Regulation (EC) No 851/2004 of the European Parliament and of the Council of 21 April 2004 establishing a European Centre for disease prevention and control (OJ L 142, 30.4.2004, p. 1).

Item 17 03 03 02 — European Centre for Disease Prevention and Control — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 337 000	19 337 000	19 790 100	19 790 100	21 613 463,00	21 613 463,00

Remarks

This appropriation is intended to cover operational expenditure relating to the following target areas:

- improving surveillance of communicable diseases in the Member States,
- strengthening the scientific support provided by the Member States and the Commission,
- enhancing the preparedness of the Union against emerging threats from communicable diseases, especially hepatitis B, including threats related to intentional release of biological agents, and diseases of unknown origin, and coordinating the response,
- strengthening the relevant capacity in the Member States through training,

— communicating information and building partnerships.

It is also intended to cover the maintenance of the emergency facility (Emergency Operations Centre) linking the Centre online with national communicable disease centres and reference laboratories in Member States in the event of major outbreaks of communicable diseases or other illnesses of unknown origin.

The Union contribution for 2013 amounts to a total of EUR 56 727 000. The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the Centre's budget, in particular concerning the establishment plans published in the budget, for which the budgetary authority's prior agreement is required. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Legal basis

Regulation (EC) No 851/2004 of the European Parliament and of the Council of 21 April 2004 establishing a European Centre for disease prevention and control (OJ L 142, 30.4.2004, p. 1).

Article 17 03 04 — Preparatory action — Public health

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This article has no new allocations as from 2007. The relevant actions have been continued under the new programme for public health under Article 17 03 06.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 03 05 — International agreements and membership of international organisations in the field of public health and tobacco control

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
192 000	192 000	200 000	190 912	0,—	0,—

Remarks

This appropriation is intended to cover the Union contribution to the World Health Organisation Framework Convention on Tobacco Control (FCTC), which the Community ratified and to which the Union is a party.

Legal basis

Council Decision 2004/513/EC of 2 June 2004 concerning the conclusion of the WHO Framework Convention on Tobacco Control (OJ L 213, 15.6.2004, p. 8).

Article 17 03 06 — Union action in the field of health

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
49 800 000	40 000 000	48 300 000	37 000 000	48 383 606,48	29 635 940,83

Remarks

The second health programme replaces the previous programme established by Decision No 1786/2002/EC and covers the period 2008-2013.

Since 2008, as far as the health area is concerned, the programme has been focusing on three pillars where action at Union level is essential:

(1) Health information

The objective of this pillar is to strengthen the collection, analysis, exchange and dissemination of health-related information in the Union — including on disabilities and ‘dys’ conditions — which is necessary to provide a solid foundation for health policymaking and is also needed by professionals for their work and by citizens to enable them to make healthy lifestyle choices.

Actions will further include research into multiple sclerosis, with special attention being paid to identifying the factors behind the difference in the incidence of the disease between northern and southern parts of Europe.

Actions should also include measures to help boost research into the possible causes of amyotrophic lateral sclerosis (ALS), with particular attention being paid to professional sports and the possible influence of substance abuse in the world of sport.

(2) Health security

The overall objective is to protect citizen against health threats.

An effective rapid response capability is needed to avoid threats to public health, for example arising from communicable diseases or chemical or biological attacks. Tackling such threats needs to be coordinated effectively at Union level. Union integration based on the principle of free movement increases the need for vigilance, in order to respond to major cross-border health threats such as avian flu, or bioterrorism.

(3) Promote health to improve prosperity and solidarity

The overall objective is to contribute to the prosperity of the Union by fostering healthy ageing and bridging inequalities as well as to enhance solidarity between national health systems.

Actions will include initiatives to increase healthy life years and promote healthy ageing, to explore health’s impact on productivity and labour participation, and to support the reduction of inequalities between Member States and investment in health, thus contributing to the Europe 2020 Strategy, and to productivity and growth. Actions will also enhance solidarity between health systems, including cooperation on shared challenges, thus facilitating the development of a Union framework for safe, high-quality and efficient health services. Actions should also include initiatives to evaluate, by independent organisations, the quality of service provided by emergency medical services from the moment an alert is launched by citizens (e.g. through emergency call numbers) until the victim is transferred to hospital care; the aim is to help improve emergency medical services on the basis of comparable data and the identification of best practices to be exchanged between Member States.

Actions will further include the promotion of health by tackling environmental, addiction and lifestyle determinants.

Non-governmental organisations are key players in the implementation of the programme. Therefore, they should be allocated adequate funds.

Actions will also include appropriate initiatives to follow up on the recommendations obtained through the Green Paper consultation on mental health, and in particular on strategies to prevent suicide across the lifespan.

The objective is also for the Commission to implement the Health In All Policies strategy under the Structural Funds. Such a project should cover proposals for methods on how health issues could be incorporated — in accordance with the Health In All Policies strategy — into regional development projects. The project aims to enhance overall health in the Union by means of capacity building

in the context of regional development agencies.

The budget should cover training courses, international events, exchange of experiences, good practices, and international collaboration with a view to the practical use of Health Impact Assessment (HIA) both for local government and development agencies and for individuals or companies willing to apply for a grant provided by the Union.

With regard to the crucial importance of small and medium-sized enterprises in the Union, they should be provided with professional support for compliance with environmental health rules, and to help them make positive changes to environmental health affecting company operation.

A European database on health issues and a database on environment issues should be interconnected so that further research could be improved for analysing the link between environment quality and state of health.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1350/2007/EC of the European Parliament and of the Council of 23 October 2007 establishing a second programme of Community action in the field of health (2008-2013) (OJ L 301, 20.11.2007, p. 3).

Article 17 03 07 — European Food Safety Authority

Item 17 03 07 01 — European Food Safety Authority — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
46 890 000	46 890 000	48 266 209	48 266 209	51 721 458,00	51 721 400,00

Remarks

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the budget of the agencies, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The Authority's establishment plan is set out in the Part entitled 'Establishment plan staff' of the Section III — Commission (Volume 3).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of

the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Legal basis

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1).

Item 17 03 07 02 — European Food Safety Authority — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 444 000	24 980 000	26 813 571	23 992 571	25 588 404,96	23 776 900,00

Remarks

This appropriation is intended to cover the Authority's operational expenditure relating to the work programme (Title 3).

It covers in particular:

- costs associated with supporting and holding meetings of the scientific committee and the scientific groups, working groups, the advisory forum, the Management Board and meetings with the scientific partners or interested parties,
- costs associated with the establishment of scientific opinions using external resources (contracts and subsidies),
- costs associated with the creation of data collection networks and the integration of the existing information systems,
- costs associated with the scientific and technical assistance to the Commission (Article 31),
- costs associated with the identification of logistical support measures,
- costs associated with technical and scientific cooperation,
- costs associated with the dissemination of scientific opinions,
- costs associated with communication activities.

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the budget of the agencies, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The Union contribution for 2013 amounts to a total of EUR 76 000 000. An amount of EUR 1 666 000 coming from the recovery of surplus is added to the amount of EUR 74 334 000 entered in the budget.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Legal basis

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety

(OJ L 31, 1.2.2002, p. 1).

Article 17 03 08 — Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	300 000	0,—	878 669,00

Remarks

This appropriation is intended to fund initiatives which help deal with the new employment situation in the health sector, particularly in relation to professional qualifications and duties carried out by healthcare workers, healthcare assistants and lower-skilled nurses and which help examine the effects of cross-border mobility both in the host country and the country of origin. Measures covered will include:

- analysing factors and policies with a view to better meeting the need for measures to increase the supply and improve the qualifications of health care staff in the long run,
- promoting exchanges on policies and best practices with a view to coping with the increase in care consumption due to demographic change,
- funding initiatives which help examine cross-border effects on health services,
- paying attention to the effects of different levels of remuneration, which may come to light in this context,
- studies, meetings with experts and information campaigns; a solution should also be found to maintain the level of care in national healthcare systems,
- analysing the relationship between healthcare and social care and work on producing comparable data. A solid database, which also includes gender and diversity aspects, will be crucial to enhance the open method of coordination when it has been extended to healthcare.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 03 09 — Pilot project — Complex research on Health, Environment, Transport and Climate Change (HETC) — Improvement of indoor and outdoor air quality

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 600 000	0,—	1 483 135,00

Remarks

Environment and health are important cross-sector fields in the European Environment and Health process connecting environmental policies and approaches. This process is vital for good quality of life and sustainable development. The objectives of the project are that the nine countries involved (Austria, Bosnia and Herzegovina, Finland, Hungary, Italy, the Netherlands, Norway, Serbia and Slovakia) should:

- assess the associations between the school environment and children's (respiratory) health,
- assess the associations between the impact of transport on the school environment and children's (respiratory) health,
- assess the associations between the impact of climate change on the school environment and children's (respiratory) health,
- issue recommendations for improving the quality of the school environment for the better health of children and a Guideline for Healthy European Schools.

This article has had no new commitment allocations since 2009. The funded initiatives are currently ongoing and completion is expected by the end of 2012.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 03 10 — European Medicines Agency

Item 17 03 10 01 — European Medicines Agency — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 165 000	6 165 000	7 655 333	7 655 333	9 569 459,00	9 569 458,60

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), including expenditure following the adoption of Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use (OJ L 378, 27.12.2006, p. 1).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the budget of the agencies, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of the Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Commission Regulation (EC) No 494/2003 of 18 March 2003 amending Council Regulation (EC) No 297/95 on the fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 73, 19.3.2003, p. 6).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Reference acts

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Commission Regulation (EC) No 2049/2005 of 15 December 2005 laying down, pursuant to Regulation (EC) No 726/2004 of the European Parliament and of the Council, rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises (OJ L 329, 16.12.2005, p. 4).

Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use and amending Regulation (EEC) No 1768/92, Directive 2001/20/EC, Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 378, 27.12.2006, p. 1).

Regulation (EC) No 1394/2007 of the European Parliament and of the Council of 13 November 2007 on advanced therapy medicinal products and amending Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 324, 10.12.2007, p. 121).

Commission Regulation (EC) No 1234/2008 of 24 November 2008 concerning the examination of variations to the terms of marketing authorisations for medicinal products for human use and veterinary medicinal products (OJ L 334, 12.12.2008, p. 7).

Regulation (EU) No 1235/2010 of the European Parliament and of the Council of 15 December 2010 amending, as regards pharmacovigilance of medicinal products for human use, Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency, and Regulation (EC) No 1394/2007 on advanced therapy medicinal products (OJ L 348, 31.12.2010, p. 1).

Item 17 03 10 02 — European Medicines Agency — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 065 000	27 065 000	15 310 667	15 310 667	24 617 078,64	24 617 078,64

Remarks

This appropriation is intended to cover only the Agency's operational expenditure in connection with the work programme (Title 3), including the tasks relating to the implementation of Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use (OJ L 378, 27.12.2006, p. 1).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the budgets of the agencies, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 39 230 000, including the special contribution for orphan medicinal products for EUR 6 000 000.

Legal basis

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Commission Regulation (EC) No 494/2003 of 18 March 2003 amending Council Regulation (EC) No 297/95 on the fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 73, 19.3.2003, p. 6).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Item 17 03 10 03 — Special contribution for orphan medicinal products

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 000 000	6 000 000	6 000 000	6 000 000	5 017 644,00	4 836 248,80

Remarks

This appropriation is intended to cover the special contribution provided for in Article 7 of Regulation (EC) No 141/2000, as opposed to the one provided for in Article 67 of Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1). It is used by the Agency exclusively to compensate for the total or partial non-recovery of payments due for an orphan medicinal product.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Legal basis

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Article 17 03 11 — Pilot project — Fruit and vegetable consumption

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	500 000	1 000 000	1 000 000	1 000 000,00	0,—

Remarks

This appropriation is intended to cover a pilot project aimed at increasing the consumption of fresh fruit and vegetables in vulnerable population groups (low-income pregnant women and their children, the elderly, etc.), in order to improve public health and stimulate demand in the fruit and vegetables market.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 03 12 — Pilot project — Healthy diet: early years and ageing population

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	200 000	1 000 000	500 000	

Remarks

The pilot project aims at underlining the importance of a correct and healthy diet with a focus on the ageing population.

The ageing of the population of Europe is a demographic phenomenon that is due to a decrease in fertility and to an increase of life expectancy among European citizens.

The population of Europe is expected to age over the next 40 years and this phenomenon is closely related to nutrition issues.

As recognised in various studies, nutrition has a strong and important impact in the treatment and prevention of several diseases and in maintaining good health and quality of life in an ageing population.

With advancing age, there is a high incidence of chronic diseases. Evidence points to the important effect of nutrition and, in particular, of a balanced and healthy diet based on fruit and vegetables, and on one's susceptibility to such diseases and their development and outcome.

This project also seeks to provide parents and children with information on nutrition. It will focus on the early years of life, and could therefore cover prenatal nutrition, breastfeeding, and childhood nutrition. The project has two main objectives, namely to educate parents on the importance of good nutrition for their children, and to educate children so they consciously make healthy lifestyle choices throughout their lives. The project will operate within the framework of the Health Programme and will specifically address two of the programme's overarching objectives: that of promoting health and reducing health inequalities, and that of disseminating health information.

This pilot project will try to reach its target audiences through a range of channels, such as pre-natal classes, hospitals, nurseries and pre-school establishments, and schools. It should involve appropriate civil society organisations, such as health-orientated NGOs, as well as healthcare professionals such as, for instance, paediatric doctors and midwives, and national and regional health authorities. Cooperation between these different actors should aim to deliver targeted education about nutrition, independently of the food industry, to both parents and children. Information campaigns could be launched in the form of leaflets (to be given, for example, to pregnant mothers by midwives, or to parents by paediatric doctors) or presentations in schools.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 03 13 — Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	200 000	1 000 000	500 000	

Remarks

Diabetes mellitus type 2 is a chronic disease and one of Europe's biggest public health challenges. Over 30 000 000 European citizens are living with diabetes and this number clearly indicates that diabetes has reached the dimensions of a social and economic disaster. The average Union prevalence rate in the adult population of the Union is of 8,6 % and it is expected to grow to over 10 % by 2025. Diabetes is one of the top ten causes of disability, and leads to devastating complications such as cardiovascular disease, blindness and renal failure. As a result, a large proportion of healthcare budgets in the Union is currently spent on treating and managing diabetes and its complications. For example in 2010, an average of 10 % of the healthcare budgets in the Union was spent on diabetes.

Both the occurrence and consequences of type 2 diabetes have been shown to be preventable through various measures, such as timely identification of people at risk, development of awareness campaigns and educational programmes focused on encouraging healthy living habits and good quality preventive care. However, to ensure success in preventing diabetes, the burden of this growing

epidemic must be addressed in a collaborative manner by all relevant stakeholders — healthcare professionals, people with diabetes, the general population, the media, the food industry, local market chains, and policymakers.

This pilot project proposes a two-phase innovative approach for diabetes prevention. The first phase will include the development of systematic approaches to the early diagnosis of people who already have diabetes and for the identification of those at risk, such as those with the metabolic syndrome. The second phase will consist of the development and evaluation of structured action programmes for diabetes prevention for those at risk.

1. Population screening will be carried out on a small scale (3 000 to 10 000 people), meaning that screening will involve the whole population living in a certain village or town within five selected Member States. This innovative approach is proposed in order to:

- ensure maximum precision of the data evaluation,
- avoid the burden of administrative approvals that may be necessary for population screening at national level,
- efficiently manage the data acquired via screening and the impact of the implemented prevention measures,
- generate local enthusiasm towards the project's objectives and engage the attention of all relevant stakeholders.

2. All relevant local stakeholders will be involved in the project and will work together in a coordinated manner:

- healthcare professionals will be involved in screening, monitoring/data collection, and in the development of educational programmes,
- the local population will be encouraged to develop a collaborative and an open attitude towards the screening programmes and to actively participate in educational activities on diabetes,
- the media will be involved in developing awareness campaigns and will help to disseminate the information about the objectives and status of the project,
- the food industry and local market chains will promote and encourage the consumption of food products with low content of saturated fats, trans-fats, salt and sugar,
- local government policymakers will translate the results of the project into guidelines and strategies for the prevention of diabetes.

Objectives:

- to establish a coordinated collaboration between physicians and other healthcare professionals (nurses, nutritionists, psychologists, researchers), the general population, the food industry, local market chains, the media and local policymakers with a view to preventing type 2 diabetes,
- to develop small-scale screening programmes for the early diagnosis of people with diabetes and for the timely identification of people at risk. Population screening will be divided into four groups:
 - new-born screening for babies at risk (e.g. blood tests if one or both parents have diabetes),
 - screening of all school children and teenagers (e.g. blood tests, clinical examinations, evaluation of dietary habits, evaluation of physical activity, smoking, alcohol consumption, excess of fast-food, and others to be determined),
 - screening of the whole adult population (e.g. blood tests, clinical examinations, evaluation of food habits and relevant lifestyle factors),
 - screening of elderly people,
- to identify innovative approaches in order to involve the food industry and local public administration in the educational programmes,
- to develop educational programmes for the general population and especially for people diagnosed with type 2 diabetes, for those at risk and for the parents of new-borns or children suffering from diabetes or who are at risk,
- to establish standard screening programmes by identifying and selecting the best indicators/parameters to be measured, such as blood tests, food habits, physical activity, and others to be determined,
- to create a local food market which contribute to preventing the consumption of foods and drinks with high levels of sugar, salt, saturated fats and trans-fats,
- to organise workshops and seminars in order to educate the local population on the importance of food quality and food content. The activities of the workshops and seminars can be linked to campaigns promoting the consumption of fruit and vegetables. For instance, local market chains could sponsor such events by offering fruit and vegetables to participants.

Involved regions or countries: a selection of five towns or villages (3 000 to 10 000 citizens) from five Member States.

Expected outcomes

The overall project goal is to identify and develop best practices for the prevention of type 2 diabetes. It is expected that some of the villages or towns participating in the project will be able to develop and implement a successful local strategy for preventing type 2 diabetes. The most successful strategies may be then translated or incorporated into future Union and national initiatives on diabetes (e.g. guidelines or recommendation on diabetes, National Diabetes Plans). The impact of the prevention measures developed during the project can be only assessed after a period of approximately two years from the beginning of the project. This impact assessment will be done by closely monitoring the health status of the local population participating in the project.

Starting date of the project: June 2012.

End date of the project: June 2014.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 03 14 — Preparatory action — Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	200 000	1 000 000	500 000	

Remarks

In 2009, based on bacteria most frequently isolated from blood cultures in Europe, the European Centre for Disease Prevention and Control estimated that each year these selected multidrug-resistant bacteria resulted in about 25 000 patient deaths and in extra healthcare costs and productivity losses of at least EUR 1,5 billion each year. Over the past few decades, the irresponsible and incorrect use of antibiotics has accelerated this trend. Antimicrobial resistance is therefore a phenomenon which clearly has cross-border implications and the occurrence of an outlier effect, which would most probably not be manageable with the current resources and knowledge, cannot be excluded.

Aims of the preparatory action:

- to study the issue of the inappropriate use and sale of antimicrobial agents with or without prescription throughout the chain — from the doctor and the pharmacist to the patient – in terms of behaviour of all actors involved, by choosing as study-cases the key Member States with the highest degree of access to antibiotics without prescription. The action will seek to better evaluate the reasons for the inappropriate use of antibiotics, assess the level of antibiotics sold without prescription in the target countries (including the countries where the enforcement of legislation is poor), identify the reasons for such high levels of sales without prescription, assess whether there is enough information reaching the relevant actors, identifying their motives, incentives, practices and the perception of the overall threat of antimicrobial resistance and make recommendations on how to best address this situation,
- to contribute and lead to a comprehensive and integrated Union policy against AMR.

Specific actions:

- thorough surveys in the groups to which this preparatory action is addressed,
- in-depth discussions,
- identification of study-cases in each key Member State.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 03 15 — Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	200 000	1 000 000	500 000	

Remarks

This appropriation is intended to cover the creation of a Union network of experts that will promote and sustain innovative and adapted care structures for adolescents with psychological problems (houses for teens). It will collect, exchange, develop and share experience, knowledge and best practices in this field. The network must be seen as a follow-up action to the European Mental Health Pact and the series of conferences organised by the Commission in this field (on the agenda of the interministerial meeting of employment, social policy, health and consumers affairs of 6 and 7 June 2011).

The objectives of the Union network are:

- to collect, exchange, develop and share expertise, experience, knowledge and best practices,
- to promote, support and offer practical help for the creation of adapted care structures for adolescents,
- to facilitate reciprocal staff training, including study visits and traineeships,
- to raise the awareness of the general public and of national and European authorities,
- to promote a medical specialisation in adolescentology,
- to provide representation of its members in the European and international institutions.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
17 04	Food and feed safety, animal health, animal welfare and Plant health								
17 04 01	Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor								
17 04 01 01	Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor — New measures	2	200 000 000	185 000 000	259 000 000	184 901 486	238 015 000,00	186 257 457,91	100,68 %
17 04 01 02	Pilot project — Coordinated European Animal Welfare Network	2	—	300 000	1 000 000	500 000			
	<i>Article 17 04 01 — Subtotal</i>		200 000 000	185 300 000	260 000 000	185 401 486	238 015 000,00	186 257 457,91	100,52 %
17 04 02	Other measures in the veterinary, animal welfare and public health field								
17 04 02 01	Other measures in the veterinary, animal welfare and public health field — New measures	2	14 000 000	13 000 000	18 000 000	12 326 766	13 506 114,85	17 726 669,12	136,36 %

	<i>Article 17 04 02 — Subtotal</i>		14 000 000	13 000 000	18 000 000	12 326 766	13 506 114,85	17 726 669,12	136,36 %
17 04 03	<i>Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health</i>								
17 04 03 01	Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health — New measures	2	10 000 000	10 000 000	10 000 000	9 482 128	10 053 119,41	14 386 939,40	143,87 %
17 04 03 03	Preparatory action — Control posts (resting points) in relation to transport of animals	2	p.m.	p.m.	p.m.	p.m.	0,—	1 410 927,00	
	<i>Article 17 04 03 — Subtotal</i>		10 000 000	10 000 000	10 000 000	9 482 128	10 053 119,41	15 797 866,40	157,98 %
17 04 04	<i>Plant-health measures</i>								
17 04 04 01	Plant-health measures — New measures	2	14 000 000	13 000 000	14 000 000	9 482 128	21 256 677,24	10 897 456,25	83,83 %
	<i>Article 17 04 04 — Subtotal</i>		14 000 000	13 000 000	14 000 000	9 482 128	21 256 677,24	10 897 456,25	83,83 %
17 04 06	<i>Completion of earlier veterinary and plant-health measures</i>	3.2	p.m.	p.m.	p.m.	347 000	0,—	0,—	
17 04 07	<i>Feed and food safety and related activities</i>								
17 04 07 01	Feed and food safety and related activities — New measures	2	34 000 000	28 000 000	32 000 000	26 549 957	29 539 222,00	25 312 317,20	90,40 %
	<i>Article 17 04 07 — Subtotal</i>		34 000 000	28 000 000	32 000 000	26 549 957	29 539 222,00	25 312 317,20	90,40 %
17 04 09	<i>International agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health</i>	4	276 000	276 000	250 000	238 640	235 000,00	234 506,43	84,97 %
	Chapter 17 04 — Total		272 276 000	249 576 000	334 250 000	243 828 105	312 605 133,50	256 226 273,31	102,66 %

Article 17 04 01 — Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor

Item 17 04 01 01 — Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor — New measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000 000	185 000 000	259 000 000	184 901 486	238 015 000,00	186 257 457,91

Remarks

The Union's financial assistance helps accelerate the eradication or control of animal diseases by providing funds to supplement national financial resources and contributes to harmonising measures at Union level. The bulk of these diseases or infections are zoonoses communicable to man (BSE, brucellosis, avian influenza, salmonellosis, tuberculosis, etc.). Moreover, the persistence of the diseases in question hampers the smooth operation of the internal market; fighting them helps to improve the level of public health and to boost food safety in the Union.

Legal basis

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (OJ L 155, 18.6.2009, p. 30).

Item 17 04 01 02 — Pilot project — Coordinated European Animal Welfare Network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	300 000	1 000 000	500 000		

Remarks

This appropriation is intended to cover the setting up of a European coordinated network for animal welfare, as called for by the Paulsen report on the evaluation and assessment of the Animal Welfare Action Plan 2006-2010 (PE 430.922 v02-00, A7-0053/2010). It should perform the tasks referred to in the Commission communication of 28 October 2009 on options for animal welfare labelling and the establishment of a European Network of Reference Centres for the protection and welfare of animals (COM(2009) 0584).

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 04 02 — Other measures in the veterinary, animal welfare and public health field

Item 17 04 02 01 — Other measures in the veterinary, animal welfare and public health field — New measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 000 000	13 000 000	18 000 000	12 326 766	13 506 114,85	17 726 669,12

Remarks

This appropriation is intended to cover the Union's contribution to measures for eliminating obstacles to the free movement of goods in these sectors, for veterinary support and as back-up action.

It consists in providing financial assistance:

- for the purchase, storage and formulation of foot-and-mouth antigens and of different vaccines,
- for an information policy in the field of animal protection, including information campaigns and programmes to inform the public about the harmlessness of consumption of meat from vaccinated animals, as well as information campaigns and programmes emphasising the humane aspects of vaccination strategies in the fight against contagious animal diseases,
- for the monitoring of compliance with animal-protection provisions during the transport of animals for slaughter,
- for the development of marker vaccines or tests that can distinguish between sick and vaccinated animals,
- for the establishment and maintenance of a rapid alert system, including a worldwide rapid alert system, for the notification of direct or indirect risks to human and animal health deriving from food or feed,
- for technical and scientific measures necessary to develop Union veterinary legislation and for the development of veterinary education or training,
- for information technology tools, including TRACES and the Animal Disease Notification System,
- for measures to combat illegal imports of dog and cat furs.

Legal basis

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1), and in particular Article 50 thereof.

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (Codified version) (OJ L 155, 18.6.2009, p. 30).

Article 17 04 03 — Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health

Item 17 04 03 01 — Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health — New measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 000 000	10 000 000	10 000 000	9 482 128	10 053 119,41	14 386 939,40

Remarks

The outbreak of some animal diseases in the Union could possibly have a major impact on the operation of the internal market and on the Union's commercial relations with third countries. Thus, it is important for the Union to make a financial contribution in order to allow the fastest eradication possible of any outbreak of a serious infectious disease in Member States by deploying Union resources to fight these diseases.

Legal basis

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (Codified version) (OJ L 155, 18.6.2009, p. 30).

Item 17 04 03 03 — Preparatory action — Control posts (resting points) in relation to transport of animals

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 410 927,00

Remarks

This preparatory action was launched in 2008 to cover the costs of developing improved control posts (resting points) for animals during long journeys. In the interests of animal health and welfare, it has been necessary to introduce specific measures to avoid stress, for example, when animals are unloaded and reloaded, and to prevent the spread of infectious diseases.

The budgetary authority allocated new credits to pursue this action in 2009 and 2010.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 17 04 04 — Plant-health measures

Item 17 04 04 01 — Plant-health measures — New measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 000 000	13 000 000	14 000 000	9 482 128	21 256 677,24	10 897 456,25

Remarks

This appropriation is intended to cover the Union contribution to the implementation, by the Commission and/or the Member States, of the measures provided for in the legal basis below, in particular the provisions eliminating obstacles to the free movement of goods

in these sectors.

Legal basis

Council Directive 66/401/EEC of 14 June 1966 on the marketing of fodder plant seed (OJ L 125, 11.7.1966, p. 2298/66).

Council Directive 66/402/EEC of 14 June 1966 on the marketing of cereal seed (OJ L 125, 11.7.1966, p. 2309/66).

Council Directive 68/193/EEC of 9 April 1968 on the marketing of material for the vegetative propagation of the vine (OJ L 93, 17.4.1968, p. 15).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1), and in particular Article 5, Assessment of Risk and Determination of the Appropriate Level of Sanitary or Phytosanitary Protection, of the chapter 'Agreement on the application of sanitary and phytosanitary measures' thereof.

Council Directive 98/56/EC of 20 July 1998 on the marketing of propagating material of ornamental plants (OJ L 226, 13.8.1998, p. 16).

Council Directive 1999/105/EC of 22 December 1999 on the marketing of forest reproductive material (OJ L 11, 15.1.2000, p. 17), and in particular Article 11(1) thereof.

Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1).

Council Directive 2002/53/EC of 13 June 2002 on the common catalogue of varieties of agricultural plant species (OJ L 193, 20.7.2002, p. 1), and in particular Article 17 thereof.

Council Directive 2002/54/EC of 13 June 2002 on the marketing of beet seed (OJ L 193, 20.7.2002 p. 12).

Council Directive 2002/55/EC of 13 June 2002 on the marketing of vegetable seed (OJ L 193, 20.7.2002, p. 33).

Council Directive 2002/56/EC of 13 June 2002 on the marketing of seed potatoes (OJ L 193, 20.7.2002, p. 60).

Council Directive 2002/57/EC of 13 June 2002 on the marketing of seed of oil and fibre plants (OJ L 193, 20.7.2002, p. 74).

Regulation (EC) No 396/2005 of the European Parliament and of the Council of 23 February 2005 on maximum residue levels of pesticides in or on food and feed of plant and animal origin (OJ L 70, 16.3.2005, p. 1).

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Council Directive 2008/72/EC of 15 July 2008 on the marketing of vegetable propagating and planting material, other than seed (OJ L 205, 1.8.2008, p. 28).

Council Directive 2008/90/EC of 29 September 2008 on the marketing of fruit plant propagating material and fruit plants intended for fruit production (Recast version) (OJ L 267, 8.10.2008, p. 8).

Article 17 04 06 — Completion of earlier veterinary and plant-health measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	347 000	0,—	0,—

Remarks

This appropriation is intended to cover the settlement of commitments contracted previously against Items B2-5 1 0 0, B2-5 1 0 1, B2-5 1 0 2, B2-5 1 0 3, B2-5 1 0 5, B2-5 1 0 6, B2-5 1 2 2 and B2-5 1 9 0.

This appropriation will be used, in the event of an emergency, to cover the reformulation of foot-and-mouth antigens for emergency vaccination to tackle the disease. The amount of EUR 347 000 is the balance, at the end of 2009, of the amount of EUR 3 900 000 allocated in 1997 for the purchase and reformulation of antigens. Until the reformulation in question has taken place, payment appropriations totalling EUR 347 000 are required.

Article 17 04 07 — Feed and food safety and related activities

Item 17 04 07 01 — Feed and food safety and related activities — New measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
34 000 000	28 000 000	32 000 000	26 549 957	29 539 222,00	25 312 317,20

Remarks

This appropriation is intended to cover the implementation of the initial measures resulting from Regulation (EC) No 882/2004, in particular:

- training on feed and food control,
- the activities of the Union laboratories,
- IT tools, communication and information on feed and food control, development of Union strategy for safer food,
- travel and subsistence expenses for national experts participating in Food and Veterinary Office missions.

Legal basis

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1).

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods (OJ L 354, 31.12.2008, p. 34).

Article 17 04 09 — International agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
276 000	276 000	250 000	238 640	235 000,00	234 506,43

Remarks

This appropriation is intended to cover the Union contribution to the International Union for the Protection of New Varieties of Plants (UPOV), established by the International Convention for the Protection of New Varieties of Plants as last amended on 19 March 1991, which provides for an exclusive property right for breeders of new plant varieties.

Legal basis

Council Decision No 2005/523/EC of 30 May 2005 approving the accession of the European Community to the International Convention for the Protection of New Varieties of Plants, as revised at Geneva on 19 March 1991 (OJ L 192, 22.7.2005, p. 63).

TITLE 18 — HOME AFFAIRS

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 01	Administrative expenditure of the 'Home affairs' policy area		40 715 217	40 715 217	40 064 398	40 064 398	40 262 573,41	40 262 573,41
	40 01 40				39 662	39 662		
					40 104 060	40 104 060		
18 02	Solidarity — External borders, return, visa policy and free movement of people	3	765 959 000	492 396 000	660 000 000	390 060 911	590 104 421,60	444 629 695,54
	40 02 41		730 000	730 000	14 740 000	15 659 972		
			766 689 000	493 126 000	674 740 000	405 720 883		
18 03	Migration flows — Common immigration and asylum policies	3	318 130 000	167 425 000	299 330 000	163 246 661	269 061 490,42	159 180 540,89
18 05	Security and safeguarding liberties	3	164 330 140	141 930 140	246 370 560	144 970 803	228 794 349,94	148 303 832,51
18 08	Policy strategy and coordination	3	3 500 000	1 900 000	3 400 000	1 814 983	3 187 025,00	1 460 776,71
	Title 18 — Total		1 292 634 357	844 366 357	1 249 164 958	740 157 756	1 131 409 860,37	793 837 419,06
	40 01 40, 40 02 41		730 000	730 000	14 779 662	15 699 634		
	Total including reserves		1 293 364 357	845 096 357	1 263 944 620	755 857 390		

CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HOME AFFAIRS' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
18 01	Administrative expenditure of the 'Home affairs' policy area					
18 01 01	Expenditure related to staff in active employment in the 'Home affairs' policy area	5	31 645 793	30 477 753	30 569 710,33	96,60 %
18 01 02	External staff and other management expenditure in support of the 'Home affairs' policy area					
18 01 02 01	External staff	5	2 260 869	2 830 564	2 826 729,15	125,03 %
18 01 02 11	Other management expenditure	5	1 741 785	1 810 130	1 492 743,22	85,70 %
	40 01 40			39 662	1 849 792	
	Article 18 01 02 — Subtotal		4 002 654	4 640 694	4 319 472,37	107,92 %
	40 01 40			39 662	4 680 356	
18 01 03	Expenditure related to information and communication technology equipment and services of the 'Home affairs' policy area	5	1 966 770	1 945 951	2 293 211,22	116,60 %
18 01 04	Support expenditure for operations in the 'Home affairs' policy area					
18 01 04 02	European Refugee Fund — Expenditure on administrative management	3.1	500 000	500 000	500 000,00	100,00 %
18 01 04 03	Emergency measures in the event of mass influxes of refugees — Expenditure on administrative management	3.1	200 000	200 000	149 505,83	74,75 %
18 01 04 08	External Borders Fund — Expenditure on administrative management	3.1	500 000	500 000	614 649,66	122,93 %
18 01 04 09	European Fund for the Integration of third-country nationals — Expenditure on administrative management	3.1	500 000	500 000	490 823,80	98,16 %
18 01 04 10	European Return Fund — Expenditure on administrative management	3.1	500 000	500 000	500 000,00	100,00 %
18 01 04 16	Prevention, preparedness and consequences management of terrorism — Expenditure on administrative management	3.1	350 000	200 000	299 999,10	85,71 %
18 01 04 17	Prevention of and fight against crime — Expenditure on administrative management	3.1	550 000	600 000	525 201,10	95,49 %
	Article 18 01 04 — Subtotal		3 100 000	3 000 000	3 080 179,49	99,36 %

	Chapter 18 01 — Total	40 715 217	40 064 398	40 262 573,41	98,89 %
	40 01 40		39 662		
	Total including reserves		40 104 060		

Article 18 01 01 — Expenditure related to staff in active employment in the ‘Home affairs’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
31 645 793	30 477 753	30 569 710,33

Article 18 01 02 — External staff and other management expenditure in support of the ‘Home affairs’ policy area

Item 18 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 260 869	2 830 564	2 826 729,15

Item 18 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
18 01 02 11	1 741 785	1 810 130	1 492 743,22
40 01 40		39 662	
Total	1 741 785	1 849 792	1 492 743,22

Remarks

Part of this appropriation should be used to ensure adequate support for the Article 29 Working Party.

Article 18 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Home affairs’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 966 770	1 945 951	2 293 211,22

Article 18 01 04 — Support expenditure for operations in the ‘Home affairs’ policy area

Item 18 01 04 02 — European Refugee Fund — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	500 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 18 03 03.

Item 18 01 04 03 — Emergency measures in the event of mass influxes of refugees — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
200 000	200 000	149 505,83

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 18 03 04.

Item 18 01 04 08 — External Borders Fund — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	614 649,66

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

In accordance with Article 11(7) of the Agreement between the European Community and the Republic of Iceland, the Kingdom of Norway, the Swiss Confederation and the Principality of Liechtenstein on supplementary rules in relation to the External Borders Fund for the period 2007 to 2013 (OJ L 169, 3.7.2010, p. 24), the Commission may use up to EUR 300 000 of the payments made by the associated States each year to finance the administrative expenditure related to staff or external staff necessary for supporting the implementation by the associated States of Decision No 574/2007/EC and that Agreement.

Legal basis

See Article 18 02 06.

Item 18 01 04 09 — European Fund for the Integration of third-country nationals — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	490 823,80

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 18 03 09.

Item 18 01 04 10 — European Return Fund — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	500 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 18 02 09.

Item 18 01 04 16 — Prevention, preparedness and consequences management of terrorism — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
350 000	200 000	299 999,10

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 18 05 08.

Item 18 01 04 17 — Prevention of and fight against crime — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
550 000	600 000	525 201,10

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 18 05 09.

Article 18 02 03 — European Agency for the Management of Operational Cooperation at the External Borders

Item 18 02 03 01 — European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
29 000 000	29 000 000	29 000 000	29 000 000	21 000 000,00	21 000 000,00

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), including those stemming from the revision of the Agency's mandate, notably the establishment of the European Border Guard Teams, the task of providing fundamental rights training, the creation of a post of Fundamental Rights Officer and the setting up of a consultative forum.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the first half of 2012 the budget of the Agency shall be subject to an amendment in order to provide sufficient resources for the Agency to fulfil its new tasks under the new Regulation which will be coming into force and also for the possible establishment of Frontex operational offices.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 2007/2004 of 26 October 2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 349, 25.11.2004, p. 1).

Regulation (EC) No 863/2007 of the European Parliament and of the Council of 11 July 2007 establishing a mechanism for the creation of Rapid Border Intervention Teams and amending Council Regulation (EC) No 2007/2004 as regards that mechanism and regulating the tasks and powers of guest officers (OJ L 199, 31.7.2007, p. 30).

Regulation (EU) No 1168/2011 of the European Parliament and of the Council of 25 October 2011 amending Council Regulation (EC) No 2007/2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 304, 22.11.2011, p. 1).

Item 18 02 03 02 — European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Title 3

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02 03 02	49 959 000	49 959 000	50 500 000	40 500 000	90 000 000,00	90 000 000,00
40 02 41			9 000 000	9 000 000		
Total	49 959 000	49 959 000	59 500 000	49 500 000	90 000 000,00	90 000 000,00

Remarks

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme (Title 3), including those stemming from the revision of the Agency's mandate, notably the establishment of the European Border Guard Teams, the task

of providing fundamental rights training, the creation of a post of Fundamental Rights Officer and the setting up of a consultative forum.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the first half of 2012 the budget of the Agency shall be subject to an amendment in order to provide sufficient resources for the Agency to fulfil its new tasks under the new Regulation which will be coming into force and also for the possible establishment of Frontex operational offices.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Union contribution for 2013 amounts to a total of EUR 79 500 000. An amount of EUR 541 000 coming from the recovery of surplus is added to the amount of EUR 78 959 000 entered in the budget.

The appropriations foreseen in the operational budget would enable the Agency to sustain the commitment to permanent missions, notably at the southern borders of the Union (Hera, Nautilus and Poseidon), as from 2010 and to assist Member States with implementing the operational aspects of external border management, including return of third-country nationals illegally present in the Member States in accordance with common standards guaranteeing that they are returned with dignity and full respect for their human rights.

Legal basis

Council Regulation (EC) No 2007/2004 of 26 October 2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 349, 25.11.2004, p. 1).

Regulation (EC) No 863/2007 of the European Parliament and of the Council of 11 July 2007 establishing a mechanism for the creation of Rapid Border Intervention Teams and amending Council Regulation (EC) No 2007/2004 as regards that mechanism and regulating the tasks and powers of guest officers (OJ L 199, 31.7.2007, p. 30).

Regulation (EU) No 1168/2011 of the European Parliament and of the Council of 25 October 2011 amending Council Regulation (EC) No 2007/2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 304, 22.11.2011, p. 1).

Article 18 02 04 — Schengen information system (SIS II)

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02 04	36 750 000	22 500 000	10 360 000	13 678 411	31 096 900,72	27 261 643,94
40 02 41			5 180 000	6 131 702		
Total	36 750 000	22 500 000	15 540 000	19 810 113	31 096 900,72	27 261 643,94

Remarks

This appropriation is intended to finance the following:

- operating expenditure of the Schengen information system (SIS),
- other operating expenditure which may result from this incorporation.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Protocol (No 19) on the Schengen *acquis* integrated into the framework of the European Union.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second-generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006, on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Decision 2008/839/JHA of 24 October 2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 299, 8.11.2008, p. 43).

Council Regulation (EC) No 1104/2008 of 24 October 2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 299, 8.11.2008, p. 1).

Reference acts

Council Regulation (EU) No 541/2010 of 3 June 2010 amending Regulation (EC) No 1104/2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 155, 22.6.2010, p. 19).

Article 18 02 05 — Visa Information System (VIS)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 750 000	28 500 000	38 740 000	27 356 823	29 660 021,74	26 152 648,29

Remarks

This appropriation is intended to cover expenditure related to the analysis development, delivery and installation of a Europe-wide large-scale information system 'VIS' (Visa Information System).

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 2004/512/EC of 8 June 2004 establishing the Visa Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008 concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Article 18 02 06 — External Borders Fund

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
415 000 000	235 000 000	349 100 000	187 482 911	299 460 839,00	216 749 132,42

Remarks

This appropriation will provide support for Member State measures in the following areas:

- efficient organisation of control covering both checks and surveillance tasks relating to external borders,

- efficient management by the Member States of the flow of persons at the external borders, in order to ensure both a high level of border protection and smooth crossing of external borders in accordance with the Schengen *acquis*, including the principle of respectful treatment and dignity,
- uniform application by the border guards of Union law on the crossing of external borders,
- improvement of the management of activities organised by the consular and other services of the Member States in third countries as regards the flow of third-country nationals into the territory of the Member States and cooperation between Member States in this regard.

In particular, this appropriation is intended to support the following actions in the Member States:

- border crossing infrastructures and related buildings, such as border stations, helicopter landing places or lanes or booths for the queuing of vehicles and persons at border crossing points,
- infrastructure, buildings and systems required for surveillance between border crossing points and for protection against illegal crossing of the external border,
- operating equipment,
- means of transport for the surveillance of external borders, such as vehicles, vessels, helicopters, and light aircraft, specially equipped with electronic equipment for the surveillance of the border and the detection of persons in means of transport,
- equipment for the real-time exchange of information between relevant authorities,
- information and communication technology systems,
- programmes for the detachment and exchange between Member States of staff such as border guards, immigration officers and consular officers,
- training and education of staff in relevant authorities, including language training,
- investments in the development, testing and instalment of state of the art technology,
- studies and pilot projects implementing recommendations, operational standards and best practices resulting from operational cooperation between Member States in the field of border control,
- studies and pilot projects designed to stimulate innovation, facilitate exchanges of experience and good practice and improve the quality of the management of activities organised by the consular and other services of the Member States in third countries as regards the flow of third-country nationals in the territory of the Member States and cooperation between Member States in this regard,
- create a common Schengen visa Internet site in order to improve the visibility and uniform image of the common visa policy.

In the framework of the Kaliningrad Transit Scheme, this appropriation is intended to cover foregone fees from transit visas and additional costs (investment in infrastructures, training of border guards and rail staff, additional operational costs) incurred in implementing the Facilitated Transit Document and Facilitated Rail Transit Document scheme in accordance with Council Regulation (EC) No 693/2003 (OJ L 99, 17.4.2003, p. 8) and Council Regulation (EC) No 694/2003 (OJ L 99, 17.4.2003, p. 15).

At the Commission's initiative, it is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) serving the general objective of contributing to the improvement of the activities organised by the consular and other services of the Member States in third countries as regards the flow of third-country nationals into the territory of the Member States and cooperation between Member States in this regard, including the activities of Asylum Liaison Officers and Immigration Liaison Officers, and the objective of promoting the progressive inclusion of customs, veterinary and phytosanitary controls in integrated border management activities according to policy developments in this field. These actions can also be used to provide supporting services to Member States in duly substantiated emergency situations requiring urgent action at the external borders of the Member States.

Moreover, each year the Commission will draw up a list of specific actions to be implemented by the Member States and, where appropriate, in cooperation with the Agency, that contribute to the development of the common integrated border management system by addressing weaknesses at strategic border points identified in risk analyses carried out by the Agency.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 574/2007/EC of the European Parliament and the Council of 23 May 2007 establishing the External Borders Fund for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 22).

Reference acts

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Commission Decision 2007/599/EC of 27 August 2007 implementing Decision No 574/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2007 to 2013 (OJ L 233, 5.9.2007, p. 3).

Commission Decision 2008/456/EC of 5 March 2008 laying down rules for the implementation of Decision No 574/2007/EC of the European Parliament and of the Council establishing the External Border Fund for the period 2007 to 2013 as part of the general programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 1).

Regulation (EC) No 810/2009 of the European Parliament and of the Council of 13 July 2009 establishing a Community Code on Visas (Visa Code) (OJ L 243, 15.9.2009, p. 1).

Article 18 02 07 — Schengen evaluation

Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02 07	p.m.	p.m.	p.m.	p.m.	0,—	0,—
40 02 41	730 000	730 000	560 000	528 270		
Total	730 000	730 000	560 000	528 270	0,—	0,—

Remarks

This appropriation will provide for reimbursement of the costs incurred by the Commission and Member States experts for the on-the-spot evaluation visits (travel cost and accommodation) regarding the application of the Schengen *acquis*. The cost of supplies and equipment needed for the on-the-spot evaluation visits and for their preparation and follow-up must be added to these costs.

Conditions for releasing the reserve

The reserve will be released once the corresponding basic act is adopted pursuant to the procedure laid down in Article 294 of the Treaty on the Functioning of the European Union.

Reference acts

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 September 2011, on the establishment of an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* (COM(2011) 0559 final).

Article 18 02 08 — Preparatory action — Completion of return management in the area of migration

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	75 850,73

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 02 09 — European Return Fund

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
185 500 000	93 000 000	162 500 000	72 242 766	116 672 001,84	63 185 597,67

Remarks

This appropriation will provide support for Member State measures to improve the management of return in all its dimensions while respecting fundamental rights through the use of the concept of integrated management, taking account of Union legislation in this field, in the following areas:

- the introduction and improvement of the organisation and implementation of integrated return management by Member States,
- the enhancement of the cooperation between Member States in the framework of integrated return management and its implementation,
- the promotion of an effective and uniform application of common standards on return according to the policy development in the field, with priority for voluntary return schemes,
- the organisation of information campaigns in countries of origin and of transit for prospective displaced persons, refugees and asylum seekers. These campaigns can take place in the framework of better cooperation with third countries that combat irregular migration and promote legal migration.

At the Commission's initiative, this appropriation is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) concerning return policy. Studies to determine the existence of and evaluate mechanisms to support reintegration in selected third countries and examine the patterns of social and professional reintegration in the most relevant countries of origin, specifically in immediate eastern and southern neighbourhoods, are also covered.

This appropriation is also intended to finance a Union action to compile data for collaboration and exchange of best practices among childcare workers in closed detention centres for asylum seekers and immigrants.

Legal basis

Directive 2008/115/EC of the European Parliament and of the Council of 16 December 2008 on common standards and procedures in Member States for returning illegally staying third-country nationals (OJ L 348, 24.12.2008, p. 98).

Decision No 575/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Return Fund for the period 2008 to 2013 as part of the General Programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 45).

Reference acts

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Commission Decision 2007/837/EC of 30 November 2007 implementing Decision No 575/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2008 to 2013 (OJ L 330, 15.12.2007, p. 48).

Commission Decision 2008/458/EC of 5 March 2008 laying down rules for the implementation of Decision No 575/2007/EC of the European Parliament and of the Council establishing the European Return Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 135).

Article 18 02 10 — Preparatory action — Migration management — Solidarity in action

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	204 822,49

Remarks

This appropriation is intended to cover earlier commitments.

The purpose of the action is to test hypotheses in the field of migration management. On the basis of its evaluation, it could lead to the development of a global approach, an objective set by the Union. The action will be based on three related components.

Component 1: Financial assistance for the employment of immigrants returning to their countries of origin with which there are readmission agreements.

Component 2: Organising information campaigns, in countries of origin, for applicants for immigration into the Union so as to inform them in particular about the dangers of illegal immigration.

Component 3: Reception in dignity and solidarity – Assistance to Member States in coping with the reception of irregular migrants arriving by sea. Measures would:

- assist Member States affected by sudden arrivals of migrants, e.g. by improving and exchanging good/best practices and providing interpreters and medical and legal teams,
- assist Member States to enhance reception quality and capacity, including temporary capacity, reception of irregular migrants at arrival points, e.g. by providing first aid and transport to adequate reception centres and improving/increasing reception facilities and conditions at those facilities,
- assist Member States in pooling resources to address particular pressures on their asylum systems, in particular through activities where specific expertise is needed, sharing knowledge and promoting joint approaches to address mass arrivals of asylum seekers at the external border of the Union.

For component 3, recipients of funding should be national authorities. Projects may include partnerships with other Member State authorities, international organisations and non-governmental organisations.

For all components, part of the appropriations should be used by the Commission to help in the management of this action (external experts, studies, etc.).

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 02 11 — Agency for the operational management of large-scale IT systems in the area of freedom, security and justice

Item 18 02 11 01 — Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 100 000	24 707 000	13 860 000	13 860 000	2 214 658,30	0,—

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011).

Item 18 02 11 02 — Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 900 000	9 730 000	5 940 000	5 940 000	0,—	0,—

Remarks

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Union contribution for 2013 amounts to a total of EUR 49 000 000.

Legal basis

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011).

Article 18 02 12 — Schengen Facility for Croatia

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.				

Remarks

New article

This appropriation is intended to cover the expenditure related to a temporary instrument to help Croatia between the date of accession and the end of 2014 to finance actions at the new external borders of the Union for the implementation of the Schengen *acquis* and external border control.

Legal basis

Tasks resulting from the specific powers assigned directly to the Commission by Article 31 of the Treaty concerning the accession of the Republic of Croatia of 9 December 2011.

CHAPTER 18 03 — MIGRATION FLOWS — COMMON IMMIGRATION AND ASYLUM POLICIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
18 03	Migration flows — Common immigration and asylum policies								
18 03 03	European Refugee Fund								
		3.1	112 330 000	60 000 000	102 530 000	57 682 805	98 961 975,36	54 842 279,71	91,40 %
18 03 04	Emergency measures in the event of mass influxes of refugees								
		3.1	9 800 000	5 300 000	9 800 000	5 037 429	21 850 000,00	17 480 000,00	329,81 %
18 03 05	European Migration Network								
		3.1	6 500 000	3 900 000	8 000 000	4 150 690	7 582 232,38	5 219 424,95	133,83 %
18 03 06	Preparatory action — Completion of integration of third-country nationals								
		3.1	p.m.	p.m.	p.m.	p.m.	82 993,26	155 521,87	
18 03 07	Completion of ARGO								
		3.1	—	p.m.	p.m.	p.m.	0,—	0,—	
18 03 09	European Fund for the Integration of third-country nationals								
		3.1	177 500 000	89 000 000	162 500 000	85 018 402	131 503 342,83	78 539 380,16	88,25 %
18 03 11	Eurodac								
		3.1	p.m.	p.m.	500 000	377 335	1 532 930,46	1 040 703,82	
18 03 14	European Asylum Support Office (EASO)								
18 03 14 01	European Asylum Support Office — Contribution to Titles 1 and 2	3.1	7 000 000	7 000 000	5 058 000	5 058 000	4 037 298,69	1 639 531,63	23,42 %
18 03 14 02	European Asylum Support Office — Contribution to Title 3	3.1	5 000 000	2 000 000	4 942 000	2 422 000	2 010 717,44	263 698,75	13,18 %
	<i>Article 18 03 14 — Subtotal</i>		12 000 000	9 000 000	10 000 000	7 480 000	6 048 016,13	1 903 230,38	21,15 %
18 03 15	Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees								
		3.1	p.m.	225 000	p.m.	500 000	1 500 000,00	0,—	
18 03 16	Pilot project — Funding for victims of torture								
		3.1	p.m.	p.m.	2 000 000	1 000 000			
18 03 17	Preparatory action — Enable the resettlement of refugees during emergency situations								
		3.1	p.m.	p.m.	3 000 000	1 500 000			
18 03 18	Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union								
		3.1	p.m.	p.m.	1 000 000	500 000			
	Chapter 18 03 — Total		318 130 000	167 425 000	299 330 000	163 246 661	269 061 490,42	159 180 540,89	95,08 %

Article 18 03 03 — European Refugee Fund

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
112 330 000	60 000 000	102 530 000	57 682 805	98 961 975,36	54 842 279,71

Remarks

This appropriation is intended to finance, as regards structural measures, projects and measures concerning the reception of refugees, displaced persons and asylum seekers which meet the conditions governing eligibility for Union financial aid.

It is intended to support Member States' efforts to integrate refugees and other persons granted supplementary support, and to allow displaced persons to lead a life for which they themselves are responsible, through measures taken chiefly in the following areas:

- facilitating access to employment, including vocational training,
- acquisition of knowledge of the language, society, culture and institutions of the host country,
- facilitating access to housing and to the medical and social infrastructure of the host country,

- support for persons in particular need of protection, such as women refugees, unaccompanied minors and torture victims, including victims of forced abortion, female genital mutilation or coerced sterilisation and rape victims,
- integration into local structures and activities,
- improvement in public awareness and understanding of refugees' situation,
- analysis of the situation of refugees in the Union,
- training on gender issues and child protection for officials, healthcare workers and police officers at reception centres,
- separate accommodation for single women and girls.

Moreover, it is intended to support efforts of the voluntary burden sharing operations by Member States, such as the resettlement, reception and integration by Member States of refugees coming from third countries and recognised by UNHCR and transfers of applicants and beneficiaries of international protection from one Member State to another which grants them equivalent protection.

Part of this appropriation will be used for supporting the Member States in direct cooperation with United Nations humanitarian and other agencies which on a voluntary basis extend resettlement in a flexible manner in acute refugee situations. The focus will be on the most vulnerable groups and cases where other durable solutions are regarded as having been ruled out.

Particular attention should be given to when the appropriation can be used to demonstrate a significant and tangible act of solidarity at European level which can produce added value as part of a broader approach on delivery of humanitarian support to a country or region.

At the Commission's initiative, this appropriation is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) concerning asylum policy and measures applicable to the target population of the Fund, in particular to offer adequate support to joint efforts by Member States to identify, share and promote best practices and establish effective cooperation structures in order to enhance the quality of decision making.

This appropriation is also intended to cover earlier commitments from ERF I and II, including those regarding voluntary repatriation.

It is also intended to finance a Union action to compile data for collaboration and exchange of best practices among childcare workers in closed detention centres for asylum seekers and immigrants.

A solidarity mechanism will be established to facilitate the voluntary reallocation of refugees and beneficiaries of international protection from Member States which are facing severe pressure from immigration flows to other Member States. The mechanism would be established at Union level and brought into operation on a trial basis so that it may eventually be continued under a Common European Asylum System. Member States would freely determine all aspects of the selection process on a voluntary basis. The Commission would set the framework, issue guidelines, encourage participation and facilitate management and coordination.

The mechanism should be established in line with the European Council Conclusions of 18 and 19 June 2009. The conclusions note that, in view of the present humanitarian emergency, concrete measures need to be quickly put in place and implemented. The European Council called for the coordination of voluntary measures for internal reallocation of beneficiaries of international protection present in the Member States exposed to specific and disproportionate pressures and highly vulnerable persons and welcomed the intention of the Commission to take initiatives in this respect, starting with a pilot project.

Legal basis

Decision No 573/2007/EC of the European Parliament and the Council of 23 May 2007 establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 1).

Decision No 458/2010/EU of the European Parliament and of the Council of 19 May 2010 amending Decision No 573/2007/EC establishing the European Refugee Fund for the period 2008 to 2013 by removing funding for certain Community actions and altering the limit for funding such actions (OJ L 129, 28.5.2010, p. 1).

Reference acts

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Commission Decision 2007/815/EC of 29 November 2007 implementing Decision No 573/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines 2008 to 2013 (OJ L 326, 12.12.2007, p. 29).

Commission Decision 2008/22/EC of 19 December 2007 laying down rules for the implementation of Decision No 573/2007/EC of the European Parliament and of the Council establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 7,

10.1.2008, p. 1).

Article 18 03 04 — Emergency measures in the event of mass influxes of refugees

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 800 000	5 300 000	9 800 000	5 037 429	21 850 000,00	17 480 000,00

Remarks

In the event of mass influxes of refugees or displaced persons, emergency measures in the following areas can be taken under this article:

- reception and accommodation,
- provision of subsistence funds,
- medical, psychological and other assistance, aimed in particular at minors and including specialised assistance to women and girls who have fallen victim to harassment in any form or to criminal acts (rape, violence or specific forms of torture such as forced abortion, female genital mutilation or coerced sterilisation) or have suffered because of poor conditions as refugees,
- necessary staffing and administrative costs in connection with reception and carrying out the measures,
- expert missions and ancillary technical assistance for the identification of displaced persons,
- logistical and transport costs.

Under this provision, emergency measures can also be taken to address situations of particular pressure, characterised by the sudden arrival at particular points on the borders of a large number of third-country nationals who may be in need of international protection, where this places exceptionally heavy and urgent demands on the reception facilities, the asylum system or the infrastructure of the Member State(s) concerned and may give rise to risks to human life, well-being or access to protection provided for under Union legislation.

The duration of such measures must not exceed six months. Besides the measures listed above, emergency measures may include legal aid and language assistance and the provision of translation and interpretation services, country of origin information or expertise and other measures contributing to the rapid identification of persons who may be in need of international protection and to the fair and efficient processing of asylum applications.

Legal basis

Council Directive 2001/55/EC of 20 July 2001 on minimum standards for giving temporary protection in the event of a mass influx of displaced persons and on measures promoting a balance of effort between Member States in receiving such persons and bearing the consequences thereof (OJ L 212, 7.8.2001, p. 12).

Decision No 573/2007/EC of the European Parliament and the Council of 23 May 2007 establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 1).

Reference acts

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Article 18 03 05 — European Migration Network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 500 000	3 900 000	8 000 000	4 150 690	7 582 232,38	5 219 424,95

Remarks

This appropriation is intended to finance the establishment of the European Migration Network in order to provide the Union and the Member States with objective, reliable and comparable information on migration and asylum.

Such information will contain statistical material on the number of asylum seekers coming to Member States, broken down by Member State, the number of successful applications, the number of unsuccessful applications, the reasons for rejection, etc.

Legal basis

Council Decision 2008/381/EC of 14 May 2008 establishing a European Migration Network (OJ L 131, 21.5.2008, p. 7).

Article 18 03 06 — Preparatory action — Completion of integration of third-country nationals

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	82 993,26	155 521,87

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 03 07 — Completion of ARGO

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Council Decision 2002/463/EC of 13 June 2002 adopting an action programme for administrative cooperation in the fields of external borders, visas, asylum and immigration (ARGO programme) (OJ L 161, 19.6.2002, p. 11).

Article 18 03 09 — European Fund for the Integration of third-country nationals

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
177 500 000	89 000 000	162 500 000	85 018 402	131 503 342,83	78 539 380,16

Remarks

In view of the general objective of supporting the efforts of Member States to enable third-country nationals to fulfil the conditions of residence and to facilitate their integration into European societies, in accordance with the Common Basic Principles for immigrant integration policy in the European Union as adopted by the Council in November 2004 and with Parliament's resolution of 6 July 2006 on strategies and means for the integration of immigrants in the European Union, this appropriation will support measures in the following areas:

- facilitating the development and implementation of admission procedures relevant to and supportive of the integration process of third-country nationals,
- developing and implementing the integration process for third-country nationals in Member States,
- increasing the capacity of Member States to develop, implement, monitor and evaluate policies and measures for the integration of third-country nationals,
- exchanging information, best practice and cooperation in and between Member States in developing, implementing, monitoring and evaluating policies and measures for the integration of third-country nationals that will help, inter alia, to reduce the employment gap between immigrants and others, improve immigrant participation and performance in education, advance the education and employment prospects of immigrant women, language and introductory programmes, health, housing and urban living and enhancing civic participation by immigrants.

At the Commission's initiative, it is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) concerning immigration and integration policy.

Legal basis

Council Decision 2007/435/EC of 25 June 2007 establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 168, 28.6.2007, p. 18).

Reference acts

Communication from the Commission to the Council and the European Parliament of 2 May 2005, establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Commission Decision 2008/457/EC of 5 March 2008 laying down rules for the implementation of Council Decision 2007/435/EC establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 69).

Article 18 03 11 — Eurodac

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	500 000	377 335	1 532 930,46	1 040 703,82

Remarks

This appropriation is intended to cover expenditure relating to the establishment and operation of the central unit of the Eurodac system.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Regulation (EC) No 2725/2000 of 11 December 2000 concerning the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of the Dublin Convention (OJ L 316, 15.12.2000, p. 1).

Council Regulation (EC) No 343/2003 of 18 February 2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 50, 25.2.2003, p. 1).

Reference acts

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Article 18 03 14 — European Asylum Support Office (EASO)

Item 18 03 14 01 — European Asylum Support Office — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 000 000	7 000 000	5 058 000	5 058 000	4 037 298,69	1 639 531,63

Remarks

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Office's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

Item 18 03 14 02 — European Asylum Support Office — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	2 000 000	4 942 000	2 422 000	2 010 717,44	263 698,75

Remarks

This appropriation is intended to cover the Office's operational expenditure relating to the work programme (Title 3).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Union contribution for 2013 amounts to a total of EUR 12 000 000.

Legal basis

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum

Article 18 03 15 — Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	225 000	p.m.	500 000	1 500 000,00	0,—

Remarks

The main objective of this pilot project is to establish a network of contacts and discussion between targeted municipalities and local authorities in Member States which have just started a resettlement programme, or wish to participate in the near future, and Member States which are experienced in resettlement, drawing on UNHCR and non-governmental organisation (NGO) experiences and best practices in the resettlement and reintegration of refugees. The pilot project will cover the following actions:

- identifying municipalities or local authorities in Member States that have just started resettlement programmes (e.g. Portugal, Romania) or are about to do so (e.g. Spain) and arranging meetings with selected municipalities or local authorities in resettlement countries (e.g. the UK and the Netherlands) with a view to strengthening ‘new’ resettlement programmes and ensuring that they are of a high quality and sustainable,
- identifying municipalities or local authorities or NGOs in Member States not yet participating in resettlement programmes, but wishing to join the network with a view to future participation in such programmes,
- holding meetings of representatives of the targeted local authorities and municipalities involved, along with the UNHCR, participating NGOs and representatives of resettled refugees, to lay down the activities to be developed jointly, including visits, exchanges of experience, training and thematic meetings focusing on issues such as housing, education and employment,
- designing a form for collecting information from the various stakeholders on procedures, types of monitoring prior to resettlement, types of information collected on the refugees to be resettled (including cultural, health and educational issues and diet), infrastructure, human resources, accommodation, reception, actions taken after resettlement for the integration of refugees, community participation in the process, any economic, legal or educational aid, the role of refugees already resettled in the integration process, and cooperation with NGOs,
- creating a task force, with the necessary human and technical resources, to make the practical arrangements for the project and in particular to provide for the necessary meetings, collect available information, create a website and content for it, thus increasing the exchange of information between the stakeholders in the resettlement process, and create links with existing resettlement-related projects and/or websites. This website will also allow information on past and future meetings between municipalities or local authorities to be made available to all interested parties on a systematic basis,
- collating the information gathered by the task force, which will then circulate it for discussion on the network, in order to draw conclusions on best practices.

The experience gained from the pilot project will be recorded and passed on to the Resettlement Unit in the European Asylum Support Office (EASO) once it has been set up.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 03 16 — Pilot project — Funding for victims of torture

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
p.m.	p.m.	2 000 000	1 000 000		

Remarks

The main objective of this pilot project is to create a new line of funding for the final benefit of victims of torture (EU or non-EU citizens) present in the Union. The pilot project will cover the following actions:

- to create rehabilitation centres for victims of torture or to support the existing ones by providing funds for their rehabilitation costs (premises, therapeutical staff, administrative staff, etc.) and their other activities (prevention, advocacy, training, legal support, etc.),
- to provide access to multidisciplinary support and counselling, including physical and psychotherapeutic treatment, psycho-social counselling, legal services, and socio-economic support to the victims,
- to support international networking between rehabilitation centres (within and outside the Union) in order to build capacities, support the exchange of good practices among specialists, allow peer supervision as a means of prevention of vicarious trauma, etc.,
- to support activities which aim to empower torture victims themselves and, in general, to support the ability of torture victims to integrate into society in European countries.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 03 17 — Preparatory action — Enable the resettlement of refugees during emergency situations

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	3 000 000	1 500 000	

Remarks

This preparatory action aims to create a new line of funding to support the resettlement of refugees in emergency conditions that are not covered by the current European Refugee Fund (ERF) rules, and will not be covered in the near future as the current Fund, in its third iteration (ERF III), lapses in 2014. The activities carried out in the framework of this preparatory action, and the experiences collected within that framework, could then be integrated in the revision of the ERF planned for 2014.

The following actions are covered by this preparatory action:

- to support persons already recognised as refugees by UNHCR and ERF falling victim to natural disasters, armed attacks, etc.,
- to support emergency action in the case of groups of refugees, identified as priorities according to ERF and UNHCR rules, who are under armed attack, that have been victims of a natural disaster, or that face other conjunctures of extreme vulnerability and of a life-threatening nature,
- to fund rapid resettlement procedures of the Member States in conditions replicated from the routine resettlement activities funded by the ERF,
- to guarantee financing for emergency procedures without disruption of the ongoing ERF resettlement procedures,
- to provide, where needed, extra financial support during emergencies to the Office of the United Nations High Commissioner for Refugees (UNHCR) and to its liaison organisations in the Member States and at Union level,
- to strengthen the activities of the European Asylum Support Office, whose Resettlement Unit is expected to become operational during 2012, as a focal point during these emergency procedures.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 03 18 — Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	1 000 000	500 000	

Remarks

The aim of this pilot project is to identify good practices on prevention, reception, protection and integration policies for unaccompanied minors. The child may be returned to his or her country of origin where it will be necessary to guarantee reintegration or may be given the status of international protection or other legal status that allows the child to integrate in the Member State of residence; alternatively, the child may be resettled in the Union. In all these cases it is important that the interests of the child will be guaranteed. The pilot project should contribute to the implementation of the 2010 Commission action plan on unaccompanied minors (2010-2014) and actions specified thereof:

- identifying prevention actions and policies vis-à-vis the group to which the unaccompanied minors belong (e.g., asylum seekers, victims of trafficking, children who enter illegally into the Union) and/or the country or region of origin,
- identifying best practices on child reception and protection, and in particular on issues such as procedures at first encounter, legal representation, appointment of a guardian, access to and adequacy of reception facilities, initial interviews, education and medical care,
- identifying good practices in specific measures and procedural guarantees for unaccompanied minors in the Union,
- evaluating the various experiences of combating the phenomenon of missing children and identifying best practices,
- identifying best practices on restoring family unity and reintegration of unaccompanied minors in their countries of origin.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 18 05 — SECURITY AND SAFEGUARDING LIBERTIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
18 05	Security and safeguarding liberties								
18 05 01	Cooperation programmes in the fields of justice and home affairs — Title VI								
18 05 01 01	Completion of cooperation programmes in the fields of justice and home affairs, and AGIS	3.1	—	p.m.	p.m.	p.m.	0,—	105 579,31	
18 05 01 03	Completion of Erasmus for judges (exchange programme for judicial authorities)	3.1	—	—	—	—	0,—	0,—	
	<i>Article 18 05 01 — Subtotal</i>		—	p.m.	p.m.	p.m.	0,—	105 579,31	
18 05 02	European Police Office (Europol)								
18 05 02 01	European Police Office — Contribution to Titles 1 and 2	3.1	56 600 000	56 600 000	61 635 739	61 635 739	63 712 000,00	63 712 000,00	112,57 %
18 05 02 02	European Police Office — Contribution to Title 3	3.1	18 582 500	18 582 500	20 869 261	17 869 261	19 757 000,00	19 757 000,00	106,32 %
	<i>Article 18 05 02 — Subtotal</i>		75 182 500	75 182 500	82 505 000	79 505 000	83 469 000,00	83 469 000,00	111,02 %
18 05 04	Preparatory action — Completion of preparatory actions for the victims of terrorist acts	3.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

18 05 05	European Police College									
18 05 05 01	European Police College — Contribution to Titles 1 and 2	3.1	4 622 140	4 622 140	3 917 430	3 917 430	3 927 000,00	3 927 000,00	84,96 %	
18 05 05 02	European Police College — Contribution to Title 3	3.1	3 828 500	3 828 500	4 533 210	4 533 210	4 414 000,00	4 414 000,00	115,29 %	
	<i>Article 18 05 05 — Subtotal</i>		8 450 640	8 450 640	8 450 640	8 450 640	8 341 000,00	8 341 000,00	98,70 %	
18 05 06	Pilot project — Completion of the fight against terrorism	3.1	p.m.	p.m.	p.m.	p.m.	0,—	136 868,41		
18 05 07	Completion of crisis management capacity	3.1	—	p.m.	p.m.	p.m.	0,—	228,00		
18 05 08	Prevention, preparedness and consequence management of terrorism	3.1	12 100 000	7 850 000	23 280 000	7 546 710	22 400 000,00	6 721 789,44	85,63 %	
18 05 09	Prevention of and fight against crime	3.1	53 150 000	35 000 000	117 570 000	34 903 533	99 184 349,94	34 129 367,35	97,51 %	
18 05 11	European Monitoring Centre for Drugs and Drug Addiction									
18 05 11 01	European Monitoring Centre for Drugs and Drug Addiction — Contribution to Titles 1 and 2	3.1	11 091 894	11 091 894	10 629 367	10 629 367	10 923 391,87	10 923 391,87	98,48 %	
18 05 11 02	European Monitoring Centre for Drugs and Drug Addiction — Contribution to Title 3	3.1	4 355 106	4 355 106	3 935 553	3 935 553	4 476 608,13	4 476 608,13	102,79 %	
	<i>Article 18 05 11 — Subtotal</i>		15 447 000	15 447 000	14 564 920	14 564 920	15 400 000,00	15 400 000,00	99,70 %	
	Chapter 18 05 — Total		164 330 140	141 930 140	246 370 560	144 970 803	228 794 349,94	148 303 832,51	104,49 %	

Article 18 05 01 — Cooperation programmes in the fields of justice and home affairs — Title VI

Item 18 05 01 01 — Completion of cooperation programmes in the fields of justice and home affairs, and AGIS

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	105 579,31

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Joint Action 98/245/JHA of 19 March 1998 adopted by the Council, on the basis of Article K.3 of the Treaty on European Union, establishing a programme of exchanges, training and cooperation for persons responsible for action to combat organised crime (Falcone programme) (OJ L 99, 31.3.1998, p. 8).

Council Decision 2001/512/JHA of 28 June 2001 establishing a second phase of the programme of incentives and exchanges, training and cooperation for legal practitioners (Grotius II — Criminal) (OJ L 186, 7.7.2001, p. 1).

Council Decision 2001/513/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for law enforcement authorities (Oisin II) (OJ L 186, 7.7.2001, p. 4).

Council Decision 2001/514/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for persons responsible for combating trade in human beings and the sexual exploitation of children (Stop II) (OJ L 186, 7.7.2001, p. 7).

Council Decision 2001/515/JHA of 28 June 2001 establishing a programme of incentives and exchanges, training and cooperation for the prevention of crime (Hippokrates) (OJ L 186, 7.7.2001, p. 11).

Council Decision 2002/630/JHA of 22 July 2002 establishing a framework programme on police and judicial cooperation in criminal matters (AGIS) (OJ L 203, 1.8.2002, p. 5).

Item 18 05 01 03 — Completion of Erasmus for judges (exchange programme for judicial authorities)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

This item is intended to cover earlier commitments.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 05 02 — European Police Office (Europol)

Item 18 05 02 01 — European Police Office — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
56 600 000	56 600 000	61 635 739	61 635 739	63 712 000,00	63 712 000,00

Remarks

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Office's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Decision 2009/371/JHA of 6 April 2009 establishing the European Police Office (Europol) (OJ L 121, 15.5.2009, p. 37).

Item 18 05 02 02 — European Police Office — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 582 500	18 582 500	20 869 261	17 869 261	19 757 000,00	19 757 000,00

Remarks

This appropriation is intended to cover the Office's operational expenditure (Title 3).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 82 120 500. An amount of EUR 6 938 000 coming from the recovery of

surplus is added to the amount of EUR 75 182 500 entered in the budget.

Legal basis

Council Decision 2009/371/JHA of 6 April 2009 establishing the European Police Office (Europol) (OJ L 121, 15.5.2009, p. 37).

Article 18 05 04 — Preparatory action — Completion of preparatory actions for the victims of terrorist acts

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 05 05 — European Police College

Item 18 05 05 01 — European Police College — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 622 140	4 622 140	3 917 430	3 917 430	3 927 000,00	3 927 000,00

Remarks

This appropriation is intended to cover the College's staff and administrative expenditure (Titles 1 and 2).

The College must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The College's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Decision 2005/681/JHA of 20 September 2005 establishing the European Police College (CEPOL) and repealing Decision 2000/820/JHA (OJ L 256, 1.10.2005, p. 63).

Item 18 05 05 02 — European Police College — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 828 500	3 828 500	4 533 210	4 533 210	4 414 000,00	4 414 000,00

Remarks

This appropriation is intended to cover the College's operational expenditure (Title 3).

The College must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 8 450 640.

Legal basis

Council Decision 2005/681/JHA of 20 September 2005 establishing the European Police College (CEPOL) and repealing Decision 2000/820/JHA (OJ L 256, 1.10.2005, p. 63).

Article 18 05 06 — Pilot project — Completion of the fight against terrorism

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	136 868,41

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 18 05 07 — Completion of crisis management capacity

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	228,00

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Task resulting from the Commission's administrative autonomy, as provided for in Article 49(6) of the Financial Regulation.

Article 18 05 08 — Prevention, preparedness and consequence management of terrorism

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 100 000	7 850 000	23 280 000	7 546 710	22 400 000,00	6 721 789,44

Remarks

Regarding the prevention and preparedness to terrorist attacks, this appropriation is intended to support the following areas:

- to stimulate, promote, and support risk and threat assessments on critical infrastructure, including evaluations on site, to identify possible targets of terrorist attacks and the possible need for upgrading their security,
- to promote and support the development of common security standards including cyber security, and an exchange of know-how and experience on protection of critical infrastructure,
- to promote and support Union wide coordination and cooperation on critical infrastructure protection.

Regarding the consequence management in case of terrorist attacks, this appropriation is intended to support the following areas:

- to stimulate, promote and support the exchange of know-how, experience and technology on the potential consequences of terrorist attacks,
- to stimulate, promote and support the development of relevant methodology and contingency plans, including with regard to a European cyber security strategy,
- to ensure real-time input of specific expertise on terrorism matters within overall crisis management, rapid alert and civil protection mechanisms.

In particular, this appropriation is intended to cover the following actions:

- actions on operational cooperation and coordination (strengthening networking, mutual confidence and understanding, development of contingency plans, exchange and dissemination of information, experience and best practice),
- analytical, monitoring, evaluation, audit and inspection activities,
- development and transfer of technology and methodology, particularly regarding information sharing and interoperability,
- training, exchange of staff and experts,
- awareness and dissemination activities, and
- provision of financial support for projects to help victims of terrorism and/or their families recover from their ordeal by availing themselves of social or psychological support offered by organisations and/or networks, and for projects to mobilise public opinion against all forms of terrorism. Part of the appropriation will be used primarily to improve the legal assistance and advice provided to victims and their families.

Legal basis

Council Decision 2007/124/EC, Euratom of 12 February 2007 establishing for the period 2007-2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme ‘Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks’ (OJ L 58, 24.2.2007, p. 1).

Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing a framework programme on ‘Security and Safeguarding Liberties’ for the period 2007-2013 (COM(2005) 0124).

Article 18 05 09 — Prevention of and fight against crime

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
53 150 000	35 000 000	117 570 000	34 903 533	99 184 349,94	34 129 367,35

Remarks

This appropriation is intended to support the following areas:

- to promote and develop coordination, cooperation and mutual understanding among law enforcement agencies, and other institutions (in particular organisations active in preventing violence and crime), other national authorities and related Union bodies,

- to stimulate, promote and develop horizontal methods and tools necessary for strategically preventing and fighting crime and cybercrime, such as prevention of urban violence, in particular urban violence affecting minors, or measures to prevent and combat juvenile delinquency through exchanges of best practice, networking of the authorities responsible and the implementation of pilot projects, also in the field of rehabilitation of minors former prisoners, public-private partnerships, best practices in crime prevention, comparable statistics and applied criminology,
- to improve cooperation as regards the confiscation and seizure of assets and of proceeds from illegal activities of criminal organisations between the national agencies competent for the recovery of assets, and
- to promote and develop best practices for the protection of crime victims and witnesses.

In particular, this appropriation is intended to cover the following actions:

- actions on operational cooperation and coordination (strengthening networking, mutual confidence and understanding, exchange and dissemination of information, experience and best practices),
- analytical, monitoring and evaluation activities,
- development and transfer of technology and methodology,
- training, exchange of staff and experts, and
- awareness and dissemination activities.

Part of the appropriation will cover the costs of creating a Union telephone hotline for the victims of human trafficking, with a view to establishing a single common telephone number across Member States, to provide equal standards of social, psychological and legal assistance to the victims of human trafficking and if possible to respond to a request for shelter. This project will involve a variety of stakeholders: national regulatory authorities to provide telephone lines, telecommunication companies, specialised non-governmental organisations, local and professional staff, enforcement authorities (to exchange information on the smugglers and actors involved in human trafficking).

Part of this appropriation will be used to improve the prevention of criminal activities of mobile criminal groups in border areas.

Legal basis

Council Decision 2007/125/JHA of 12 February 2007 establishing for the period 2007 to 2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme ‘Prevention of and Fight against Crime’ (OJ L 58, 24.2.2007, p. 7).

Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing a framework programme on ‘Security and Safeguarding Liberties’ for the period 2007-2013 (COM(2005) 0124).

Article 18 05 11 — European Monitoring Centre for Drugs and Drug Addiction

Item 18 05 11 01 — European Monitoring Centre for Drugs and Drug Addiction — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
11 091 894	11 091 894	10 629 367	10 629 367	10 923 391,87	10 923 391,87

Remarks

This appropriation is intended to cover the Centre’s staff and administrative expenditure (Titles 1 and 2).

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Centre’s establishment plan is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3).

Legal basis

Regulation (EC) No 1920/2006 of the European Parliament and of the Council of 12 December 2006 on the European Monitoring Centre for Drugs and Drug Addiction (OJ 376, 27.12.2006, p. 1).

Item 18 05 11 02 — European Monitoring Centre for Drugs and Drug Addiction — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 355 106	4 355 106	3 935 553	3 935 553	4 476 608,13	4 476 608,13

Remarks

This appropriation is intended to cover the Centre's operational expenditure relating to the work programme (Title 3).

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 15 550 000. An amount of EUR 103 000 coming from the recovery of surplus is added to the amount of EUR 15 447 000 entered in the budget.

Legal basis

Regulation (EC) No 1920/2006 of the European Parliament and of the Council of 12 December 2006 on the European Monitoring Centre for Drugs and Drug Addiction (OJ L 376, 27.12.2006, p. 1).

CHAPTER 18 08 — POLICY STRATEGY AND COORDINATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
18 08	Policy strategy and coordination								
18 08 01	<i>Prince — Area of freedom, security and justice</i>	3.1	2 900 000	1 500 000	2 800 000	1 437 648	2 700 000,00	992 035,29	66,14 %
18 08 05	<i>Evaluation and impact assessment</i>	3.1	600 000	400 000	600 000	377 335	487 025,00	468 741,42	117,19 %
	Chapter 18 08 — Total		3 500 000	1 900 000	3 400 000	1 814 983	3 187 025,00	1 460 776,71	76,88 %

Article 18 08 01 — Prince — Area of freedom, security and justice

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 900 000	1 500 000	2 800 000	1 437 648	2 700 000,00	992 035,29

Remarks

This appropriation is intended to cover the funding of priority information measures in the field of home affairs.

It covers information and communication measures in the field of home affairs in relation to the establishment of an area of freedom,

security and justice (internal web sites, public events, communication products, Eurobarometer surveys, etc.). These measures are designed to be an effective channel of communication and dialogue between the people of the Union, stakeholders, and the Union institutions and take account of specific national, regional and local characteristics, in close cooperation with the Member State authorities.

The Commission has adopted a series of communications to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on a new framework for cooperation in activities concerning the information and communication policy of the European Union (COM(2001) 0354 and COM(2002) 0350). These communications propose an interinstitutional framework for cooperation extended to Member States for the development of a communication and information policy strategy for the Union.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of Union information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years on the basis of information provided by the Commission.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of the Financial Regulation.

Article 18 08 05 — Evaluation and impact assessment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
600 000	400 000	600 000	377 335	487 025,00	468 741,42

Remarks

This appropriation is intended to cover expenditure:

- to extend evaluation to all activities (policies and legislation),
- to better integrate evaluation in strategic planning and programming,
- to complete the methodological work needed to develop policy evaluation,
- to apply the policy evaluation framework to all of the major policy areas covered by Tampere,
- to prepare for the implementation of pilot projects and preparatory actions.

Legal basis

Task resulting from the Commission's administrative autonomy, as provided for in Article 49(6) of the Financial Regulation.

TITLE 19 — EXTERNAL RELATIONS

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 01	Administrative expenditure of the 'External relations' policy area 40 01 40		163 907 220	163 907 220	165 476 368 16 345 165 492 713	165 476 368 16 345 165 492 713	155 283 443,31	155 283 443,31
19 02	Cooperation with third countries in the area of migration and asylum	4	58 000 000	32 000 000	57 648 000	57 684 001	55 640 699,99	38 603 432,79
19 03	Common foreign and security policy (CFSP)	4	395 832 000	320 000 000	362 464 000	302 277 340	331 644 664,42	308 460 348,68
19 04	European Instrument for Democracy and Human Rights (EIDHR)	4	164 586 000	129 400 000	165 065 000	142 748 116	161 293 098,95	123 059 078,44

19 05	Relations and cooperation with industrialised third countries	4	24 400 000	19 000 000	24 021 000	20 154 828	25 109 287,17	20 055 675,88
19 06	Crisis response and global threats to security	4	398 793 000	277 031 000	377 189 700	258 779 119	359 143 991,05	237 759 005,59
19 08	European Neighbourhood Policy and relations with Russia		2 391 284 700	1 502 086 000	2 365 742 646	1 341 926 745	2 066 867 943,36	1 448 913 485,19
19 09	Relations with Latin America	4	380 564 000	293 500 000	374 323 000	280 953 257	351 506 000,00	281 916 029,57
19 10	Relations with Asia, Central Asia and Middle East (Iraq, Iran, Yemen)	4	882 990 519	639 450 000	896 201 500	677 438 920	881 213 305,43	670 392 693,58
19 11	Policy strategy and coordination for the 'External relations' policy area	4	28 630 000	25 500 000	28 000 000	28 445 858	27 408 243,13	27 772 089,65
19 49	Expenditure on administrative management of programmes committed in accordance with the former Financial Regulation	4	p.m.	p.m.	—	p.m.	0,—	0,—
Title 19 — Total			4 888 987 439	3 401 874 220	4 816 131 214	3 275 884 552	4 415 110 676,81	3 312 215 282,68
40 01 40 Total including reserves					16 345 4 816 147 559	16 345 3 275 900 897		

CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EXTERNAL RELATIONS' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
19 01	Administrative expenditure of the 'External relations' policy area					
19 01 01	Expenditure related to staff in active employment in the 'External relations' policy area					
19 01 01 01	Expenditure related to staff in active employment in the 'Service for Foreign Policy Instruments'	5	7 397 717	7 394 602	8 985 258,71	121,46 %
19 01 01 02	Expenditure related to staff in active employment in the 'External relations' policy area in Union delegations	5	7 044 871	6 376 989	7 212 684,60	102,38 %
	<i>Article 19 01 01 — Subtotal</i>		14 442 588	13 771 591	16 197 943,31	112,15 %
19 01 02	External staff and other management expenditure in support of the 'External relations' policy area					
19 01 02 01	External staff of the 'Service for Foreign Policy Instruments'	5	1 674 052	1 685 884	1 490 447,90	89,03 %
19 01 02 02	External staff of the 'External relations' policy area in Union delegations	5	857 444	817 380	505 306,00	58,93 %
19 01 02 11	Other management expenditure of the 'Service for Foreign Policy Instruments'	5	585 573	567 077	391 744,88	66,90 %
	<i>40 01 40</i>			16 345 583 422		
19 01 02 12	Other management expenditure of the 'External relations' policy area in Union delegations	5	435 830	441 438	467 754,00	107,32 %
	<i>Article 19 01 02 — Subtotal</i>		3 552 899	3 511 779	2 855 252,78	80,36 %
	<i>40 01 40</i>			16 345 3 528 124		
19 01 03	Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'External relations' policy area					
19 01 03 01	Expenditure related to information and communication technology equipment and services of the 'Service for Foreign Policy Instruments'	5	459 764	472 132	779 678,16	169,58 %
19 01 03 02	Buildings and related expenditure of the 'External relations' policy area in Union delegations	5	3 609 319	3 524 000	3 333 663,00	92,36 %
	<i>Article 19 01 03 — Subtotal</i>		4 069 083	3 996 132	4 113 341,16	101,09 %
19 01 04	Support expenditure for operations in the 'External relations' policy area					
19 01 04 01	Financing instrument for development cooperation (DCI) — Expenditure on administrative management	4	57 680 196	59 632 000	60 413 638,12	104,74 %

19 01 04 02	European Neighbourhood and Partnership Instrument (ENPI) — Expenditure on administrative management	4	57 314 454	58 507 566	45 598 304,75	79,56 %
19 01 04 03	Instrument for Stability (IfS) — Expenditure on administrative management	4	9 100 000	8 144 000	7 944 046,75	87,30 %
19 01 04 04	Common foreign and security policy (CFSP) — Expenditure on administrative management	4	500 000	500 000	0,—	
19 01 04 05	Evaluation of the results of Union aid and follow-up and audit measures — Expenditure on administrative management	4	p.m.	p.m.	1 599 916,44	
19 01 04 06	Instrument for Nuclear Safety Cooperation (INSC) — Expenditure on administrative management	4	1 400 000	1 274 300	1 268 300,00	90,59 %
19 01 04 07	European Instrument for Democracy and Human Rights (EIDHR) — Expenditure on administrative management	4	10 981 000	11 460 000	10 671 700,00	97,18 %
19 01 04 08	Industrialised Countries Instrument (ICI) — Expenditure on administrative management	4	100 000	100 000	0,—	
19 01 04 20	Administrative support expenditure for the ‘External relations’ policy area	4	p.m.	p.m.	0,—	
19 01 04 30	Education, Audiovisual and Culture Executive Agency — Contribution from external relations programmes	4	4 767 000	4 579 000	4 621 000,00	96,94 %
	<i>Article 19 01 04 — Subtotal</i>		141 842 650	144 196 866	132 116 906,06	93,14 %
	Chapter 19 01 — Total		163 907 220	165 476 368	155 283 443,31	94,74 %
	40 01 40			16 345		
	Total including reserves			165 492 713		

Article 19 01 01 — Expenditure related to staff in active employment in the ‘External relations’ policy area

Item 19 01 01 01 — Expenditure related to staff in active employment in the ‘Service for Foreign Policy Instruments’

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 397 717	7 394 602	8 985 258,71

Remarks

More Commission personnel will be assigned to crisis response management in order to allow for enough capacity for the follow-up of civil society organisation proposals for crisis response.

Item 19 01 01 02 — Expenditure related to staff in active employment in the ‘External relations’ policy area in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 044 871	6 376 989	7 212 684,60

Remarks

The Commission will recruit highly qualified, stable and specialised personnel for human rights questions.

Sufficient staff will be assigned to crisis response management for the follow-up of Civil Society Organisation proposals for crisis response.

Article 19 01 02 — External staff and other management expenditure in support of the ‘External relations’ policy area

Item 19 01 02 01 — External staff of the ‘Service for Foreign Policy Instruments’

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 674 052	1 685 884	1 490 447,90

Item 19 01 02 02 — External staff of the ‘External relations’ policy area in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
857 444	817 380	505 306,00

Item 19 01 02 11 — Other management expenditure of the ‘Service for Foreign Policy Instruments’

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
19 01 02 11	585 573	567 077	391 744,88
40 01 40		16 345	
Total	585 573	583 422	391 744,88

Item 19 01 02 12 — Other management expenditure of the ‘External relations’ policy area in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
435 830	441 438	467 754,00

Article 19 01 03 — Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘External relations’ policy area

Item 19 01 03 01 — Expenditure related to information and communication technology equipment and services of the ‘Service for Foreign Policy Instruments’

Figures

Budget 2013	Appropriations 2012	Outturn 2011
459 764	472 132	779 678,16

Item 19 01 03 02 — Buildings and related expenditure of the ‘External relations’ policy area in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 609 319	3 524 000	3 333 663,00

Article 19 01 04 — Support expenditure for operations in the ‘External relations’ policy area

Item 19 01 04 01 — Financing instrument for development cooperation (DCI) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
57 680 196	59 632 000	60 413 638,12

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external staff at headquarters is limited to EUR 4 558 500; this estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to those staff members,
- expenditure on external staff in Union delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as the cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapters 19 02, 19 09 and 19 10.

Item 19 01 04 02 — European Neighbourhood and Partnership Instrument (ENPI) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
57 314 454	58 507 566	45 598 304,75

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external staff at headquarters is limited to EUR 5 233 566. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to those staff members,
- expenditure on external staff in Union delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapter 19 08.

Item 19 01 04 03 — Instrument for Stability (IfS) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 100 000	8 144 000	7 944 046,75

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff in Union delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, information technology and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations of this item,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers expenditure on administrative management under Articles 19 05 02, 19 06 01, 19 06 02 and 19 06 03.

Item 19 01 04 04 — Common foreign and security policy (CFSP) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	0,—

Remarks

This appropriation is intended to cover support for implementation of CFSP's measures for which the Commission lacks the required experience or needs additional support. The appropriation is intended to cover:

- expenditure on technical and administrative assistance, which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Articles 19 03 01, 19 03 02 and 19 03 06.

Item 19 01 04 05 — Evaluation of the results of Union aid and follow-up and audit measures — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	1 599 916,44

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

This appropriation is also intended to cover capacity building and training activities targeting key stakeholders involved in the design and implementation of external assistance programmes.

This appropriation covers administrative expenditure under Article 19 11 01.

Item 19 01 04 06 — Instrument for Nuclear Safety Cooperation (INSC) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 400 000	1 274 300	1 268 300,00

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff (contract agents, seconded national experts or agency staff) at headquarters intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external staff at headquarters is limited to EUR 968 300. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology and

telecommunications relating to those staff members,

- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Article 19 05 02 and Items 19 06 04 01 and 19 06 04 02.

Item 19 01 04 07 — European Instrument for Democracy and Human Rights (EIDHR) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
10 981 000	11 460 000	10 671 700,00

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff (contract agents, seconded national experts or agency staff) at headquarters intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external staff at headquarters is limited to EUR 1 950 000. This estimate is based on a provisional annual unit cost per man-year, of which 95 % is accounted for by remuneration for the staff concerned and 5 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to those staff members,
- expenditure on external staff in Union delegations (contract agents, local agents or seconded national experts), for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Chapter 19 04.

Item 19 01 04 08 — Industrialised Countries Instrument (ICI) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
100 000	100 000	0,—

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Article 19 05 01.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Item 19 01 04 20 — Administrative support expenditure for the ‘External relations’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Chapters 19 02, 19 04, 19 06, 19 08, 19 09 and 19 10.

Item 19 01 04 30 — Education, Audiovisual and Culture Executive Agency — Contribution from external relations programmes

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 767 000	4 579 000	4 621 000,00

Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the management of ‘external relations’ operational programmes (Heading 4) entrusted to the Agency under Chapters 19 05, 19 06, 19 08, 19 09 and 19 10.

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Council Regulation (EC) No 1934/2006 of 21 December 2006 establishing a financing instrument for cooperation with industrialised

and other high-income countries and territories (OJ L 405, 30.12.2006, p. 41).

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

CHAPTER 19 02 — COOPERATION WITH THIRD COUNTRIES IN THE AREA OF MIGRATION AND ASYLUM

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
19 02	Cooperation with third countries in the area of migration and asylum								
19 02 01	Cooperation with third countries in the areas of migration and asylum	4	58 000 000	32 000 000	57 648 000	57 684 001	55 640 699,99	38 603 432,79	120,64 %
	Chapter 19 02 — Total		58 000 000	32 000 000	57 648 000	57 684 001	55 640 699,99	38 603 432,79	120,64 %

Article 19 02 01 — Cooperation with third countries in the areas of migration and asylum

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
58 000 000	32 000 000	57 648 000	57 684 001	55 640 699,99	38 603 432,79

Remarks

As part of the rationalisation and simplification of the instruments for external actions for the Financial Framework 2007-2013, the Aeneas programme, which provides for financial and technical assistance to third countries in the areas of migration and asylum, and was adopted on 10 March 2004 — and which followed the preparatory actions for 2001 to 2003 and the Communication from the Commission to the Council and the European Parliament of 3 December 2002 entitled 'Integrating migration issues in the European Union's relations with third countries' (COM(2002) 703 final) — was replaced by a thematic programme for cooperation with third countries in these fields under the financing instrument for development cooperation (DCI) (Regulation (EC) No 1905/2006).

The general aim of the DCI is to make the Union's external assistance more effective. Under this programme, the objective of the thematic programme on cooperation with third countries in the areas of migration and asylum is to support third countries in their efforts to ensure better management of migratory flows in all their dimensions. Appropriations are used to provide, in a specific and complementary way, technical and financial assistance for third countries to support them in these efforts.

The Union programme for the cooperation with third countries and regions of origin and transit has the objective of fostering the links between migration and development, as well as to mitigate the brain drain from south to north in the process, to promote well-managed labour migration, to fight illegal migration, to fight smuggling and the trafficking of human beings and facilitate re-admission, to protect migrants and to help third countries to improve their capacities to fulfil their international obligations in the field of asylum and migration.

This Union cooperation programme will finance appropriate actions which will join in a coherent way national and regional Union cooperation and development strategies regarding the third countries concerned and complete the actions — in particular in the fields of migration, asylum, border control, refugees and displaced people — provided for in the implementation of these strategies and financed from other Union instruments in the field of cooperation and development.

This thematic programme will, in the context of its new strategy, also take into account migration that results from climate change. The respect of democratic principles and the rule of law, as well as of human rights and of fundamental freedoms, will constitute an essential element for the application of this instrument. If necessary, and as far as possible, the actions financed will be associated with measures aimed at strengthening democracy and the rule of law and compliance with international instruments in this field, including the 1951 Geneva Convention relating to the status of refugees.

Partners eligible for financial support under this appropriation may include regional and international organisations and agencies (in

particular, United Nations agencies), non-governmental organisations or other non-State actors, federal, national, provincial and local governments of third countries, their departments and agencies, institutes, associations and public and private operators.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Legal basis

Regulation (EC) No 491/2004 of the European Parliament and of the Council of 10 March 2004 establishing a programme for financial and technical assistance to third countries in the areas of migration and asylum (AENEAS) (OJ L 80, 18.3.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled 'External Actions through Thematic Programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the European Parliament and the Council of 25 January 2006 entitled 'Thematic programme for the cooperation with third countries in the areas of migration and asylum' (COM(2006) 26 final).

CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 03	Common foreign and security policy (CFSP)								
19 03 01	Crisis management operations, conflict prevention, resolution and stabilisation, monitoring and security processes								
19 03 01 01	Monitoring mission in Georgia	4	23 000 000	23 000 000	31 000 000	26 632 197	23 900 000,00	23 702 979,85	103,06 %
19 03 01 02	EULEX Kosovo	4	140 000 000	110 000 000	155 000 000	133 160 983	160 123 600,00	144 000 000,00	130,91 %
19 03 01 03	EUPOL Afghanistan	4	61 000 000	58 000 000	53 000 000	42 955 156	60 500 000,00	54 025 314,00	93,15 %
19 03 01 04	Other crisis management measures and operations	4	90 000 000	65 000 000	61 714 000	51 586 278	69 549 324,00	60 084 347,52	92,44 %
	<i>Article 19 03 01 — Subtotal</i>		314 000 000	256 000 000	300 714 000	254 334 614	314 072 924,00	281 812 641,37	110,08 %
19 03 02	Non-proliferation and disarmament	4	19 500 000	18 000 000	20 000 000	14 557 025	2 497 700,00	15 191 019,77	84,39 %
19 03 04	Emergency measures	4	34 000 000	25 000 000	35 000 000	28 636 770	0,—	0,—	
19 03 05	Preparatory and follow-up measures	4	8 332 000	5 000 000	6 750 000	4 510 291	132 190,42	216 748,59	4,33 %
19 03 06	European Union Special Representatives	4	20 000 000	16 000 000	p.m.	238 640	14 941 850,00	11 239 938,95	70,25 %
	Chapter 19 03 — Total		395 832 000	320 000 000	362 464 000	302 277 340	331 644 664,42	308 460 348,68	96,39 %

Remarks

The High Representative of the Union for Foreign Affairs and Security Policy must ensure that the European Parliament is closely involved in all stages of the decision-making process. The joint consultation meetings provided for under point 43 of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1), which are to be further intensified on the basis of the Declaration by the High Representative on political accountability (OJ C 210, 3.8.2010, p. 1), must enhance a continuous dialogue between the High Representative and the European Parliament on the main choices for and aspects of the Union's CFSP, including consultations prior to the adoption of mandates and strategies.

Article 19 03 01 — Crisis management operations, conflict prevention, resolution and stabilisation, monitoring and security processes

Remarks

Common Foreign and Security Policy (CFSP) crisis management measures and operations in the area of monitoring and verification of peace processes, conflict resolution and other stabilisation activities, rule of law and police missions fall under this article. Operations may be established to monitor border crossings, peace or cease-fire agreements or more generally political and security developments. As with all actions financed under the budget chapter, relevant measures must be civilian in nature.

Item 19 03 01 01 — Monitoring mission in Georgia

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 000 000	23 000 000	31 000 000	26 632 197	23 900 000,00	23 702 979,85

Remarks

This appropriation is intended to finance the European Union Monitoring Mission in Georgia, in line with the relevant legal basis adopted by the Council.

Legal basis

Council Decision 2011/536/CFSP of 12 September 2011 amending and extending Decision 2010/452/CFSP on the European Union Monitoring Mission in Georgia, EUMM Georgia (OJ L 236, 13.9.2011, p. 7); EUR 23 900 000.

Item 19 03 01 02 — EULEX Kosovo

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
140 000 000	110 000 000	155 000 000	133 160 983	160 123 600,00	144 000 000,00

Remarks

This appropriation is intended to cover the costs of the European Union Rule of Law Mission in Kosovo, in line with the relevant legal basis adopted by the Council.

Legal basis

Council Joint Action 2008/124/CFSP of 4 February 2008 on the European Union Rule of Law Mission in Kosovo, EULEX Kosovo (OJ L 42, 16.2.2008, p. 92).

Council Decision 2010/619/CFSP of 15 October 2010 amending Joint Action 2008/124/CFSP on the European Union Rule of Law Mission in Kosovo, EULEX Kosovo (OJ L 272, 16.10.2010, p. 19); EUR 165 000 000. (Committed in 2011: EUR 87 323 600).

Council Decision 2011/752/CFSP of 24 November 2011 amending Joint Action 2008/124/CFSP in the European Union Rule of Law

Mission in Kosovo, EULEX Kosovo (OJ L 310, 25.11.2011, p. 10); EUR 72 800 000.

Item 19 03 01 03 — EUPOL Afghanistan

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
61 000 000	58 000 000	53 000 000	42 955 156	60 500 000,00	54 025 314,00

Remarks

This appropriation is intended to cover the costs of the European Union Police Mission in Afghanistan, in line with the relevant legal basis adopted by the Council.

Legal basis

Council Decision 2011/473/CFSP of 25 July 2011 amending Decision 2010/279/CFSP on the European Union Police Mission in Afghanistan, EUPOL Afghanistan (OJ L 195, 27.7.2011, p. 72); EUR 60 500 000.

Item 19 03 01 04 — Other crisis management measures and operations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
90 000 000	65 000 000	61 714 000	51 586 278	69 549 324,00	60 084 347,52

Remarks

This appropriation is intended to cover other crisis management measures and operations other than EULEX Kosovo, EUMM Georgia and EUPOL Afghanistan. It is also intended to provide for the running of the European Security and Defence College Secretariat and its Internet-based advanced distance learning system.

This appropriation is also intended to cover the costs of increasing the capacity of EUPOL RD Congo and EUSEC RD Congo in the fields of human rights and women's rights.

Legal basis

Council Decision 2011/170/CFSP of 21 March 2011 amending Council Decision 2010/330/CFSP on the European Union Integrated Rule of Law Mission for Iraq, EUJUST LEX Iraq (OJ L 76, 22.3.2011, p. 61); EUR 250 000.

Council Decision 2011/312/CFSP of 26 May 2011 amending and extending Joint Action 2005/889/CFSP on establishing a European Union Border Assistance Mission for the Rafah Crossing Point, EU BAM Rafah (OJ L 140, 27.5.2011, p. 55); EUR 1 400 000.

Council Decision 2011/380/CFSP of 28 June 2011 amending Decision 2010/330/CFSP on the European Union Integrated Rule of Law Mission for Iraq, EUJUST LEX Iraq (OJ L 169, 29.6.2011, p. 27); EUR 27 250 000.

Council Decision 2011/537/CFSP 12 September 2011 amending and extending Decision 2010/576/CFSP on the European Union Police mission undertaken in the framework of the security sector reform (SSR) and its interface with the system of justice in the Democratic Republic of Congo (EUPOL RD Congo) (OJ L 236, 13.9.2011, p. 8); EUR 7 150 000.

Council Decision 2011/538/CFSP of 12 September 2011 amending Decision 2010/565/CFSP on the European Union mission to provide advice and assistance for security sector reform in the Democratic Republic of Congo (EUSEC RD Congo) (OJ L 236, 13.9.2011, p. 10); EUR 13 600 000.

Council Decision 2011/781/CFSP of 1 December 2011 on the European Union Police Mission (EUPM) in Bosnia and Herzegovina, (BiH) (OJ L 319, 2.12.2011, p. 51); EUR 5 250 000.

Council Decision 2011/857/CFSP of 19 December 2011 amending and extending Joint Action 2005/889/CFSP on establishing a European Union Border Assistance Mission for the Rafah Crossing Point (EU BAM Rafah) (OJ L 338, 21.12.2011, p. 52); EUR 970 000.

Council Decision 2011/858/CFSP of 19 December 2011 amending and extending Decision 2010/784/CFSP on the EU Police Mission

for the Palestinian Territories, EUPOL COPPS (OJ L 338, 21.12.2011, p. 54); EUR 4 750 000.

Article 19 03 02 — Non-proliferation and disarmament

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 500 000	18 000 000	20 000 000	14 557 025	2 497 700,00	15 191 019,77

Remarks

This appropriation is intended to finance measures which contribute to the non-proliferation of weapons of mass destruction (nuclear, chemical and biological), primarily in the framework of the EU Strategy against the Proliferation of Weapons of Mass Destruction of December 2003. This includes support for measures implemented by international organisations in this field. It is also intended to finance operations to combat the destabilising accumulation and trafficking of small arms and light weapons (SALW).

Legal basis

Council Joint Action 2004/796/CFSP of 22 November 2004 for the support of the physical protection of a nuclear site in the Russian Federation (OJ L 349, 25.11.2004, p. 57); EUR 7 730 000.

Council Joint Action 2007/753/CFSP of 19 November 2007 on support for IAEA monitoring and verification activities in the Democratic People's Republic of Korea in the framework of the implementation of the EU Strategy against the Proliferation of Weapons of Mass Destruction (OJ L 304, 22.11.2007, p. 38); EUR 1 780 000.

Council Joint Action 2008/314/CFSP of 14 April 2008 on support for IAEA activities in the areas of nuclear security and verification and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 107, 17.4.2008, p. 62); EUR 7 703 000.

Council Joint Action 2008/368/CFSP of 14 May 2008 in support of the implementation of United Nations Security Council Resolution 1540 (2004) and in the framework of the implementation of the EU strategy against the proliferation of weapons of mass destruction (OJ L 127, 15.5.2008, p. 78); EUR 475 000.

Council Joint Action 2008/487/CFSP of 23 June 2008 in support of the universalisation and implementation of the 1997 Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction, in the framework of the European Security Strategy (OJ L 165, 26.6.2008, p. 41); EUR 1 070 000.

Council Joint Action 2008/588/CFSP of 15 July 2008 on support for activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation (CTBTO) in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 189, 17.7.2008, p. 28); EUR 2 136 000.

Council Joint Action 2008/858/CFSP of 10 November 2008 in support of the Biological and Toxin Weapons Convention (BTWC), in the framework of the implementation of the EU Strategy against the Proliferation of Weapons of Mass Destruction (OJ L 302, 13.11.2008, p. 29); EUR 1 400 000.

Council Decision 2008/974/CFSP of 18 December 2008 in support of the Hague Code of Conduct against Ballistic Missile Proliferation in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 345, 23.12.2008, p. 91); EUR 1 015 000.

Council Decision 2009/42/CFSP of 19 January 2009 on support for EU activities in order to promote among third countries the process leading towards an Arms Trade Treaty, in the framework of the European Security Strategy (OJ L 17, 22.1.2009, p. 39); EUR 836 260.

Council Decision 2009/569/CFSP of 27 July 2009 on support for OPCW activities in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 197, 29.7.2009, p. 96); EUR 2 110 000.

Council Decision 2009/1012/CFSP of 22 December 2009 on support of EU activities in order to promote the control of arms exports and the principles and criteria of Common Position 2008/944/CFSP among third countries (OJ L 348, 29.12.2009, p. 16); EUR 787 000.

Council Decision 2010/179/CFSP of 11 March 2010 in support of SEESAC arms control activities in the Western Balkans, in the framework of the EU Strategy to combat illicit accumulation and trafficking of SALW and their ammunition (OJ L 80, 26.3.2010, p. 48); EUR 1 600 000.

Council Decision 2010/336/CFSP of 14 June 2010 on EU activities in support of the Arms Trade Treaty, in the framework of the European Security Strategy (OJ L 152, 18.6.2010, p 14); EUR 1 520 000.

Council Decision 2010/461/CFSP of 26 July 2010 on support for activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation (CTBTO) in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 219, 20.8.2010, p. 7); EUR 5 280 000.

Council Decision 2010/430/CFSP of 26 July 2010 establishing a European network of independent non-proliferation think tanks in support of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 202, 4.8.2010, p. 5); EUR 2 182 000.

Council Decision 2010/585/CFSP of 27 September 2010 on support for IAEA activities in the areas of nuclear security and verification and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 259, 1.10.2010, p. 10); EUR 9 966 000.

Council Decision 2010/765/CFSP of 2 December 2010 on EU action to counter the illicit trade of small arms and light weapons (SALW) by air (OJ L 327, 11.12.2010, p. 44); EUR 900 000.

Council Decision 2010/799/CFSP of 13 December 2010 in support of a process of confidence-building leading to the establishment of a zone free of weapons of mass destruction and their means of delivery in the Middle East in support of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 341, 23.12.2010, p. 27); EUR 347 700.

Council Decision 2011/428/CFSP of 18 July 2011 in support of the United Nations Office for Disarmament Affairs activities to implement the United Nations Programme of Actions to prevent, combat and eradicate the illicit trade in small arms and light weapons in all its aspects (OJ L 188, 19.7.2011, p. 37); EUR 2 150 000.

Article 19 03 04 — Emergency measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
34 000 000	25 000 000	35 000 000	28 636 770	0,—	0,—

Remarks

This appropriation is intended to cover the financing of any unforeseen measures under Articles 19 03 01, 19 03 02 and 19 03 06 decided on in the course of the financial year, which have to be implemented urgently.

This article is also intended as an element of flexibility in the CFSP budget, as described in the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Article 19 03 05 — Preparatory and follow-up measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 332 000	5 000 000	6 750 000	4 510 291	132 190,42	216 748,59

Remarks

This appropriation is intended to cover the financing of preparatory measures to establish the conditions for Union actions in the field of the CFSP and the adoption of the necessary legal instruments. It may cover evaluation and analysis measures (*ex ante* evaluation of means, specific studies, organisation of meetings, fact-finding on the ground). In the field of Union crisis management operations and for European Union Special Representatives (EUSRs), in particular, preparatory measures may, inter alia, serve to assess the operational requirements for an envisaged action, to provide for a rapid initial deployment of personnel and resources (e.g. mission expenses, purchase of equipment, pre-financing of running and insurance costs in the start-up phase), or to take the necessary measures on the ground to prepare for the launching of the operation. It may also cover experts supporting Union crisis management operations on specific technical issues (e.g. identification and assessment of procurement needs) or security training for staff to be

deployed to a CFSP mission/EUSR team.

It covers the following costs related to the warehouse for civilian CSDP Missions: initial stock of the equipment, replenishment of the equipment, other services (procurement, reception and inspection, freight forwarding with export-import administration and insurance provision, preparation of equipment for shipping).

It also covers follow-up measures and audits of common foreign and security policy measures and the financing of any expenditure on the regularisation of previously closed measures.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the measures coming under Articles 19 03 01, 19 03 02 and 19 03 06.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 03 06 — European Union Special Representatives

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 000 000	16 000 000	p.m.	238 640	14 941 850,00	11 239 938,95

Remarks

This appropriation is intended to cover all the expenditure connected with the appointment of European Union Special Representatives (EUSRs) in accordance with Article 33 of the Treaty on European Union.

EUSRs should be appointed with due regard for gender equality and gender mainstreaming policies, and the appointment of women EUSRs should therefore be promoted.

It covers expenditure on the salaries of EUSRs and on setting up their teams and/or support structures, including staff costs other than those relating to staff seconded by Member States or Union institutions. It also covers the costs of any projects implemented under the direct responsibility of an EUSR.

Legal basis

Council Decision 2011/270/CFSP of 5 May 2011 appointing the European Union Special Representative in Kosovo (OJ L 119, 7.5.2011, p. 12); EUR 690 000.

Council Decision 2011/424/CFSP of 18 July 2011 appointing the European Union Special Representative for the Southern Mediterranean region (OJ L 188, 19.7.2011, p. 24); EUR 855 000.

Council Decision 2011/425/CFSP of 18 July 2011 extending the mandate of the European Union Special Representative for Central Asia (OJ L 188, 19.7.2011, p. 27); EUR 924 850.

Council Decision 2011/426/CFSP of 18 July 2011 appointing the European Union Special Representative in Bosnia and Herzegovina (OJ L 188, 19.7.2011, p. 30); EUR 3 740 000.

Council Decision 2011/427/CFSP of 18 July 2011 extending the mandate of the European Union Special Representative in Afghanistan (OJ L 188, 19.7.2011, p. 24); EUR 3 560 000.

Council Decision 2011/499/CFSP of 1 August 2011 amending and extending Decision 2010/450/CFSP appointing the European Union Special Representative for Sudan (Republic of Sudan and Republic of South Sudan) (OJ L 206, 11.8.2011, p. 50); EUR 955 000.

Council Decision 2011/518/CFSP of 25 August 2011 appointing the European Union Special Representative for the South Caucasus and the crisis in Georgia (OJ L 221, 27.8.2011, p. 5); EUR 1 758 000.

Council Decision 2011/621/CFSP of 21 September 2011 extending the mandate of the European Union Special Representative to the African Union (OJ L 243, 21.9.2011, p. 19); EUR 715 000.

Council Decision 2011/691/CFSP of 17 October 2011 extending the mandate of the European Union Special Representative in Kosovo (OJ L 271, 18.10.2011, p. 48); EUR 770 000.

Council Decision 2011/819/CFSP of 8 December 2011 appointing the European Union Special Representative for the Horn of Africa (OJ L 327, 9.12.2011, p. 62); EUR 670 000.

Council Decision 2012/33/CFSP of 23 January 2012 appointing the European Union Special Representative for the Middle East Peace Process (OJ L 19, 24.1.2012, p. 17); EUR 1 300 000.

Council Decision 2012/39/CFSP of 25 January 2012 appointing the European Union Special Representative in Kosovo (OJ L 23, 26.1.2012, p. 5); EUR 2 410 000.

CHAPTER 19 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS (EIDHR)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 04	European Instrument for Democracy and Human Rights (EIDHR)								
19 04 01	European Instrument for Democracy and Human Rights (EIDHR)	4	128 165 000	95 000 000	126 665 000	103 411 196	119 490 293,90	89 794 710,91	94,52 %
19 04 03	Electoral observation	4	36 421 000	33 000 000	38 000 000	33 409 566	40 402 805,05	29 875 042,57	90,53 %
19 04 04	Preparatory action — Establish a conflict-prevention network	4	p.m.	p.m.	p.m.	p.m.	0,—	69 140,00	
19 04 05	Completion of former cooperation	4	p.m.	1 000 000	p.m.	5 727 354	0,—	3 320 184,96	332,02 %
19 04 06	Pilot project — Civil Society Forum EU-Russia	4	p.m.	400 000	400 000	200 000	400 000,00	0,—	
19 04 07	Pilot project — Funding for victims of torture	4	p.m.	p.m.	p.m.	p.m.	1 000 000,00	0,—	
	Chapter 19 04 — Total		164 586 000	129 400 000	165 065 000	142 748 116	161 293 098,95	123 059 078,44	95,10 %

Article 19 04 01 — European Instrument for Democracy and Human Rights (EIDHR)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
128 165 000	95 000 000	126 665 000	103 411 196	119 490 293,90	89 794 710,91

Remarks

The general objective will be to contribute to the development and consolidation of democracy and respect for human rights, in accordance with Union policies and guidelines and in close cooperation with civil society.

Key areas of activity will include:

- enhancing respect for human rights and fundamental freedoms in countries and regions where they are most at risk, as well as promoting the rule of law,
- strengthening the role of civil society in promoting human rights and democratic reform, while ensuring the protection of human rights defenders and defenders of freedom of speech and freedom of the press, in supporting the peaceful conciliation of group interests and in consolidating political participation and representation,
- supporting actions on human rights and democracy issues in areas covered by Union guidelines, including on human rights dialogues, on human rights defenders, on the death penalty, on torture, including forced abortion, female genital mutilation or coerced sterilisation and on childhood and armed conflicts,
- strengthening the international framework for the protection of human rights, the rule of law and the promotion of democracy, in particular international criminal justice mechanisms and core legal instruments, with part of this support being provided to give legal advice and investigate the murders of human rights defenders and defenders of freedom of speech.

In order to ensure full financial transparency under Articles 53 to 56 of the Financial Regulation, the Commission, when entering into joint management with international organisations, will — upon request — undertake to make available to its Internal Auditor as well as to the European Court of Auditors all internal and external audits which concern the use of Union funds.

Part of this appropriation will be devoted to the European Inter-University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation and an EU-UN Fellowship Programme, beyond the expiry by the end of 2006 of Decision No 791/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote bodies active at European level and support specific activities in the field of education and training (OJ L 138, 30.4.2004, p. 31).

Part of this appropriation will be devoted to fund independent initiatives promoting Internet freedom, including the development of circumvention technologies and software to distribute to (online) human rights defenders with the goal of protecting themselves; to fund training for human rights defenders about technologies and human rights, and to organise regular and systematic contacts between Union politicians, the business community and civil society members to share knowledge and discuss policy plans related to technologies and human rights.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation is also intended to finance support for non-governmental organisations and human rights defenders working in the North Caucasus.

It is also intended to support organisations which, like the Club of Madrid, actively engage in fostering democratic leadership and values through high-level dialogue and peer-to-peer counsel with leaders in countries undergoing reform and transition towards democracy, as well as by addressing issues of global concern from a democratic perspective.

Part of this appropriation will be used for reinforced support for neighbouring countries that are members of the Council of Europe to meet Council of Europe standards on democracy and the rule of law, including support on strengthening the organisation of free and fair elections.

Part of this appropriation will be used to assist neighbouring countries that are members of the Council of Europe to abide by and adjust their legal and judicial systems in line with rulings of the European Court of Human Rights.

Part of this appropriation is intended to finance a European Union Global Internet Freedom Fund which would aim:

- to foster recognition that new communication technologies are used to advance tolerance and freedom of expression but can also be instrumental in repression or violence. To highlight that Internet freedom is a major force in international affairs and should become an integral and mainstreamed part of the Union's foreign and security, trade and other relevant policy areas,
- to fund successful independent initiatives which develop circumvention technologies and software to distribute to human rights defenders with the goal of protecting themselves,
- to fund training for human rights defenders on technologies and human rights,
- to organise regular and systematic contacts between Union politicians, the business community and civil society members to share knowledge and discuss policy plans related to technologies and human rights.

Legal basis

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

Article 19 04 03 — Electoral observation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
36 421 000	33 000 000	38 000 000	33 409 566	40 402 805,05	29 875 042,57

Remarks

Key areas of activity will include: building confidence in and enhancing the reliability and transparency of democratic electoral processes, through further development of Union electoral observation and support for observation capacity at regional and national level.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

Reference acts

Commission statement on EU Election Observation Missions (EU EOM) related to the adoption of the European Instrument for Democracy and Human Rights confirming its intention to keep EU EOM related expenditure within 25 % of the EIDHR budget over the seven-year period of the 2007 to 2013 Financial Framework.

Article 19 04 04 — Preparatory action — Establish a conflict-prevention network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	69 140,00

Remarks

This appropriation is intended to finance a conflict-prevention network to carry out analytical groundwork for, and underpin, decision-taking in the external relations field, as provided for in the resolution of the European Parliament of 13 December 2001 on the Commission communication on conflict prevention (OJ C 177 E, 25.7.2002, p. 291).

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

This appropriation is intended to finance a preparatory action within the meaning of the Interinstitutional Agreement of 6 May 1999 between the European Parliament, the Council and the Commission on budgetary discipline and improvement of the budgetary procedure (OJ C 172, 18.6.1999, p. 1) and the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Article 19 04 05 — Completion of former cooperation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 000 000	p.m.	5 727 354	0,—	3 320 184,96

Remarks

This appropriation covers completion of former cooperation under the European Initiative for Democracy and Human Rights.

This appropriation covers support for the establishment and maintenance of rehabilitation centres for torture victims — taking into account the gender-specific aspects of torture against women and girls — and their families and for other organisations offering concrete help to victims of human rights abuses. Support for the rehabilitation of torture victims must remain a priority. Projects may also include prevention activities when relevant.

This appropriation is intended to cover grant aid to projects undertaken in third countries and in the Union with the following objectives:

- the promotion and defence of human rights and fundamental freedoms,
- support for the democratisation process, strengthening of the rule of law and good governance,
- support for measures promoting respect for human rights and democratisation,
- support for efforts to foster the establishment of groupings of democratic countries within United Nations bodies, specialised agencies and regional organisations.

This appropriation covers financial support for building confidence in, and enhancing the reliability and transparency of, democratic electoral processes through deployment of European Union Election Observation Missions and support for observation capacity at regional and national level.

It is also intended to enable, in the Staff Regulations, the use of external staff providing support to electoral observation missions, including the financing of the chief observer contract with the Commission as special adviser under Articles 5 and 82 of the Conditions of Employment of Other Servants of the European Communities.

This appropriation is intended to cover the financing of measures designed to improve the functioning of the United Nations International Court for the former Yugoslavia and the International Criminal Court for Rwanda, as well as support to the Special International Court for Sierra Leone.

It is also intended to cover funding for the operation of the International Criminal Court, including support for international, regional or local organisations, including non-governmental organisations, in order to promote further ratifications of the Statute of the Court, to provide legal expertise to transpose the Statute into national legislation, to enhance public support for the Court and to provide training regarding the Court's developments.

Legal basis

Council Regulation (EC) No 975/1999 of 29 April 1999 laying down the requirements for the implementation of development cooperation operations which contribute to the general objective of developing and consolidating democracy and the rule of law and to that of respecting human rights and fundamental freedoms (OJ L 120, 8.5.1999, p. 1).

Council Regulation (EC) No 976/1999 of 29 April 1999 laying down the requirements for the implementation of Community operations, other than those of development cooperation, which, within the framework of Community cooperation policy, contribute to the general objective of developing and consolidating democracy and the rule of law and to that of respecting human rights and fundamental freedoms in third countries (OJ L 120, 8.5.1999, p. 8).

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

Article 19 04 06 — Pilot project — Civil Society Forum EU-Russia

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	400 000	200 000	400 000,00	0,—

Remarks

The aim of the EU-Russia Civil Society Forum is to establish regular and systematic contacts between civil society and non-governmental organisations in Russia and counterparts in the Member States. The forum will give the European and Russian civil society actors an opportunity to make their voice heard on topics of common interest. Forum meetings are to be held on the fringes of the biannual EU-Russia summits. The pilot project is intended to enhance a bottom-up approach in European and Russian policy

processes.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 04 07 — Pilot project — Funding for victims of torture

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	1 000 000,00	0,—

Remarks

The main objective of this pilot project is to create a new line of funding for victims of torture.

The pilot project will cover the following actions:

- creation of rehabilitation centres for victims of torture or to support the existing ones,
- provision of access to multi-disciplinary support and counselling, including physical and psychotherapeutic treatment, psycho-social counselling, legal services, and socioeconomic support to the victims.

Legal basis

Charter of Fundamental Rights of the European Union (OJ C 83, 30.3.2010, p. 389).

Council Directive 2003/9/EC of 27 January 2003 laying down minimum standards for the reception of asylum seekers (OJ L 31, 6.2.2003, p. 18).

Conclusions of the Council of the European Union, 2865th External Relations Council meeting, Luxembourg, 29 April 2009.

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 19 05 — RELATIONS AND COOPERATION WITH INDUSTRIALISED THIRD COUNTRIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 05	Relations and cooperation with industrialised third countries								
19 05 01	Cooperation with industrialised third countries	4	24 400 000	19 000 000	24 021 000	19 854 828	25 109 287,17	19 548 791,15	102,89 %
19 05 02	Korean Peninsula Energy Development Organisation (KEDO)	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
19 05 03	Pilot project — Transatlantic methods for handling global challenges	4	p.m.	p.m.	p.m.	300 000	0,—	506 884,73	
	Chapter 19 05 — Total		24 400 000	19 000 000	24 021 000	20 154 828	25 109 287,17	20 055 675,88	105,56 %

Article 19 05 01 — Cooperation with industrialised third countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
24 400 000	19 000 000	24 021 000	19 854 828	25 109 287,17	19 548 791,15

Remarks

Cooperation with industrialised and other high-income countries and territories is aimed at engaging with partners which share similar political, economic and institutional structures and values to the Union and which are important bilateral partners and players in multilateral forums and in global governance. The cooperation also covers newly industrialised or high-income countries and territories with whom the Union has a strategic interest in promoting links.

Specific attention shall be paid to measures, which may include a regional dimension, in the following areas of cooperation:

- the promotion of cooperation, partnerships and joint undertakings between economic, academic and scientific actors in the Union and partner countries,
- the stimulation of bilateral trade, investment flows and economic partnerships,
- the promotion of dialogue between political, economic and social actors and other non-governmental organisations in relevant sectors in the Union and partner countries,
- the promotion of people-to-people links, education and training programmes and intellectual exchanges and the enhancement of mutual understanding between cultures and civilisations,
- the promotion of cooperative projects in areas such as research, science and technology, energy, transport and environmental matters — including climate change, customs and financial issues and any other matter of mutual interest between the Union and the partner countries,
- the enhancement of awareness about and understanding of the Union and of its visibility in partner countries,
- support for specific initiatives, including research work, studies, pilot schemes or joint projects destined to respond in an effective and flexible manner to cooperation objectives arising from developments in the Union's bilateral relationship with the partner countries or aiming to provide impetus to the further deepening and broadening of bilateral relationships with them.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Council Regulation (EC) No 1934/2006 of 21 December 2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 405, 30.12.2006, p. 41).

Article 19 05 02 — Korean Peninsula Energy Development Organisation (KEDO)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

No appropriations for a contribution to the Korean Peninsula Energy Development Organisation have been entered as it is expected that KEDO will continue in a much reduced form.

19 06 02 01	Actions in the area of risk mitigation and preparedness relating to chemical, nuclear and biological materials or agents (Instrument for Stability)	4	46 300 000	32 000 000	46 300 000	28 636 770	49 000 000,00	39 298 182,91	122,81 %
19 06 02 03	Union policy on combating the proliferation of light arms	4	p.m.	86 000	p.m.	820 921	0,—	914 092,00	1062,90 %
	<i>Article 19 06 02 — Subtotal</i>		46 300 000	32 086 000	46 300 000	29 457 691	49 000 000,00	40 212 274,91	125,33 %
19 06 03	<i>Trans-regional actions in the areas of organised crime, trafficking, protection of critical infrastructure, threats to public health and the fight against terrorism (Instrument for Stability)</i>	4	33 300 000	15 000 000	22 000 000	12 600 179	30 000 000,00	7 348 406,27	48,99 %
19 06 04	<i>Assistance in the nuclear sector</i>	4	77 476 000	69 405 000	76 055 700	64 910 013	74 607 905,05	68 496 994,00	98,69 %
19 06 06	<i>Consular cooperation</i>	4	—	—	p.m.	p.m.	0,—	0,—	
19 06 07	<i>Pilot project — Support for surveillance and protection measures for Union vessels sailing through areas where piracy is a threat</i>	4	p.m.	340 000	p.m.	600 000	0,—	680 000,00	200,00 %
19 06 08	<i>Preparatory action — Emergency response to the financial and economic crisis in developing countries</i>	4	p.m.	200 000	p.m.	200 000	0,—	0,—	
19 06 09	<i>Pilot project — Programme for NGO-led peacebuilding activities</i>	4	p.m.	p.m.	p.m.	p.m.	1 000 000,00	0,—	
	Chapter 19 06 — Total		398 793 000	277 031 000	377 189 700	258 779 119	359 143 991,05	237 759 005,59	85,82 %

Remarks

Article 19 06 01 — Crisis response and preparedness

Item 19 06 01 01 — Crisis response and preparedness (Instrument for Stability)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
241 717 000	160 000 000	232 834 000	150 772 596	204 536 086,00	120 731 893,28

Remarks

The quick release of financing through the Instrument for Stability is designed to address situations of urgency, crisis or emerging crisis, a situation posing a threat to democracy, law and order, to the protection of human rights and fundamental freedoms, to the security and safety of individuals, situations threatening to escalate into armed conflict or to destabilise the country concerned and where such situations are likely to jeopardise the beneficial effects of assistance and cooperation policies and programmes, their effectiveness and/or conditions for their proper implementation.

This appropriation is intended to cover an integrated programme of response measures designed to re-establish the minimum conditions necessary for the conduct of assistance under the Union's long-term assistance instruments. Such programmes will ensure a smooth link between relief, rehabilitation and development. They shall also provide complementary measures falling under Community competence relating to measures adopted under the CFSP, as part of a comprehensive Union approach to crises.

The appropriation is also intended to cover response measures to prevent and reduce climate-change-induced hazards, in particular management of water resources, in cases where these hazards risk escalating into security threats.

Operations covered will include, inter alia:

- technical and logistical support for the efforts of international and regional organisations, state and non-state actors in promoting confidence-building, mediation, dialogue and reconciliation,
- the relaunch of basic public services and economic activity,
- the initial physical and operational rehabilitation of basic infrastructure, including mine clearance,

- social reintegration, in particular of refugees, displaced persons and demobilised combatants,
- the restoration of the institutional capacities needed for good governance and ensuring the rule of law and democracy,
- assisting with the special needs of women and children affected by armed conflict, particularly rehabilitation of children affected by war, including child soldiers, also in cooperation with the UN under Under-Secretary-General, Special Representative for Children and Armed Conflict,
- preparedness measures aimed at strengthening the capacity of international, regional and sub-regional organisations, state and non-state actors in relation to their efforts in promoting early warning, confidence-building, mediation and reconciliation and addressing emerging inter-community tensions, and in improving post-conflict and post-disaster recovery. In this regard, the Commission will also enhance the capacity of civil society organisations, building upon previous support under the conflict prevention network preparatory action,
- support for international criminal tribunals and ad hoc national tribunals, truth and reconciliation commissions, and mechanisms for the legal settlement of human rights claims,
- support for measures to address, in the context of Union cooperation policies and their objectives, the impact on the civilian population of the illicit use of and access to firearms,
- support for measures to promote and defend respect for human rights,
- support for measures to support the development and organisation of civil society.

Implementing partners may include authorities of the Member States or of beneficiary countries and their agencies, regional and international organisations and their agencies, non-governmental organisations, public and private operators and individual organisations or operators (including staff seconded from Member State administrations) with appropriate specialised expertise and experience.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

Item 19 06 01 02 — Completion of former cooperation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	238 640	0,—	289 437,13

Remarks

This appropriation is intended to cover, in addition to the funding allocated to anti-personnel mine schemes under cooperation programmes with the beneficiary countries concerned, Community contribution to projects against anti-personnel landmines, in particular schemes relating to implementation of the Ottawa Treaty (Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction).

It is also intended to cover actions aimed at the rehabilitation of victims of anti-personnel mines.

It is intended to cover a whole range of actions such as mine clearance, stockpile destruction, mine risk education, survey of suspected areas and victim assistance.

It also includes financing of activities of non-governmental organisations to raise the issue of the landmine problem with non-State armed groups which are ‘part of the problem’ and therefore should also be ‘part of the solution’.

Legal basis

Regulation (EC) No 1724/2001 of the European Parliament and of the Council of 23 July 2001 concerning action against anti-personnel landmines in developing countries (OJ L 234, 1.9.2001, p. 1).

Council Regulation (EC) No 1725/2001 of 23 July 2001 concerning action against anti-personnel landmines in third countries other than developing countries (OJ L 234, 1.9.2001, p. 6).

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

Article 19 06 02 — Actions to protect countries and their populations against critical technological threats

Item 19 06 02 01 — Actions in the area of risk mitigation and preparedness relating to chemical, nuclear and biological materials or agents (Instrument for Stability)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
46 300 000	32 000 000	46 300 000	28 636 770	49 000 000,00	39 298 182,91

Remarks

This appropriation is intended to cover actions aiming to help safeguard countries and populations against critical technological threats. This may, inter alia, include:

- the promotion of civilian research activities as an alternative to defence-related research, and support for the retraining and alternative employment of scientists and engineers having formerly been employed in weapons-related areas,
- support for measures to enhance safety practice related to civilian facilities where sensitive chemical, biological, radiological or nuclear materials or agents are stored, or are handled in the context of civilian research programmes,
- support, within the framework of Union cooperation policies and their objectives, for the establishment of civil infrastructure and relevant civilian studies necessary for the dismantlement, remediation or conversion of weapons-related facilities and sites where these are declared as no longer belonging to a defence programme,
- strengthening the capacity of the competent civilian authorities involved in the development and enforcement of effective control of the trafficking in chemical, biological, radiological and nuclear materials or agents (including the equipment for their production or delivery), including through the installation of modern logistical evaluation and control equipment,
- the development of the legal framework and institutional capacities for the establishment and enforcement of effective export controls on dual-use goods, including measures of regional cooperation,
- the development of effective civilian disaster preparedness, emergency planning, crisis response, and capabilities for clean-up measures in relation to possible major environmental incidents in this field.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

Item 19 06 02 03 — Union policy on combating the proliferation of light arms

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	86 000	p.m.	820 921	0,—	914 092,00

Remarks

This appropriation is intended to finance operations to combat the proliferation of light arms and illicit arms trafficking.

Legal basis

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

Article 19 06 03 — Trans-regional actions in the areas of organised crime, trafficking, protection of critical infrastructure, threats to public health and the fight against terrorism (Instrument for Stability)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
33 300 000	15 000 000	22 000 000	12 600 179	30 000 000,00	7 348 406,27

Remarks

This appropriation is intended to cover new actions aimed at furthering cooperation between the Union and third countries in relation to global and regional trans-border challenges affecting the security and fundamental rights of the citizen.

Measures in the field of counter terrorism shall fully respect a third country's international human rights obligations and applicable humanitarian law in line with the Commission's declaration annexed to Regulation (EC) No 1717/2006.

Such measures will cover:

- strengthening the capacity of law enforcement and judicial and civil authorities involved in the fight against terrorism and organised crime, including illicit trafficking of people, drugs, firearms and explosive materials, and in the effective control of illegal trade and transit,
- support for measures to address threats to international transport, and critical infrastructure, including passenger and freight traffic,
- ensuring an adequate response to major threats to public health, such as epidemics with a potential trans-national impact,
- support for measures aimed at fostering the implementation of, and compliance with, the Ottawa Convention on Anti-Personnel Landmines (APL) and the Oslo Convention on Cluster Munitions (CCM); part of this appropriation is to be used for actions to control and remove anti-personnel landmines, cluster munitions and explosive remnants of war (ERW), including research, education, training and assistance to victims.

Such measures may be adopted under this instrument in the context of stable conditions, where they aim to address specific global and trans-regional threats having a destabilising effect, and only to the extent that an adequate and effective response cannot be provided under related Union instruments for external assistance. This appropriation is also intended to cover completion of payments for measures funded under former budget line 19 02 11 'North-south cooperation schemes in the campaign against drugs and drug addiction'.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

Article 19 06 04 — Assistance in the nuclear sector

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
77 476 000	69 405 000	76 055 700	64 910 013	74 607 905,05	68 496 994,00

Remarks

Former items 19 06 04 01 and 19 06 04 02

This appropriation is intended to cover the financing of:

- the promotion of an effective nuclear safety culture at all levels, in particular through:
 - continuous support for regulatory bodies, technical support organisations, and the reinforcement of the regulatory framework, notably concerning licensing activities,
 - drawing notably on the experience of the operators, on site and external assistance programmes as well as consulting and related activities aiming at safety improvements of the design, operation and maintenance of nuclear power plants that are currently licensed and other existing nuclear installations so that high safety levels can be achieved,
 - support for the safe transport, treatment and disposal of spent nuclear fuel and radioactive waste, and
 - the development and implementation of strategies for decommissioning existing installations and the remediation of former nuclear sites,
- the promotion of effective regulatory frameworks, procedures and systems to ensure adequate protection against ionising radiations from radioactive materials, in particular from high activity radioactive sources, and their safe disposal,
- the establishment of the necessary regulatory framework and methodologies for the implementation of nuclear safeguards, including for the proper accounting and control of fissile materials at State and operators level,
- the establishment of effective arrangements for the prevention of accidents with radiological consequences as well as the mitigation of such consequences should they occur, and for emergency-planning, preparedness and response, civil protection and rehabilitation measures,
- measures to promote international cooperation (including in the framework of relevant international organisations, notably IAEA) in the abovementioned fields, including the implementation and monitoring of international conventions and treaties, exchange of information and training and research.

This appropriation is also intended for monitoring and investigating the consequences of the Chernobyl accident on human health and the environment in the neighbouring countries, especially Ukraine and Belarus, as well as its socioeconomic impact.

This appropriation is intended to cover measures taken which are intended to cover the financing of the Commission's further participation in the Chernobyl Shelter Fund.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Council Decision 2006/908/EC, Euratom of 4 December 2006 on the first instalment of the third Community contribution to the European Bank for Reconstruction and Development for the Chernobyl Shelter Fund (OJ L 346, 9.12.2006, p. 28).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81,

22.3.2007, p. 1).

Article 19 06 06 — Consular cooperation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover logistical support operations for Member States' missions within the framework of consular cooperation, particularly in the event of a crisis. These operations are based on Article 20(2)(c) and Article 23 of the Treaty on the Functioning of the European Union, concerning the protection of Union citizens not represented in third countries by their Member State, and on Article 35 of the Treaty on the European Union, providing a role for Union delegations in the implementation of Article 20(2)(c) of the Treaty on the Functioning of the European Union in cooperation with the Member States' missions.

Legal basis

Tasks resulting from specific powers directly conferred on the Commission by the Treaties, in accordance with Article 49(6)(d) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 06 07 — Pilot project — Support for surveillance and protection measures for Union vessels sailing through areas where piracy is a threat

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	340 000	p.m.	600 000	0,—	680 000,00

Remarks

This appropriation is intended to cover the study and analysis of possible means of providing support for the funding, management and coordination of surveillance and protection measures for Union vessels sailing through areas where international piracy is a threat.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 06 08 — Preparatory action — Emergency response to the financial and economic crisis in developing countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	200 000	p.m.	200 000	0,—	0,—

Remarks

This appropriation is intended to cover emergency and short-term actions to mitigate the impact of the global financial and economic crisis on the most vulnerable and least resilient of the countries covered by Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Activities covered by this appropriation can include measures to mitigate the social consequences of the crisis, including social safety nets, employment-creating initiatives and actions ensuring adequate social service provision.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 06 09 — Pilot project — Programme for NGO-led peacebuilding activities

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	1 000 000,00	0,—

Remarks

This appropriation, which is complementary to the capacity building activities as provided for by the Peacebuilding Partnership under the Instrument for Stability, is intended to finance a programme of NGO-led peacebuilding activities in order to support the Union's commitments to prevent conflict and build peace outside its borders.

Activities to be financed under this pilot project will include: research on and analysis of specific conflicts; support for peace processes, mediation and dialogue; support to disarmament, demobilisation and reintegration (DDR) processes and broader post-conflict economic development; support to local actor participation in peace settlements; civil society involvement in security sector reform (SSR), justice sector reform and transitional justice processes; promotion of conflict-sensitive development practices; international and national-level advocacy work to encourage peacebuilding and the prevention of violent conflict; the development and management of early warning systems; the promotion of the role of women in peacebuilding; unarmed civilian peacekeeping; and the deployment of professionals to sustain local actors by reducing and preventing violence, and by supporting dialogue, sustainable peace and a conflict-resilient society.

The pilot project could also serve as a test case for Union support for NGO-led peacebuilding activities and could thus lead to the eventual establishment of a permanent mechanism for short-, medium- and long-term financing in this area.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 19 08 — EUROPEAN NEIGHBOURHOOD POLICY AND RELATIONS WITH RUSSIA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 08	European Neighbourhood Policy and relations with Russia								
19 08 01	European Neighbourhood and Partnership financial cooperation								
19 08 01 01	European Neighbourhood and Partnership financial cooperation with Mediterranean countries	4	1 203 630 000	695 500 000	1 243 861 010	671 552 312	900 922 000,00	588 971 822,37	84,68 %
19 08 01 02	European Neighbourhood and Partnership financial assistance to Palestine, the peace process and UNRWA	4	200 000 000	233 300 000	200 000 000	180 000 000	413 739 425,01	318 333 055,02	136,45 %
19 08 01 03	European Neighbourhood and Partnership financial cooperation with eastern Europe	4	822 850 000	412 800 000	728 385 000	331 699 712	571 009 452,35	394 436 116,08	95,55 %

19 08 01 04	Pilot project — Preventive and recovery actions for the Baltic seabed	4	p.m.	p.m.	p.m.	500 000	0,—	784 294,14		
19 08 01 05	Preparatory action — Minorities in Russia — Developing culture, media and civil society	4	p.m.	1 286 000	p.m.	2 500 000	0,—	832 656,69	64,75 %	
19 08 01 06	Preparatory action — New Euro-Mediterranean strategy for youth employment promotion	4	p.m.	750 000	1 500 000	750 000				
19 08 01 08	Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs	4	p.m.	550 000	p.m.	560 000	0,—	142 481,04	25,91 %	
	<i>Article 19 08 01 — Subtotal</i>			2 226 480 000	1 344 186 000	2 173 746 010	1 187 562 024	1 885 670 877,36	1 303 500 425,34	96,97 %
19 08 02	<i>Cross-border cooperation (CBC) — European Neighbourhood and Partnership Instrument (ENPI)</i>									
19 08 02 01	Cross-border cooperation (CBC) contribution from Heading 4	4		83 988 073	72 700 000	92 775 000	76 364 721	83 529 000,00	77 494 764,93	106,60 %
19 08 02 02	Cross-border cooperation (CBC) contribution from Heading 1b (Regional Policy)	1.2		80 816 627	85 200 000	99 221 636	78 000 000	97 668 066,00	67 918 294,92	79,72 %
	<i>Article 19 08 02 — Subtotal</i>			164 804 700	157 900 000	191 996 636	154 364 721	181 197 066,00	145 413 059,85	92,09 %
19 08 03	<i>Completion of the financial protocols with Mediterranean countries</i>									
	Chapter 19 08 — Total	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—		
				2 391 284 700	1 502 086 000	2 365 742 646	1 341 926 745	2 066 867 943,36	1 448 913 485,19	96,46 %

Remarks

The Union aims to establish an area of prosperity and friendly neighbourliness involving Member States and the neighbouring partner countries⁵. To that effect the Union has established agreements with most neighbouring countries as well as European Neighbourhood Policy Action Plans to implement such agreements. This negotiated framework is aimed at developing a stronger and deeper relation based on shared values and mutual interest and involving a significant degree of economic integration and political cooperation. The Union has also engaged with Russia in a genuine strategic partnership, founded on common interests and shared values and based on the creation of four ‘common spaces’. The appropriations under this chapter are intended to cover cooperation measures aimed at supporting the implementation of such agreements. Cooperation with those countries with whom such agreements are not yet signed or do not exist — such as for Belarus, Libya or Syria — will be based on Union policy objectives.

Article 19 08 01 — European Neighbourhood and Partnership financial cooperation

Item 19 08 01 01 — European Neighbourhood and Partnership financial cooperation with Mediterranean countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 203 630 000	695 500 000	1 243 861 010	671 552 312	900 922 000,00	588 971 822,37

Remarks

This appropriation is intended in particular to finance cooperation measures aimed primarily at supporting the implementation of the Multi Annual Indicative Programmes covering periods 2007-2010 and 2011-2013 of the ENP Action Plans concluded with the Union’s Mediterranean neighbours. It will also be used to support the implementation of the Regional Indicative Programme for 2011-2012 as well as certain measures in the framework of the Union for the Mediterranean which was launched at the Paris summit on 13 July 2008. This will cover, inter alia, the following areas of cooperation:

- promoting political dialogue and reform,
- promoting legislative and regulatory approximation and encouraging the progressive participation of partner countries in the internal market and the intensification of trade,

⁵ This includes 17 countries, of which seven (Armenia, Azerbaijan, Belarus, Georgia, Moldova, the Russian Federation and Ukraine) located to the east of the Union and 10 (Algeria, Egypt, Jordan, Israel, Lebanon, Libya, Morocco, the Palestinian Authority, Syria and Tunisia) located to the south of the Union.

- strengthening the national institutions responsible for the elaboration and implementation of policies in the areas covered by the association agreements,
- promoting respect for human rights and fundamental freedoms and enhanced respect for minority rights, promoting gender equality and non-discrimination, and promoting good governance,
- promoting sustainable development and contributing to poverty reduction,
- supporting the modernisation of the economy, promoting investments in the region and strengthening small- and medium-sized enterprises,
- establishing better transport and energy interconnections between the Union and neighbouring countries and among neighbouring countries themselves and addressing threats to our common environment,
- promoting actions contributing to conflict resolution,
- fostering the development of civil society, inter alia, to promote social inclusion and encourage under-represented groups to gain a voice and participate in civil society and the political system,
- promoting people-to-people contacts and exchanges in the field of education, research and culture,
- contributing to the financing of the operations of the United Nations Relief and Works Agency for Palestine Refugees (UNRWA) in Lebanon, Syria and Jordan, in particular its health, education and social services programmes,
- supporting regional integration within the context of the Euro-Mediterranean Partnership and in particular the promotion of regional cooperation, the creation of networks and partnerships of public and private not-for-profit organisations with a view to exchanging knowledge and best practices in all the relevant fields,
- supporting actions in the field of migration, designed inter alia to promote links between migration and development, to combat illegal immigration and to facilitate readmission. These actions will be supplemented by actions financed from the ENPI allocation from Article 19 02 01 01 ‘Cooperation with third countries in the areas of migration and asylum’.

Horizontal visibility of Union assistance and information actions and measures directly linked to achievement of the objectives of Union action in Mediterranean third countries.

Should there be a serious deterioration in a given country in the field of liberty, democracy, respect for fundamental rights and fundamental freedoms and the rule of law, Union assistance may be scaled down and used primarily to support non-state actors for measures aimed at promoting human rights and fundamental freedoms. With the exception of humanitarian aid, and implementation aid by NGOs, the United Nations’ agencies or by impartial operators, assistance to governments should not be provided if the governments are responsible for a clear worsening of the situation in the field of democracy, the rule of law and respect for fundamental rights and freedoms.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

This appropriation is also intended to cover the following expenditure:

- studying the effects of climate change on the quality of water in the Mediterranean,
- studying pollution along the Mediterranean coastline,
- examining the state of the underwater energy infrastructure (gas pipelines, oil pipelines, electricity cables, etc.),
- promoting the networking of public and private research centres engaged in monitoring the water of the Mediterranean and the condition of the coastline with a view to exchanging data, sharing research findings, and developing joint proposals for intervention and protection policies.

Legal basis

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Item 19 08 01 02 — European Neighbourhood and Partnership financial assistance to Palestine, the peace process and UNRWA

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000 000	233 300 000	200 000 000	180 000 000	413 739 425,01	318 333 055,02

Remarks

This appropriation is intended to cover operations for the benefit of the Palestinian people and the occupied Palestinian territories of the West Bank and the Gaza Strip, in the context of the Middle East peace process.

The operations are primarily aimed at:

- supporting State building and institutional development,
- promoting social and economic development,
- mitigating the effects on the Palestinian population of the deteriorating economic, fiscal and humanitarian conditions through the provision of essential services and other support,
- contributing to reconstruction efforts in Gaza,
- contributing to the financing of the operation of the United Nations Relief and Works Agency for Palestine Refugees (UNRWA) and in particular its health, education and social services programmes,
- financing preparatory operations aimed at promoting cooperation between Israel and its neighbours in the context of the peace process, notably with regard to institutions, economic matters, water, the environment and energy,
- financing activities designed to create a public opinion favourable to the peace process,
- financing information, including in Arabic and Hebrew, and disseminating information on Israeli-Palestinian cooperation,
- promoting respect for human rights and fundamental freedoms, promoting enhanced respect for minority rights, and promoting gender equality and non-discrimination,
- fostering the development of civil society, inter alia, to promote social inclusion.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Item 19 08 01 03 — European Neighbourhood and Partnership financial cooperation with eastern Europe

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
822 850 000	412 800 000	728 385 000	331 699 712	571 009 452,35	394 436 116,08

Remarks

This appropriation is intended in particular to finance cooperation actions aimed primarily at supporting the implementation of the agreements and the ENP Action Plans concluded with the Union's eastern neighbours as well as bilateral and multilateral actions in the framework of the Eastern Partnership. It will also be used to support the Strategic Partnership between the Union and Russia through implementation of the four common spaces covering economic cooperation; freedom, security and justice; external security; and research and education, including culture. This will entail, inter alia, the following areas of cooperation:

- promoting political dialogue and democratic reform,
- promoting legislative and regulatory approximation and encouraging the progressive participation of partner countries in the internal market and the intensification of trade,
- strengthening the national institutions responsible for the elaboration and implementation of policies in the areas covered by the association agreements for instance by twinning and technical assistance mechanisms such as TAIEX,
- promoting respect for human rights such as freedom of the media and freedom of expression,
- promoting good governance and the fight against corruption,
- promoting gender equality,
- supporting the transition to a market economy and the modernisation of the economy, promoting investments in the region and strengthening small- and medium-sized enterprises,
- promoting sustainable development and rural development and contributing to poverty reduction,
- establishing better transport and energy interconnections between the Union and neighbouring countries and among neighbouring countries themselves and addressing threats to our common environment,
- promoting actions contributing to conflict resolution and conflict prevention in areas of frozen conflict,
- fostering the development of civil society, inter alia, to promote social inclusion and encourage under-represented groups to gain a voice and participate in civil society and the political system,
- promoting people-to-people contacts and exchanges in the field of education, research and culture,
- supporting regional cooperation, including within the contexts of the 'Black Sea Synergy' and the Eastern Partnership,
- supporting actions in the field of migration designed, inter alia, to promote links between migration and development, to combat illegal immigration and to facilitate readmission. These actions will be supplemented by actions financed from allocations under Article 19 02 01 'Cooperation with third countries in the areas of migration and asylum'.

This appropriation is also intended to fund research on human health and the sustainable development of Ukraine and Belarus, especially as regards improving health conditions in the regions affected by the Chernobyl disaster.

This appropriation is also intended to finance confidence building measures in frozen conflict areas in Georgia, Transnistria, the breakaway territories of Abkhazia and South Ossetia, as well as local confidence-building and local economic rehabilitation projects in Nagorno-Karabakh.

Appropriations under this item will also be used for actions aimed at informing the general public and the potential beneficiaries of assistance and at increasing the visibility of Union assistance.

Should there be a serious deterioration in a given country in the field of liberty, democracy, respect for fundamental rights and fundamental freedoms and the rule of law, Union assistance may be scaled down and used primarily to support non-state actors for measures aimed at promoting human rights and fundamental freedoms. With the exception of humanitarian aid, and implementation aid by NGOs, the United Nations' agencies or by impartial operators, assistance to governments should not be provided if the governments are responsible for a clear worsening of the situation in the field of democracy, the rule of law and respect for fundamental rights and freedoms.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive

from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation will be allocated for additional support to Baltic Sea Strategy objectives. The support, committed in 2010 and 2011, is being implemented through support to the Northern Dimension in the framework of Regional East and Interregional Indicative Programmes. Other frameworks for implementing support to the Baltic Sea may include, where appropriate, the Baltic Sea Region Programme, the HELCOM Baltic Sea Action Plan, the BONUS-169 Joint Baltic Sea Research Programme, amongst others.

Legal basis

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Item 19 08 01 04 — Pilot project — Preventive and recovery actions for the Baltic seabed

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	500 000	0,—	784 294,14

Remarks

This appropriation is intended to cover the financing of a pilot project intended to study prevention of potential pollution from underwater waste landfills and to test methods to revive deep-water layers of the Baltic Sea.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 19 08 01 05 — Preparatory action — Minorities in Russia — Developing culture, media and civil society

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 286 000	p.m.	2 500 000	0,—	832 656,69

Remarks

The objective of this preparatory action is to establish effective partnership with the Russian Federation to promote the culture, education, media and civil society of Russia's numerous ethnic and national minorities. Under this preparatory action, assistance will be provided for activities related to the promotion and development of native culture, education, media and civil society.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 19 08 01 06 — Preparatory action — New Euro-Mediterranean strategy for youth employment promotion

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	750 000	1 500 000	750 000		

Remarks

In the framework of the emerging democratisation in the Southern Mediterranean neighbours, the two-way flow of young professionals from both sides of the Mediterranean at professional level is an essential feature for the consolidation of democracy and the inclusion of young professionals in the job market.

The main aim of the preparatory action is to promote and enhance the mutual exchange of young professionals on both sides of the Mediterranean. The activities will also cover vocational traineeships.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 19 08 01 08 — Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	550 000	p.m.	560 000	0,—	142 481,04

Remarks

The new enhanced European Neighbourhood Policy, as projected by the European Parliament and the Council in their respective decisions and resolutions, especially in the light of the two major neighbourhood political projects, namely the Union for the Mediterranean and the Eastern Partnership, makes it necessary to prepare future Union contact points, i.e. personnel for EU-ENP-related jobs in the neighbourhood countries from Morocco to Belarus. They should be made fully acquainted in a professional manner with the substance and spirit of Union policies and institutions and the *acquis* of the Union.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 08 02 — Cross-border cooperation (CBC) — European Neighbourhood and Partnership Instrument (ENPI)

Remarks

Cross-border cooperation on the Union's external borders is a key priority both in the European Neighbourhood Policy and in the Union's Strategic Partnership with Russia, as well as within the Black Sea Synergy. The adoption of the European Neighbourhood and Partnership Instrument (ENPI) has considerably enhanced the scope for cross-border cooperation, both qualitatively and quantitatively. Under the new approach, cross-border cooperation programmes established on the Union's external border will draw on funds from both the external and internal headings of the general budget of the Union and be implemented in accordance with a single set of rules, namely those established under Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1). The global amounts of the contributions from the two headings are provided in the two budget Items presented under this article.

This appropriation is intended to fund a number of cross-border cooperation programmes, established along all the land and maritime borders. Such programmes will provide a tangible signal that the Union does not want to establish new dividing lines and they will also strengthen the partnership among border regions of the Union and neighbouring countries and help them to deal with common development problems. They will focus on the following five main objectives:

- promoting economic and social development in regions on both sides of common borders,
- working together to address common challenges, in fields such as the environment, public health and the prevention of and the fight against organised crime,
- ensuring efficient and secure borders,

- promoting local, cross-border ‘people-to-people’ actions,
- fostering the development of civil society, inter alia, to promote social inclusion.

Appropriations under this article will also be used for actions aimed at improving the level and capacity of implementation as well as for actions aimed at informing the general public and the potential beneficiaries of assistance and at increasing the visibility of Union assistance.

Should there be a serious deterioration in a given country in the field of liberty, democracy, respect for fundamental rights and fundamental freedoms and the rule of law, Union assistance may be scaled down and used primarily to support non-state actors for measures aimed at promoting human rights and fundamental freedoms. With the exception of humanitarian aid, and implementation aid by NGOs, the United Nations’ agencies or by impartial operators, assistance to governments should not be provided if the governments are responsible for a clear worsening of the situation in the field of democracy, the rule of law and respect for fundamental rights and freedoms.

Item 19 08 02 01 — Cross-border cooperation (CBC) contribution from Heading 4

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
83 988 073	72 700 000	92 775 000	76 364 721	83 529 000,00	77 494 764,93

Remarks

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Item 19 08 02 02 — Cross-border cooperation (CBC) contribution from Heading 1b (Regional Policy)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
80 816 627	85 200 000	99 221 636	78 000 000	97 668 066,00	67 918 294,92

Remarks

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development

Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Article 19 08 03 — Completion of the financial protocols with Mediterranean countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the completion of the financial protocols with Mediterranean countries. It includes, inter alia, support for the Euro-Mediterranean Investment Facility within the European Investment Bank and covers the execution of non-EIB financial aid provided for in the third and fourth generation of financial protocols with the southern Mediterranean countries. The protocols cover the period 1 November 1986 to 31 October 1991 for the third generation of financial protocols and the period 1 November 1991 to 31 October 1996 for the fourth generation of financial protocols.

Legal basis

Council Regulation (EEC) No 2210/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 263, 27.9.1978, p. 1).

Council Regulation (EEC) No 2211/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Kingdom of Morocco (OJ L 264, 27.9.1978, p. 1).

Council Regulation (EEC) No 2212/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Republic of Tunisia (OJ L 265, 27.9.1978, p. 1).

Council Regulation (EEC) No 2213/78 of 26 September 1978 on the conclusion of the Cooperation Agreement between the European Economic Community and the Arab Republic of Egypt (OJ L 266, 27.9.1978, p. 1).

Council Regulation (EEC) No 2214/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Lebanese Republic (OJ L 267, 27.9.1978, p. 1).

Council Regulation (EEC) No 2215/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 268, 27.9.1978, p. 1).

Council Regulation (EEC) No 2216/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Syrian Arab Republic (OJ L 269, 27.9.1978, p. 1).

Council Regulation (EEC) No 3177/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 337, 29.11.1982, p. 1).

Council Regulation (EEC) No 3178/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 337, 29.11.1982, p. 8).

Council Regulation (EEC) No 3179/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 337, 29.11.1982, p. 15).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3181/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 337, 29.11.1982, p. 29).

Council Regulation (EEC) No 3182/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 337, 29.11.1982, p. 36).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision 88/30/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 22, 27.1.1988, p. 1).

Council Decision 88/31/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 22, 27.1.1988, p. 9).

Council Decision 88/32/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 22, 27.1.1988, p. 17).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/206/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 94, 8.4.1992, p. 13).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Regulation (EEC) No 1762/92 of 29 June 1992 on the implementation of the Protocols on financial and technical cooperation concluded by the Community with Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 1), repealed by Regulation (EC) No 1638/2006 (OJ L 310, 9.11.2006, p. 1).

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Regulation (EC) No 1734/94 of 11 July 1994 on financial and technical cooperation with the West Bank and the Gaza Strip (OJ L 182, 16.7.1994, p. 4), repealed by Regulation (EC) No 1638/2006 (OJ L 310, 9.11.2006, p. 1).

Council Regulation (EC) No 213/96 of 29 January 1996 on the implementation of the European Communities investment partners financial instrument for the countries of Latin America, Asia, the Mediterranean region and South Africa (OJ L 28, 6.2.1996, p. 2).

CHAPTER 19 09 — RELATIONS WITH LATIN AMERICA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 09	Relations with Latin America								
19 09 01	Cooperation with developing countries in Latin America	4	364 564 000	290 000 000	364 323 000	277 589 580	351 506 000,00	281 654 274,57	97,12 %
19 09 02	Preparatory action — Cooperation with middle income group countries in Latin America	4	p.m.	500 000	p.m.	500 000	0,—	261 755,00	52,35 %
19 09 03	Cooperation activities other than Official Development Assistance (Latin America)	4	16 000 000	3 000 000	10 000 000	2 863 677	0,—	0,—	

	Chapter 19 09 — Total	380 564 000	293 500 000	374 323 000	280 953 257	351 506 000,00	281 916 029,57	96,05 %
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Remarks

The purpose of development cooperation under this heading is primarily its contribution to support the promotion of democracy, good governance, respect for human rights and for the rule of law, and fostering sustainable development and economic integration, as well as to achieving the Millennium Development Goals.

In accordance with the Commission Declaration concerning Article 5 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41) (DCI), for countries defined as Official Development Assistance (ODA) recipients by the OECD Development Assistance Committee (DAC), the Commission will continue to report annually on the benchmark, used in the past but now superseded, of 35 % of assistance to developing countries to be allocated to social infrastructure and services, recognising that the Union contribution must be seen as part of the overall donor support to the social sectors and that a degree of flexibility must be the norm. Moreover, also in accordance with that Commission Declaration, the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the DCI will be dedicated, by the end of 2009, to basic and secondary education and basic health, through project, programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Before July each year, the Commission will provide to the European Parliament and the Council an annual report on the Union's development policy and external assistance, meeting all the Commission's regulatory reporting requirements and giving full details of development cooperation, especially the extent to which it has met its objectives. In particular, the report will:

- present the strategic objectives of the Union's development policy and its contribution towards the previous 35 % benchmark for social infrastructure and services and the current 20 % benchmark for basic and secondary education and basic health, in the context of the geographical cooperation under the DCI, and assess the efficiency and effectiveness of cooperation, including progress made in coordinating aid, in improving the coherence of the Union's strategy in its external actions, and in integrating the cross-cutting issues such as gender, human rights, conflict prevention and the environment,
- present the main results of evaluation and monitoring reports, as an indication of the extent to which its actions are achieving their objectives,
- summarise the key features and events of cooperation in each geographical region, and
- provide financial information on the support given to each sector, in accordance with OECD reporting criteria.

Article 19 09 01 — Cooperation with developing countries in Latin America

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
364 564 000	290 000 000	364 323 000	277 589 580	351 506 000,00	281 654 274,57

Remarks

This appropriation is intended to cover cooperation schemes in developing countries in Latin America in order to:

- assist institutional support to good governance and help consolidate democracy, human rights and the rule of law,
- promote social cohesion, combat poverty and social exclusion, with particular attention being paid to the poverty trap faced by people with disabilities,
- promote an SME-friendly business climate through legal property rights, cutting red tape and improving access to credit as well as improving associations of small and medium-sized enterprises,
- support regional integration,
- improve the standard of education and health,
- promote the increased use of information and communications technologies,
- support capacity-building, in particular in the least-developed countries, to help them to integrate better into the multilateral trading system, including improving their ability to participate in the WTO,
- encourage the transfer of know-how and promote contact and collaboration between business players on both sides,

- promote a more favourable climate for economic expansion and hence development in the countries concerned,
- promote the sustainable use of natural resources, sustainable energy and the combating of climate change,
- support disaster prevention and risk reduction, including climate-change-related hazards,
- support trade unions, non-governmental organisations (NGOs) and local initiatives to monitor the impact of European investments on the national economy, particularly codes of conduct and sector agreements incorporating respect for labour, environmental, social and human rights standards,
- foster the development of civil society, encourage less well represented groups to gain a voice and participate in civil society and the political system, combat all forms of discrimination, and strengthen the rights of women and children and other particularly vulnerable groups, including persons with disabilities and older persons,

Part of this appropriation is intended to be used to fund international programmes in favour of gender equality and the empowerment of women, including through the framework of UN WOMEN.

With the exception of humanitarian aid, assistance to governments should not be provided if the governments are responsible for clear worsening of the situation in the field of democracy, the rule of law and respect for fundamental rights and freedoms.

This appropriation also covers capacity-building actions to assist agricultural producers in developing countries to meet Union sanitary and phytosanitary standards necessary for access to the Union market.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight and audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006. Part of this appropriation is intended to support, amongst others, initiatives such as the EU-ALC Foundation (decided at the summit of EU-ALC Heads of States and Governments) and the Biarritz Forum.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under the European Senior Services Network (ESSN), including technical assistance, advisory services and training in selected public or private sector enterprises.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

With the exception of humanitarian aid, and implementation aid by NGOs or by the United Nations' agencies or by impartial operators, assistance to governments should not be provided if the governments are responsible for clear worsening of the situation in the field of democracy, the rule of law and respect for fundamental rights and freedoms.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

European Parliament resolution of 1 June 2006 on small and medium-sized enterprises in developing countries (OJ C 298 E, 8.12.2006, p. 171).

Article 19 09 02 — Preparatory action — Cooperation with middle income group countries in Latin America

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	500 000	0,—	261 755,00

Remarks

This appropriation is intended to cover cooperation measures for middle income countries and other developing countries in Latin America.

This appropriation is intended to finance cooperation measures which do not fulfil the criteria for Official Development Assistance (ODA) as established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development (OECD/DAC) and which therefore fall outside the scope of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41) (Article 2(4)), in particular measures for cooperation in sectors capable of developing independently, the result being that the investment from the general budget of the Union makes no contribution towards combating poverty in Latin American countries.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

European Parliament resolution of 15 February 2007 on the Country Strategy Papers and indicative programmes for Malaysia, Brazil and Pakistan (OJ C 287 E, 29.11.2007, p. 374).

European Parliament resolution of 7 June 2007 on the Regional Strategy Papers and Regional Indicative Programmes for Mercosur and Latin America (OJ C 125 E, 22.5.2008, p. 26).

European Parliament resolution of 9 July 2008 on the draft Commission decision establishing Annual Action Programmes for Brazil for 2008 and for Argentina for 2008 (OJ C 294 E, 3.12.2009, p. 19).

Article 19 09 03 — Cooperation activities other than Official Development Assistance (Latin America)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
16 000 000	3 000 000	10 000 000	2 863 677	0,—	0,—

Remarks

This appropriation is intended to cover the support to activities, beyond development cooperation, aimed at engaging further with partners on a bilateral, regional or multilateral basis.

Legal basis

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).

CHAPTER 19 10 — RELATIONS WITH ASIA, CENTRAL ASIA AND MIDDLE EAST (IRAQ, IRAN, YEMEN)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 10	Relations with Asia, Central Asia and Middle East (Iraq, Iran, Yemen)								
19 10 01	Cooperation with developing countries in Asia								
19 10 01 01	Cooperation with developing countries in Asia	4	506 690 519	391 000 000	520 903 500	403 106 931	548 328 139,14	440 991 503,83	112,79 %
19 10 01 02	Aid for the rehabilitation and reconstruction of Afghanistan	4	201 000 000	139 000 000	198 915 000	152 729 442	200 000 000,00	130 962 145,00	94,22 %
19 10 01 03	Preparatory action — Business and scientific exchanges with India	4	p.m.	3 600 000	p.m.	2 300 000	0,—	2 883 070,20	80,09 %
19 10 01 04	Preparatory action — Business and scientific exchanges with China	4	p.m.	3 700 000	p.m.	3 300 000	0,—	2 898 243,18	78,33 %
19 10 01 05	Preparatory action — Cooperation with middle income group countries in Asia	4	p.m.	550 000	p.m.	530 000	0,—	590 992,77	107,45 %
19 10 01 06	Preparatory action — European Union-Asia — Integration of policy and practice	4	p.m.	300 000	p.m.	200 000	0,—	225 226,88	75,08 %
	<i>Article 19 10 01 — Subtotal</i>		707 690 519	538 150 000	719 818 500	562 166 373	748 328 139,14	578 551 181,86	107,51 %
19 10 02	Cooperation with developing countries in Central Asia	4	100 300 000	62 000 000	105 232 000	72 546 485	93 938 166,29	66 298 539,84	106,93 %
19 10 03	Cooperation with Iraq, Iran and Yemen	4	45 500 000	26 300 000	52 651 000	38 182 361	38 947 000,00	25 542 971,88	97,12 %
19 10 04	Cooperation activities other than Official Development Assistance (Asia, Central Asia, Iraq, Iran and Yemen)	4	29 500 000	13 000 000	18 500 000	4 543 701	0,—	0,—	
	Chapter 19 10 — Total		882 990 519	639 450 000	896 201 500	677 438 920	881 213 305,43	670 392 693,58	104,84 %

Remarks

The purpose of development cooperation under this heading is primarily its contribution to achieving the Millennium Development Goals as well as the promotion of democracy, good governance, respect for human rights and for the rule of law, fostering sustainable development and economic integration and promoting conflict prevention, conflict resolution and reconciliation. In accordance with the Commission Declaration concerning Article 5 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41) (DCI), for countries defined as Official Development Assistance (ODA) recipients, by the OECD Development Assistance Committee (DAC), the Commission will continue to report annually on the benchmark, used in the past but now superseded, of 35 % of assistance to developing countries to be allocated to social infrastructure and services, recognising that the Union contribution must be seen as a part of the overall donor support to the social sectors and that a degree of flexibility must be the norm.

Moreover, also in accordance with that Commission Declaration, the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the DCI will be dedicated to basic and secondary education and basic health, through project, programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Before July each year, the Commission will provide to the European Parliament and the Council an annual report on the Union's development policy and external assistance, meeting all the Commission's regulatory reporting requirements and giving full details of development cooperation, especially the extent to which it has met its objectives. In particular, the report will:

- present the strategic objectives of the Union's development policy and its contribution towards the previous 35 % benchmark for social infrastructure and services and the current 20 % benchmark for basic and secondary education and for basic health, in the context of geographical cooperation under the DCI, and assess the efficiency and effectiveness of cooperation, including progress made in coordinating aid, in improving the coherence of the Union's strategy in its external actions, and in integrating cross-cutting issues such as gender, human rights, conflict prevention and the environment,
- present the main results of evaluation and monitoring reports, as an indication of the extent to which its actions are achieving

their objectives,

- summarise the key features and events of cooperation in each geographical region, and
- provide financial information on the support given to each sector, in accordance with OECD reporting criteria.

Article 19 10 01 — Cooperation with developing countries in Asia

Remarks

This appropriation is intended to cover development schemes in Asian developing countries, in particular the poorest of them, aimed at improving human and social development as well as tackling macroeconomic and sectoral problems. Emphasis is placed on operations influencing economic organisation and institutional development, the strengthening of civil society, including operations concerning democratisation, education, vocational training, lifelong learning, academic and cultural exchange, scientific and technological exchange, the environment, tropical forests, anti-drugs campaigns, regional cooperation, disaster prevention and reconstruction measures, as well as the promotion of sustainable energy and information and communications technology.

The Commission produces an annual report covering all its external aid activities.

Also charged to this article is expenditure on operations and other measures of a horizontal nature to enhance the profile or increase awareness of Union cooperation with Asian developing countries.

It is also intended to cover support for the development of civil society and, in particular, support for the activities of non-governmental organisations promoting and defending the rights of vulnerable groups, such as women, children, ethnic minorities and people with disabilities.

This appropriation is also intended to cover the promotion of an SME-friendly business climate through legal property rights, cutting red tape and improving access to credit as well as improving associations of small and medium-sized enterprises.

Utilisation of this appropriation is dependent on observance of the principles underpinning Union action.

The appropriation is also intended, with a view to the mutual interest of the Union and its partners, to cover various kinds of operation involving technical assistance, training, technology transfer and institutional back-up in the fields of trade promotion, energy (notably renewable energy), environment, management, etc., in order to:

- improve the economic, social, cultural, legal and regulatory context and facilitate economic relations and trade between the Union and Asia,
- foster regional integration,
- support capacity-building, in particular in the least developed countries, to help them to better integrate into the multilateral trading system, including their abilities to participate in the WTO,
- encourage the transfer of know-how and promote contact and collaboration between business players on both sides,
- promote a more favourable climate for economic expansion and hence development in the countries concerned,
- promote social development, social cohesion and fair income distribution,
- promote the increased use of information and communications technologies.

This appropriation is also intended to cover future Union initiatives to support and promote a continuous dialogue and cooperation between the private sector and research communities in the Union and India in a wide range of fields, by strengthening and encouraging partnership and exchanges and supporting joint initiatives and by improving the information flow with regard to market access in trade and investment, most notably in the context of a future EU-India free-trade agreement.

It also covers education for children affected by war or natural disasters.

These measures may be financed jointly with non-governmental organisations and international organisations.

This appropriation is also intended to support disaster prevention and risk reduction, including climate-change-related hazards.

This appropriation is intended to also cover Union operations in the framework of the Afghanistan reconstruction process.

The Commission will monitor compliance with the conditions for the Union contribution to this process, notably full implementation of the letter and the spirit of the Bonn-Petersberg Agreement. It will keep the budgetary authority informed about its findings and conclusions.

This appropriation is also intended to support the Afghanistan national drug control strategy, including halting the production of

opium in Afghanistan, and the disruption and destruction of the opium networks and illegal export routes to European countries.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, to improve the situation of women, with priority for actions in the fields of health and education, and to support their active involvement in all areas and at all levels of decision-making.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under European Senior Services Network (ESSN), including technical assistance, advisory services and training in selected public or private enterprises.

Part of this appropriation is intended to be used to fund international programmes in favour of gender equality and the empowerment of women, including through the framework of UN WOMEN.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

European Parliament resolution of 1 June 2006 on small and medium-sized enterprises in developing countries (OJ C 298 E, 8.12.2006, p. 171).

Item 19 10 01 01 — Cooperation with developing countries in Asia

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
506 690 519	391 000 000	520 903 500	403 106 931	548 328 139,14	440 991 503,83

Remarks

This appropriation is intended to cover development schemes in Asian developing countries, in particular the poorest of them, aimed at improving human and social development as well as tackling macroeconomic and sectoral problems. In accordance with the Commission Declaration concerning Article 5 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41) (DCI), the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the DCI will be dedicated to basic and secondary education and basic health, through project, programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Emphasis is placed on operations influencing economic organisation and institutional development, the strengthening of civil society, including operations concerning democratisation, universal access for children of both sexes and women as well as children with disabilities to primary and secondary education, the environment, and the sustainable management of natural resources, including

tropical forests, regional cooperation, disaster prevention and risk reduction, including climate-change-related hazards, and reconstruction measures, as well as the promotion of sustainable energy, the fight against climate change and information and communications technology.

This appropriation is also intended to cover measures promoting conflict prevention, conflict resolution and reconciliation.

This appropriation is also intended to cover expenditure on operations and other measures of a horizontal nature to enhance the profile or increase awareness of Union cooperation with Asian developing countries.

It is also intended to cover support for the development of civil society and, in particular, support for the activities of non-governmental organisations promoting and defending the rights of vulnerable groups, such as women, children, ethnic minorities and people with disabilities.

It is also intended to cover support for microcredit schemes.

This appropriation is also intended to cover capacity-building actions to assist agricultural producers in developing countries to meet Union sanitary and phytosanitary standards necessary for access to the Union market.

Utilisation of this appropriation is dependent on observance of the principles underpinning Union action.

It is also intended to cover measures influencing economic organisation and institutional development.

This appropriation is also intended to cover technical assistance, training, technology transfer and institutional back-up in the fields of trade promotion, energy (notably renewable energy), environment, management, etc., in order to:

- foster regional integration,
- support capacity-building, in particular in the least developed countries, to help them to better integrate into the multilateral trading system, including their abilities to participate in the WTO,
- encourage the transfer of know-how and promote contact and collaboration between business players on both sides,
- promote social development, social cohesion and fair income distribution,
- promote the increased use of information and communications technologies,
- foster the development of civil society, encouraging less well-represented groups to gain a voice and participate in civil society and the political system, combating all forms of discrimination, and strengthen the rights of women and children and other particularly vulnerable groups, including persons with disabilities and older persons.

It is also intended to cover education for children affected by war or natural disasters.

These measures may be financed jointly with non-governmental organisations and international organisations.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, to improve the situation of women, with priority for actions in the fields of health and education, and to support their active involvement in all areas and at all levels of decision-making.

Part of this appropriation is intended to be used for actions to control and remove anti-personnel landmines (APL), explosive remnants of war (ERW) and illicit small arms and light weapons (SALW).

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight and audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006. Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Part of this appropriation is intended to be used on the improvement of the position of Christian and other religious minorities in Pakistan.

Part of this appropriation is intended to be used, with due regard for Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under European Senior Services Network (ESSN), including technical assistance, advisory services and training in selected public or private enterprises.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Part of this appropriation is intended to be used to fund international programmes in favour of gender equality and the empowerment of women, including through the framework of UN WOMEN.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Item 19 10 01 02 — Aid for the rehabilitation and reconstruction of Afghanistan

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
201 000 000	139 000 000	198 915 000	152 729 442	200 000 000,00	130 962 145,00

Remarks

This appropriation is intended to cover Union operations in the framework of the Afghanistan reconstruction process. It is supplemented by expenditure from other chapters and articles, to which different procedures apply.

The Commission will monitor compliance with the conditions for the Union contribution to this process, notably full implementation of the post-Bonn process. It will keep the budgetary authority informed on its findings and conclusions.

This appropriation is intended to support basic social services and economic development in Afghanistan.

This appropriation is also intended to support the Afghanistan national drug control strategy, including halting the production of opium in Afghanistan, and the disruption and destruction of the opium networks and illegal export routes to European countries.

A relevant part of this appropriation must be exclusively devoted to fund the start up of the five-year plan for phasing out opium cultivation by replacing it with alternative production in order to obtain a verifiable outcome in this field, in line with the demands expressed by the European Parliament in its report 'A new strategy for Afghanistan' approved on 16 December 2010.

This appropriation is also intended to support the Union contribution to the processes for the return of Afghan refugees and displaced persons to their country and regions of origin in accordance with the commitments entered into by the European Community at the Tokyo Conference in January 2002.

This appropriation is also intended to finance activities of women's organisations which have worked for a long time for Afghan women's rights.

The Union should increase its financial assistance in Afghanistan for areas such as health (construction and renovation of hospitals, prevention of child mortality) and small and medium-sized infrastructure projects (repairing of road network, embankments, etc.), as well as effectively implementing job security and food security schemes.

Part of this appropriation is intended to be used for mainstreaming of disaster risk reduction, based on ownership and national strategies of disaster-prone countries.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, to improve the situation of women, with priority for actions in the fields of health and education, and to support their active involvement in all areas and at all levels of decision-making.

Particular attention will also be paid to the situation of women and girls in all other actions and projects supported by this appropriation.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Item 19 10 01 03 — Preparatory action — Business and scientific exchanges with India

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 600 000	p.m.	2 300 000	0,—	2 883 070,20

Remarks

This preparatory action is intended to support exchanges between European and Indian businesses and industries, as well as research institutions. This is important in the context of improving links between Union businesses and researchers in fast-growing economies such as India. The action is in line with paragraph 4 of the European Parliament resolution of 18 May 2006 on the 2007 budget: the Commission's Annual Policy Strategy report (APS) (OJ C 297 E, 7.12.2006, p. 357), which noted that 'insufficient attention has been given ... to the vast and very rapid changes underway in the global economy, notably in emerging economies such as India and China'.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 19 10 01 04 — Preparatory action — Business and scientific exchanges with China

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 700 000	p.m.	3 300 000	0,—	2 898 243,18

Remarks

This preparatory action is intended to support exchanges between European and Chinese business executives and scientists in universities and research institutions. This is important in the context of improving links between Union businesses and researchers in fast-growing economies such as China. The action is in line with paragraph 4 of the European Parliament resolution of 18 May 2006 on the 2007 budget: the Commission's Annual Policy Strategy report (APS) (OJ C 297 E, 7.12.2006, p. 357), which noted that 'insufficient attention has been given ... to the vast and very rapid changes underway in the global economy, notably in emerging economies such as India and China'.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 19 10 01 05 — Preparatory action — Cooperation with middle income group countries in Asia

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	550 000	p.m.	530 000	0,—	590 992,77

Remarks

This appropriation is intended to cover cooperation measures, in middle income countries and other developing countries in Asia, which do not fulfil the criteria for Official Development Assistance (ODA) as established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development (OECD/DAC) and which therefore fall outside the scope of Article 2(4) of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41), in particular measures for cooperation in sectors capable of developing independently, the result being that the investment from the general budget of the Union makes no contribution towards combating poverty in Asian countries.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

European Parliament resolution of 15 February 2007 on the Country Strategy Papers and indicative programmes for Malaysia, Brazil and Pakistan (OJ C 287 E, 29.11.2007, p. 374).

European Parliament resolution of 21 June 2007 on the Regional strategy and multiannual indicative programme for Asia (OJ C 146 E, 12.6.2008, p. 257).

European Parliament resolution of 25 October 2007 on the draft Commission decision establishing a Special Measure 2007 for Iraq (OJ C 263 E, 16.10.2008, p. 624).

Item 19 10 01 06 — Preparatory action — European Union-Asia — Integration of policy and practice

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	200 000	0,—	225 226,88

Remarks

The preparatory action is intended to provide an integrative approach to the development and practice of policy for European Union-Asia. This holistic approach developed by the European Institute for Asian Studies relates to activities which are covered by several legal bases but which are compartmentalised across many budget lines.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 10 02 — Cooperation with developing countries in Central Asia

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
100 300 000	62 000 000	105 232 000	72 546 485	93 938 166,29	66 298 539,84

Remarks

This appropriation is intended to cover the financing or part-financing of assistance measures at government, institutional, non-governmental organisation and private sector level designed to support poverty reduction, promotion and protection of human rights, transition to a market economy, strengthen democracy and the rule of law and promoting conflict prevention, conflict resolution and reconciliation in the partner States.

The abovementioned measures cover, inter alia, support for institutional, legal and administrative reform, support to the private sector and assistance for economic development, support in addressing the social consequences of transition, including social sectors reforms, the development of infrastructure networks, the promotion of environmental protection and management of natural resources, sustainable energy, the combat against climate change, disaster prevention and risk reduction, including climate-change-related hazards and development of the rural economy.

This appropriation is also intended to cover actions in the areas of basic social services, including basic education, basic health, reproductive health, including HIV/AIDS, combating forced abortion, female genital mutilation and coerced sterilisation, basic drinking water supply and basic sanitation.

Part of this appropriation is to be used, with due regard for the Financial Regulation, for the promotion of democracy and the rule of law.

Part of this appropriation is to be used for actions to control and remove anti-personnel landmines (APL), explosive remnants of war (ERW) and illicit small arms and light weapons (SALW).

This appropriation also covers capacity-building actions to assist agricultural producers in developing countries to meet Union sanitary and phytosanitary standards necessary for access to the Union market.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006. Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations.

Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under European Senior Services Network (ESSN), including technical assistance, advisory services and training in selected public or private enterprises.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Article 19 10 03 — Cooperation with Iraq, Iran and Yemen

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
45 500 000	26 300 000	52 651 000	38 182 361	38 947 000,00	25 542 971,88

Remarks

This appropriation is intended to cover actions in Iraq, Yemen and further to the Commission's current analysis into the area, potentially, targeted actions concerning human and social development in Iran. With regard to Iraq, this appropriation is intended to cover Union operations in the framework of the Iraqi reconstruction process. Concerning Yemen, actions will be centred on promoting good governance and the fight against poverty (support to social sectors and the private sector).

This appropriation also covers capacity-building actions to assist agricultural producers in developing countries to meet Union sanitary and phytosanitary standards necessary for access to the Union market.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

When implementing the appropriation, the European Parliament's resolution on the EU's approach towards Iran (2010/2050 (INI)),

adopted on 10 March 2011, is to be taken into consideration in order to prepare the conditions enabling the Union to set up a future delegation in Iran.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under European Senior Services Network (ESSN), including technical assistance, advisory services and training in selected public or private enterprises.

Part of this appropriation is intended to be used to fund international programmes in favour of gender equality and the empowerment of women, including through the framework of UN WOMEN.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

European Parliament Resolution of 10 March 2011 on the European Union's approach towards Iran (2010/2050(INI)).

Article 19 10 04 — Cooperation activities other than Official Development Assistance (Asia, Central Asia, Iraq, Iran and Yemen)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
29 500 000	13 000 000	18 500 000	4 543 701	0,—	0,—

Remarks

This appropriation is intended to support activities, beyond development cooperation, aimed at engaging further with partners on a bilateral, regional or multilateral basis and to finance the activities of the Coordination Platform for the Internationalisation of EU Businesses.

Legal basis

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories. (OJ L 347, 30.12.2011, p. 21).

CHAPTER 19 11 — POLICY STRATEGY AND COORDINATION FOR THE ‘EXTERNAL RELATIONS’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 11	Policy strategy and coordination for the ‘External relations’ policy area								
19 11 01	Evaluation of results of Union aid, follow-up and audit measures	4	14 840 000	12 000 000	14 000 000	11 454 708	14 000 000,00	14 267 694,23	118,90 %
19 11 02	Information outreach on EU – External relations	4	12 300 000	12 300 000	11 500 000	13 077 458	11 748 247,13	12 241 672,02	99,53 %
19 11 03	The European Union in the world	4	1 490 000	1 200 000	2 500 000	3 913 692	1 659 996,00	1 262 723,40	105,23 %

	Chapter 19 11 — Total		28 630 000	25 500 000	28 000 000	28 445 858	27 408 243,13	27 772 089,65	108,91 %
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Article 19 11 01 — Evaluation of results of Union aid, follow-up and audit measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 840 000	12 000 000	14 000 000	11 454 708	14 000 000,00	14 267 694,23

Remarks

This appropriation is intended to cover the financing of evaluations, monitoring and support measures during the programming, preparation, implementation stages of development operations, strategies and policies, including the following:

- effectiveness, efficiency, relevance, impact and viability studies,
- monitoring of operations in the course of implementation and after their completion,
- support measures to improve the quality of monitoring systems, methodologies and practices concerning ongoing operations and the preparation of future operations,
- feedback of and information activities on findings, conclusions and recommendations of evaluations into the decision making cycle,
- methodological developments to improve the quality and utility of evaluations, including research, feedback, information and training activities thereon.

This appropriation also covers the financing of audit activities concerning the management of programmes and projects implemented by the Commission in the external aid field. It will also cover the financing of training activities, based on the special rules governing Union external aid and organised for external auditors.

Furthermore, this appropriation is also intended to support continued efforts to develop further development cooperation impact measurements and indicators.

Finally, this appropriation is intended to cover expenditure on studies and elaboration of methodological tools, meetings of experts, information and publications, as well as knowledge-sharing and training activities concerning the design and implementation of external assistance programmes, in particular on the project and programme cycle management and on capacity development.

Legal basis.

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 11 02 — Information outreach on EU – External relations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 300 000	12 300 000	11 500 000	13 077 458	11 748 247,13	12 241 672,02

Remarks

The information activities to be carried out under this budget line fall into two broad categories: horizontal activities and logistical support from headquarters, and activities carried out by Union delegations in third countries and vis-à-vis international organisations.

Measures carried out from headquarters:

- the European Union visitors programme (EUVP), carried out jointly by the European Parliament and the Commission, provides some 170 participants a year, chosen by the Union delegations, with the opportunity of experiencing contact with the Union by visiting the European Parliament and the Commission as part of a made-to-measure individual programme of visits based on a theme,

- the production and distribution of publications on priority themes as part of an annual programme,
- the production and dissemination of audiovisual material,
- the development of information delivered via electronic media (the Internet and electronic message systems),
- the organisation of visits for groups of journalists,
- the support for the information activities of opinion leaders that are consistent with the Union's priorities,

The Commission will continue to fund news broadcasts in Farsi.

Decentralised measures carried out by Union delegations in third countries and vis-à-vis international organisations

In accordance with the communication goals laid down for each region and each country, the Union delegations propose an annual communication plan, which, once approved by headquarters, is assigned a budgetary allocation covering the following activities:

- websites,
- relations with the media (press conferences, seminars, radio programmes, etc.),
- information products (other publications, graphic material, etc.),
- organisation of events, including cultural activities,
- newsletters,
- information campaigns.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 19 11 03 — The European Union in the world

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 490 000	1 200 000	2 500 000	3 913 692	1 659 996,00	1 262 723,40

Remarks

This appropriation is intended to cover the funding of priority information and communication activities directed towards the citizens of the Union and dealing with the Union's external policies as a whole.

The areas which will be covered by information activities include those below, but may embrace other aspects of the Union's external relations, particularly in relation to the future of the Union's external policy:

- addressing the weak public perception of external assistance. The objective is to make clear that external assistance is an integral part of what the Union does and is one of the crucial policies that define the Union and its role in the world, and to raise awareness of the fact that the Union is delivering tangible results on behalf of Union citizens to fight poverty and to provide high-quality sustainable development worldwide,
- the 'European Neighbourhood Policy' (ENP). The (ENP) was launched on the basis of a communication from the Commission to the Council and the European Parliament of 11 March 2003 entitled 'Wider Europe — Neighbourhood: A new framework for relations with our eastern and southern neighbours' (COM(2003) 104 final). The actions which are part of this activity will continue to provide information on the activities of the Union in the framework of its 'European Neighbourhood Policy',
- information activities, to be carried out in cooperation with the Council, on the aims and development of the common foreign and security policy,
- the organisation of visits for groups of representatives of civil society.

The Commission has adopted two communications to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions on a new framework for cooperation in activities concerning the information and communication policy of the European Union (COM(2001) 354 final and COM(2002) 350 final). They propose an interinstitutional framework for

cooperation between the institutions and with the Member States for the development of a communication and information policy strategy for the Union.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of Union information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years on the basis of information provided by the Commission.

Irrespective of the beneficiary of the measure, no administrative expenditure against this article is authorised.

Legal basis

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents (OJ L 145, 31.5.2001, p. 43).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 19 49 — EXPENDITURE ON ADMINISTRATIVE MANAGEMENT OF PROGRAMMES COMMITTED IN ACCORDANCE WITH THE FORMER FINANCIAL REGULATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 49	Expenditure on administrative management of programmes committed in accordance with the former Financial Regulation								
19 49 04	Support expenditure for operations in the 'External relations' policy area								
19 49 04 04	Financial and technical cooperation with developing countries in Asia — Expenditure on administrative management	4	p.m.	p.m.	—	p.m.	0,—	0,—	
19 49 04 05	Financial and technical cooperation with developing countries in Latin America — Expenditure on administrative management	4	p.m.	p.m.	—	p.m.	0,—	0,—	
19 49 04 06	Assistance to partner countries in eastern Europe and Central Asia — Expenditure on administrative management	4	p.m.	p.m.	—	p.m.	0,—	0,—	
19 49 04 12	MEDA (measures to accompany the reforms to the economic and social structures in the Mediterranean third countries) — Expenditure on administrative management	4	p.m.	p.m.	—	p.m.	0,—	0,—	
	<i>Article 19 49 04 — Subtotal</i>		p.m.	p.m.	—	p.m.	0,—	0,—	
	Chapter 19 49 — Total		p.m.	p.m.	—	p.m.	0,—	0,—	

Article 19 49 04 — Support expenditure for operations in the ‘External relations’ policy area

Item 19 49 04 04 — Financial and technical cooperation with developing countries in Asia — Expenditure on administrative management

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the liquidation of commitments contracted under Item 19 01 04 04 (former Articles B7-3 0 0 A, B7-3 0 2 A, and B7-3 0 4 A) that previously carried differentiated appropriations.

Item 19 49 04 05 — Financial and technical cooperation with developing countries in Latin America — Expenditure on administrative management

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the liquidation of commitments contracted under Item 19 01 04 05 (former Articles B7-3 1 0 A, B7-3 1 2 A, and B7-3 1 3 A) that previously carried differentiated appropriations.

Item 19 49 04 06 — Assistance to partner countries in eastern Europe and Central Asia — Expenditure on administrative management

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the liquidation of commitments contracted under Item 19 01 04 07 (former Article B7-5 2 0 A) that previously carried differentiated appropriations.

Item 19 49 04 12 — MEDA (measures to accompany the reforms to the economic and social structures in the Mediterranean third countries) — Expenditure on administrative management

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the liquidation of commitments contracted under Item 19 01 04 06 (former Article B7-4 1 0 A) that previously carried differentiated appropriations.

TITLE 20 — TRADE

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
20 01	Administrative expenditure of the 'Trade' policy area		95 099 045	95 099 045	93 019 275	93 019 275	93 332 465,75	93 332 465,75
	40 01 40				37 417	37 417		
					93 056 692	93 056 692		
20 02	Trade policy	4	13 500 000	11 500 000	11 125 000	8 495 576	12 737 955,41	11 091 449,23
	Title 20 — Total		108 599 045	106 599 045	104 144 275	101 514 851	106 070 421,16	104 423 914,98
	40 01 40				37 417	37 417		
	Total including reserves				104 181 692	101 552 268		

CHAPTER 20 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TRADE' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
20 01	Administrative expenditure of the 'Trade' policy area					
20 01 01	Expenditure related to staff in active employment in the 'Trade' policy area					
20 01 01 01	Expenditure related to staff in active employment of the Directorate-General for Trade	5	49 112 626	47 265 499	47 087 246,25	95,88 %
20 01 01 02	Expenditure related to staff in active employment of Union delegations	5	14 089 743	13 244 517	13 578 721,21	96,37 %
	<i>Article 20 01 01 — Subtotal</i>		63 202 369	60 510 016	60 665 967,46	95,99 %
20 01 02	External staff and other management expenditure in support of the 'Trade' policy area					
20 01 02 01	External staff of the Directorate-General for Trade	5	3 258 902	3 531 000	3 644 532,45	111,83 %
20 01 02 02	External staff of the Directorate-General for Trade in Union delegations	5	6 459 410	6 484 544	5 962 614,00	92,31 %
20 01 02 11	Other management expenditure of the Directorate-General for Trade	5	4 388 200	4 359 091	4 833 871,40	110,16 %
	40 01 40			37 417	4 396 508	
20 01 02 12	Other management expenditure of the Directorate-General for Trade in Union delegations	5	1 541 546	1 634 953	1 756 286,00	113,93 %
	<i>Article 20 01 02 — Subtotal</i>		15 648 058	16 009 588	16 197 303,85	103,51 %
	40 01 40			37 417	16 047 005	
20 01 03	Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Trade' policy area					
20 01 03 01	Expenditure related to information and communication technology equipment and services of the Directorate-General for Trade	5	3 052 323	3 017 820	3 549 750,94	116,30 %
20 01 03 02	Buildings and related expenditure of the Directorate-General for Trade in Union delegations	5	12 766 295	13 051 851	12 516 963,00	98,05 %
	<i>Article 20 01 03 — Subtotal</i>		15 818 618	16 069 671	16 066 713,94	101,57 %
20 01 04	Support expenditure for operations in the 'Trade' policy area					
20 01 04 01	External trade relations, including access to the markets of third countries — Expenditure on administrative management	4	430 000	430 000	402 480,50	93,60 %
	<i>Article 20 01 04 — Subtotal</i>		430 000	430 000	402 480,50	93,60 %
	Chapter 20 01 — Total		95 099 045	93 019 275	93 332 465,75	98,14 %
	40 01 40			37 417		
	Total including reserves			93 056 692		

Article 20 01 01 — Expenditure related to staff in active employment in the ‘Trade’ policy area

Item 20 01 01 01 — Expenditure related to staff in active employment of the Directorate-General for Trade

Figures

Budget 2013	Appropriations 2012	Outturn 2011
49 112 626	47 265 499	47 087 246,25

Item 20 01 01 02 — Expenditure related to staff in active employment of Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
14 089 743	13 244 517	13 578 721,21

Article 20 01 02 — External staff and other management expenditure in support of the ‘Trade’ policy area

Item 20 01 02 01 — External staff of the Directorate-General for Trade

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 258 902	3 531 000	3 644 532,45

Item 20 01 02 02 — External staff of the Directorate-General for Trade in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 459 410	6 484 544	5 962 614,00

Item 20 01 02 11 — Other management expenditure of the Directorate-General for Trade

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
20 01 02 11	4 388 200	4 359 091	4 833 871,40
40 01 40		37 417	
Total	4 388 200	4 396 508	4 833 871,40

Item 20 01 02 12 — Other management expenditure of the Directorate-General for Trade in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 541 546	1 634 953	1 756 286,00

Article 20 01 03 — Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Trade’ policy area

Item 20 01 03 01 — Expenditure related to information and communication technology equipment and services of the Directorate-General for Trade

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 052 323	3 017 820	3 549 750,94

Item 20 01 03 02 — Buildings and related expenditure of the Directorate-General for Trade in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
12 766 295	13 051 851	12 516 963,00

Article 20 01 04 — Support expenditure for operations in the ‘Trade’ policy area

Item 20 01 04 01 — External trade relations, including access to the markets of third countries — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
430 000	430 000	402 480,50

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, such as the maintenance of the DG Trade Internet site.

It covers administrative expenditure under Article 20 02 01.

CHAPTER 20 02 — TRADE POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
20 02	Trade policy								
20 02 01	<i>External trade relations, including access to the markets of third countries</i>	4	9 000 000	8 500 000	7 300 000	7 159 193	8 237 955,41	8 268 043,41	97,27 %
20 02 03	<i>Aid for trade — Multilateral initiatives</i>	4	4 500 000	3 000 000	3 825 000	1 336 383	4 500 000,00	2 823 405,82	94,11 %
	Chapter 20 02 — Total		13 500 000	11 500 000	11 125 000	8 495 576	12 737 955,41	11 091 449,23	96,45 %

Article 20 02 01 — External trade relations, including access to the markets of third countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 000 000	8 500 000	7 300 000	7 159 193	8 237 955,41	8 268 043,41

Remarks

This appropriation is intended to support the following actions:

Activities supporting the conduct of ongoing and new multi- and bilateral trade negotiations

Actions aim to strengthen the Union's negotiating position in ongoing multilateral trade negotiations (in the context of the Doha Development Agenda) as well as ongoing and new bilateral and regional trade negotiations, to ensure that Union policy conception is based on comprehensive and up-to-date expert information and to build coalitions for their successful completion, including:

- expert studies and seminars in connection with the preparation of policy and negotiating positions and with the conduct of ongoing/new trade negotiations,
- development and implementation of a consistent and comprehensive communication and information strategy, promoting the Union's trade policy and raising awareness of the detail and objectives of the Union's trade policy and ongoing negotiation positions, both within and outside the Union.

Studies, evaluations and impact assessments in relation to trade agreements and policies

Actions to ensure that the Union's trade policy is underpinned by, and takes proper account of, ex-ante and ex-post evaluation results, including:

- impact assessments carried out in view of possible new legislative proposals and sustainable impact assessments carried out in support of ongoing negotiations and designed to assess the impact of trade negotiations on sustainable development and, where necessary, propose flanking measures to combat any negative outcomes for specific countries or sectors,
- evaluations of DG Trade's policies and practices to be carried out following the DG's multiannual evaluation plan.

Trade-related technical assistance, training and other capacity-building actions towards developing countries

Actions aiming to strengthen the capacity of developing countries to participate in international, bilateral or bi-regional trade negotiations, to implement international trade agreements and to participate in the world trading system, including:

- projects involving training and capacity-building actions aimed at developing country officials and operators, mainly in the field of sanitary and phytosanitary measures,
- expenditure incurred by Member State experts advising developing country officials and operators on compliance with sanitary, phytosanitary and other trade-related measures,
- reimbursement of the expenses of participants in forums and conferences designed to build awareness and expertise in trade affairs among developing country nationals,
- management, further development and promotion of the Exports helpdesk that provides industry in developing countries with information on access to Union markets, and facilitates efforts by such industry to take advantage of market access opportunities offered by the international trading system,
- trade-related technical assistance programmes arranged in the forum of the World Trade Organisation (WTO) and other multilateral organisations, in particular WTO Trust Funds,
- information and promotion activities and seminars in developing countries for State and non-State actors (including civil society and business actors) to explain the state of play of ongoing negotiations and/or implementation of existing agreements,
- research on the impact of trade-related sustainability assurance schemes on producers and workers in developing countries (including comparative analysis of costs and benefits of certification schemes), as well as their perception by consumers,
- provision of technical assistance and capacity-building for producers and producer associations or cooperatives with a view to increasing market access (for instance with regard to compliance with standards and regulations),
- provision of advice to policymakers on how best to ensure that the specific interests of small producers and workers in developing countries are reflected across all policy areas and promotion of an enabling environment for producers' access to trade-related sustainability assurance schemes.

Market access activities supporting the implementation of the Union's market access strategy

Actions in support of the Union's market access strategy, which aims at removing or lowering barriers to trade, identifying trade restrictions in third countries and, where appropriate, removing obstacles to trade. These actions may include:

- maintenance and further development of the market access database, available to economic operators via the Internet, listing trade barriers and other information affecting Union exports and Union exporters; purchase of the necessary information, data and documentation for this database,
- specific analysis of the various obstacles to trade in key markets, including analysis of the implementation by third countries of their obligations under international trade agreements in connection with the preparation of negotiations,
- conferences, seminars and other information activities (e.g. production and distribution of studies, information packs, publications and leaflets) to inform businesses, Member States officials and other actors about trade barriers and trade policy instruments aimed at protecting the Union against unfair trading practices such as dumping or export subsidies,
- support to the European industry for the organisation of activities specifically geared towards market access issues.

Activities supporting the implementation of existing rules and monitoring of trade obligations

Actions to support the implementation of existing trade agreements and the enforcement of related systems that enable effective implementation of these agreements as well as the conduct of investigations and inspection visits to ensure third countries are respecting the rules, including:

- exchange of information, training, seminars and communication activities to support the implementation of existing Union legislation in the area of dual use export controls and in particular of the new rules introduced in Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items (OJ L 134, 29.5.2009, p. 1),
- activities to facilitate investigations carried out in the context of the trade defence investigations with the aim of defending Union producers against unfair trade practices by third countries (anti-dumping, anti-subsidy and safeguards instruments) that can be harmful to the economy of the Union. In particular, activities will focus on the development, maintenance and security of information technology systems supporting trade defence activities, the production of communications tools, the purchase of legal services in third countries and the conducting of expert studies,
- actions relating to the development and evolutive maintenance of the management systems developed for the Integrated system for the management of licences for imports of textiles, clothing, footwear and steel to the Union (SIGL), the wood-export-quota management support system (TRQ-RW: support wood-export-quota management) developed as a result of the accession of Russia to the WTO and referring to conditions for imports of wood from Russia into the EU as well as the Anti-Counterfeiting Rapid Intelligence Service System (ACRIS) supporting the participation of EU companies in reporting infringements of Intellectual Property Rights. This includes the implementation and operation of these systems and the definition of common guidelines for training and technical assistance for implementation. The expenditure also covers contributions to the functioning of systems (hardware, software, maintenance), the financing of information and training measures for system users, the financing of technical assistance as well as, where applicable, the provision of helpdesk facilities, which are mainly used by Member States.

Activities with a view to promoting the Union's external trade policy through a process of structured dialogue with key opinion formers, civil society and other stakeholders (including small and medium-sized enterprises)

Action to support the Union's trade policy by the organisation of specific forums and meetings with a view to promoting dialogue with key opinion formers, civil society and other stakeholders (including small and medium-sized enterprises) on external trade issues.

The Commission support for these actions may involve conference or event-related services, as well as the reimbursement of the travel expenses incurred by individuals taking part in these actions, especially in the context of DG Trade's Civil Society Dialogue as well as seminars and meetings with Member States, third countries, small and medium-sized enterprises and business stakeholders to exchange views on trade policy, in particular in the area of trade defence policy.

Legal and other expert assistance required in implementation of existing trade agreements

Actions to ensure that the Union's trading partners effectively adhere to and comply with obligations arising under the WTO and other multilateral and bilateral agreements, including:

- expert studies, including inspection visits, as well as specific investigations, and seminars on implementation by third countries of their obligations under international trade agreements,
- legal expertise, especially on questions of foreign law, required to facilitate defence by the Union of its position in WTO dispute-settlement cases, other expert studies necessary for the preparation, management and follow-up to WTO dispute settlement cases,
- arbitration costs, legal expertise and fees incurred by the Union as party to the disputes arising from the implementation of international agreements concluded under Article 207 of the Treaty on the Functioning of the European Union.

Investor to state dispute settlement as established by international agreements

The following expenditure is to support:

- arbitration costs, legal expertise and fees incurred by the Union as party to the disputes arising from the implementation of international agreements concluded under Article 207 of the Treaty on the Functioning of the European Union,
- payment of final award or award settlements paid to an investor in the context of such international agreements.

Legal basis

Council and Commission Decision 98/181/EC, ECSC, Euratom of 23 September 1997 on the conclusion, by the European Communities, of the Energy Charter Treaty and the Energy Charter Protocol on energy efficiency and related environmental aspects (OJ L 69, 9.3.1998, p. 1).

Council Decision 98/552/EC of 24 September 1998 on the implementation by the Commission of activities relating to the Community market access strategy (OJ L 265, 30.9.1998, p. 31).

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 20 02 03 — Aid for trade — Multilateral initiatives

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 500 000	3 000 000	3 825 000	1 336 383	4 500 000,00	2 823 405,82

Remarks

This appropriation is intended to fund multilateral programmes and initiatives in the field of trade-related assistance to strengthen the capacity of developing countries to participate effectively in the multilateral trading system and regional trading arrangements and to improve their trade performance.

Multilateral initiatives and programmes to be funded under this appropriation will support the following actions:

Assistance for trade policy, participation in negotiations and implementation of trade agreements

Actions to strengthen the capacity of developing countries to formulate their trade policy and to strengthen the institutions involved in trade policy, including comprehensive and updated trade reviews and assistance in integrating trade into their respective policies for economic growth and development.

Actions to strengthen the capacity of developing countries to participate effectively in international trade negotiations and to implement international trade agreements.

Research to advise policymakers how best to ensure that the specific interests of small producers and workers in developing countries are reflected across all policy areas and promote an enabling environment for producers' access to trade-related sustainability assurance schemes.

This assistance is primarily targeted at the public sector.

Trade development

Actions to reduce supply-side constraints which impact directly on the ability of developing countries to exploit their international trading potential, including, in particular, private-sector development.

This appropriation complement the Union's geographical programmes and should only cover multilateral initiatives and programmes that offer real added value to the Union's geographical programmes, in particular the Integrated Framework for Least Developed Countries.

The Commission will provide a bi-annual report on implementation and results obtained and on the main outcomes and effects of aid for trade assistance. The Commission will provide information on a total figure for all aid for trade finance coming from within the general budget of the Union, and a total figure for aid for trade from within all 'trade-related assistance' provided.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 21 — DEVELOPMENT AND RELATIONS WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 01	Administrative expenditure of the 'Development and relations with ACP States' policy area		271 417 227	271 417 227	258 747 370	258 747 370	344 925	344 925
	40 01 40				29 933	29 933	999,56	999,56
					258 777 303	258 777 303		
21 02	Food security	4	258 629 000	186 614 000	246 264 700	216 053 058	247 512	319 350
							969,80	145,81
21 03	Non-State actors in development	4	244 400 000	195 100 000	233 018 000	188 093 567	231 797	202 310
							917,26	925,20
21 04	Environment and sustainable management of natural resources, including energy	4	217 150 000	163 700 000	200 713 000	163 775 032	226 845	136 346
							492,47	969,79
21 05	Human and social development	4	189 545 000	129 155 000	161 630 000	112 033 699	172 470	171 986
							985,20	176,97
21 06	Geographical cooperation with African, Caribbean and Pacific (ACP) States	4	331 982 779	343 200 000	345 693 444	324 730 039	337 666	300 494
							205,09	442,49
21 07	Development cooperation actions and ad-hoc programmes	4	34 198 140	34 143 000	32 110 000	29 600 874	31 173 374,81	29 980 375,50
21 08	Policy strategy and coordination for the 'Development and relations with ACP States' policy area	4	20 325 000	14 329 000	19 477 000	16 566 519	19 477 000,00	14 579 936,09
21 49	Expenditure on administrative management of programmes committed in accordance with the former Financial Regulation	4	—	p.m.	—	p.m.	0,—	0,—
	Title 21 — Total		1 567 647 146	1 337 658 227	1 497 653 514	1 309 600 158	1 611 869 944,19	1 519 974 971,41
	40 01 40				29 933	29 933		
	Total including reserves				1 497 683 447	1 309 630 091		

Remarks

Union assistance should not be given to any authority, organisation or programme which supports or participates in the management of an action which involves such human rights abuses as coercive abortion, involuntary sterilisation or infanticide, especially where such actions apply their priorities through psychological, social, economic or legal pressure, thus finally implementing the specific Cairo International Conference on Population and Development (ICPD) prohibition on coercion or compulsion in sexual and reproductive health matters. The Commission should present a report on the implementation of the Union's external assistance covering this programme.

CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DEVELOPMENT AND RELATIONS WITH ACP STATES' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
21 01	Administrative expenditure of the 'Development and relations with ACP States' policy area					
21 01 01	Expenditure related to staff in active employment in the 'Development and relations with ACP States' policy area					

21 01 01 01	Expenditure related to staff in active employment in the Directorate-General for Development and Cooperation – EuropeAid	5	76 751 322	75 944 564	77 744 173,70	101,29 %
21 01 01 02	Expenditure related to staff in active employment of the Directorate-General for Development and Cooperation – EuropeAid in Union delegations	5	91 583 327	82 410 328	84 659 587,20	92,44 %
	<i>Article 21 01 01 — Subtotal</i>		168 334 649	158 354 892	162 403 760,90	96,48 %
21 01 02	External staff and other management expenditure in support of the ‘Development and relations with ACP States’ policy area					
21 01 02 01	External staff of the Directorate-General for Development and Cooperation – EuropeAid	5	3 932 838	4 727 382	6 841 290,71	173,95 %
21 01 02 02	External staff of the Directorate-General for Development and Cooperation – EuropeAid in Union delegations	5	1 314 748	1 307 808	1 313 797,00	99,93 %
21 01 02 11	Other management expenditure of the Directorate-General for Development and Cooperation – EuropeAid	5	6 379 288	6 767 892	7 172 314,85	112,43 %
	40 01 40			29 933		
				6 797 825		
21 01 02 12	Other management expenditure of the Directorate-General for Development and Cooperation – EuropeAid in Union delegations	5	4 277 589	4 316 278	4 686 370,00	109,56 %
	<i>Article 21 01 02 — Subtotal</i>		15 904 463	17 119 360	20 013 772,56	125,84 %
	40 01 40			29 933		
				17 149 293		
21 01 03	Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Development and relations with ACP States’ policy area					
21 01 03 01	Expenditure related to information and communication technology equipment and services of the Directorate-General for Development and Cooperation – EuropeAid	5	4 770 054	4 848 928	5 874 416,66	123,15 %
21 01 03 02	Buildings and related expenditure of the Directorate-General for Development and Cooperation – EuropeAid in Union delegations	5	35 424 800	34 456 890	33 399 534,00	94,28 %
	<i>Article 21 01 03 — Subtotal</i>		40 194 854	39 305 818	39 273 950,66	97,71 %
21 01 04	Support expenditure for operations in the ‘Development and relations with ACP States’ policy area					
21 01 04 01	Financing instrument for development cooperation (DCI) — Expenditure on administrative management	4	46 438 261	43 533 300	44 622 705,60	96,09 %
21 01 04 03	Evaluation of the results of Union aid, follow-up and audit measures — Expenditure on administrative management	4	p.m.	p.m.	2 111 000,00	
21 01 04 04	Coordination and promotion of awareness on development issues — Expenditure on administrative management	4	270 000	204 000	243 483,84	90,18 %
21 01 04 05	Facility for rapid response to soaring food prices in developing countries — Expenditure on administrative management	4	—	—	179 697,00	
21 01 04 10	European Development Fund (EDF) contribution to common administrative support expenditure	4	p.m.	p.m.	75 847 954,00	
21 01 04 20	Administrative support expenditures for the ‘Development and relations with ACP States’ policy area	4	275 000	230 000	229 675,00	83,52 %
	<i>Article 21 01 04 — Subtotal</i>		46 983 261	43 967 300	123 234 515,44	262,29 %
	Chapter 21 01 — Total		271 417 227	258 747 370	344 925 999,56	127,08 %
	40 01 40			29 933		
	Total including reserves			258 777 303		

Article 21 01 01 — Expenditure related to staff in active employment in the ‘Development and relations with ACP States’ policy area

Item 21 01 01 01 — Expenditure related to staff in active employment in the Directorate-General for Development and Cooperation – EuropeAid

Figures

Budget 2013	Appropriations 2012	Outturn 2011
76 751 322	75 944 564	77 744 173,70

Item 21 01 01 02 — Expenditure related to staff in active employment of the Directorate-General for Development and Cooperation – EuropeAid in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
91 583 327	82 410 328	84 659 587,20

Article 21 01 02 — External staff and other management expenditure in support of the ‘Development and relations with ACP States’ policy area

Item 21 01 02 01 — External staff of the Directorate-General for Development and Cooperation – EuropeAid

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 932 838	4 727 382	6 841 290,71

Item 21 01 02 02 — External staff of the Directorate-General for Development and Cooperation – EuropeAid in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 314 748	1 307 808	1 313 797,00

Item 21 01 02 11 — Other management expenditure of the Directorate-General for Development and Cooperation – EuropeAid

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
21 01 02 11	6 379 288	6 767 892	7 172 314,85
40 01 40		29 933	
Total	6 379 288	6 797 825	7 172 314,85

Item 21 01 02 12 — Other management expenditure of the Directorate-General for Development and Cooperation – EuropeAid in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 277 589	4 316 278	4 686 370,00

Article 21 01 03 — Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Development and relations with ACP States’ policy area

Item 21 01 03 01 — Expenditure related to information and communication technology equipment and services of the Directorate-General for Development and Cooperation – EuropeAid

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 770 054	4 848 928	5 874 416,66

Item 21 01 03 02 — Buildings and related expenditure of the Directorate-General for Development and Cooperation – EuropeAid in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
35 424 800	34 456 890	33 399 534,00

Article 21 01 04 — Support expenditure for operations in the ‘Development and relations with ACP States’ policy area

Item 21 01 04 01 — Financing instrument for development cooperation (DCI) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
46 438 261	43 533 300	44 622 705,60

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external staff at headquarters is limited to EUR 3 653 300. This estimate is based on a provisional annual unit cost per man-year of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to those staff members,
- expenditure on external staff in Union delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out

technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the Union delegation of the external staff remunerated from the appropriations of this item,

- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions pursuant to Article 6 3 3 of the statement of revenue constitute assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapters 21 02, 21 03, 21 04, 21 05 and 21 06.

Item 21 01 04 03 — Evaluation of the results of Union aid, follow-up and audit measures — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	2 111 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is also intended to cover capacity-building and training activities for the principal players involved in the design and implementation of external aid programmes.

This appropriation covers administrative expenditure pursuant to Article 21 08 01.

Item 21 01 04 04 — Coordination and promotion of awareness on development issues — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
270 000	204 000	243 483,84

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on printing, translation, studies, meetings of experts, information and the purchase of information material directly linked to the achievement of the objective of the programme.

It also covers the costs of publication, production, storage, distribution and dissemination of information material, in particular via the Publications Office of the European Union, and other administrative costs related to coordination.

This appropriation is intended to cover administrative expenditure pursuant to Article 21 08 02.

Item 21 01 04 05 — Facility for rapid response to soaring food prices in developing countries — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
—	—	179 697,00

Remarks

This item is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff in Union delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries, as well as for the additional logistical and infrastructure cost, such as cost of training, meetings, missions, information technology and telecommunications and of renting directly caused by the presence in the Union delegation of the external staff remunerated from the appropriations in this item,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions pursuant to Article 6 3 3 of the statement of revenue constitute assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 2 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure pursuant to Article 21 02 03.

Legal basis

Regulation (EC) No 1337/2008 of the European Parliament and of the Council of 16 December 2008 establishing a facility for rapid response to soaring food prices in developing countries (OJ L 354, 31.12.2008, p. 62).

Item 21 01 04 10 — European Development Fund (EDF) contribution to common administrative support expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	75 847 954,00

Remarks

This item is intended to cover administrative support expenditure as decided under the ninth and tenth European Development Funds.

Any revenue from the European Development Fund (EDF) contributing to the cost of support measures entered in Article 6 3 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation. Additional appropriations will be made available under Item 21 01 04 10.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 60 000 000.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 18(1) thereof.

Item 21 01 04 20 — Administrative support expenditures for the ‘Development and relations with ACP States’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
275 000	230 000	229 675,00

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation is intended to cover administrative expenditure pursuant to Article 21 07 02.

CHAPTER 21 02 — FOOD SECURITY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 02	Food security								
21 02 01	Food security	4	258 629 000	185 000 000	246 264 700	181 366 213	245 633 145,80	200 191 014,33	108,21 %
21 02 02	Completion of the food aid convention	4	p.m.	1 100 000	p.m.	4 772 795	0,—	6 140 376,38	558,22 %
21 02 03	Facility for rapid response to soaring food prices in developing countries	4	p.m.	p.m.	p.m.	29 114 050	1 879 824,00	111 780 483,10	
21 02 04	Pilot project — Finance for agricultural production	4	p.m.	514 000	p.m.	800 000	0,—	1 238 272,00	240,91 %
	Chapter 21 02 — Total		258 629 000	186 614 000	246 264 700	216 053 058	247 512 969,80	319 350 145,81	171,13 %

Article 21 02 01 — Food security

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
258 629 000	185 000 000	246 264 700	181 366 213	245 633 145,80	200 191 014,33

Remarks

This appropriation is intended to contribute:

- to poverty and food insecurity reduction in chronic food-insecure developing countries,
- to mitigate the effects of crisis on the most vulnerable population.

As a bridging instrument between the short-term (crisis situations) and the long-term (development), the scope of this article includes protracted crises, rehabilitation and tackling structural food insecurity as a first step towards long-term poverty reduction.

More specifically, this appropriation is intended to contribute to the strategic priorities of the food security thematic programme including:

- research , technology and innovation related to food security,
- research to collect data on the impact of Fair Trade for marginalised producers and workers in the South, share best practices and carry out supply chain analyses, traceability and accountability assessments,
- research to advise policymakers how best to ensure that the specific interests of marginalised producers and poor workers in the South are reflected across all policy areas,
- food security governance at global, continental and regional levels, including linking information and decision-making to improve food security response strategies,
- addressing food security in exceptional situations of transition, and in fragile and failed states.
- support marginalised producers in developing countries to have access to prefinancing to help fund inputs and grants to work towards compliance with standards and regulations.

This programme is intended to benefit primarily the countries covered by the Financing instrument for development cooperation (DCI).

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitute assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006. Appropriations pursuant to this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

In order to ensure full financial transparency pursuant to Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits to the European Court of Auditors and to the Internal Auditor of the Commission regarding the use of Union funds.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled ‘External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013’ (COM(2005) 324 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006 entitled ‘External Actions: A thematic strategy for food security — Advancing the food security agenda to achieve the MDGs’ (COM(2006) 21 final).

Communication from the Commission to the Council and the European Parliament of 31 March 2010 entitled ‘An EU policy framework to assist developing countries in addressing food security challenges’ (COM(2010) 127 final).

Article 21 02 02 — Completion of the food aid convention

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 100 000	p.m.	4 772 795	0,—	6 140 376,38

Remarks

This appropriation is intended to contribute:

- to reducing poverty and food insecurity in chronically food-insecure developing countries,
- to mitigating the effects of crisis on the most vulnerable population.

As a bridging instrument between the short-term (crisis situations) and the long-term (development), the scope of this article includes protracted crises, rehabilitation and tackling structural food insecurity as a first step towards long-term poverty reduction.

More specifically, this appropriation is intended to finalise payments for ongoing food aid programmes and for ongoing budget support programmes establishing a foreign currency facility.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled 'External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006 entitled 'A thematic strategy for food security — Advancing the food security agenda to achieve the MDGs' (COM(2006) 21 final).

Article 21 02 03 — Facility for rapid response to soaring food prices in developing countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	29 114 050	1 879 824,00	111 780 483,10

Remarks

This appropriation is intended to support a rapid and direct response to the increased food prices in developing countries, addressing the period between emergency aid and medium- to long-term development cooperation. The primary objectives of the assistance and cooperation shall be to encourage a positive supply response of the agricultural sector in targeted countries and regions, to support activities to respond rapidly and to mitigate directly the negative effects of high food prices in line with global food security objectives and to strengthen the productive capacities and the governance of the agricultural sector to enhance the sustainability of interventions.

Taking into account the specific country-level conditions, the eligible support measures are:

- measures to improve access to agricultural inputs and services, including fertilisers and seeds, paying special attention to local facilities and availability,
- safety net measures aiming at maintaining or improving the agricultural productive capacity, and at addressing the basic food needs of the most vulnerable populations, including children,
- other small-scale measures aiming at increasing production based on country needs: microcredit, investment, equipment, infrastructure and storage; developing and strengthening associations and cooperatives so that they can build institutional and productive capacities; support for marginalised producers in developing countries so that they have access to prefinancing to help fund inputs and grants to work towards compliance with standards and regulations; as well as vocational training and support to professional groups in the agricultural sector.

The assistance shall benefit developing countries and their populations. Resources are concentrated on a limited list of 50 high-priority target countries.

Legal basis

Regulation (EC) No 1337/2008 of the European Parliament and of the Council of 16 December 2008 establishing a facility for rapid response to soaring food prices in developing countries (OJ L 354, 31.12.2008, p. 62).

Article 21 02 04 — Pilot project — Finance for agricultural production

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	514 000	p.m.	800 000	0,—	1 238 272,00

Remarks

This pilot project is intended to facilitate access to smallholder farmers to financial resources which should be used to stimulate agricultural production in developing countries. These appropriations should be channelled through organisations specialised in microfinance, including local banks and associations, which conform to recognised international standards of transparency, accountability and financial probity.

Taking into account the worldwide economic crisis, microfinance is needed more than ever before. The Union should take responsibility and attach importance to facilitating microfinance in developing countries.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 21 03 — NON-STATE ACTORS IN DEVELOPMENT

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 03	Non-State actors in development								
21 03 01	Non-State actors in development	4	208 700 000	175 000 000	198 461 980	175 638 859	194 568 207,77	178 479 935,65	101,99 %
21 03 02	Local authorities in development	4	35 700 000	19 600 000	32 556 020	11 454 708	37 229 709,49	23 830 989,55	121,59 %
21 03 03	Preparatory action — Regional African CSO Network for Millennium Development Goal 5	4	p.m.	500 000	2 000 000	1 000 000			
	Chapter 21 03 — Total		244 400 000	195 100 000	233 018 000	188 093 567	231 797 917,26	202 310 925,20	103,70 %

Article 21 03 01 — Non-State actors in development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
208 700 000	175 000 000	198 461 980	175 638 859	194 568 207,77	178 479 935,65

Remarks

This appropriation is intended to support initiatives in developing countries carried out by civil society organisations originating in the Union and partner countries in the area of development as well as to strengthen their capacity in contributing to the policymaking process, so as to:

- promote an inclusive and empowered society in order to (i) favour populations out of reach of mainstream services and resources and excluded from policymaking processes, (ii) strengthen the capacity of civil society organisations in partner countries, with a view to facilitating their participation in defining and implementing sustainable development strategies, (iii) facilitate interaction between state and non-state actors in different contexts,

- increase Europeans' awareness of development issues and mobilise active public support in the Union and acceding countries for poverty reduction and sustainable development strategies in partner countries and for fairer relations between developed and developing countries, and reinforce civil society's role as a factor of progress and transformation,
- achieve more efficient cooperation, foster synergies and ensuring a structured dialogue between civil society networks, within their organisations and with Union institutions.

These initiatives may also include:

- support to advocacy activities to inform policymakers at all levels on policies that best benefit marginalised producers and workers in developing countries,
- developing and strengthening associations and cooperatives in developing countries so that they can build institutional and productive capacities to be able to develop added value products and scale up,
- support to Southern Fair Trade producer networks which represent the voice of marginalised Fair Trade producers.

This programme is intended to benefit primarily the countries covered by the Financing instrument for development cooperation (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the European Neighbourhood and Partnership Instrument (ENPI) countries under the thematic programmes, an envelope equivalent to 3,9 % of this programme is foreseen for such countries.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006. Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

In order to ensure full financial transparency pursuant to Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make all efforts that they commit to sending all their internal and external audits to the European Court of Auditors and to the Internal Auditor of the Commission regarding the use of Union funds.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled 'External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the Council and the European Parliament, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 25 January 2006 entitled 'The thematic programme "Non-state Actors and Local Authorities in Development"' (COM(2006) 19 final).

Article 21 03 02 — Local authorities in development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
35 700 000	19 600 000	32 556 020	11 454 708	37 229 709,49	23 830 989,55

Remarks

This appropriation is intended to support initiatives in developing countries carried out by local authorities originating in the Union and partner countries in the area of development as well as to strengthen their capacity in the policymaking process, so as to:

- promote an inclusive and empowered society in order to: (i) favour populations out of reach of mainstream services and resources and excluded from policymaking processes; (ii) strengthen the capacity of local and regional authorities in partner countries, with a view to facilitating their participation in defining and implementing sustainable development strategies; and (iii) facilitate interaction between state and local and regional authorities in different contexts, and support an increased role for local authorities in decentralisation processes,
- increase Europeans' awareness of development issues and mobilise active public support in the Union and acceding countries for poverty reduction and sustainable development strategies in partner countries and for fairer relations between developed and developing countries, including raising awareness of North-South trading relations and Union consumers' purchasing decisions and their impact on sustainable development and poverty reduction, and reinforce the roles of local and regional authorities for these purposes,
- achieve more efficient cooperation, foster synergies and ensure structured dialogue among local and regional authorities associations and with civil society, within their organisations and with Union institutions.

This programme is intended to benefit primarily the countries covered by the Financing instrument for development cooperation (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the European Neighbourhood and Partnership Instrument (ENPI) countries under the thematic programmes, an envelope equivalent to 3,9 % of this programme is foreseen for such countries.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006. Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled 'External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 25 January 2006 entitled 'External Actions through the thematic programme "Non-State Actors and Local Authorities in Development"' (COM(2006) 19 final).

Communication from the Commission to the Council, the European Parliament, and the European Economic and Social Committee and the Committee of the Regions of 6 October 2008 entitled 'Local authorities: actors for development' (COM(2008) 626 final).

Article 21 03 03 — Preparatory action — Regional African CSO Network for Millennium Development Goal 5

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	500 000	2 000 000	1 000 000	

Remarks

This appropriation is intended to fund the establishment of an East-African regional network of Millennium Development Goal 5 organisations, bringing together existing national CSO platforms of advocacy and service-delivery organisations from Kenya, Tanzania, Uganda, Rwanda and Burundi — all countries with some of the worst maternal and reproductive health indicators worldwide. This Millennium Development Goal 5 network will aim at providing an informal forum for the exchange of ideas, information and experience in the field of maternal and reproductive health and at providing opportunities for member organisations to explore possibilities for multi-country collaboration and cooperation to highlight the urgent need for increased political and financial attention on Millennium Development Goal 5.

Activities carried out under this project include the establishment of a network secretariat in one of the member organisations, the establishment of virtual platform to disseminate and share information and best practices as well as the organisation of regional events with the aim of improving maternal and reproductive health outcomes in the region.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 21 04 — ENVIRONMENT AND SUSTAINABLE MANAGEMENT OF NATURAL RESOURCES, INCLUDING ENERGY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 04	Environment and sustainable management of natural resources, including energy								
21 04 01	Environment and sustainable management of natural resources, including energy	4	217 150 000	162 000 000	200 713 000	162 275 032	223 845 492,47	135 694 636,79	83,76 %
21 04 05	Global Energy Efficiency and Renewable Energy Fund (GEEREF)	4	p.m.	200 000	p.m.	p.m.	0,—	0,—	
21 04 06	Preparatory action — Water management in developing countries	4	p.m.	1 500 000	p.m.	1 500 000	3 000 000,00	652 333,00	43,49 %
	Chapter 21 04 — Total		217 150 000	163 700 000	200 713 000	163 775 032	226 845 492,47	136 346 969,79	83,29 %

Remarks

Article 21 04 01 — Environment and sustainable management of natural resources, including energy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
217 150 000	162 000 000	200 713 000	162 275 032	223 845 492,47	135 694 636,79

Remarks

This appropriation is intended to promote and implement the policy on the environment and on the sustainable management of natural resources, including energy, as it applies to the Union's relations with developing countries and with Europe's neighbours.

Financial support is provided to actions in five priority areas: (1) working upstream on Millennium Development Goal 7: promoting environmental sustainability; (2) promoting implementation of Union initiatives and helping developing countries to meet internationally agreed commitments; (3) improving expertise for integration and coherence; (4) strengthening environmental governance and Union leadership; and (5) supporting sustainable energy options in partner countries and regions.

Part of this appropriation is to be used for the mainstreaming of disaster risk reduction, based on ownership and national strategies of

disaster-prone countries.

Support for sustainable energy options in partner countries and regions also includes appropriations to cover the Union contribution to the Global Energy Efficiency and Renewable Energy Fund (GEEREF). The objective of GEEREF is to mobilise public and private finance to help solve the financing gridlock for economic renewable energy projects and businesses, in developing countries and in (non-Union) economies in transition.

Part of these appropriations will also be used to support the UN initiative ‘Sustainable Energy for all’.

Support for climate change adaptation in partner countries and regions includes a contribution to further strengthen the implementation of the European Union’s Action Plan on Climate Change and Development through the Global Climate Change Alliance (GCCA). The GCCA is instrumental to enhance the cooperation between the Union and developing countries on climate change, particularly in the area of adaptation towards the impacts of climate change, which is most acute for many poor developing countries.

This programme is intended to benefit primarily the countries covered by the Financing instrument for development cooperation (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the European Neighbourhood and Partnership Instrument (ENPI) countries under the thematic programmes, an envelope of EUR 63 000 000 is foreseen for such countries. This appropriation is also intended to cover the completion of payments for actions funded under the former Article 21 02 05 ‘Environment in Developing Countries’.

In order to ensure full financial transparency pursuant to Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make all efforts that they commit to sending all their internal and external audits to the European Court of Auditors and to the Internal Auditor of the Commission regarding the use of Union funds.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006. Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled ‘External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013’ (COM(2005) 324 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006 entitled ‘External Action: Thematic Programme For Environment and Sustainable Management of Natural Resources including Energy’ (COM(2006) 20 final).

Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 9 March 2010 entitled ‘International climate policy post-Copenhagen: Acting now to reinvigorate global action on climate change’ (COM(2010) 86 final).

Article 21 04 05 — Global Energy Efficiency and Renewable Energy Fund (GEEREF)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	200 000	p.m.	p.m.	0,—	0,—

Remarks

This appropriation will be used to support the creation of the Global Energy Efficiency and Renewable Energy Fund (GEEREF), which aims at providing risk capital to different types of renewable energy and energy efficiency investment projects in developing countries, Europe and its neighbouring countries.

In the context of climate change, the Union needs to take the lead as regards measures to reduce the effects and causes of climate change. The exchange of good practices between the Member States in the area of energy efficiency will enable the Union to act in a coordinated fashion, thus helping to lay down joint energy policy strategies and actions. The Union should consider promoting energy efficiency as an instrument to combat the consequences of climate change and also stimulate the use of renewable energy resources in developing countries so as to make them less energy-dependent.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation. (OJ L 378, 27.12.2006, p. 41). These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 21 04 06 — Preparatory action — Water management in developing countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 500 000	p.m.	1 500 000	3 000 000,00	652 333,00

Remarks

This appropriation is intended to cover the promotion of water management in developing countries and, in particular, to enhance cooperation between developing countries sharing transboundary waters.

It is intended to cover the development and improvement of tools for water management and, in particular, to enhance cooperation between developing countries.

Part of this appropriation may be used to give technical assistance for the implementation of agreements on water management between developing countries.

It is also intended to cover multi-stakeholder dialogue and coordination to improve efficiency and effectiveness of water management and, in particular, with a view to cooperation between developing countries.

Measures include support to African regions suffering from acute water shortage.

This appropriation is also intended to cover measures to support the dissemination and exchange of results and best practices in developing countries.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Communication from the Commission to the Council and the European Parliament of 12 March 2002 entitled 'Water management in developing countries policy and priorities for EU development cooperation' (COM(2002) 132 final).

Council resolution of 30 May 2006 on Water management in developing countries: Policy and priorities for EU Development Cooperation (document DEVGEN 83 ENV 309, 9696/02).

CHAPTER 21 05 — HUMAN AND SOCIAL DEVELOPMENT

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 05	Human and social development								
21 05 01	Human and social development								
21 05 01 01	Health	4	58 552 000	30 000 000	38 190 000	15 463 856	34 922 919,52	33 958 402,00	113,19 %
21 05 01 02	Education	4	40 693 000	9 000 000	p.m.	8 113 752	33 048 065,68	22 847 810,00	253,86 %
21 05 01 03	Other aspects of human and social development	4	40 300 000	23 000 000	71 440 000	17 683 206	0,—	21 316 939,00	92,68 %
21 05 01 04	Gender equality	4	p.m.	10 000 000	p.m.	12 958 139	37 000 000,00	7 217 046,64	72,17 %
21 05 01 05	Pilot project — Qualitative and quantitative monitoring of health and education expenditure	4	p.m.	p.m.	p.m.	50 000	0,—	106 883,10	
21 05 01 06	Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries	4	p.m.	1 385 000	p.m.	1 384 000	0,—	0,—	
21 05 01 07	Preparatory action — Research and development on poverty-related, tropical and neglected diseases	4	p.m.	1 270 000	p.m.	2 800 000	0,—	1 360 172,00	107,10 %
21 05 01 08	Pilot project — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)	4	p.m.	400 000	p.m.	80 000	2 500 000,00	1 462 063,84	365,52 %
21 05 01 09	Preparatory action — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)	4	p.m.	500 000	2 000 000	1 000 000			
	<i>Article 21 05 01 — Subtotal</i>		139 545 000	75 555 000	111 630 000	59 532 953	107 470 985,20	88 269 316,58	116,83 %
21 05 02	Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM)	4	50 000 000	50 000 000	50 000 000	47 727 951	65 000 000,00	65 000 000,00	130,00 %
21 05 03	Human and social development — Completion of former cooperation	4	p.m.	3 600 000	p.m.	4 772 795	0,—	18 716 860,39	519,91 %
	Chapter 21 05 — Total		189 545 000	129 155 000	161 630 000	112 033 699	172 470 985,20	171 986 176,97	133,16 %

Article 21 05 01 — Human and social development

Item 21 05 01 01 — Health

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
58 552 000	30 000 000	38 190 000	15 463 856	34 922 919,52	33 958 402,00

Remarks

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Good health for all' component of the thematic programme 'Investing in people'.

Financial support is provided to actions in four priority areas: (1) combating poverty and neglected diseases, targeting communicable diseases and diseases that can be prevented by vaccination; (2) improving maternal health, reproductive and sexual health in developing countries; (3) improving equitable access to health providers, commodities and health services; and (4) keeping a balanced approach between prevention, treatment and care, with prevention as a key priority.

This appropriation may not be made available to the Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM). Part of the appropriation will be provided for technical aid in recipient countries. This allocation will complement the financing role of the GFATM by ensuring that a coordinated and effective technical support mechanism is in place, resulting in effective implementation of Global Fund appropriations.

This programme is intended to benefit primarily the countries covered by the Development Cooperation Instrument (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit European Neighbourhood and Partnership Instrument (ENPI) countries under the thematic programmes, an envelope equivalent to 6 % of this programme over the period 2007-2013 is foreseen for such countries.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter. This appropriation is also intended to cover zinc supplementation and other micronutrients for the treatment and prevention of diarrhoea and malnutrition in developing countries.

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Good health for all' component of the thematic programme 'Investing in people'.

Appropriations under this title and within the second priority (improving reproductive and sexual health in developing countries) should specifically finance interventions in countries with critical indicators in maternal and reproductive health to reduce bottlenecks and promote good practices for the achievement of Millennium Development Goal 5 ('Improve maternal health') by 2015 and the advancement of the full implementation of the ICPD Programme of Action, notably by supporting capacity-building for the design and implementation of national maternal, reproductive health and family planning strategies within health systems strengthening.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 25 January 2006 entitled 'Investing in people. Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013' (COM(2006) 18 final).

Item 21 05 01 02 — Education

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
40 693 000	9 000 000	p.m.	8 113 752	33 048 065,68	22 847 810,00

Remarks

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Education, knowledge and skills' component of the thematic programme 'Investing in people'.

Financial support is provided to actions in seven priority areas: (1) the Millennium Development Goal of achieving universal primary education by 2015 and the Dakar Framework for Action on Education for All; (2) basic, secondary and higher education plus vocational education and training, to improve access to education for all children and, increasingly, for women and men of all ages; (3) promotion of high-quality basic education, with particular focus on access for girls, children in conflict-affected areas and children from marginalised and more vulnerable social groups including children with disabilities; (4) developing ways to measure learning outcomes in order to better assess the quality of education; (5) promoting donor harmonisation and alignment to promote universal, compulsory, free and high quality education through international or multi-country initiatives; (6) supporting an inclusive knowledge-based society and contributing to bridging the digital divide, and knowledge and information gaps; and (7) improving knowledge and

innovation through science and technology together with the development of, and access to, electronic communication networks.

The measures should take into consideration the fact that migration is reduced as a result of improved education and hence life prospects in countries of origin.

This programme is intended to benefit primarily the poorest and least developed countries and the most disadvantaged sections of the population in countries covered by Article 1(2) of Regulation (EC) No 1905/2006.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled 'External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006 entitled 'Investing in people. Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013' (COM(2006) 18 final).

Item 21 05 01 03 — Other aspects of human and social development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
40 300 000	23 000 000	71 440 000	17 683 206	0,—	21 316 939,00

Remarks

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Other aspects of human and social development' component of the thematic programme 'Investing in people', which focuses on the core themes of good health for all, knowledge and skills, culture, employment and social cohesion, gender equality, children and youth. Action in the four areas should take into account cross-cutting issues such as gender equality, needs of people with disabilities and environmental protection.

Financial support is provided for actions in three priority areas: (1) culture; (2) employment and social cohesion; and (3) children and youth.

This appropriation is also intended to support Tibetan civil society in China and in exile and the revitalisation of Tibetan communities in exile.

This programme is intended to benefit the poorest and least developed and the most disadvantaged sections of the population in countries covered by Article 1(2) of Regulation (EC) No 1905/2006. In line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the European Neighbourhood and Partnership Instrument (ENPI) countries under the thematic programmes, a specific indicative envelope is foreseen under priorities (2) and (3) for such countries over the period 2007-2013.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance

with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled ‘External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013’ (COM(2005) 324 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006 entitled ‘Investing in people — Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013’ (COM(2006) 18 final).

Item 21 05 01 04 — Gender equality

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	10 000 000	p.m.	12 958 139	37 000 000,00	7 217 046,64

Remarks

This appropriation is intended to provide financial support to actions in developing countries and in Europe’s neighbouring countries under the ‘Gender equality’ component of the thematic programme ‘Investing in people’, which focuses on the core themes of good health for all, knowledge and skills, culture, employment and social cohesion, gender equality, children and youth.

Financial support is provided for actions aiming at promoting gender equality and women’s rights and implementing global commitments as detailed in the European Union Gender Action Plan, the Beijing Declaration and Platform for Action and the UN Convention on the Elimination of All Forms of Discrimination Against Women.

This programme is intended to benefit the poorest and least developed and the most disadvantaged sections of the population in countries covered by Article 1(2) of Regulation (EC) No 1905/2006. In line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the European Neighbourhood and Partnership Instrument (ENPI) countries under the thematic programmes, a specific indicative envelope is foreseen for such countries over the period 2007-2013.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled ‘External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013’ (COM(2005) 324 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006 entitled 'Investing in people. Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013' (COM(2006) 18 final).

Item 21 05 01 05 — Pilot project — Qualitative and quantitative monitoring of health and education expenditure

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	50 000	0,—	106 883,10

Remarks

This appropriation is intended to promote qualitative and quantitative monitoring of health and education expenditure and debate on health and education measures carried out by the Commission.

Implementation of specific projects is to be debated and monitored by experts and stakeholder groups so as to increase public awareness and knowledge of health and education measures.

Funding is also to cover measures to support the dissemination and exchange of results and best practices in developing countries.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 21 05 01 06 — Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 385 000	p.m.	1 384 000	0,—	0,—

Remarks

This preparatory action was launched in 2008 with the objective of establishing an action programme to:

- support the improvement of pharmaceutical research, development, and production capacity in developing countries,
- provide concrete financial support for pharmaceutical-related transfer of technology and capacity-building for developing countries and local production of pharmaceuticals in all developing countries, especially least developed countries, in line with the obligations established by Article 66(2) of the Agreement on Trade Related Aspects of Intellectual Property Rights (TRIPS).

A study was launched in 2009 and a specific project was financed in 2010 to improve access to technology, develop capacity building and increase local production capacity (including in the area of traditional medicine).

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

European Parliament Resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 445).

Item 21 05 01 07 — Preparatory action — Research and development on poverty-related, tropical and neglected diseases

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 270 000	p.m.	2 800 000	0,—	1 360 172,00

Remarks

This preparatory action was launched in 2008 and intended to create an action programme to support research and development on poverty-related, tropical and neglected diseases and to support research institutes willing to cooperate with public health initiatives dedicated to these efforts. The research should primarily take place in developing countries in order to help them to develop local knowledge and locally adapted solutions to the lack of access to medicines.

A study was launched in 2009 involving a large consultation of stakeholders on priority-setting, with particular emphasis on the active participation and pivotal roles of developing country scientists, decision-makers and institutions in this process.

In addition, the appropriations have been dedicated in 2009 and 2010 to financing specific actions, such as support to research networks and capacity building in developing countries. In all these projects, the consultation of developing countries' stakeholders (regional, national and local experts, scientists and institutions) and their leading role should be ensured.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

European Parliament Resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 445).

Item 21 05 01 08 — Pilot project — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	80 000	2 500 000,00	1 462 063,84

Remarks

This appropriation is intended to train Congolese physicians in both general gynaecological surgery and in critical procedures such as repairing fistulas and pelvic reconstruction.

It should support a surgical exchange and training programme open to staff in various hospitals in DRC, with a special focus on the eastern part of the country.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 21 05 01 09 — Preparatory action — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	2 000 000	1 000 000		

Remarks

This appropriation is intended to train Congolese physicians in both general gynaecological surgery and in critical procedures such as repairing fistulas and pelvic reconstruction.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 21 05 02 — Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
50 000 000	50 000 000	50 000 000	47 727 951	65 000 000,00	65 000 000,00

Remarks

This appropriation is intended to provide financial support for actions under the Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM).

Appropriations pursuant to this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Article 21 05 03 — Human and social development — Completion of former cooperation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 600 000	p.m.	4 772 795	0,—	18 716 860,39

Remarks

This appropriation is intended to cover completion of former cooperation under a number of programmes including health, basic education, cultural cooperation, additional contribution for the UN Millennium Development Goals, decentralised cooperation, information and communication technologies, sustainable energy and gender equality.

Health

This appropriation is intended to finance actions to improve reproductive and sexual health in developing countries and to secure respect for the rights related thereto.

Financial assistance shall be provided with a view to promoting the recognition of reproductive and sexual rights, safe motherhood and universal access to a comprehensive range of safe and reliable reproductive and sexual health services.

In the allocation of such funding and expertise, priority shall be given to the poorest and least developed countries and the most disadvantaged sections of the population within developing countries and to action that complements and reinforces both the policies and capacities of developing countries and the assistance provided through other instruments of development cooperation.

The purposes of the activities carried out shall be to:

- secure the right of women, men and adolescents to good reproductive and sexual health,
- enable women, men and adolescents to have access to a comprehensive range of safe and reliable reproductive and sexual healthcare services and products,

- reduce maternal mortality rates, with particular reference to the countries and populations where these are highest,
- combat female genital mutilation.

Financial support shall be given to projects or programmes specifically designed to attain the objectives described above.

This appropriation is intended to finance a preparatory action to combat poverty-related diseases other than HIV/AIDS, malaria and tuberculosis. It is in particular intended to contribute to immunisation programmes against illnesses such as measles, diphtheria, tetanus, pertussis, hepatitis B, haemophilus influenzae B, yellow fever, meningitis and pneumococcus-induced diseases by complementing vaccination efforts under way in some developing countries.

The preparatory actions aim through targeted and innovative measures to identify and provide the conditions for better coordination between the Union, the Member States and the main international public-private partnerships involved in the field of public health and immunisation as well as to secure more effective investment in health systems (prevention, education, capacity-building) in developing countries.

This appropriation is intended to cover a Union contribution to the implementation of the Union action programme for targeting the three major communicable diseases, namely HIV/AIDS, malaria and tuberculosis, in developing countries.

Under that programme, the Union shall provide financial assistance and appropriate expertise with a view to promoting investment in health, poverty reduction and equitable economic growth in developing countries.

In the allocation of such funding and expertise, priority shall be given to the poorest and least developed countries and the most disadvantaged sections of the population, especially women and girls, within developing countries, and also to action that complements and reinforces both the policies and capacities of developing countries and the assistance provided through other instruments of development cooperation. These activities ought to contribute to innovative solutions which will improve the performance of current practices related to the fight against poverty-related diseases.

The purpose of all the activities carried out shall be to:

- optimise the impact of existing interventions, services, commodities and information targeted at the major communicable diseases affecting the poorest populations,
- increase the affordability of key pharmaceuticals,
- increase research and development, including vaccines and clinical trials, microbiocides and innovative treatments,
- increase activities in the area of disease prevention, including VCCT testing, targeted information campaigns and counselling among high-risk groups,
- promote awareness-raising campaigns, education, information and communication efforts aimed at reducing risk-taking behaviour,
- integrate gender sensitivity in HIV/AIDS programming and the development of female-initiated and female-controlled prevention methods as well as to integrate men into programmes focusing on the impact on women and girls,
- promote leadership awareness training.

This appropriation is also intended to fund malaria vaccination programmes.

Union financial support shall be given to specific projects designed to further the objectives described above, including support for global initiatives targeting the major communicable diseases in the context of poverty reduction, notably the Global Fund to fight HIV/AIDS, tuberculosis and malaria which came into operation on 29 January 2002.

Basic education

This appropriation is intended as a pilot project to support national programmes of aid for basic education in developing countries through relevant action and analyses.

Cultural cooperation

It is intended to promote cultural diversity by supporting cooperation in the field of culture, including:

- activities supporting mutual understanding between different cultures within partner countries,
- exchanges allowing for greater cultural understanding between developing countries and the Union.

Additional contribution for the UN Millennium Development Goals

This appropriation is intended to finance sectoral budget support targeted at ending school and uniform fees in primary education, especially for girls. The limited number of beneficiary countries will be chosen from the list of countries identified by the UN Millennium Project as potential candidates for Millennium Development Goal fast-tracking and in accordance with the rules applied

by the Commission for the countries benefiting from budget support, with particular reference to their ability to fulfil criteria of good governance and sound financial management.

Part of this appropriation is intended to finance preparation of the beneficiary countries to offset the costs of ending the user fees by other means of public financing after the end of this temporary Union action.

This appropriation is intended to finance sectoral budget support targeted at school meals for children in primary schools. This measure complements the Quick Win action to end school and uniform fees and will be conducted with the same countries, chosen from the list of countries identified by the UN Millennium Project as potential candidates for Millennium Development Goal fast-tracking and in accordance with the rules applied by the Commission for the countries benefiting from budget support, in particular their ability to fulfil criteria of good governance and sound financial management.

Part of this appropriation is intended to finance preparation of the beneficiary countries to organise public financing for school meals after the end of this temporary Union action.

This appropriation is intended to finance aid to smallholder farmers for a massive replenishment of soil nutrients on land with nutrient-depleted soils, through free or subsidised distribution of chemical fertilisers and through agroforestry.

The countries benefiting from this action will be chosen from the list of countries identified by the UN Millennium Project as potential candidates for Millennium Development Goal fast-tracking.

Decentralised cooperation

This appropriation is intended to increase the capacity of civil society players and local authorities to act, mobilise and organise, to promote dialogue between government and non-governmental players. It is intended to cover the financing of social and economic development operations to benefit the poorest sections of the population in developing countries, in particular vulnerable groups. It supports sustainable development initiatives taken by the local public authorities, community-based organisations and associations or groupings in developing countries, possibly in association with their Union counterparts.

It is intended, in this context, mainly to cover information, educational, capitalisation and communication schemes so as to enable potential agents to better assimilate the decentralised cooperation approach and to take a more active role in consultations regarding Union programmes and in the implementation of decentralised cooperation.

Information and communication technologies and sustainable energy

This appropriation will fund information and communication technologies that can assist with the process of decentralised cooperation.

This appropriation was intended to enable the Commission to establish in 2002 a mechanism to support capacity-building in developing countries for information and communication technologies (ICT) and sustainable energy. The programme should be well coordinated with other donor initiatives on ICT and sustainable energy.

The sustainable energy component is addressed through Article 06 04 02, whereas the ICT component is addressed through national/regional programmes whenever relevant.

The Commission should also use this appropriation to work with the United Nations Development programme on joint pilot projects and ensure that the full benefits of ICT and sustainable energy technologies are explored and properly highlighted.

Gender equality

This appropriation is intended:

- to implement a catalyst role in promoting gender equality in Union development cooperation,
- to provide financial assistance and appropriate expertise, while at the same time reinforcing the gender mainstreaming strategy by supporting specific actions for the empowerment of women.

Legal basis

Council Regulation (EEC) No 443/92 of 25 February 1992 on financial and technical assistance to, and economic cooperation with, the developing countries in Asia and Latin America (OJ L 52, 27.2.1992, p. 1).

Council Regulation (EC) No 1292/96 of 27 June 1996 on food aid policy and food aid management and special operations in support of food security (OJ L 166, 5.7.1996, p. 1).

Regulation (EC) No 955/2002 of the European Parliament and of the Council of 13 May 2002 extending and amending Council Regulation (EC) No 1659/98 on decentralised cooperation (OJ L 148, 6.6.2002, p. 1).

Regulation (EC) No 625/2004 of the European Parliament and of the Council of 31 March 2004 extending and amending Regulation (EC) No 1659/98 on decentralised cooperation (OJ L 99, 3.4.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Reference acts

Pilot projects within the meaning of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Preparatory action, within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

European Parliament resolution of 12 April 2005 on the role of the European Union in the achievement of the Millennium Development Goals (OJ C 33 E, 9.2.2006, p. 311).

Conclusions of the General Affairs and External Relations Council meeting of 23 and 24 May 2005 on the Millennium Goals.

Presidency conclusions of the European Council meeting of 16 and 17 June 2005 in Brussels.

Conclusions of the General Affairs and External Relations Council meeting of 18 July 2005 on the UN summit.

Communication from the Commission to the Council, the European Parliament and the European Economic and Social Committee of 12 April 2005 entitled 'Policy Coherence for Development — Accelerating progress towards achieving the Millennium Development Goals' (COM(2005) 134 final).

CHAPTER 21 06 — GEOGRAPHICAL COOPERATION WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 06	Geographical cooperation with African, Caribbean and Pacific (ACP) States								
21 06 02	Relations with South Africa	4	128 611 000	135 000 000	127 869 000	137 456 498	140 549 527,00	139 743 594,83	103,51 %
21 06 03	Adjustment support for sugar protocol countries	4	177 000 000	141 000 000	174 824 444	130 000 000	196 920 986,96	136 312 033,99	96,68 %
21 06 04	Rehabilitation and reconstruction action in developing countries, particularly ACP States	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
21 06 05	Assistance to ACP banana producers	4	p.m.	12 700 000	p.m.	22 909 416	195 691,13	24 438 813,67	192,43 %
21 06 06	Cooperation activities other than Official Development Assistance (South Africa)	4	3 000 000	1 500 000	2 000 000	954 559	0,—	0,—	
21 06 07	Banana accompanying measures	4	23 371 779	53 000 000	41 000 000	33 409 566	0,—	0,—	
	Chapter 21 06 — Total		331 982 779	343 200 000	345 693 444	324 730 039	337 666 205,09	300 494 442,49	87,56 %

Remarks

For countries defined as Official Development Assistance (ODA) recipients by the OECD Development Assistance Committee (DAC), a benchmark of 35 % of annual commitments has in the past been allocated to social infrastructure, mainly education and health, but also including macroeconomic assistance with social sector conditionality, recognising that the Union contribution must be seen as part of the overall donor support to the social sectors and that a degree of flexibility should be the norm. The Commission will continue to report on this benchmark.

Moreover, in parallel with the Commission declaration concerning Article 5 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (DCI) (OJ L L 378, 27.12.2006, p. 41), the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the DCI will be dedicated, by 2009, to basic education and basic health through project programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Before July each year, the Commission will provide the European Parliament and the Council with an annual report on the Union's development policy and external assistance, meeting all the Commission's regulatory reporting requirements and giving full details of development cooperation, especially the extent to which it has met its objectives. In particular, the report will:

- present the strategic objectives of the Union's development policy and its contribution towards the previous 35 % benchmark for social infrastructure and services and the current 20 % benchmark for basic and secondary education and for basic health, in the context of the geographical cooperation under the DCI, and assess the efficiency and effectiveness of cooperation, including progress made in coordinating aid, in improving the coherence of the Union's strategy in its external actions, and in integrating the cross-cutting issues such as gender, human rights, conflict prevention and the environment,
- present the main results of evaluation and monitoring reports, as an indication of the extent to which its actions are achieving their objectives,
- summarise the key features and events of cooperation in each geographical region, and
- provide financial information on the support given to each sector, in accordance with OECD reporting criteria.

The report should also contain information on how budget support has contributed to the Millennium Development Goals. Budget support will depend on prior demonstration of sufficient institutional capacity and compliance with detailed criteria for the custody and use of funds in the recipient country. The criteria must be stated in the annual report, and compliance therewith must be assessed in the report.

After the report has been presented the European Parliament, the Council and the Commission will enter into a dialogue on the results and on how further progress can be made towards achieving the objectives.

Article 21 06 02 — Relations with South Africa

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
128 611 000	135 000 000	127 869 000	137 456 498	140 549 527,00	139 743 594,83

Remarks

This appropriation is in accordance with the Trade, Development and Cooperation Agreement (TDCA) between the European Union and South Africa and Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

The programme is implemented through Country Strategy Papers (CSPs) and Multiannual Indicative Programmes (MIPs) under the TDCA in 2007-2013 and is financed from the general budget of the Union.

This appropriation is intended primarily to cover the financing of development cooperation projects and programmes with South Africa, which contribute:

- to South Africa's harmonious and sustainable economic and social development through programmes and measures designed to reduce poverty and encourage economic growth which benefits the poor,
- to its continued integration into the world economy,
- to consolidate the foundations laid for a democratic society and a State governed by the rule of law in which human rights and fundamental freedoms are fully respected,
- to improving social services and helping to achieve the Millennium Development Goals.

Programmes shall focus on the fight against poverty and achieving the Millennium Development Goals, taking into account the needs of the previously disadvantaged communities and integrate gender and environmental dimensions of development. Special attention shall be paid to the strengthening of institutional capacities.

Development cooperation focuses mainly on:

- strengthening capacity for and support to service delivery to the poor (including health, HIV/AIDS, education, housing, infrastructures such as water and sanitation, sustainable energy, communication, as well as public finance management reforms at all levels of government, capacity building and strengthened monitoring and evaluation),
- support to sustainable growth, with a specific view to employment generation (addressing both labour demand and supply issues, such as skills development), notably in the field of climate change adaptation and mitigation and, in general, in the green

economy,

- support to governance (both in the public and non-public domains),
- regional and Pan-African support (including contributions to actions promoting peace and security, to intra-ACP programmes for science and technology and academic mobility, as well as support to regional interconnectivity through infrastructure and trade development.

Given South Africa's environmental challenges and the country's role as the twelfth largest greenhouse gas emitter in the world, development cooperation will include an increased focus on environmental protection and climate-change-related measures.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Appropriations pursuant to this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Article 21 06 03 — Adjustment support for sugar protocol countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
177 000 000	141 000 000	174 824 444	130 000 000	196 920 986,96	136 312 033,99

Remarks

This appropriation is intended to cover measures to support the adjustment in ACP countries affected by the reform of the common organisation of the market in sugar.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Appropriations pursuant to this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Article 21 06 04 — Rehabilitation and reconstruction action in developing countries, particularly ACP States

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover measures to initiate the return to a normal life for people in developing countries, in particular the ACP States, in the aftermath of critical situations arising out of natural disasters, violent conflicts or other crises.

It also covers the collateral effects of those critical situations in neighbouring ACP countries, for example the Dominican Republic in the case of the earthquake in Haiti.

It covers, in particular, the following operations:

- the relaunch of production on a lasting basis,
- the physical and operational rehabilitation of basic infrastructure, including mine clearance,
- civil reconciliation through non-structural measures in societies which have been prey to a violent conflict,
- social reintegration, in particular of refugees, displaced persons and demobilised troops,
- the restoration of the institutional capacities needed in the rehabilitation period, especially at local level,
- assisting with the needs of children, particularly the rehabilitation of children affected by war, including child soldiers,
- raising awareness of the dangers posed by natural disasters and of measures designed to avoid them or to avoid or reduce their consequences,
- supporting people with disabilities and such people's organisations to further their human rights to ensure that older people benefit from disaster relief and reconstruction interventions and that adequate attention is paid to research and the collection of age-desegregated data to support programming and policies.

This appropriation also covers education for children affected by war or natural disasters.

In particular, operations should cover programmes and projects implemented by non-governmental development organisations and other civil society players favouring the participation of the beneficiary population at every level of the decision-making and implementation process.

Part of this appropriation is to be used for actions to control and remove anti-personnel landmines (APL), explosive remnants of war (ERW) and illicit small arms and light weapons (SALW).

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Article 21 06 05 — Assistance to ACP banana producers

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	12 700 000	p.m.	22 909 416	195 691,13	24 438 813,67

Remarks

This appropriation is intended to enable the meeting of commitments which have been entered into in the context of technical assistance and income support for ACP producers following the introduction of the common organisation of the market in bananas.

It is also intended to support capacity-building in ACP producer States to help them to integrate better into the multilateral trading system, including improving their ability to participate in the WTO.

Also charged to this article from 1 January 1999 is a programme of assistance intended to help ACP banana producers adapt to the new market conditions brought about by changes in the common organisation of the market in bananas.

Appropriations pursuant to this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41). These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Legal basis

Council Regulation (EC) No 856/1999 of 22 April 1999 establishing a special framework of assistance for traditional ACP suppliers of bananas (OJ L 108, 27.4.1999, p. 2).

Article 21 06 06 — Cooperation activities other than Official Development Assistance (South Africa)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 000 000	1 500 000	2 000 000	954 559	0,—	0,—

Remarks

This appropriation is intended to support activities, beyond development cooperation, aimed at engaging with partners which share similar political, economic and institutional values to the Union and which are important bilateral partners and players in multilateral forums and in global governance.

Specifically, this allocation includes funding for Union academic mobility towards South Africa.

Legal basis

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories. (OJ L 347, 30.12.2011, p. 21).

Article 21 06 07 — Banana accompanying measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 371 779	53 000 000	41 000 000	33 409 566	0,—	0,—

Remarks

This appropriation is intended to cover measures to support the adjustment in main ACP banana-exporting countries in the context of changing trade arrangements, notably as a consequence of Most Favoured Nation (MFN) liberalisation in the framework of the World

Trade Organisation (WTO).

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

CHAPTER 21 07 — DEVELOPMENT COOPERATION ACTIONS AND AD-HOC PROGRAMMES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 07	Development cooperation actions and ad-hoc programmes								
21 07 01	Association agreements with the overseas countries and territories	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
21 07 02	Cooperation with Greenland	4	28 717 140	28 662 000	28 442 000	26 727 652	28 442 000,00	27 249 001,00	95,07 %
21 07 03	Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies	4	326 000	326 000	310 000	295 913	269 546,50	269 546,50	82,68 %
21 07 04	Commodities agreements	4	5 155 000	5 155 000	3 358 000	2 577 309	2 461 828,31	2 461 828,00	47,76 %
	Chapter 21 07 — Total		34 198 140	34 143 000	32 110 000	29 600 874	31 173 374,81	29 980 375,50	87,81 %

Remarks

Article 21 07 01 — Association agreements with the overseas countries and territories

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing of the association of the overseas countries and territories with the Union.

To date, this expenditure is financed from the sixth, seventh, eighth, ninth and tenth European Development Fund (i.e. outside the general budget of the Union).

Legal basis

Council Decision 86/283/EEC of 30 June 1986 on the association of the overseas countries and territories with the European Economic Community (OJ L 175, 1.7.1986, p. 1).

Council Decision 91/482/EEC of 25 July 1991 on the association of the overseas countries and territories with the European Economic Community (OJ L 263, 19.9.1991, p. 1).

Council Decision 2001/822/EC of 27 November 2001 on the association of the overseas countries and territories with the European Community ('Overseas Association Decision') (OJ L 314, 30.11.2001, p. 1).

Article 21 07 02 — Cooperation with Greenland

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
28 717 140	28 662 000	28 442 000	26 727 652	28 442 000,00	27 249 001,00

Remarks

This appropriation is intended to fund cooperation for the sustainable development of Greenland in the framework of the partnership between the European Union and Greenland. Cooperation shall support sector policies and strategies that facilitate access to productive activities and resources, in particular: (a) education and training; (b) mineral resources; (c) energy; (d) tourism and culture; (e) research; (f) food safety.

Legal basis

Council Decision 2006/526/EC of 17 July 2006 on relations between the European Community on the one hand, and Greenland and the Kingdom of Denmark on the other (OJ L 208, 29.7.2006, p. 28).

Reference acts

Joint Declaration by the European Community, on the one hand, and the Home Rule Government of Greenland and the Government of Denmark, on the other, on partnership between the European Community and Greenland, signed in Luxembourg on 27 June 2006 (OJ L 208, 29.7.2006, p. 32).

Article 21 07 03 — Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
326 000	326 000	310 000	295 913	269 546,50	269 546,50

Remarks

This appropriation is intended to cover the Union's annual contribution to the FAO following its accession, as well as to the ITPGRFA (International Treaty on Plant Genetic Resources for Food and Agriculture), following its ratification.

Legal basis

Council Decision of 25 November 1991 on the accession of the Community to the United Nations Food and Agriculture Organisation (FAO) (OJ C 326, 16.12.1991, p. 238).

Council Decision 2004/869/EC of 24 February 2004 concerning the conclusion on behalf of the European Community, of the International Treaty on Plant Genetic Resources for Food and Agriculture (OJ L 378, 23.12.2004, p. 1).

Article 21 07 04 — Commodities agreements

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 155 000	5 155 000	3 358 000	2 577 309	2 461 828,31	2 461 828,00

Remarks

This appropriation is intended to cover the annual membership fees which the Union must pay for its participation on the grounds of its exclusive competence in the field.

This appropriation currently covers the payment of:

- annual fee for membership of the International Coffee Organisation,
- annual fee for membership of the International Cocoa Organisation,
- annual fee for membership of the International Jute Organisation,
- annual fee for membership of the International Tropical Timber Agreement, further to its entering into force and the EU final approval,
- annual fee for membership of the International Cotton Advisory Committee when approved.

Agreements on other tropical products are likely in the future depending on political and legal expediency.

Legal basis

Council Decision 2002/312/EC of 15 April 2002 concerning the acceptance, on behalf of the European Community, of the Agreement establishing the Terms of Reference of the International Jute Study Group, 2001 (OJ L 112, 27.4.2002, p. 34).

Council Decision 2002/970/EC of 18 November 2002 concerning the conclusion on behalf of the European Community of the International Cocoa Agreement 2001 (OJ L 342, 17.12.2002, p. 1).

Council Decision 2007/648/EC of 26 September 2007 on the signing, on behalf of the European Community, and provisional application of the International Tropical Timber Agreement, 2006 (OJ L 262, 9.10.2007, p. 6) entered into force the 7 December 2011.

Council Decision 2008/76/EC of 21 January 2008 (OJ L 23, 26.1.2008, p. 27) regarding the extension of the International Cocoa Agreement of 2001.

Council Decision 2008/579/EC of 16 June 2008 on the signing and conclusion on behalf of the European Community of the International Coffee Agreement 2007 (OJ L 186, 15.7.2008, p. 12).

Council Decision 2011/634/EU of 17 May 2011 on the signing, on behalf of the European Union and provisional application of the International Cocoa Agreement 2010 (OJ L 259, 4.10.2011, p. 7).

Reference acts

Treaty establishing the European Community, and in particular Article 133 thereof, and Treaty on the Functioning of the European Union, and in particular Article 207 thereof.

International Coffee Agreement, renegotiated in 2007 and 2008, it entered into force on 2 February 2011 for an initial period of 10 years until 1 February 2021, with the possibility of extension for an additional period.

International Cocoa Agreement, renegotiated in 2001 and lastly in 2010, but not yet entered into force. Regarding the current agreement of 2001 still in force, the obligation started on 1 October 2003 for a period of five years, with additional extensions up to 30 September 2012.

International Jute Agreement negotiated in 2001, setting up a new International Jute Organisation. Duration: eight years, with the possibility of extension for an additional period of no more than four years. The current extension lasts until May 2014.

International Tropical Timber Agreement negotiated in 2006: Decision 2007/648/EC of 26 September 2007 on the signing, on behalf of the European Community, and provisional application of the International Tropical Timber Agreement, 2006 (OJ L 262, 9.10.2007, p. 6); European Community Declaration in accordance with Article 36(3) of the Agreement (OJ L 262, 9.10.2007, p. 26).

International Cotton Advisory Committee, Council Conclusions of 29 April 2004 (8972/04), Council Conclusions of 27 May 2008 (9986/08) and Council Conclusions of 30 April 2010 (8674/10).

CHAPTER 21 08 — POLICY STRATEGY AND COORDINATION FOR THE ‘DEVELOPMENT AND RELATIONS WITH ACP STATES’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 08	Policy strategy and coordination for the ‘Development and relations with ACP States’ policy area								
21 08 01	<i>Evaluation of the results of Union aid and follow-up and audit measures</i>	4	11 000 000	9 000 000	9 577 000	8 466 001	9 577 000,00	9 657 931,40	107,31 %
21 08 02	<i>Coordination and promotion of awareness on development issues</i>	4	9 325 000	5 329 000	9 900 000	8 100 518	9 900 000,00	4 922 004,69	92,36 %
	Chapter 21 08 — Total		20 325 000	14 329 000	19 477 000	16 566 519	19 477 000,00	14 579 936,09	101,75 %

Article 21 08 01 — Evaluation of the results of Union aid and follow-up and audit measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
11 000 000	9 000 000	9 577 000	8 466 001	9 577 000,00	9 657 931,40

Remarks

This appropriation is intended to cover the financing of *ex ante* and *ex post* evaluations, monitoring and support measures during the programming, preparation, implementation stages of development operations, strategies and policies, including the following:

- effectiveness, efficiency, relevance, impact and viability studies and the development of impact measurements and indicators for development cooperation,
- monitoring of operations in the course of implementation and after their completion,
- support measures to improve the quality of monitoring systems, methodologies and practices concerning ongoing operations and the preparation of future operations,
- feedback and information activities on findings, conclusions and recommendations of evaluations into the decision making cycle,
- methodological developments to improve the quality and utility of evaluations, including research, feedback, information and training activities thereon.

This appropriation also covers the financing of audit activities concerning the management of programmes and projects implemented by the Commission in the external aid field. It will also cover the financing of training activities, based on the special rules governing Union external aid and organised for external auditors.

Legal basis

Task resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 21 08 02 — Coordination and promotion of awareness on development issues

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 325 000	5 329 000	9 900 000	8 100 518	9 900 000,00	4 922 004,69

Remarks

Budget financing provides the Commission with the means of support it needs to prepare, formulate and follow up coordination measures under its development policy. Policy coordination is crucial to the consistency, complementarity and aid and development effectiveness.

Coordination measures are essential in defining and shaping the Union development policy at a strategic and programming level. The specific nature of the Union development policy is directly enshrined in the Treaties (Articles 208 and 210 of the Treaty on the Functioning of the European Union). Union aid and the national policies of the Member States in the sphere of development cooperation need to complement and reinforce each other and this cannot work without coordination. Article 210 of the Treaty on the Functioning of the European Union calls on the Commission to act as coordinator of national and EU development policies and of action in development cooperation.

Coordination is not only a major factor in the Commission's value added vis-à-vis the policies of the Member States but also a priority in the work schedule at the point where the agendas of the Union and the international community intersect. It therefore reflects a steadily growing demand from the other European institutions, as recognised by the Member States and by the European Parliament at the European Council in Barcelona in March 2002.

This appropriation covers various forms of action:

Action A: Coordination at the European and international level

- effectiveness, efficiency, relevance, impact and viability studies in the area of coordination, meetings of experts and exchanges between the Commission, Member States, and other international actors (US, emerging donors, etc.) and international forums such as South-South cooperation,
- monitoring of policies and operations in the course of implementation,
- support measures to improve the quality of the monitoring of ongoing operations and the preparation of future operations,
- measures to support external initiatives in the sphere of coordination,
- the preparation of common positions, statements and initiatives,
- the organisation of events relating to coordination,
- the European Commission's membership fees to the international organisations/networks,
- the dissemination of information through the production of publications and the development of information systems.

The Coordination appropriation will also cover the financing of the initiative 'Mobilising European Research on Development Policies' which aims to provide added value to the EU policymaking process on development issues by improving the quality and forward-looking perspective of EU policy formulation. The initiative seeks to enhance synergies between European researchers and policymakers by bringing them together in one common project. The main output of this initiative is the publication on an annual basis of a 'European Report on Development' (ERD). The ERD, together with other intermediary products (background papers, seminars and workshops), will play a catalytic role in strengthening and refining the European perspective on key development challenges and its influence in the international development agenda on the basis of independent knowledge excellence and innovative policy recommendations. This initiative is financed jointly by the Commission and Member States through contributions on voluntary basis. In addition, the appropriation will finance the publication of the ERD, including translation, printing, dissemination and promotion campaign to widespread the policy recommendations of the Report to development actors.

Action B: raising awareness

This appropriation covers the financing of activities designed to draw attention to action by the Union and the Member States in the development field and to raise public awareness of development issues. Every activity financed pursuant to this article must include the following two components, which are complementary in the Commission's view:

- an 'information' component, designed to promote the various activities which the Union undertakes in the development aid field and the activities which it conducts in partnership with the Member States and other international institutions,
- an 'awareness-raising' component, covering public opinion in the Union, and in developing countries.

These activities consist mainly, though not exclusively, of financial support for schemes in the audiovisual, publications, seminars and events fields as applied to development, the production of information material, the development of information systems, and also the Lorenzo Natali prize for journalism in the development field.

These activities are directed at public and private sector partners, and at the Union's representations and delegations in the Member States.

Irrespective of the beneficiary of the measure, no administrative expenditure against this article is authorised.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Tasks resulting from specific powers directly conferred on the Commission pursuant to Article 210 of the Treaty on the Functioning of the European Union.

CHAPTER 21 49 — EXPENDITURE ON ADMINISTRATIVE MANAGEMENT OF PROGRAMMES COMMITTED IN ACCORDANCE WITH THE FORMER FINANCIAL REGULATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 49	Expenditure on administrative management of programmes committed in accordance with the former Financial Regulation								
21 49 04	<i>Support expenditure for operations in the 'Development and relations with ACP States' policy area</i>								
21 49 04 01	Other aid in the form of products, support operations and transport, distribution, flanking measures and measures to monitor implementation — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—	
21 49 04 02	Other cooperation measures and sectoral strategies — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—	
21 49 04 05	European programme for reconstruction and development (EPRD) — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—	
	<i>Article 21 49 04 — Subtotal</i>		—	p.m.	—	p.m.	0,—	0,—	
	Chapter 21 49 — Total		—	p.m.	—	p.m.	0,—	0,—	

Article 21 49 04 — Support expenditure for operations in the 'Development and relations with ACP States' policy area

Item 21 49 04 01 — Other aid in the form of products, support operations and transport, distribution, flanking measures and measures to monitor implementation — Expenditure on administrative management

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the liquidation of commitments contracted under Item 21 01 04 01 that previously carried differentiated appropriations.

Item 21 49 04 02 — Other cooperation measures and sectoral strategies — Expenditure on administrative management

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the liquidation of commitments contracted under Item 21 01 04 02 that previously carried differentiated appropriations.

Item 21 49 04 05 — European programme for reconstruction and development (EPRD) — Expenditure on administrative management

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the liquidation of commitments contracted under Item 21 01 04 05 that previously carried differentiated appropriations.

TITLE 22 — ENLARGEMENT

Figures

Title Chapter	Heading	Budget 2013		Appropriations 2012		Outturn 2011	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
22 01	Administrative expenditure of the 'Enlargement' policy area 40 01 40	89 397 281	89 397 281	91 332 005 8 082 91 340 087	91 332 005 8 082 91 340 087	93 461 163,96	93 461 163,96
22 02	Enlargement process and strategy	970 420 021	861 384 000	996 118 710	829 906 144	1 025 825 285,98	835 043 540,22
	Title 22 — Total	1 059 817 302	950 781 281	1 087 450 715	921 238 149	1 119 286 449,94	928 504 704,18
	40 01 40 Total including reserves			8 082 1 087 458 797	8 082 921 246 231		

CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENLARGEMENT' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
22 01	Administrative expenditure of the 'Enlargement' policy area					

22 01 01	Expenditure related to staff in active employment in the 'Enlargement' policy area					
22 01 01 01	Expenditure related to staff in active employment of the Directorate-General for 'Enlargement'	5	23 117 867	23 382 932	24 013 268,53	103,87 %
22 01 01 02	Expenditure related to staff in active employment of the Directorate-General for 'Enlargement' in Union delegations	5	7 948 059	8 666 166	8 885 055,21	111,79 %
	<i>Article 22 01 01 — Subtotal</i>		31 065 926	32 049 098	32 898 323,74	105,90 %
22 01 02	External staff and other management expenditure in support of the 'Enlargement' policy area					
22 01 02 01	External staff of the Directorate-General for 'Enlargement'	5	2 000 922	2 912 342	3 044 665,69	152,16 %
22 01 02 02	External staff of the Directorate-General for 'Enlargement' in Union delegations	5	1 543 398	1 580 268	1 414 859,00	91,67 %
22 01 02 11	Other management expenditure of the Directorate-General for 'Enlargement'	5	1 209 726	1 769 647	2 216 142,85	183,19 %
	40 01 40			8 082		
				1 777 729		
22 01 02 12	Other management expenditure of the Directorate-General for 'Enlargement' in Union delegations	5	573 035	670 331	714 870,00	124,75 %
	<i>Article 22 01 02 — Subtotal</i>		5 327 081	6 932 588	7 390 537,54	138,74 %
	40 01 40			8 082		
				6 940 670		
22 01 03	Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Enlargement' policy area					
22 01 03 01	Expenditure related to information and communication technology equipment and services of the Directorate-General for 'Enlargement'	5	1 436 764	1 492 960	1 811 280,33	126,07 %
22 01 03 02	Buildings and related expenditure of the Directorate-General for 'Enlargement' in Union delegations	5	4 745 586	5 351 259	5 094 845,00	107,36 %
	<i>Article 22 01 03 — Subtotal</i>		6 182 350	6 844 219	6 906 125,33	111,71 %
22 01 04	Support expenditure for operations of the 'Enlargement' policy area					
22 01 04 01	Pre-accession assistance — Expenditure on administrative management	4	40 430 024	40 237 500	41 058 601,65	101,55 %
22 01 04 02	Phasing-out of accession assistance for new Member States — Expenditure on administrative management	4	2 277 300	1 040 000	1 039 992,29	45,67 %
22 01 04 04	Technical Assistance and Information Exchange (TAIEX) facility for pre-accession — Expenditure on administrative management	4	2 985 600	3 095 600	2 948 583,41	98,76 %
22 01 04 30	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under heading 4 in the 'Enlargement' policy area	4	1 129 000	1 133 000	1 219 000,00	107,97 %
	<i>Article 22 01 04 — Subtotal</i>		46 821 924	45 506 100	46 266 177,35	98,81 %
	Chapter 22 01 — Total		89 397 281	91 332 005	93 461 163,96	104,55 %
	40 01 40			8 082		
	Total including reserves			91 340 087		

Article 22 01 01 — Expenditure related to staff in active employment in the 'Enlargement' policy area

Item 22 01 01 01 — Expenditure related to staff in active employment of the Directorate-General for 'Enlargement'

Figures

Budget 2013	Appropriations 2012	Outturn 2011
23 117 867	23 382 932	24 013 268,53

Item 22 01 01 02 — Expenditure related to staff in active employment of the Directorate-General for ‘Enlargement’ in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 948 059	8 666 166	8 885 055,21

Article 22 01 02 — External staff and other management expenditure in support of the ‘Enlargement’ policy area

Item 22 01 02 01 — External staff of the Directorate-General for ‘Enlargement’

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 000 922	2 912 342	3 044 665,69

Item 22 01 02 02 — External staff of the Directorate-General for ‘Enlargement’ in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 543 398	1 580 268	1 414 859,00

Item 22 01 02 11 — Other management expenditure of the Directorate-General for ‘Enlargement’

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
22 01 02 11	1 209 726	1 769 647	2 216 142,85
40 01 40		8 082	
Total	1 209 726	1 777 729	2 216 142,85

Item 22 01 02 12 — Other management expenditure of the Directorate-General for ‘Enlargement’ in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
573 035	670 331	714 870,00

Article 22 01 03 — Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Enlargement’ policy area

Item 22 01 03 01 — Expenditure related to information and communication technology equipment and services of the Directorate-General for ‘Enlargement’

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 436 764	1 492 960	1 811 280,33

Item 22 01 03 02 — Buildings and related expenditure of the Directorate-General for ‘Enlargement’ in Union delegations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 745 586	5 351 259	5 094 845,00

Article 22 01 04 — Support expenditure for operations of the ‘Enlargement’ policy area

Item 22 01 04 01 — Pre-accession assistance — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
40 430 024	40 237 500	41 058 601,65

Remarks

This appropriation is intended to cover administrative costs directly linked to the implementation of the Instrument for Pre-Accession Assistance (IPA), in particular:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external staff at headquarters is limited to EUR 3 245 024. This estimate is based on a provisional annual unit cost per man-year, of which an estimate of 75 % is accounted for by remuneration for the staff concerned and 25 % by the additional cost of training, meetings, missions (including long-term missions), information technology (IT) and telecommunications relating to those staff members,
- expenditure on external staff in Union delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as the cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the Union delegation of the external staff remunerated from the appropriations in this item,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers expenditure on administrative management under Articles 22 02 01, 22 02 02, 22 02 03, 22 02 04, 22 02 05, 22 02 07 and 22 02 08.

Item 22 01 04 02 — Phasing-out of accession assistance for new Member States — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 277 300	1 040 000	1 039 992,29

Remarks

This appropriation is intended to cover the administrative costs of the phasing-out of the accession assistance programmes in the new Member States, namely:

- expenditure on short-term technical assistance, linked to the achievement of the objective of the programme (or measures coming under this item), as well as any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts,
- expenditure on external staff in the EC Post accession Transition Team remaining in the new Member States during the phasing-out period (contract agents, agency staff) working on tasks directly related to the completion of the accession programmes, as

well as the additional logistical, administrative and infrastructure cost, such as the cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the Transition Team of the external staff remunerated from the appropriations in this item,

- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) working on tasks directly related to the completion of the accession programmes; expenditure on external staff at headquarters is limited to EUR 829 000. This estimate is based on a provisional annual unit cost per man/year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members.

This appropriation covers expenditure on administrative management under Items 22 02 01 and 22 02 05.

Item 22 01 04 04 — Technical Assistance and Information Exchange (TAIEX) facility for pre-accession — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 985 600	3 095 600	2 948 583,41

Remarks

This appropriation is intended to cover:

- expenditure on technical administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiary countries and the Commission,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external staff at headquarters is limited to EUR 2 945 600. This estimate is based on a provisional annual unit cost per man/year, of which 95 % is accounted for by remuneration for the staff concerned and 5 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers expenditure on administrative management under Article 22 02 06.

Item 22 01 04 30 — Education, Audiovisual and Culture Executive Agency — Contribution from programmes under heading 4 in the 'Enlargement' policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 129 000	1 133 000	1 219 000,00

Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency relating to the management of programmes in the 'Enlargement' policy area. The mandate of the agency was extended to all the Youth, Tempus and Erasmus Mundus programmes in which IPA beneficiaries are involved.

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Commission Decision 2005/56/EC of 14 January 2005 setting up the Education, Audio-visual and Culture Executive Agency for the management of Community action in the fields of education, audio-visual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 24, 27.1.2005, p. 35).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

22 02 10 01	Prince — Information and communication	4	5 000 000	6 410 000	5 000 000	4 416 745	5 000 000,00	4 226 209,19	65,93 %
22 02 10 02	Information and communication for third countries	4	10 000 000	8 756 000	10 000 000	5 438 123	11 000 000,00	7 393 720,73	84,44 %
	<i>Article 22 02 10 — Subtotal</i>		15 000 000	15 166 000	15 000 000	9 854 868	16 000 000,00	11 619 929,92	76,62 %
22 02 11	<i>Transition facility for institution-building after accession</i>	3.2	p.m.	p.m.					
	Chapter 22 02 — Total		970 420 021	861 384 000	996 118 710	829 906 144	1 025 825 285,98	835 043 540,22	96,94 %

Article 22 02 01 — Transition and institution-building assistance to candidate countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
293 880 176	284 743 000	323 026 643	246 827 484	336 524 160,00	221 034 520,00

Remarks

Under the IPA, this appropriation is intended to cover the component ‘transition and institution building’ for candidate countries. The main objective is to develop an effective capacity to implement the *acquis* of the Union, in particular by means of:

- strengthening democratic institutions, as well as the rule of law, including its enforcement,
- the promotion and the protection of human rights and fundamental freedoms and enhanced respect for minority rights, the promotion of gender equality and non-discrimination,
- public administration reform, including the establishment of a system enabling decentralisation of assistance management to the beneficiary country in accordance with the rules set down in the Financial Regulation,
- economic reform,
- the development of civil society and social inclusion, encouraging less well represented groups to gain a voice and participate in civil society, combating all forms of discrimination, and strengthening the rights of women and children and other particularly vulnerable groups, including persons with disabilities and older persons,
- reconciliation, confidence-building measures and reconstruction.

This appropriation may cover any eligible cooperation measures that are not expressly covered by other components of Regulation (EC) No 1085/2006 and for cooperation between the components.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Article 22 02 02 — Transition and institution-building assistance to potential candidate countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
453 337 844	361 073 000	442 833 982	343 436 015	416 342 769,85	349 420 140,02

Remarks

Under the IPA, pre-accession financial assistance is available not only for candidate countries but is also extended to potential candidate countries. This appropriation is intended to cover the component ‘transition and institution building’ for potential candidate countries. The main objective is to support the participation of the potential candidate countries in the stabilisation and association process and their progression towards candidate country status given their enhanced European perspective following the Thessaloniki European Council (19 to 20 June 2003). This will involve supporting the gradual introduction of the *acquis* of the Union for all countries and the implementation of obligations under the Interim Agreement/Stabilisation and Association Agreements, in particular by means of:

- strengthening democratic institutions, as well as the rule of law, including its enforcement,
- the promotion and the protection of human rights and fundamental freedoms and enhanced respect for minority rights, the promotion of gender equality and non-discrimination,
- public administration reform, including the establishment of a system enabling decentralisation of assistance management to the beneficiary country in accordance with the rules set down in the Financial Regulation,
- economic reform,
- the development of civil society and social inclusion, encouraging less well represented groups to gain a voice and participate in civil society, combating all forms of discrimination, and strengthening the rights of women and children and other particularly vulnerable groups, including persons with disabilities and older persons,
- reconciliation, confidence-building measures and reconstruction.

Part of the appropriations will be used for additional support to the authorities in Kosovo to swiftly proceed in meeting criteria and benchmarks for visa liberalisation with the Union, so visa-free travel can be established with Kosovo without undue delay.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Article 22 02 03 — Interim civilian administrations in the western Balkans

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	9 089 987,00	8 532 088,17

Remarks

The Union is to finance part of the operation of the Office of the High Representative (OHR) in Bosnia and Herzegovina. The financing is in the form of a contribution to its budgets.

The OHR was expected to close down after Bosnia and Herzegovina had fulfilled some important benchmarks, but due to political uncertainty the closure was postponed.

The OHR will report to the European Parliament on the political situation in Bosnia and Herzegovina, in particular as regards its impact on the implementation of Union financial assistance.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under the ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private sector enterprises.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Article 22 02 04 — Cross-border and regional cooperation

Remarks

The cross-border cooperation (CBC) component of IPA will support CBC programmes at both terrestrial and maritime borders between candidate or potential candidate countries and adjacent Member States and at borders among candidate or potential candidate countries through two budgetary items: 'CBC with Member States' and 'CBC between IPA countries and participation in ERDF transnational/interregional programmes and ENPI sea basins programmes'.

Item 22 02 04 01 — Cross-border cooperation (CBC) between IPA countries and participation in ERDF transnational / interregional programmes and ENPI sea basins programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 787 731	18 161 000	18 729 148	19 053 952	18 600 000,00	11 149 452,51

Remarks

This appropriation is intended to support CBC programmes at borders between IPA beneficiaries.

It may also support, as appropriate, the participation of the eligible IPA beneficiaries in the relevant transnational and interregional programmes under the Structural Funds' European territorial cooperation objective and in the relevant multilateral sea basins programmes under the European Neighbourhood and Partnership Instrument (ENPI).

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Item 22 02 04 02 — Cross-border cooperation (CBC) with Member States

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 347 971	2 198 000	3 282 324	3 379 139	3 217 965,00	170 721,40

Remarks

This appropriation is intended to support CBC programmes at borders between IPA countries and Member States.

For these programmes involving Member States, IPA funds are complemented by a contribution from Heading 1b (ERDF) which appears under Item 13 05 03 01: Regional policy.

Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Article 22 02 05 — Completion of former assistance

Remarks

With the introduction of the IPA on 1 January 2007 as well as the accession of Bulgaria and Romania on the same date, this appropriation is intended to cover the clearance of commitments contracted previously under the pre-accession assistance and the

CARDS assistance.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under the ESSN, including technical assistance, advisory services and training in selected public or private sector enterprises.

Item 22 02 05 01 — Completion of Phare pre-accession assistance

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	45 602,66	132 683,31

Remarks

With the introduction of the IPA on 1 January 2007 as well as the accession of Bulgaria and Romania on the same date, this appropriation is intended to cover the clearance of commitments contracted previously under the Phare pre-accession assistance for those countries, new Member States that joined the Union in 2004 and current candidate countries.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under the ESSN, including technical assistance, advisory services and training in selected public or private sector enterprises.

Legal basis

Council Regulation (EEC) No 3906/89 of 18 December 1989 on economic aid to certain countries of Central and Eastern Europe (OJ L 375, 23.12.1989, p. 11).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Item 22 02 05 02 — Completion of CARDS assistance

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 000 000	p.m.	4 118 922	23 786,44	29 774 678,17

Remarks

With the introduction of the IPA on 1 January 2007, this appropriation is intended to cover the clearance of commitments contracted previously under the CARDS assistance for the western Balkans.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under the ESSN, including technical assistance, advisory services and training in selected public or private sector enterprises.

Legal basis

Council Regulation (EC) No 2666/2000 of 5 December 2000 on assistance for Albania, Bosnia and Herzegovina, Croatia, the Federal Republic of Yugoslavia and the Former Yugoslav Republic of Macedonia (OJ L 306, 7.12.2000, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Item 22 02 05 03 — Completion of former cooperation with Turkey

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	53 847,08	53 847,08

Remarks

With the introduction of the IPA on 1 January 2007, this appropriation is intended to cover the clearance of commitments contracted previously under pre-accession assistance for Turkey.

Any revenue generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

Council Regulation (EC) No 1488/96 of 23 July 1996 on financial and technical measures to accompany (MEDA) the reform of economic and social structures in the framework of the Euro-Mediterranean partnership (OJ L 189, 30.7.1996, p. 1).

Council Regulation (EC) No 764/2000 of 10 April 2000 regarding the implementation of measures to intensify the EC-Turkey customs union (OJ L 94, 14.4.2000, p. 6).

Council Regulation (EC) No 2500/2001 of 17 December 2001 concerning pre-accession financial assistance for Turkey (OJ L 342, 27.12.2001, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Item 22 02 05 04 — Completion of cooperation with Malta and Cyprus

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	24 476,85	24 476,85

Remarks

With the accession of Cyprus and Malta to the Union in 2004, this item is intended to cover the clearance of commitments contracted previously against Articles B7-0 4 0, B7-0 4 1, B7-4 1 0 (in part) and against Items B7-4 0 1 0 and B7-4 0 1 1 for those countries.

Any revenue generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

Council Regulation (EC) No 555/2000 of 13 March 2000 on the implementation of operations in the framework of the pre-accession strategy for the Republic of Cyprus and the Republic of Malta (OJ L 68, 16.3.2000, p. 3).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Item 22 02 05 05 — Completion of preparatory actions concerning the impact of enlargement in Union border regions

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the clearance of commitments contracted previously under the ‘impact of enlargement in EU border regions’ Article. These appropriations may give rise to commitments stemming from legal obligations related to the closure of the projects (such as legal settlements, penalties for late payments, regularisations, etc.).

Legal basis

Preparatory actions within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 22 02 05 09 — Completion of transition facility for new Member States

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	6 052 866,39

Remarks

This appropriation is intended to cover the clearance of commitments contracted previously under the transition facility assistance for new Member States.

The purpose of the transition facility is to continue to assist the new Member States in their efforts to strengthen their administrative capacity to implement Union legislation and to foster the exchange of best practices.

The transition facility for the 10 new Member States which joined the Union on 1 May 2004 ran from 2004 to 2006. Another transition facility for Bulgaria and Romania was provided for in the Act of Accession of 2005, lasting one year following their accession to the Union.

Legal basis

Tasks resulting from the specific powers assigned directly to the Commission by Article 34 of the Act of Accession of 16 April 2003 and Article 31 of Title III of the Act of Accession of 25 April 2005.

Item 22 02 05 10 — Completion of Technical Assistance and Information Exchange (TAIEX) actions in connection with the transition facility

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover technical assistance in the field of approximation of legislation for the entire *acquis* of the Union, helping all bodies involved in the implementation and enforcement of the *acquis*, including non-governmental organisations, to achieve their objectives and to monitor their rate of achievement.

The objective is to provide as rapidly as possible short-term assistance by means of seminars, workshops, study visits and expert visits, training arrangements, provision of tools and products, notably for information gathering and dissemination, translation/interpretation, as well as any other forms of technical assistance in the context of the approximation to the *acquis* of the Union.

The range of beneficiaries comprises representatives from all public and semi-public-sector bodies such as national administrations, parliaments, legislative councils, regional governments, regulatory and supervisory authorities, as well as those from social partners and representatives of commercial, professional and economic groupings which are involved in the transposition, implementation and enforcement of the *acquis* of the Union.

Legal basis

Tasks resulting from the specific powers assigned directly to the Commission by Article 34 of the Act of Accession of 16 April 2003 and Article 31 of Title III of the Act of Accession of 25 April 2005 (part of the Treaty concerning the accession of the Republic of Bulgaria and Romania to the European Union).

Article 22 02 06 — Technical Assistance and Information Exchange (TAIEX) facility for pre-accession

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 000 000	9 827 000	12 000 000	10 500 149	12 000 000,00	7 881 477,27

Remarks

This appropriation is intended to cover technical assistance in candidate and potential candidate countries as well as in European Neighbourhood Policy (ENP) countries and in the field of approximation of legislation for the entire *acquis* of the Union, helping all bodies involved in the implementation and enforcement of the *acquis*, including non-governmental organisations, to achieve their objectives and to monitor their rate of achievement.

The objective is to provide as rapidly as possible short-term assistance by means of seminars, workshops, study visits and expert visits, training arrangements, provision of tools and products, notably for information gathering and dissemination, translation/interpretation, as well as any other forms of technical assistance in the context of the approximation of the *acquis* of the Union.

The range of beneficiaries comprises representatives from all public and semi-public-sector bodies such as national administrations, parliaments, legislative councils, regional governments, regulatory and supervisory authorities, as well as those from social partners and representatives of commercial, professional and economic groupings and other civil society actors which are involved or have to play a role in the transposition, implementation and enforcement of the *acquis* of the Union.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Article 22 02 07 — Regional, horizontal and ad hoc programmes

Item 22 02 07 01 — Regional and horizontal programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
142 566 299	148 907 000	146 656 613	138 263 100	181 749 637,50	132 502 880,79

Remarks

This appropriation is intended to cover the financing of pre-accession regional and multi-beneficiary programmes for all candidate and potential candidate countries.

Any revenue generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Item 22 02 07 02 — Evaluation of results of Union aid, follow-up and audit measures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 500 000	3 944 000	3 590 000	4 215 333	3 650 000,00	5 016 516,91

Remarks

This appropriation is intended to cover the financing of evaluations, audit and monitoring during the programming, implementation, evaluation and closure stages of the projects for both IPA and previous pre-accession financial instruments.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Item 22 02 07 03 — Financial support for encouraging the economic development of the Turkish Cypriot community

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
28 000 000	14 433 000	28 000 000	48 017 182	28 003 053,60	49 982 341,58

Remarks

This appropriation is intended to cover financial support for encouraging the economic development of the Turkish Cypriot community in order to maintain and deepen results achieved with earlier Union assistance. The assistance will focus particularly on the economic integration of the island and on improving contacts between the two communities and with the Union in order to facilitate the reunification of Cyprus.

The assistance may be used to support:

- the promotion of social and economic development, in particular concerning rural development, human resources development and regional development,
- the development and restructuring of infrastructure,
- reconciliation, confidence-building measures, and support to civil society,
- bringing the Turkish Cypriot community closer to the Union through, inter alia, information on the Union's political and legal order, promotion of youth exchanges and scholarships,
- progressive alignment with, and preparation for, implementation of the *acquis* of the Union,
- the implementation of the decisions of the bicomunal Technical Committee on Cultural Heritage, including projects of the minorities,
- the continuation of Union financial support and acceleration of the work of the Committee on Missing Persons.

Part of this appropriation is also intended to cover the support administrative expenditure necessary for implementation of the programme such as:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

The appropriations are to be used, in particular, to sustain the outcome of works, supplies and grants being funded under earlier allocations. In addition, grant schemes addressed to a large variety of beneficiaries within the civil society of the community (non-governmental organisations (NGOs), students and teachers, schools, farmers, small villages, the private sector) will continue. These

activities are reunification driven. Priority should be given, where possible, to reconciliation projects which create bridges between the two communities and build confidence. These measures underline the strong desire and commitment of the Union to a Cyprus settlement and reunification.

Legal basis

Council Regulation (EC) No 389/2006 of 27 February 2006 establishing an instrument of financial support for encouraging the economic development of the Turkish Cypriot community (OJ L 65, 7.3.2006, p. 5).

Article 22 02 08 — Pilot project — Preserving and restoring cultural heritage in conflict areas

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	932 000	p.m.	240 000	0,—	1 042 462,24

Remarks

This appropriation will be used for supporting non-profit organisations (such as civil society organisations at a local and international level and public institutions) in conducting sustainable preservation, restoration and development of sites concerning valuable cultural objects (churches, mosques, libraries, museums, monuments, etc.) in candidate and potential candidate countries.

Whenever possible, particular attention should be given to projects that support confidence-building processes by involving different ethnic and religious groups in joint projects, as well as competence building and awareness creation on a local and national level.

In the future, experience gained under this pilot project could also serve to develop a permanent legal basis and a wider approach for preserving and restoring cultural heritage in conflict areas in other geographical regions.

The European Parliament resolution of 29 March 2007 on the future of Kosovo and the role of the EU (OJ C 27 E, 31.1.2008, p. 207) stated that a Kosovo settlement should cover ‘protection of all cultural and religious sites’.

A huge number of damaged buildings in the Balkans stand as physical reminders of earlier conflicts, creating mistrust. Different ethnic groups and local authorities are today engaged by NGOs in joint restoration projects, thereby promoting respect for the cultural values of others, but no Union funding is available. The IPA programme broadly covers ‘reconstruction’ and ‘cooperation between communities’ but does not make specific reference to restoring cultural heritage.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 22 02 09 — Preparatory action — Preserving and restoring cultural heritage in conflict areas

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	3 000 000	2 000 000	500 000,00	652 457,61

Remarks

This appropriation will be used for supporting preservation and restoration projects for valuable cultural and religious objects (churches, mosques, libraries, museums, monuments, etc.) damaged or destroyed by war or other political conflicts in the Western Balkans. Appropriations may be used to support public organisations as well as NGOs conducting projects in this field. In this context, however, it is important to recognise the key role that NGOs often play in these preservation and restoration projects.

Particular attention should be given to projects that support the confidence-building process by involving different ethnic and religious groups to take part in joint projects and that support local education and development of restoration knowledge and skills of high cultural quality.

Best restoration practice shall serve as a guideline when selecting objects and, in the selection and evaluation of proposals and contractors, restoration expertise shall be allowed to participate.

Experiences from the pilot project 2008/2009, and the preparatory action 2010/2011 should serve to develop a wider approach for preserving and restoring cultural heritage in conflict areas both in the Western Balkans and in other geographical areas.

The intention of this preparatory action is to serve as a basis for integrating preservation and restoration of cultural heritage in conflict areas into existing financial instruments, not only the IPA programme (for the Western Balkans, Turkey, etc.) but also the ENPI programmes (the Middle East, North Africa, etc.).

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 22 02 10 — Information and communication

Item 22 02 10 01 — Prince — Information and communication

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	6 410 000	5 000 000	4 416 745	5 000 000,00	4 226 209,19

Remarks

This appropriation is intended to cover the funding of priority information and communication activities on enlargement, mainly regarding Member States, including evaluation actions.

The amount of the proposed appropriations reflects the information and communication priorities under the enlargement timetable. The aim of the information and communication strategy is to promote support for accession and to raise public awareness across Europe about the enlargement of the Union, but especially in those target countries where public opinion is more sensitive to future enlargement.

The appropriations will finance information and communication actions on priority Union policies such as: an effective dialogue on enlargement and pre-accession between citizens of the Union and the Union's institutions, taking into account each country's specificity and information needs; an informed debate on enlargement and pre-accession among civil society organisations and citizens in the Union and those of candidate countries and potential candidates; informing journalists and other multipliers on the enlargement process; commissioning studies and opinion polls; developing and updating dedicated websites; preparing printed and audiovisual material; organising public events as well as conferences and seminars; and evaluating the information programme.

The Commission, when implementing this budget line, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 22 02 10 02 — Information and communication for third countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 000 000	8 756 000	10 000 000	5 438 123	11 000 000,00	7 393 720,73

Remarks

This appropriation is intended to cover the funding of priority information and communication activities on enlargement mainly regarding candidate and potential candidate countries, including evaluation actions.

The bulk of this appropriation will be allocated to cover information and communication activities devolved to Union liaison offices/delegations in candidate and potential candidate countries.

Information and communication actions will be directed at the general public, relevant specific audiences, especially youth, media and civil society organisations, and will seek to enhance support among opinion leaders for enlargement as well as the association and stabilisation process. The aim is also to strengthen the Union's profile and political leverage in those countries and generate public support for the reform process during the accession and pre-accession periods.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Article 22 02 11 — Transition facility for institution-building after accession

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments				
p.m.	p.m.				

Remarks

New article

This appropriation is intended, for the first year after accession to provide temporary financial assistance to Croatia to develop and strengthen its administrative and judicial capacity to implement and enforce Union law and to foster the exchange of best practice among peers. That assistance shall fund institution-building projects and limited small-scale investments ancillary thereto. It shall address the continued need for strengthening institutional capacity in certain areas through action which cannot be financed by the Structural Funds or by the rural Development funds.

Assistance under the transition facility shall be decided and implemented in accordance with Council Regulation (EC) No 1085/2006 or on the basis of other technical provisions necessary for the operation of the transition facility, to be adopted by the Commission.

Legal basis

Tasks resulting from the specific powers assigned directly to the Commission by Article 30 of the Treaty concerning the accession of the Republic of Croatia of 9 December 2011.

TITLE 23 — HUMANITARIAN AID

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 01	Administrative expenditure of the 'Humanitarian aid' policy area 40 01 40		36 864 918	36 864 918	35 023 564 13 470 35 037 034	35 023 564 13 470 35 037 034	34 781 689,91	34 781 689,91
23 02	Humanitarian aid including aid to uprooted people, food aid and disaster preparedness	4	858 657 000	821 551 000	842 628 500	785 737 438	1 073 938 988,40	1 007 379 447,87
23 03	Civil protection financial instrument		23 200 000	19 700 000	22 000 000	21 318 236	30 575 228,52	26 918 516,90
	Title 23 — Total 40 01 40 Total including reserves		918 721 918	878 115 918	899 652 064 13 470 899 665 534	842 079 238 13 470 842 092 708	1 139 295 906,83	1 069 079 654,68

CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HUMANITARIAN AID’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
23 01	Administrative expenditure of the ‘Humanitarian aid’ policy area					
23 01 01	Expenditure related to staff in active employment in the ‘Humanitarian aid’ policy area	5	21 576 676	20 085 339	20 099 449,37	93,15 %
23 01 02	External staff and other management expenditure in support of the ‘Humanitarian aid’ policy area					
23 01 02 01	External staff	5	2 102 924	2 044 842	2 268 755,38	107,89 %
23 01 02 11	Other management expenditure	5	1 944 339	2 010 969	2 196 222,00	112,95 %
	40 01 40			13 470 2 024 439		
	Article 23 01 02 — Subtotal		4 047 263	4 055 811	4 464 977,38	110,32 %
	40 01 40			13 470 4 069 281		
23 01 03	Expenditure related to information and communication technology equipment and services of the ‘Humanitarian aid’ policy area	5	1 340 979	1 282 414	1 507 119,73	112,39 %
23 01 04	Support expenditure for operations in the ‘Humanitarian aid’ policy area					
23 01 04 01	Humanitarian aid — Expenditure on administrative management	4	9 600 000	9 350 000	8 408 663,43	87,59 %
23 01 04 02	Civil protection — Expenditure on administrative management	3.2	300 000	250 000	301 480,00	100,49 %
	Article 23 01 04 — Subtotal		9 900 000	9 600 000	8 710 143,43	87,98 %
	Chapter 23 01 — Total		36 864 918	35 023 564	34 781 689,91	94,35 %
	40 01 40			13 470 35 037 034		
	Total including reserves					

Article 23 01 01 — Expenditure related to staff in active employment in the ‘Humanitarian aid’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
21 576 676	20 085 339	20 099 449,37

Article 23 01 02 — External staff and other management expenditure in support of the ‘Humanitarian aid’ policy area

Item 23 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 102 924	2 044 842	2 268 755,38

Item 23 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
23 01 02 11	1 944 339	2 010 969	2 196 222,00
40 01 40		13 470	
Total	1 944 339	2 024 439	2 196 222,00

Article 23 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Humanitarian aid’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 340 979	1 282 414	1 507 119,73

Article 23 01 04 — Support expenditure for operations in the ‘Humanitarian aid’ policy area

Item 23 01 04 01 — Humanitarian aid — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 600 000	9 350 000	8 408 663,43

Remarks

This appropriation is intended to cover support expenditure directly linked to the achievement of the objectives of the humanitarian aid policy. This covers, inter alia:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts,
- fees and reimbursable expenses incurred through service contracts to undertake audits and evaluations of Directorate-General for Humanitarian Aid partners and actions,
- expenditure on studies, information and publications, on public awareness and information campaigns, and on any other measure highlighting the Union character of the aid,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff), limited to EUR 1 800 000. This staff is intended to take over the tasks that were entrusted to external contractors handling the administration of individual experts and to manage programmes in third countries. That amount, based on an estimated annual cost per person/year, is intended to cover the remuneration of the external staff in question and training, meetings, missions, information technology (IT) expenditure and telecommunications expenditure related to their tasks,
- expenditure related to the purchase and maintenance of security, specialised IT and communication tools and technical and human services necessary for the establishment and functioning of the Emergency Response Centre. This centre (‘crisis room’) will be operational on a 24-hour basis and responsible for the coordination of the EU’s civilian disaster response, in particular to ensure full consistency and efficient cooperation between humanitarian assistance and civil protection,
- expenditure on the development and operation of information systems, accessible via the Europa website or via a secured website at the Data Centre, intended to improve coordination between the Commission and other institutions, national administrations, agencies, non-governmental organisations, other partners in humanitarian aid, and Directorate-General for Humanitarian Aid experts in the field.

This appropriation covers administrative expenditure under Articles 23 02 01, 23 02 02 and 23 02 03.

Item 23 01 04 02 — Civil protection — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
300 000	250 000	301 480,00

Remarks

This appropriation is intended to cover:

- expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit, supervision and evaluation of the Civil Protection Financial Instrument and the Community Civil Protection Mechanism,

- expenditure related to the purchase and maintenance of security, specialised IT and communication tools and technical and human services necessary for the establishment and functioning of the Emergency Response Centre. This centre ('crisis room') will be operational on a 24 hour-basis and responsible for the coordination of the EU's civilian disaster response, in particular to ensure full consistency and efficient cooperation between humanitarian assistance and civil protection,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 23 03 01.

CHAPTER 23 02 — HUMANITARIAN AID INCLUDING AID TO UPROOTED PEOPLE, FOOD AID AND DISASTER PREPAREDNESS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
23 02	Humanitarian aid including aid to uprooted people, food aid and disaster preparedness								
23 02 01	Humanitarian aid	4	560 551 000	555 551 000	553 261 000	518 574 685	739 537 015,89	727 134 943,25	130,89 %
23 02 02	Food aid	4	259 187 000	230 000 000	251 580 000	230 602 367	298 983 918,17	247 463 639,66	107,59 %
23 02 03	Disaster preparedness	4	35 919 000	33 000 000	34 787 500	33 560 386	34 418 054,34	32 079 011,97	97,21 %
23 02 04	Preparatory action — European Voluntary Humanitarian Aid Corps	4	3 000 000	3 000 000	3 000 000	3 000 000	1 000 000,00	701 852,99	23,40 %
	Chapter 23 02 — Total		858 657 000	821 551 000	842 628 500	785 737 438	1 073 938 988,40	1 007 379 447,87	122,62 %

Article 23 02 01 — Humanitarian aid

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
560 551 000	555 551 000	553 261 000	518 574 685	739 537 015,89	727 134 943,25

Remarks

This appropriation is intended to cover the financing of humanitarian assistance to help people in countries outside the Union who are the victims of conflicts or disasters, both natural and man-made (wars, outbreaks of fighting, etc.), or comparable emergencies, for as long as is necessary to meet the humanitarian needs that such situations give rise to.

The aid is granted to victims without discrimination on the grounds of race, ethnic origin, religion, disability, sex, age, nationality or political affiliation.

This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of humanitarian aid operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, particularly of infrastructure and facilities, the costs associated with external, expatriate or local staff, storage, international or national shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may also cover any other costs directly linked to the implementation of humanitarian aid operations.

It covers, inter alia:

- feasibility studies on humanitarian operations, evaluations of humanitarian aid projects and plans, and visibility operations and information campaigns linked to humanitarian aid operations,
- the monitoring of humanitarian aid projects and plans, the promotion and development of initiatives intended to increase coordination and cooperation so as to make aid more effective and improve the monitoring of projects and plans,
- the supervision and coordination of the implementation of aid operations forming part of the aid concerned,
- measures to strengthen Union coordination with the Member States, other donor countries, international organisations and institutions (in particular those forming part of the United Nations), non-governmental organisations and organisations representing the latter,
- the technical assistance necessary for the preparation and implementation of humanitarian projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of Directorate-General for Humanitarian Aid units deployed throughout the world,
- the financing of technical assistance contracts to facilitate the exchange of technical know-how and expertise between Union humanitarian organisations and agencies or between such bodies and those of third countries,
- studies and training linked to the achievement of the objectives of the humanitarian aid policy area,
- action grants and running cost grants in favour of humanitarian networks,
- humanitarian mine-clearance operations including public awareness campaigns for local communities on anti-personnel mines,
- expenditure incurred by the network on humanitarian assistance (NOHA), pursuant to Article 4 of Regulation (EC) No 1257/96. This is a one-year multidisciplinary postgraduate diploma in the humanitarian field designed to promote greater professionalism amongst humanitarian workers and involving several participating universities.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Article 23 02 02 — Food aid

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
259 187 000	230 000 000	251 580 000	230 602 367	298 983 918,17	247 463 639,66

Remarks

This appropriation is intended to cover food aid operations of a humanitarian nature and will be carried out in accordance with the rules on humanitarian aid under Regulation (EC) No 1257/96.

The Union's humanitarian aid provides assistance and relief on a non-discriminatory basis to help people outside the Union, particularly the most vulnerable among them, and as a priority those in developing countries who are the victims of natural disasters, man-made crises, such as wars and outbreaks of fighting, or exceptional circumstances/circumstances comparable to natural or man-made disasters. That assistance is provided as long as it is necessary to meet the humanitarian needs to which such situations give rise.

This appropriation may be used to finance the purchase and delivery of food, seeds, livestock or any product or equipment needed for the implementation of the humanitarian food aid operations.

This appropriation is intended to cover the cost of the measures that are essential for implementing food aid operations of a humanitarian nature within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency. This includes:

- the transport and distribution of aid, including any related operations such as insurance, loading, unloading, coordination, etc.,
- back-up measures that are essential for the programming, coordination and optimum implementation of the aid, the cost of which is not covered by other appropriations, e.g. exceptional transport and storage operations, processing or preparation of foodstuffs on the spot, disinfection, consultants' services, technical assistance and equipment directly involved in providing the aid (tools, utensils, fuel, etc.),
- the supervision and coordination of food aid operations, notably the terms for the supply, delivery, distribution and use of the products involved, including the use of counterpart funds,
- pilot schemes concerning new forms of transport, packaging or storage, studies of food aid operations, visibility operations linked to the humanitarian operations, and information campaigns to increase public awareness,
- the storage of food (including administrative costs, future operations, with or without options, training of technicians, purchase of packaging and mobile storage units, cost of maintaining and repairing stores, etc.),
- the technical assistance necessary for the preparation and implementation of humanitarian food aid projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of Directorate-General for Humanitarian Aid units deployed throughout the world.

In order to ensure full financial transparency under Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits regarding the use of Union funds to the European Court of Auditors and to the Internal Auditor of the Commission.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Article 23 02 03 — Disaster preparedness

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
35 919 000	33 000 000	34 787 500	33 560 386	34 418 054,34	32 079 011,97

Remarks

This appropriation is intended to cover the financing of operations to prepare for or prevent disasters or comparable emergencies and ensure the development of early warning systems for all kind of natural disaster (floods, cyclones, volcanic eruptions, etc.), including the purchase and transport of any equipment required for that purpose.

This appropriation may also cover any other costs directly linked to the implementation of disaster preparedness operations, such as:

- the financing of scientific studies on the prevention of disasters,
- the constitution of emergency stocks of goods and equipments for use in connection with humanitarian aid operations,
- the technical assistance necessary for the preparation and implementation of disaster preparedness projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of Directorate-General for Humanitarian Aid units deployed throughout the world.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Article 23 02 04 — Preparatory action — European Voluntary Humanitarian Aid Corps

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 000 000	3 000 000	3 000 000	3 000 000	1 000 000,00	701 852,99

Remarks

This appropriation is intended to cover a preparatory action on the establishment of a European Voluntary Humanitarian Aid Corps (EVHAC), which is foreseen by Article 214(5) of the Treaty on the Functioning of the European Union.

In 2012, the Commission plans to adopt a legislative proposal setting up EVHAC. The aim of EVHAC is to create a framework for joint contributions from Europeans to humanitarian aid operations of the Union. It is a way of expressing the Union's solidarity with people in need. EVHAC will add visibility to Europe as a global player showing solidarity with the most vulnerable sections of the world population and ensuring involvement of a wide range of actors. An initial review of the European voluntary humanitarian aid sector identified gaps and areas where the EVHAC will add value. These include the identification and selection of volunteers, training through the development of common standards, good practices and possibly modules and deployment. In addition to giving a European form to the widely dispersed activities carried out by volunteers, the existence of EVHAC will also lead to a more efficient coordination of humanitarian activities, to the standardisation of procedures and practices applying to volunteers and to economies of scale.

This appropriation is intended to cover the following measures and items of expenditure:

- based on the 2011 preparatory work, the Commission will identify the areas requiring in-depth studies. Specific studies and evaluations further exploring issues linked to the establishment of EVHAC will be launched and will allow EVHAC's concrete features to be better defined,
- this work will be followed up by an extensive information and consultation process (publications, workshops, experts meetings, conferences) and the coordination and mobilisation of key stakeholders required by the legislative process. Seminars, working groups and conferences with stakeholders will facilitate the exchange of views on the future direction and structure of European volunteering in humanitarian aid,
- concrete 'pilot' actions in fields not covered in 2011 (e.g. civil protection) will be implemented to test concrete options and to generate lessons learned that will feed into the legislative proposal process,
- follow-up of 2011 concrete actions will be implemented to work with more partners, thereby exploring other options and responding to the expectations raised in 2011.

The implementation of concrete projects will include the technical assistance necessary for the preparation and implementation of projects, in particular the cost of contracts of volunteers and individual experts in the field and, where appropriate, the infrastructure and logistics costs (e.g. security, health, lodgings, insurance, daily and travel allowances) information and awareness-raising activities, including the promotion of volunteering to organisations which are not traditionally associated with this type of activity.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 23 03 — CIVIL PROTECTION FINANCIAL INSTRUMENT

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
23 03 23 03 01	Civil protection financial instrument Civil protection within the Union	3.2	18 200 000	15 000 000	18 000 000	14 000 000	16 596 324,47	12 498 778,30	83,33 %

23 03 02	<i>Pilot project — Cross-border cooperation in the fight against natural disasters</i>	3.2	—	—	p.m.	p.m.	0,—	592 767,53	
23 03 03	<i>Completion of previous programmes and actions in the field of civil protection and marine pollution</i>	3.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
23 03 04	<i>Pilot project — Step up cooperation between Member States on combating forest fires</i>	3.2	—	—	p.m.	p.m.	0,—	1 567 425,00	
23 03 05	<i>Preparatory action — Union rapid response capability</i>	2	p.m.	500 000	p.m.	3 500 000	0,—	1 030 925,93	206,19 %
23 03 06	<i>Civil protection interventions in third countries</i>	4	5 000 000	4 200 000	4 000 000	3 818 236	13 978 904,05	11 228 620,14	267,35 %
Chapter 23 03 — Total			23 200 000	19 700 000	22 000 000	21 318 236	30 575 228,52	26 918 516,90	136,64 %

Article 23 03 01 — Civil protection within the Union

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 200 000	15 000 000	18 000 000	14 000 000	16 596 324,47	12 498 778,30

Remarks

This appropriation is intended to cover expenditure on actions in the field of civil protection. It is aimed at supporting and complementing the efforts of the Member States, EFTA and candidate countries having signed a memorandum of understanding with the Union on response, preparedness and prevention actions with regard to natural and man-made disasters, acts of terrorism and technological, radiological or environmental accidents. It also aims to facilitate closer cooperation between the Member States in the field of civil protection.

It covers, in particular:

- the creation and management of a genuine 24/7 fully-fledged Emergency Response Centre (ERC). This covers, the purchase and maintenance of security, specialised IT and communication tools and technical and human services necessary for the establishment and functioning of the Emergency Response Centre. This centre ('crisis room') will be operational on a 24-hour basis and responsible for the coordination of the EU's civilian disaster response, in particular to ensure full consistency and efficient cooperation between humanitarian assistance and civil protection. The Centre needs advanced technology to maximise the gathering of information and facilitate the dissemination of information to various stakeholders, partners and teams in the field during major emergencies. In a crisis situation, more information rapidly available to decisionmakers translates into saved lives,
- the creation of a 'stand-by' capability for resources and equipment to be made available to a Member State in the event of an emergency,
- the identification of intervention experts, modules and other support available in Member States for assistance interventions in case of emergencies,
- the mobilisation of experts to assess assistance needs and facilitate Union assistance in Member States or third countries in the event of disasters, and the basic logistical support for such experts,
- a programme of lessons learnt from civil protection interventions and exercises in the framework of the Community Civil Protection Mechanism,
- a training programme for intervention teams, external staff and experts, to provide the knowledge and tools needed to participate effectively in Union interventions and to develop a common European intervention culture,
- command post exercises and full-scale exercises to test interoperability, train civil protection officials and create a common intervention culture,
- exchanges of experts to enhance understanding of Union civil protection and to share information and experience,
- information and communication (ICT) systems, in particular CECIS (Common Emergency Communication and Information System), facilitating the exchange of information with Member States during emergencies, to improve efficiency and to enable the exchange of 'EU classified' information. This covers the costs of development, maintenance, operation and support (hardware, software and services) of the systems. It also covers the cost of project management, quality control, security, documentation and training linked to the implementation of such systems,

- the study and development of civil protection modules within the meaning of Article 3(5) of Decision 2007/779/EC, Euratom,
- the study and development of disaster detection and early warning systems,
- the provision of support to Member States in obtaining access to equipment and transport resources,
- the provision of additional transport resources and associated logistics, necessary for ensuring a rapid response to major emergencies and complementing the transport provided by the Member States, under the conditions laid down in Article 4(2)(c) of Decision 2007/162/EC, Euratom,
- support for consular assistance to the citizens of the Union in major emergencies in third countries regarding civil protection activities, upon request from the consular authorities of the Member States,
- workshops, seminars, projects, studies, surveys, modelling, scenario-building and contingency planning, capacity-building assistance, demonstration projects, technology transfer, awareness-raising, information, communication and monitoring, assessment and evaluation,
- other supporting and complementary actions necessary in the framework of the Union Civil Protection Mechanism.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 2007/162/EC, Euratom of 5 March 2007 establishing a Civil Protection Financial Instrument (OJ L 71, 10.3.2007, p. 9).

Council Decision 2007/779/EC, Euratom of 8 November 2007 establishing a Community Civil Protection Mechanism (recast) (OJ L 314, 1.12.2007, p. 9).

Article 23 03 02 — Pilot project — Cross-border cooperation in the fight against natural disasters

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	592 767,53

Remarks

This appropriation is intended to cover payments in respect of commitments resulting from studies and grants supporting actions for cooperation and development of closer cooperation on civil protection measures with a view to preventing or at least minimising the consequences of natural disasters by developing cross-border early warning, coordination and logistical tools.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 23 03 03 — Completion of previous programmes and actions in the field of civil protection and marine pollution

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover payments in respect of commitments resulting from actions in the field of civil protection and from activities undertaken within the framework for the protection of the marine environment, coastlines and human health against the risks of accidental or deliberate marine pollution at sea.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, the EFTA amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 1999/847/EC of 9 December 1999 establishing a Community action programme in the field of civil protection (OJ L 327, 21.12.1999, p. 53).

Decision No 2850/2000/EC of the European Parliament and of the Council of 20 December 2000 setting up a Community framework for cooperation in the field of accidental or deliberate marine pollution (OJ L 332, 28.12.2000, p. 1).

Council Decision 2001/792/EC, Euratom of 23 October 2001 establishing a Community mechanism to facilitate reinforced cooperation in civil protection assistance interventions (OJ L 297, 15.11.2001, p. 7).

Article 23 03 04 — Pilot project — Step up cooperation between Member States on combating forest fires

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	1 567 425,00

Remarks

The aim of this pilot project is to improve mobilisation of operational resources and emergency support from Member States to assist a Member State in coping with forest fires too numerous and too intense to be handled by that Member State using its own logistical capacity and manpower.

The pilot project's objectives are, in particular, to:

- draw up a schedule of Member State emergency teams and logistical resources which can be mobilised in a contingency situation,
- develop standardised communication and information mechanisms in order to make assistance more effective and exchange information on best practices and best equipment and on drawing up operation plans for using both technical and human contingency resources.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 23 03 05 — Preparatory action — Union rapid response capability

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	3 500 000	0,—	1 030 925,93

Remarks

This appropriation is intended to cover a preparatory action on a rapid response capability of the Union designed to respond immediately to critical needs arising from major disasters, including forest fires. It may consist of dedicated civil protection modules that Member States make available for European civil protection intervention and/or additional complementary capacities made available by the Monitoring and Information Centre (MIC) through standing arrangements with other parties.

The aim of this preparatory action is also to improve the mobilisation of additional operational resources and emergency support from Member States to assist other Member States or third countries in coping with forest fires too numerous and too intense to be handled within the constraints of national logistical capacity and manpower. It is designed to test innovative arrangements for making assistance available to Member States or third countries facing major forest fires. It covers in particular the setting-up by the Member States of a reserve of fire fighting capacities that may be made available in situations where Member States are unable to provide the assistance because they have engaged all their national fire fighting capacities to respond to forest fires or due to a high risk of forest fires on their territory.

By ensuring that key resources and essential equipment are on standby during relevant periods, in line with scenarios for responding to major disasters, this preparatory action is intended to enhance the collective preparedness of the Union for major disasters and paves the way for the European Union Civil Protection Force.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 23 03 06 — Civil protection interventions in third countries

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	4 200 000	4 000 000	3 818 236	13 978 904,05	11 228 620,14

Remarks

This appropriation is intended to cover expenditure related to civil protection intervention in third countries under the Civil Protection Financial Instrument and the Community Civil Protection Mechanism:

- mobilisation of experts to assess assistance needs and facilitate European assistance in Member States or third countries in case of disasters,
- transportation of European civil protection assistance, including the provision of relevant information on transportation means, in the event of disaster as well as associated logistics.

Implementing partners may include authorities of the Member States or of beneficiary countries and their agencies, regional and international organisations and their agencies, non-governmental organisations, public and private operators and individual organisations or operators (including staff seconded from Member State administrations) with appropriate specialised expertise and experience.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the western Balkan potential candidate countries for

participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 2007/162/EC, Euratom of 5 March 2007 establishing a Civil Protection Financial Instrument (OJ L 71, 10.3.2007, p. 9).

Council Decision 2007/779/EC, Euratom of 8 November 2007 establishing a Community Civil Protection Mechanism (OJ L 314, 1.12.2007, p. 9).

TITLE 24 — FIGHT AGAINST FRAUD

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
24 01	Administrative expenditure of the 'Fight against fraud' policy area	5	58 310 000	58 310 000	57 392 000	57 392 000	55 514 280,71	55 514 280,71
24 02	Fight against fraud		21 700 000	17 250 000	21 450 000	16 676 792	21 912 539,84	16 809 815,24
Title 24 — Total			80 010 000	75 560 000	78 842 000	74 068 792	77 426 820,55	72 324 095,95

CHAPTER 24 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FIGHT AGAINST FRAUD' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
24 01	Administrative expenditure of the 'Fight against fraud' policy area	5				
24 01 06	European Anti-fraud Office (OLAF)		58 310 000	57 392 000	55 514 280,71	95,21 %
Chapter 24 01 — Total			58 310 000	57 392 000	55 514 280,71	95,21 %

Article 24 01 06 — European Anti-fraud Office (OLAF)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
58 310 000	57 392 000	55 514 280,71

Remarks

This appropriation is intended to cover expenditure relating to the European Anti-fraud Office (OLAF), including for OLAF staff posted in Union delegations, the objective of which is to combat fraud within an interinstitutional framework.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 65 000.

Legal basis

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20), and in particular Article 4 and Article 6(3) thereof.

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8), and in particular Article 11 thereof.

CHAPTER 24 02 — FIGHT AGAINST FRAUD

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
24 02	Fight against fraud								
24 02 01	<i>Operational programmes in the fight against fraud</i>	1.1	14 000 000	10 650 000	14 250 000	10 524 112	13 483 651,73	9 551 461,42	89,69 %
24 02 02	<i>Pericles</i>	1.1	1 000 000	900 000	1 000 000	866 425	998 233,20	649 626,74	72,18 %
24 02 03	<i>Anti-fraud information system (AFIS)</i>	1.1	6 700 000	5 700 000	6 200 000	4 536 255	5 930 654,91	6 608 727,08	115,94 %
24 02 04	<i>Pilot project — Developing a Union evaluation mechanism in the area of anti-corruption with a particular focus on identifying and reducing the costs of corruption in public procurement involving Union funds</i>	5	p.m.	p.m.	p.m.	750 000	1 500 000,00	0,—	
Chapter 24 02 — Total			21 700 000	17 250 000	21 450 000	16 676 792	21 912 539,84	16 809 815,24	97,45 %

Article 24 02 01 — Operational programmes in the fight against fraud

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 000 000	10 650 000	14 250 000	10 524 112	13 483 651,73	9 551 461,42

Remarks

This appropriation is intended to cover actions or activities organised in the framework of the Hercule II programme in the field of the protection of the Union's financial interests, including in the field of the prevention of and the fight against cigarette smuggling and counterfeiting.

This appropriation covers:

- development and improvement of investigative methods and technical resources in the fight against fraud, and improvement in the quality of technical and operational support for investigations, more particularly technical assistance to national authorities involved in the fight against fraud, including the fight against cigarette smuggling,
- promotion and improvement of cooperation between the Member States and the Union and between practitioners and academics,
- provision of information and support for activities relating to data access.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p. 2).

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8).

Decision No 804/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action

programme to promote activities in the field of the protection of the Community's financial interests (Hercule programme) (OJ L 143, 30.4.2004, p. 9).

Article 24 02 02 — Pericles

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	900 000	1 000 000	866 425	998 233,20	649 626,74

Remarks

This appropriation is intended to finance the Pericles programme for training, exchange and assistance for the protection of the euro against counterfeiting.

Legal basis

Council Decision 2001/923/EC of 17 December 2001 establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the "Pericles" programme) (OJ L 339, 21.12.2001, p. 50).

Council Decision 2001/924/EC of 17 December 2001 extending the effects of the Decision establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting ("Pericles" programme) to the Member States which have not adopted the euro as the single currency (OJ L 339, 21.12.2001, p. 55).

Reference acts

Commission Communication of 22 July 1998 to the Council, the European Parliament and the European Central Bank — 'Protection of the euro — Combating counterfeiting' (COM(1998)0474).

Resolution of the European Parliament of 17 November 1998 on the communication from the Commission to the Council, the European Parliament and the European Central Bank, 'Protection of the euro — Combating counterfeiting' (OJ C 379, 7.12.1998, p. 39).

Article 24 02 03 — Anti-fraud information system (AFIS)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 700 000	5 700 000	6 200 000	4 536 255	5 930 654,91	6 608 727,08

Remarks

This appropriation is intended to finance the cost of feasibility studies and the development and production of new, dedicated anti-fraud computer applications forming the infrastructure of the Anti-fraud information system (AFIS). AFIS is intended to support competent authorities in preventing and combating fraudulent activities detrimental to the general budget of the Union by ensuring the fast and secure exchange of information among relevant authorities in the Member States and between them and the Commission.

Legal basis

Council Regulation (EC) No 515/97 of 13 March 1997 on mutual assistance between the administrative authorities of the Member States and cooperation between the latter and the Commission to ensure the correct application of the law on customs and agricultural matters (OJ L 82, 22.3.1997, p. 1), and in particular Article 23 thereof.

Article 24 02 04 — Pilot project — Developing a Union evaluation mechanism in the area of anti-corruption with a particular focus on identifying and reducing the costs of corruption in public procurement involving Union funds

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	750 000	1 500 000,00	0,—

Remarks

As foreseen in the Action Plan implementing the Stockholm programme, the Commission envisages presenting a ‘Communication on a comprehensive policy against corruption in Member States, including the establishment of an evaluation mechanism as well as presenting modalities of cooperation with the Council of Europe’s Group of States against corruption (GRECO) for that purpose’.

The anti-corruption policy and evaluation mechanism at the level of the Union would respond to: (i) the public perception across the Union (2007 and 2009 Eurobarometers: more than 75 % of the European citizens consider that corruption represents a major problem in their own Member State), and (ii) the high public expectation of strengthened Union action (public consultation for Stockholm programme: 88 % of consulted citizens required more Union action in the field of anti-corruption).

A concrete step towards establishing an evaluation mechanism is a pilot project with a particular focus on public procurement involving Union funds that could identify indicators of corruption in the field of public procurement, test them in a limited number of Member States and measure the costs of corruption in particular areas. This particular focus in the pilot project is required given the magnitude of Union funds involved across the Union and the fact that public procurement is a developed area of the *acquis* in which Union competence is well-established.

Considering that corruption has played an important role in the financial crisis and that it slows down the recovery, the pilot project and the action by the Union has become even more urgent.

As a prerequisite, a research component in the pilot project may advance common definitions of corruption in the area of public procurement, so that data in different Member States could be compared, and the costs of corruption could be identified based upon common criteria.

The pilot project could be implemented in a limited number of Member States, selected on the basis of whether the results would be relevant for follow-up at Union level and for future Union policy and legislative action. The findings of the pilot project could then be disseminated throughout the Union.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 25 — COMMISSION’S POLICY COORDINATION AND LEGAL ADVICE

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
25 01	Administrative expenditure of the ‘Commission’s policy coordination and legal advice’ policy area	5	191 620 521	192 620 521	189 627 357	188 627 357	193 123 672,13	193 743 826,23
25 02	Relations with civil society, openness and information	5	4 006 000	4 006 000	3 953 000	3 953 000	4 328 097,03	4 686 725,45
	Title 25 — Total		195 626 521	196 626 521	193 580 357	192 580 357	197 451 769,16	198 430 551,68

CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMISSION’S POLICY COORDINATION AND LEGAL ADVICE’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
25 01	Administrative expenditure of the ‘Commission’s policy coordination and legal advice’ policy area								
25 01 01	Expenditure related to staff in active employment in the ‘Commission’s policy coordination and legal advice’ policy area								
25 01 01 01	Expenditure related to staff in active employment in the ‘Commission’s policy coordination and legal advice’ policy area	5	144 255 495	144 255 495	141 332 506	141 332 506	142 455 473,86	142 455 473,86	98,75 %
25 01 01 03	Salaries, allowances and payments of Members of the institution	5	9 685 000	9 685 000	9 248 000	9 248 000	9 052 440,17	9 052 440,17	93,47 %
	<i>Article 25 01 01 — Subtotal</i>		153 940 495	153 940 495	150 580 506	150 580 506	151 507 914,03	151 507 914,03	98,42 %
25 01 02	External staff and other management expenditure in support of the ‘Commission’s policy coordination and legal advice’ policy area								
25 01 02 01	External staff of the ‘Commission’s policy coordination and legal advice’ policy area	5	6 324 516	6 324 516	6 339 934	6 339 934	5 771 714,44	5 771 714,44	91,26 %
25 01 02 03	Special advisers	5	844 000	844 000	609 000	609 000	628 200,00	628 200,00	74,43 %
25 01 02 11	Other management expenditure of the ‘Commission’s policy coordination and legal advice’ policy area	5	12 841 109	12 841 109	12 133 119	12 133 119	15 222 493,27	15 222 493,27	118,55 %
25 01 02 13	Other management expenditure of Members of the institution	5	4 405 000	4 405 000	4 325 000	4 325 000	4 400 327,21	4 400 327,21	99,89 %
	<i>Article 25 01 02 — Subtotal</i>		24 414 625	24 414 625	23 407 053	23 407 053	26 022 734,92	26 022 734,92	106,59 %
25 01 03	Expenditure related to information and communication technology equipment and services of the ‘Commission policy coordination and legal advice’ policy area								
25 01 06	Better regulation and institutional development								
25 01 06 01	Impact Assessment Board	5	p.m.	p.m.	1 000	1 000	0,—	0,—	
	<i>Article 25 01 06 — Subtotal</i>		p.m.	p.m.	1 000	1 000	0,—	0,—	
25 01 07	Quality of legislation								
25 01 07 01	Codification of Union law	5	600 000	600 000	930 000	930 000	400 000,00	400 000,00	66,67 %
	<i>Article 25 01 07 — Subtotal</i>		600 000	600 000	930 000	930 000	400 000,00	400 000,00	66,67 %
25 01 08	Legal advice, litigation and infringements								
25 01 08 01	Legal expenses	5	3 700 000	3 700 000	3 700 000	3 700 000	4 482 471,12	4 482 471,12	121,15 %
	<i>Article 25 01 08 — Subtotal</i>		3 700 000	3 700 000	3 700 000	3 700 000	4 482 471,12	4 482 471,12	121,15 %
25 01 09	Pilot project — Interinstitutional system identifying long-term trends								
25 01 10	Preparatory action — Interinstitutional system identifying long-term trends	5	—	p.m.	p.m.	p.m.	0,—	620 154,10	
		5	p.m.	1 000 000	2 000 000	1 000 000			
	Chapter 25 01 — Total		191 620 521	192 620 521	189 627 357	188 627 357	193 123 672,13	193 743 826,23	100,58 %

Article 25 01 01 — Expenditure related to staff in active employment in the ‘Commission’s policy coordination and legal advice’ policy area

Item 25 01 01 01 — Expenditure related to staff in active employment in the ‘Commission’s policy coordination and legal advice’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
144 255 495	141 332 506	142 455 473,86

Item 25 01 01 03 — Salaries, allowances and payments of Members of the institution

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 685 000	9 248 000	9 052 440,17

Remarks

This appropriation is intended to cover:

- the basic salaries of Members of the Commission,
- the residence allowances of Members of the Commission,
- the family allowances of Members of the Commission, comprising:
 - household allowance,
 - dependent child allowance,
 - education allowance,
 - the representation allowances of Members of the Commission,
 - the employer’s contribution towards insurance against occupational disease and accident for Members of the Commission,
 - the employer’s contribution towards sickness insurance for former Members of the Commission,
 - birth grants,
 - in the event of the death of a Member of the Commission:
 - the deceased’s full remuneration until the end of the third month following that in which death occurred,
 - the costs of transporting the body to the deceased’s place of origin,
 - the cost of weightings applied to the emoluments and transitional allowances,
 - the cost of the weighting applied to the part of emoluments transferred to a country other than the country of employment,
 - the cost of any adjustments to remuneration, transitional allowances and pensions approved by the Council during the financial year.

This appropriation is also intended to take into account any appropriation which may be required to cover:

- travel expenses due to Members of the Commission (including their families) on taking up duty or leaving the institution,
- installation and resettlement allowances due to Members of the Commission on taking up duty or leaving the institution,
- removal expenses due to Members of the Commission on taking up duty or leaving the institution.

Legal basis

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil

Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 2, 3, 4, 4a, 4b, 5, 11 and 14 thereof.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 25 01 02 — External staff and other management expenditure in support of the ‘Commission’s policy coordination and legal advice’ policy area

Item 25 01 02 01 — External staff of the ‘Commission’s policy coordination and legal advice’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 324 516	6 339 934	5 771 714,44

Item 25 01 02 03 — Special advisers

Figures

Budget 2013	Appropriations 2012	Outturn 2011
844 000	609 000	628 200,00

Remarks

This appropriation is intended to cover the remuneration of special advisers, their mission expenses and the institution’s accident insurance contributions.

Legal basis

Conditions of Employment of Other Servants of the European Union.

Item 25 01 02 11 — Other management expenditure of the ‘Commission’s policy coordination and legal advice’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
12 841 109	12 133 119	15 222 493,27

Item 25 01 02 13 — Other management expenditure of Members of the institution

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 405 000	4 325 000	4 400 327,21

Remarks

This appropriation is intended to cover:

- travel expenses incurred, daily subsistence allowances and additional or exceptional expenditure incurred on mission,
- expenditure on the Commission’s obligations in respect of entertainment and representation (this expenditure may be incurred individually by the Members of the Commission in the fulfilment of their duties and as part of the institution’s activities).

Refunds of mission expenses paid for the account of other Union institutions or bodies and for third parties will be available as assigned revenue.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 20 000.

Legal basis

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

Communication of the President of the Commission concerning the Code of conduct for Commissioners (SEC(2004) 1487).

Commission Decision C(2007) 3494 of 18 July 2007 concerning the Regulation on representation and entertainment expenses made by the Commission, the President or the Commissioners.

Article 25 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Commission policy coordination and legal advice’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
8 965 401	9 008 798	10 710 552,06

Article 25 01 06 — Better regulation and institutional development

Item 25 01 06 01 — Impact Assessment Board

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	1 000	0,—

Remarks

This appropriation is intended to cover the financing of specialist studies, consultations, meetings and other activities relating to the Impact Assessment Board.

Article 25 01 07 — Quality of legislation

Item 25 01 07 01 — Codification of Union law

Figures

Budget 2013	Appropriations 2012	Outturn 2011
600 000	930 000	400 000,00

Remarks

This appropriation is intended to cover expenditure relating to the codification and recasting of Union/Community instruments.

Article 25 01 08 — Legal advice, litigation and infringements

Item 25 01 08 01 — Legal expenses

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
	3 700 000		3 700 000		4 482 471,12

Remarks

This appropriation is intended to cover pre-litigation and mediation costs, and the services of lawyers or other experts called in to advise the Commission.

It also covers costs awarded against the Commission by the Court of Justice of the European Union, or other courts.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 250 000.

Article 25 01 09 — Pilot project — Interinstitutional system identifying long-term trends

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	620 154,10

Remarks

The purpose of this pilot project is to set up an interinstitutional system identifying long-term trends on major policy issues that the Union faces. This system should provide common analyses of probable outcomes on major issues to be available for policy-makers; this is to be coordinated between the Parliament (involving policy departments), the Council and the Commission, using the already well established European Union Institute for Security Studies (EUISS) as a core for the system. This system should be rapidly established to be in full operation by 2012.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 25 01 10 — Preparatory action — Interinstitutional system identifying long-term trends

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
p.m.	1 000 000	2 000 000	1 000 000		

Remarks

The purpose of this preparatory action is to set up an interinstitutional system identifying long-term trends on major policy issues that the Union faces. The intention is to provide common analyses of probable outcomes on major issues to be available for policymakers. Furthermore, to achieve this goal, it would now be appropriate to explore in detail the design of specific mechanisms for promoting closer working cooperation between the research departments of the various Union institutions and bodies which are devoted to the analysis of medium- and long-term policy trends.

The aim of this preparatory action will be to:

- create a European strategy and policy analysis system (ESPAS) with all Union institutions included by 2014 on the basis of a proposal from the Commission (Article 49 of the Financial Regulation). The European Union Institute for Security Studies (EUISS) could continue to provide facilities and technical back-up until such time as the system becomes operational,

- the system will be interinstitutional, focusing on global long-term trends, both external and internal, relating to the Union,
- the system should be designed to provide regular input to the Union institutions to nourish long-term and medium-term strategic thinking, including reaching out to academics and other stakeholders to provide a broad perspective:
 - this input would include a detailed appraisal of long-term global trends and the submission of a report to the incoming presidents of the Union institutions looking at challenges options for the period 2014-2019,
 - further reports could include documents for the Union institutions for debate on forward-looking topics, helping foster and deepen cooperation between the Union institutions,
- the system should develop links with other countries undertaking global trends work in order to benefit from their expertise and provide its own expertise to other countries trying to get a grip on strategic trends and changes,
- build and maintain an open website, as a global repository for all relevant information, to facilitate citizens' access, and linked to other working websites on long-term trends across the globe.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 25 02 — RELATIONS WITH CIVIL SOCIETY, OPENNESS AND INFORMATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
25 02	Relations with civil society, openness and information								
25 02 01	Institutions of European interest								
25 02 01 01	Historical archives of the Union	5	2 268 000	2 268 000	2 215 000	2 215 000	2 176 000,00	2 141 549,04	94,42 %
	<i>Article 25 02 01 — Subtotal</i>		2 268 000	2 268 000	2 215 000	2 215 000	2 176 000,00	2 141 549,04	94,42 %
25 02 04	Information and publications								
25 02 04 01	Documentary databases	5	760 000	760 000	760 000	760 000	799 574,63	947 260,68	124,64 %
25 02 04 02	Digital publications	5	978 000	978 000	978 000	978 000	1 352 522,40	1 597 915,73	163,39 %
	<i>Article 25 02 04 — Subtotal</i>		1 738 000	1 738 000	1 738 000	1 738 000	2 152 097,03	2 545 176,41	146,44 %
	Chapter 25 02 — Total		4 006 000	4 006 000	3 953 000	3 953 000	4 328 097,03	4 686 725,45	116,99 %

Article 25 02 01 — Institutions of European interest

Item 25 02 01 01 — Historical archives of the Union

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 268 000	2 268 000	2 215 000	2 215 000	2 176 000,00	2 141 549,04

Remarks

This appropriation is intended to cover expenditure of the European University Institute relating to the management (staff and operating costs) of the historical archives of the Union.

Legal basis

Council Regulation (EEC, Euratom) No 354/83 of 1 February 1983 concerning the opening to the public of the historical archives of the European Economic Community and the European Atomic Energy Community (OJ L 43, 15.2.1983, p. 1).

Commission Decision No 359/83/ECSC of 8 February 1983 concerning the opening to the public of the historical archives of the European Coal and Steel Community (OJ L 43, 15.2.1983, p. 14).

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Reference acts

Contract between the Commission and the European University Institute, Florence, signed on 17 December 1984.

Article 25 02 04 — Information and publications

Item 25 02 04 01 — Documentary databases

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
760 000	760 000	760 000	760 000	799 574,63	947 260,68

Remarks

This appropriation is intended to cover expenditure relating to the Commission's documentary databases on the progress of procedures and official documents, in particular expenditure relating to:

- collecting, preparing, methodically analysing and loading texts and procedures,
- developing, maintaining and operating an integrated system,
- disseminating the information via various electronic media.

It covers expenditure incurred within the territory of the Union.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 25 02 04 02 — Digital publications

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
978 000	978 000	978 000	978 000	1 352 522,40	1 597 915,73

Remarks

This appropriation is intended to cover expenditure on issuing, in whatever medium, the publications provided for in the Treaties and other institutional or reference publications.

Publishing costs cover, among other things, preparation and drafting (including authors' contracts), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the consistency between texts), printing, posting on the Internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of such documents.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 26 — COMMISSION'S ADMINISTRATION

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
26 01	Administrative expenditure of the 'Commission's administration' policy area 40 01 40		995 497 771	995 497 771	981 017 917 1 502 275 982 520 192	981 017 917 1 502 275 982 520 192	1 054 009 593,13	1 054 009 593,13
26 02	Multimedia production	1	14 738 200	14 500 000	14 800 000	12 157 164	12 044 332,51	13 893 350,14
26 03	Services to public administrations, businesses and citizens		25 700 000	15 300 000	26 100 000	12 094 264	25 368 004,13	28 480 127,36
Title 26 — Total			1 035 935 971	1 025 297 771	1 021 917 917	1 005 269 345	1 091 421 929,77	1 096 383 070,63
40 01 40 Total including reserves					1 502 275 1 023 420 192	1 502 275 1 006 771 620		

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
26 01	Administrative expenditure of the 'Commission's administration' policy area					
26 01 01	Expenditure related to staff in active employment in the 'Commission's administration' policy area	5	106 958 668	105 722 828	106 339 229,79	99,42 %
26 01 02	External staff and other management expenditure in support of the 'Commission's administration' policy area					
26 01 02 01	External staff	5	5 869 647	5 584 921	6 402 391,19	109,08 %
26 01 02 11	Other management expenditure	5	17 986 456	17 676 594	24 057 193,75	133,75 %
	40 01 40			2 275 17 678 869		
	Article 26 01 02 — Subtotal		23 856 103	23 261 515	30 459 584,94	127,68 %
	40 01 40			2 275 23 263 790		
26 01 03	Expenditure related to information and communication technology equipment and services of the 'Commission's administration' policy area	5	6 647 424	6 750 218	7 997 754,15	120,31 %
26 01 04	Support expenditure for operations in the 'Commission's administration' policy area					
26 01 04 01	Interoperability Solutions for European Public Administrations (ISA) — Expenditure on administrative management	1.1	400 000	560 000	498 819,60	124,70 %
	Article 26 01 04 — Subtotal		400 000	560 000	498 819,60	124,70 %
26 01 09	Administrative support of the Publications Office					
26 01 09 01	Publications Office	5	85 234 000	83 077 750	90 710 028,44	106,42 %
	Article 26 01 09 — Subtotal		85 234 000	83 077 750	90 710 028,44	106,42 %
26 01 10	Consolidation of Union law					
26 01 10 01	Consolidation of Union law	5	1 070 000	1 000 000	1 499 906,86	140,18 %
	Article 26 01 10 — Subtotal		1 070 000	1 000 000	1 499 906,86	140,18 %
26 01 11	Official Journal of the European Union (L and C)					

26 01 11 01	Official Journal of the European Union	5	11 805 000	11 806 000	12 145 981,44	102,89 %
	<i>Article 26 01 11 — Subtotal</i>		11 805 000	11 806 000	12 145 981,44	102,89 %
26 01 12	Summaries of EU legislation	5	533 000			
26 01 20	European Personnel Selection Office	5	28 709 000	26 728 750	27 651 206,57	96,32 %
	40 01 40			1 500 000		
				28 228 750		
26 01 21	Office for the Administration and Payment of Individual Entitlements	5	37 000 000	35 879 000	41 979 223,33	113,46 %
26 01 22	Infrastructure and Logistics (Brussels)					
26 01 22 01	Office for Infrastructure and Logistics in Brussels	5	70 048 000	69 711 000	72 336 439,37	103,27 %
26 01 22 02	Acquisition and renting of buildings in Brussels	5	203 592 000	207 983 000	209 665 696,00	102,98 %
26 01 22 03	Expenditure related to buildings in Brussels	5	71 229 000	70 272 000	95 841 144,54	134,55 %
26 01 22 04	Expenditure for equipment and furniture in Brussels	5	8 271 000	9 163 000	12 422 946,49	150,20 %
26 01 22 05	Services, supplies and other operating expenditure in Brussels	5	9 930 000	9 126 810	11 347 034,44	114,27 %
26 01 22 06	Guarding of buildings in Brussels	5	32 500 000	32 788 000	27 106 979,94	83,41 %
	<i>Article 26 01 22 — Subtotal</i>		395 570 000	399 043 810	428 720 240,78	108,38 %
26 01 23	Infrastructure and Logistics (Luxembourg)					
26 01 23 01	Office for Infrastructure and Logistics in Luxembourg	5	25 404 000	25 266 000	24 671 665,74	97,12 %
26 01 23 02	Acquisition and renting of buildings in Luxembourg	5	40 091 000	40 319 000	40 991 816,67	102,25 %
26 01 23 03	Expenditure related to buildings in Luxembourg	5	17 481 000	17 357 000	17 915 807,88	102,49 %
26 01 23 04	Expenditure for equipment and furniture in Luxembourg	5	1 087 000	1 087 000	975 719,04	89,76 %
26 01 23 05	Services, supplies and other operating expenditure in Luxembourg	5	1 034 000	1 019 036	1 512 407,68	146,27 %
26 01 23 06	Guarding of buildings in Luxembourg	5	5 640 000	5 640 000	5 881 368,10	104,28 %
	<i>Article 26 01 23 — Subtotal</i>		90 737 000	90 688 036	91 948 785,11	101,34 %
26 01 40	Security and monitoring	5	8 044 000	8 321 000	8 280 911,00	102,95 %
26 01 50	Personnel policy and management					
26 01 50 01	Medical service	5	5 554 000	5 350 000	6 766 659,93	121,83 %
26 01 50 02	Competitions, selection and recruitment expenditure	5	1 620 000	1 850 000	1 672 991,52	103,27 %
26 01 50 04	Interinstitutional cooperation in the social sphere	5	7 048 000	7 537 000	14 623 700,92	207,49 %
26 01 50 06	Institution officials temporarily assigned to national civil services, to international organisations or to public or private institutions or undertakings	5	250 000	264 000	231 500,00	92,60 %
26 01 50 07	Damages	5	150 000	150 000	6 465 760,00	4310,51 %
26 01 50 08	Miscellaneous insurances	5	58 000	58 000	58 060,44	100,10 %
26 01 50 09	Language courses	5	3 524 000	3 744 000	4 639 411,33	131,65 %
	<i>Article 26 01 50 — Subtotal</i>		18 204 000	18 953 000	34 458 084,14	189,29 %
26 01 51	European Schools					
26 01 51 01	Office of the Secretary-General of the European Schools (Brussels)	5	7 673 453	7 627 207	7 624 467,00	99,36 %
26 01 51 02	Brussels I (Uccle)	5	24 424 693	24 446 700	25 332 000,00	103,71 %
26 01 51 03	Brussels II (Woluwe)	5	24 039 614	22 758 847	24 116 059,00	100,32 %
26 01 51 04	Brussels III (Ixelles)	5	24 014 470	22 759 039	23 270 853,00	96,90 %
26 01 51 05	Brussels IV (Laeken)	5	10 761 577	9 694 355	6 604 420,17	61,37 %
26 01 51 11	Luxembourg I	5	20 889 162	24 498 581	27 147 052,83	129,96 %
26 01 51 12	Luxembourg II	5	17 326 827	10 007 959	4 707 235,83	27,17 %
26 01 51 21	Mol (BE)	5	6 180 552	5 937 428	6 453 181,50	104,41 %
26 01 51 22	Frankfurt am Main (DE)	5	6 997 604	7 346 564	6 729 800,00	96,17 %
26 01 51 23	Karlsruhe (DE)	5	2 823 058	3 054 845	3 287 675,17	116,46 %
26 01 51 24	Munich (DE)	5	348 531	344 180	307 535,81	88,24 %
26 01 51 25	Alicante (ES)	5	7 946 274	8 097 123	7 235 727,00	91,06 %
26 01 51 26	Varese (IT)	5	11 121 451	9 670 615	10 303 944,00	92,65 %
26 01 51 27	Bergen (NL)	5	4 641 900	4 304 020	5 478 705,00	118,03 %
26 01 51 28	Culham (UK)	5	4 692 410	4 828 547	5 301 212,67	112,97 %
26 01 51 31	Union contribution to the Type 2 European Schools	5	6 848 000	3 850 000	7 419 968,00	108,35 %
	<i>Article 26 01 51 — Subtotal</i>		180 729 576	169 226 010	171 319 836,98	94,79 %
	Chapter 26 01 — Total		995 497 771	981 017 917	1 054 009 593,13	105,88 %
	40 01 40			1 502 275		
	Total including reserves			982 520 192		

Article 26 01 01 — Expenditure related to staff in active employment in the ‘Commission’s administration’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
106 958 668	105 722 828	106 339 229,79

Article 26 01 02 — External staff and other management expenditure in support of the ‘Commission’s administration’ policy area

Item 26 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 869 647	5 584 921	6 402 391,19

Item 26 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
26 01 02 11	17 986 456	17 676 594	24 057 193,75
40 01 40		2 275	
Total	17 986 456	17 678 869	24 057 193,75

Remarks

Article 26 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Commission’s administration’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 647 424	6 750 218	7 997 754,15

Article 26 01 04 — Support expenditure for operations in the ‘Commission’s administration’ policy area

Item 26 01 04 01 — Interoperability Solutions for European Public Administrations (ISA) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
400 000	560 000	498 819,60

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, as well as any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as the contracts of technical assistance offices expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Item 26 03 01 01.

Article 26 01 09 — Administrative support of the Publications Office

Item 26 01 09 01 — Publications Office

Figures

Budget 2013	Appropriations 2012	Outturn 2011
85 234 000	83 077 750	90 710 028,44

Remarks

The amount entered corresponds to the appropriations for the Publications Office set out in detail in a specific annex to this part of the statement of expenditure for this section.

On the basis of the Office's cost-accounting forecasts, the cost of the services it will perform for each institution is estimated as follows:

European Parliament	8 659 774	10,16%
Council	5 770 342	6,77 %
Commission	54 302 582	63,71 %
Court of Justice	5 310 078	6,23 %
Court of Auditors	1 525 689	1,79 %
European Economic and Social Committee	1 551 259	1,82 %
Committee of the Regions	562 544	0,66 %
Other	7 551 732	8,86 %
Total	85 234 000	100,00 %

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 4 200 800.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Articles 171 to 175 thereof.

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Article 26 01 10 — Consolidation of Union law

Item 26 01 10 01 — Consolidation of Union law

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 070 000	1 000 000	1 499 906,86

Remarks

This appropriation is intended to cover expenditure on the consolidation of Union instruments and on making available to the public, in all forms and on all publishing media, consolidated Union instruments in all the official languages of the Union.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

Legal basis

Conclusions of the Edinburgh European Council, December 1992 (SN/456/92, Annex 3 to Part A, p. 5).

Declaration on the quality of the drafting of Community legislation, annexed to the Final Act of the Treaty of Amsterdam.

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Article 26 01 11 — Official Journal of the European Union (L and C)

Item 26 01 11 01 — *Official Journal of the European Union*

Figures

Budget 2013	Appropriations 2012	Outturn 2011
11 805 000	11 806 000	12 145 981,44

Remarks

This appropriation is intended to cover expenditure on the publication, in all forms — including distribution, cataloguing, indexation and archiving — of the *Official Journal of the European Union*.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 360 000.

Legal basis

Treaty on the Functioning of the European Union, and in particular Article 297 thereof.

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385/58).

Council Decision of 15 September 1958 creating the *Official Journal of the European Communities* (OJ 17, 6.10.1958, p. 390/58).

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Article 26 01 12 — Summaries of EU legislation

Figures

Budget 2013	Appropriations 2012	Outturn 2011
533 000		

Remarks

New article

This appropriation is intended to cover the production of online summaries of EU legislation (presenting concisely the main aspects of EU legislation in an easy-to-read way) and development of related products.

Each of the two other institutions, European Parliament and Council, is expected to contribute from its respective section of the EU budget at the same level as the Commission.

Legal basis

Activity resulting from the tasks of the Publications Office as set up in Article 5, paragraph 1(d) of Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Reference acts

Council Resolution of 20 June 1994 on the electronic dissemination of Community law and national implementing laws and on improved access conditions (OJ C 179, 1.7.1994, p. 3).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on ‘Communicating Europe in Partnership’ (OJ C 13, 20.1.2009, p. 3).

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168 , 30.6.2009, p. 41).

Article 26 01 20 — European Personnel Selection Office

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
26 01 20	28 709 000	26 728 750	27 651 206,57
40 01 40		1 500 000	
Total	28 709 000	28 228 750	27 651 206,57

Remarks

The amount entered corresponds to the appropriations for the European Personnel Selection Office set out in detail in a specific annex to this part of the statement of expenditure for this section.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 406 000.

Legal basis

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Article 26 01 21 — Office for the Administration and Payment of Individual Entitlements

Figures

Budget 2013	Appropriations 2012	Outturn 2011
37 000 000	35 879 000	41 979 223,33

Remarks

The amount entered corresponds to the appropriations for the Office for the Administration and Payment of Individual Entitlements set out in detail in a specific annex to this part of the statement of expenditure for this section.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 5 242 000.

Legal basis

Commission Decision 2003/522/EC of 6 November 2002 establishing an Office for the administration and payment of individual entitlements (OJ L 183, 22.7.2003, p. 30).

Article 26 01 22 — Infrastructure and Logistics (Brussels)

Item 26 01 22 01 — Office for Infrastructure and Logistics in Brussels

Figures

Budget 2013	Appropriations 2012	Outturn 2011
70 048 000	69 711 000	72 336 439,37

Remarks

The amount entered corresponds to the appropriations for the Office for Infrastructure and Logistics in Brussels set out in detail in a specific annex to this part of the statement of expenditure for this section.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 7 100 000.

Legal basis

Commission Decision 2003/523/EC of 6 November 2002 establishing the Office for infrastructure and logistics in Brussels (OJ L 183, 22.7.2003, p. 35).

Item 26 01 22 02 — Acquisition and renting of buildings in Brussels

Figures

Budget 2013	Appropriations 2012	Outturn 2011
203 592 000	207 983 000	209 665 696,00

Remarks

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- rent and ground rent relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 521 241.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 4 718 000.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 26 01 22 03 — Expenditure related to buildings in Brussels

Figures

Budget 2013	Appropriations 2012	Outturn 2011
71 229 000	70 272 000	95 841 144,54

Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses relating to conducting the audit of accessibility of buildings to persons with disabilities and/or reduced mobility and the introduction of necessary adaptations pursuant to such an audit so as to make buildings fully accessible to all visitors,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major fitting-out operations for premises.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 182 362.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 7 550 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Decision of the European Ombudsman of 4 July 2007 on own-initiative inquiry OI/3/2003/JMA concerning the European Commission.

Item 26 01 22 04 — Expenditure for equipment and furniture in Brussels

Figures

Budget 2013	Appropriations 2012	Outturn 2011
8 271 000	9 163 000	12 422 946,49

Remarks

Former item 26 01 22 04 and former item XX 01 03 01 (in part)

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
 - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
 - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpretation facilities, etc.),
 - kitchen fittings and restaurant equipment,
 - various tools for building-maintenance shops,
 - facilities required for officials with disabilities,
 - studies, documentation and training relating to such equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the purchase, hire, maintenance and repair of vehicles, and in particular:
 - new purchases of vehicles, including at least one vehicle adapted for transporting persons with reduced mobility,
 - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
 - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet, or when the vehicle fleet does not cater for needs of passengers with reduced mobility,
 - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
 - various types of insurance (in particular third-party liability and insurance against theft) and the insurance costs referred to in Article 75 of the Financial Regulation,
- the purchase, hire, maintenance and repair of furniture, and in particular:
 - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
 - the replacement of worn-out and broken furniture,

- supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
- the hire of furniture,
- furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on working equipment, and in particular:
 - purchase of uniforms for floor messengers and drivers,
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Council Directives 89/391/EEC and 90/270/EEC,
- purchase of tickets (one-way ticket and business pass), free access to public transport routes to facilitate mobility between Commission buildings or between Commission buildings and public buildings (e.g. airport), service bicycles and any other means encouraging the use of public transporting and Commission staff mobility, with the exception of service vehicles,
- expenditure on inputs for protocol restaurant services.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 2 440 000.

The creation of a specific appropriation for reimbursing public transport season tickets is a modest but crucial measure to confirm the commitment of the institutions of the Union to reducing their CO₂ emissions in line with their Eco-Management and Audit Scheme (EMAS) policy and the agreed climate change objectives.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Item 26 01 22 05 — Services, supplies and other operating expenditure in Brussels

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 930 000	9 126 810	11 347 034,44

Remarks

Former item 26 01 22 05 and former item XX 01 03 01 (in part)

This appropriation is intended to cover the following expenditure incurred within Union territory:

- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,

- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- expenditure relating to the provision of protocol restaurant services,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 2 734 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 26 01 22 06 — Guarding of buildings in Brussels

Figures

Budget 2013	Appropriations 2012	Outturn 2011
32 500 000	32 788 000	27 106 979,94

Remarks

Former item 26 01 40 02

This appropriation is intended to cover expenditure for the guarding surveillance, access control and other related services for buildings occupied by the Commission (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract).

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 2 570 260.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 26 01 23 — Infrastructure and Logistics (Luxembourg)

Item 26 01 23 01 — Office for Infrastructure and Logistics in Luxembourg

Figures

Budget 2013	Appropriations 2012	Outturn 2011
25 404 000	25 266 000	24 671 665,74

Remarks

The amount entered corresponds to the appropriations for the Office for Infrastructure and Logistics in Luxembourg set out in detail in a specific annex to this part of the statement of expenditure for this section.

Legal basis

Commission Decision 2003/524/EC of 6 November 2002 establishing the Office for infrastructure and logistics in Luxembourg (OJ L 183, 22.7.2003, p. 40).

Item 26 01 23 02 — Acquisition and renting of buildings in Luxembourg

Figures

Budget 2013	Appropriations 2012	Outturn 2011
40 091 000	40 319 000	40 991 816,67

Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

- rent and ground rent relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 102 642.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 609 000.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 26 01 23 03 — Expenditure related to buildings in Luxembourg

Figures

Budget 2013	Appropriations 2012	Outturn 2011
17 481 000	17 357 000	17 915 807,88

Remarks

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training courses and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses relating to conducting the audit of accessibility of buildings to persons with disabilities and/or reduced mobility and the introduction of necessary adaptations pursuant to such an audit so as to make buildings fully accessible to all visitors,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major fitting-out operations for premises.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 44 755.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 270 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Decision of the European Ombudsman of 4 July 2007 on own-initiative inquiry OI/3/2003/JMA concerning the European Commission.

Item 26 01 23 04 — Expenditure for equipment and furniture in Luxembourg

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 087 000	1 087 000	975 719,04

Remarks

Former item 26 01 23 04 and former item XX 01 03 01 (in part)

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
 - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
 - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpretation facilities, etc.),
 - kitchen fittings and restaurant equipment,
 - various tools for building-maintenance shops,
 - facilities required for officials with disabilities,
 - studies, documentation and training relating to such equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the purchase, hire, maintenance and repair of vehicles, and in particular:
 - new purchases of vehicles, including at least one vehicle adapted for transporting persons with reduced mobility,
 - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
 - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet, or when the vehicle fleet does not cater for needs of passengers with reduced mobility,
 - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
 - various types of insurance (in particular third-party liability and insurance against theft) and the insurance costs referred to in Article 75 of the Financial Regulation,
- the purchase, hire, maintenance and repair of furniture, and in particular:
 - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
 - the replacement of worn-out and broken furniture,
 - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
 - the hire of furniture,
 - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on working equipment, and in particular:
 - purchase of uniforms for floor messengers and drivers,
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Council Directives 89/391/EEC and 90/270/EEC.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles

concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 32 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Item 26 01 23 05 — Services, supplies and other operating expenditure in Luxembourg

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 034 000	1 019 036	1 512 407,68

Remarks

Former item 26 01 23 05 and former item XX 01 03 01 (in part)

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 26 01 23 06 — Guarding of buildings in Luxembourg

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 640 000	5 640 000	5 881 368,10

Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

- expenses concerned with the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations, training courses and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training courses and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract).

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 26 01 40 — Security and monitoring

Figures

Budget 2013	Appropriations 2012	Outturn 2011
8 044 000	8 321 000	8 280 911,00

Remarks

Former item 26 01 40 01

This appropriation is intended to cover expenses concerned with:

- the physical and material security of persons and property, in particular the purchase, hiring or leasing, maintenance, repair, installation and replacement of technical security equipment,
- the health and safety of individuals at work, in particular statutory inspection costs (inspection of technical installations in buildings, safety coordinator and health and hygiene inspections of foodstuffs), the purchase, hire and maintenance of fire-fighting equipment and expenditure on training and equipment for leading fire fighters (ECI) and fire pickets (EPI), whose presence in the buildings is required by law.

Before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles

concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 423 660.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 26 01 50 — Personnel policy and management

Item 26 01 50 01 — Medical service

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 554 000	5 350 000	6 766 659,93

Remarks

This appropriation is intended to cover:

- the cost of annual check-ups and pre-recruitment medical examinations, equipment and pharmaceutical products, working tools and special furniture required on medical grounds and the administrative costs of the Invalidity Committee,
- the cost of medical, paramedical and psycho-social personnel employed under local law contracts or as occasional replacements, and the cost of external services by medical specialists considered necessary by the medical officers,
- the cost of pre-recruitment medical examinations for assistants at the childminding centres,
- the cost of health checks for staff exposed to radiation,
- the purchase or reimbursement of equipment in connection with the application of Directives 89/391/EEC and 90/270/EEC.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 181 000.

Legal basis

Staff Regulations of Officials of the European Union.

Treaty establishing the European Atomic Energy Community, in particular Chapter III thereof.

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

National legislation on basic standards.

Item 26 01 50 02 — Competitions, selection and recruitment expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 620 000	1 850 000	1 672 991,52

Remarks

This appropriation is intended to cover:

- costs linked to recruitment and selection for management posts,
- expenditure on inviting successful candidates to employment interviews,
- expenditure on inviting officials and other staff in delegations to take part in competitions and selection procedures,
- the cost of organising the competitions and selection procedures provided for in Article 3 of Decision 2002/620/EC.

In cases duly substantiated on grounds of functional requirements and after the European Personnel Selection Office has been consulted, this appropriation can be used for competitions organised by the institution itself.

This appropriation does not cover expenditure on the personnel for whom appropriations are entered under Articles 01 04 and 01 05 of the titles concerned.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 10 000.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

Item 26 01 50 04 — Interinstitutional cooperation in the social sphere

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 048 000	7 537 000	14 623 700,92

Remarks

This appropriation is intended to cover:

- expenditure on producing and developing the Commission's intranet site (*IntraComm*) and the in-house weekly, *Commission en direct*,
- other expenditure on internal information and communication, including promotion campaigns,
- temporary staff working in the after-school childminding centres, holiday centres and open-air centres run by Commission departments,
- document reproduction work which cannot be handled in-house and has to be sent out,
- expenditure on private-law contracts with persons replacing the regular nurses and children's nurses at the crèches,
- some of the costs of the recreation centre, cultural activities, subsidies to staff clubs, and the management of, and extra equipment for, sports centres,
- projects to promote social contact between staff of different nationalities and the integration of staff and their families and

preventative projects to meet the needs of staff in service and their families,

- a contribution towards the expenses incurred by members of staff for activities such as home help, legal advice, open-air centres for children, and courses in languages and the arts,
- the cost of reception facilities for new officials and other staff and their families and assistance in accommodation matters for staff,
- expenditure on assistance in kind which may be provided to an official, a former official or survivors of a deceased official who are in particularly difficult circumstances,
- certain expenditure on the early childhood centres and other crèches and childcare facilities; the revenue from the parental contribution will be available for re-use,
- expenditure on recognition events for officials, and in particular the cost of medals for 20 years' service and retirement gifts,
- specific payments to persons in receipt of Union pensions and those entitled under them and to any surviving dependents who are in particularly difficult circumstances,
- financing preventative projects to meet the specific needs of former staff in the various Member States and contributions to associations of former staff.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

In respect of the policy to assist disabled persons in the following categories:

- officials and other staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union,

within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, this appropriation covers any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from their disability.

This appropriation is intended to cover some of the expenditure on schooling children who for unavoidable educational reasons are not or are no longer admitted to European Schools, or cannot attend a European School because of the place of work of either parent (external offices).

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 4 714 000.

Legal basis

Staff Regulations of Officials of the European Union.

Item 26 01 50 06 — Institution officials temporarily assigned to national civil services, to international organisations or to public or private institutions or undertakings

Figures

Budget 2013	Appropriations 2012	Outturn 2011
250 000	264 000	231 500,00

Remarks

This appropriation is intended to cover supplementary expenses arising from the secondment of Union officials, i.e. to pay the allowances and reimburse the expenditure to which secondment entitles them.

It is also intended to cover costs relating to special training schemes with authorities and bodies in Member States and third countries.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

Legal basis

Staff Regulations of Officials of the European Union.

Item 26 01 50 07 — Damages

Figures

Budget 2013	Appropriations 2012	Outturn 2011
150 000	150 000	6 465 760,00

Remarks

This appropriation is intended to cover:

- damages and the cost of settling claims against the Commission (third-party liability) in connection with the institution's own staff and administrative processes,
- expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim whatsoever arising therefrom.

Item 26 01 50 08 — Miscellaneous insurances

Figures

Budget 2013	Appropriations 2012	Outturn 2011
58 000	58 000	58 060,44

Remarks

This appropriation is intended to cover expenditure on civil liability for operations and other contracts managed by the Office for the Administration and Payment of Individual Entitlements on behalf of the Commission, agencies, the Joint Research Committee, delegations of the Union and Commission Representations, and indirect research.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 26 01 50 09 — Language courses

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 524 000	3 744 000	4 639 411,33

Remarks

This appropriation is intended to cover:

- the cost of organising language courses for officials and other staff,
- the cost of organising language courses for the spouses of officials and other staff, with due regard for integration policy,
- the purchase of material and documentation,
- the consultation of experts.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 750 000.

Legal basis

Staff Regulations of Officials of the European Union.

Article 26 01 51 — European Schools

Item 26 01 51 01 — Office of the Secretary-General of the European Schools (Brussels)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 673 453	7 627 207	7 624 467,00

Remarks

This appropriation is intended to contribute to the financing of the Office of the Secretary-General of the European Schools (Brussels).

European Schools must comply with the principles of non-discrimination and equal opportunities.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 02 — Brussels I (Uccle)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
24 424 693	24 446 700	25 332 000,00

Remarks

This appropriation is intended to contribute to the budget of the European School in Brussels-Uccle (Brussels I).

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 03 — Brussels II (Woluwe)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
24 039 614	22 758 847	24 116 059,00

Remarks

This appropriation is intended to contribute to the budget of the European School in Brussels-Woluwe (Brussels II).

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 04 — Brussels III (Ixelles)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
24 014 470	22 759 039	23 270 853,00

Remarks

This appropriation is intended to contribute to the budget of the European School in Brussels-Ixelles (Brussels III).

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 05 — Brussels IV (Laeken)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
10 761 577	9 694 355	6 604 420,17

Remarks

This appropriation is intended to contribute to the budget of the European School in Brussels-Laeken (Brussels IV).

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 11 — Luxembourg I

Figures

Budget 2013	Appropriations 2012	Outturn 2011
20 889 162	24 498 581	27 147 052,83

Remarks

This appropriation is intended to contribute to the budget of the European School in Luxembourg I.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 12 — Luxembourg II

Figures

Budget 2013	Appropriations 2012	Outturn 2011
17 326 827	10 007 959	4 707 235,83

Remarks

This appropriation is intended to contribute to the budget of the European School in Luxembourg II.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 21 — Mol (BE)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 180 552	5 937 428	6 453 181,50

Remarks

This appropriation is intended to contribute to the budget of the European School in Mol.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 22 — Frankfurt am Main (DE)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 997 604	7 346 564	6 729 800,00

Remarks

This appropriation is intended to contribute to the budget of the European School in Frankfurt am Main.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 23 — Karlsruhe (DE)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 823 058	3 054 845	3 287 675,17

Remarks

This appropriation is intended to contribute to the budget of the European School in Karlsruhe.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 24 — Munich (DE)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
348 531	344 180	307 535,81

Remarks

This appropriation is intended to contribute to the budget of the European School in Munich.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 25 — Alicante (ES)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 946 274	8 097 123	7 235 727,00

Remarks

This appropriation is intended to contribute to the budget of the European School in Alicante.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 26 — Varese (IT)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
11 121 451	9 670 615	10 303 944,00

Remarks

This appropriation is intended to contribute to the budget of the European School in Varese.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 27 — Bergen (NL)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 641 900	4 304 020	5 478 705,00

Remarks

This appropriation is intended to contribute to the budget of the European School in Bergen.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 28 — Culham (UK)

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 692 410	4 828 547	5 301 212,67

Remarks

This appropriation is intended to contribute to the budget of the European School in Culham.

Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

Item 26 01 51 31 — Union contribution to the Type 2 European Schools

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 848 000	3 850 000	7 419 968,00

Remarks

This appropriation is intended to cover the Commission contribution to the Type 2 European Schools accredited by the Board of Governors of the European Schools and who have signed the financial agreement with the Commission.

Reference acts

Commission Decision C(2009) 7719 of 14 October 2009 and Commission Decision C(2010) 7993 of 8 December 2010.

CHAPTER 26 02 — MULTIMEDIA PRODUCTION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
26 02 26 02 01	Multimedia production <i>Procedures for awarding and advertising public supply, works and service contracts</i>	1.1	14 738 200	14 500 000	14 800 000	12 157 164	12 044 332,51	13 893 350,14	95,82 %
	Chapter 26 02 — Total		14 738 200	14 500 000	14 800 000	12 157 164	12 044 332,51	13 893 350,14	95,82 %

Article 26 02 01 — Procedures for awarding and advertising public supply, works and service contracts

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 738 200	14 500 000	14 800 000	12 157 164	12 044 332,51	13 893 350,14

Remarks

This appropriation is intended to cover the costs of:

- collecting, processing, publishing and disseminating notices of public contracts put up for tender in the Union and third countries on various media, and of incorporating them in the eProcurement services offered by the institutions to firms and contracting authorities. This includes the cost of translating the notices of public contracts published by the institutions,
- promoting the use of new techniques for collecting and disseminating notices of public contracts by electronic means,
- developing and exploiting eProcurement services for the various contract award stages.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 403 100.

Legal basis

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385/58).

Council Decision of 15 September 1958 creating the *Official Journal of the European Communities* (OJ 17, 6.10.1958, p. 390/58).

Council Regulation (EEC) No 2137/85 of 25 July 1985 on the European Economic Interest Grouping (EEIG) (OJ L 199, 31.7.1985, p. 1).

Council Directive 89/665/EEC of 21 December 1989 on the coordination of the laws, regulations and administrative provisions

relating to the application of review procedures to the award of public supply and public works contracts (OJ L 395, 30.12.1989, p. 33).

Council Directive 92/13/EEC of 25 February 1992 coordinating the laws, regulations and administrative provisions relating to the application of Community rules on the procurement procedures of entities operating in the water, energy, transport and telecommunications sectors (OJ L 76, 23.3.1992, p. 14).

Decision 94/1/ECSC, EC of the Council and of the Commission of 13 December 1993 on the conclusion of the Agreement on the European Economic Area between the European Communities, their Member States and the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation (OJ L 1, 3.1.1994, p. 1).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1).

Council Regulation (EC) No 2157/2001 of 8 October 2001 on the Statute for a European company (SE) (OJ L 294, 10.11.2001, p. 1).

Decision 2002/309/EC, Euratom of the Council and of the Commission as regards the Agreement on Scientific and Technological Cooperation, of 4 April 2002 on the conclusion of seven Agreements with the Swiss Confederation (OJ L 114, 30.4.2002, p. 1), and in particular the Agreement between the European Community and the Swiss Confederation on certain aspects of government procurement (OJ L 114, 30.4.2002, p. 430).

Regulation (EC) No 2195/2002 of the European Parliament and of the Council of 5 November 2002 on the Common Procurement Vocabulary (CPV) (OJ L 340, 16.12.2002, p. 1).

Council Regulation (EC) No 1435/2003 of 22 July 2003 on the Statute for a European Cooperative Society (SCE) (OJ L 207, 18.8.2003, p. 1).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Decision 2005/15/EC of the Commission of 7 January 2005 on the detailed rules for the application of the procedure provided for in Article 30 of Directive 2004/17/EC of the European Parliament and of the Council coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 7, 11.1.2005, p. 7).

Regulation (EC) No 1082/2006 of the European Parliament and of the Council of 5 July 2006 on a European grouping of territorial cooperation (EGTC) (OJ L 210, 31.7.2006, p. 19).

Decision 2007/497/EC of the European Central Bank of 3 July 2007 laying down the Rules on Procurement (ECB/2007/5) (OJ L 184, 14.7.2007, p. 34).

Commission Regulation (EC) No 718/2007 of 12 June 2007 implementing Council Regulation (EC) No 1085/2006 establishing an instrument for pre-accession assistance (IPA) (OJ L 170, 29.6.2007, p. 1).

Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road (OJ L 315, 3.12.2007, p. 1).

Regulation (EC) No 1008/2008 of the European Parliament and of the Council of 24 September 2008 on common rules for the operation of air services in the Community (Recast) (OJ L 293, 31.10.2008, p. 3).

Directive 2009/81/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of procedures for the award of certain works contracts, supply contracts and service contracts by contracting authorities or entities in the fields of defence and security (OJ L 216, 20.8.2009, p. 76).

Commission Implementing Regulation (EU) No 842/2011 of 19 August 2011 establishing standard forms for the publication of notices in the field of public procurement (OJ L 222, 27.8.2011, p. 1).

Commission Regulation (EU) No 1251/2011 of 30 November 2011 amending Directives 2004/17/EC, 2004/18/EC and 2009/81/EC of the European Parliament and of the Council in respect of their application thresholds for the procedures for the award of contracts (OJ L 319, 2.12.2011, p. 43).

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
26 03	Services to public administrations, businesses and citizens								
26 03 01	Networks for the interchange of data between administrations								
26 03 01 01	Interoperability Solutions for European Public Administrations (ISA)	1.1	25 700 000	15 000 000	25 500 000	11 794 264	25 368 004,13	20 189 963,52	134,60 %
26 03 01 02	Completion of previous IDA and IDABC programmes	1.1	p.m.	p.m.	p.m.	p.m.	0,—	7 140 413,64	
	<i>Article 26 03 01 — Subtotal</i>		25 700 000	15 000 000	25 500 000	11 794 264	25 368 004,13	27 330 377,16	182,20 %
26 03 02	Pilot project — Erasmus public administration programme	5	—	—	p.m.	p.m.	0,—	346 054,63	
26 03 03	Preparatory action — Erasmus public administration programme	5	p.m.	300 000	600 000	300 000	0,—	803 695,57	267,90 %
	Chapter 26 03 — Total		25 700 000	15 300 000	26 100 000	12 094 264	25 368 004,13	28 480 127,36	186,14 %

Article 26 03 01 — Networks for the interchange of data between administrations

Item 26 03 01 01 — Interoperability Solutions for European Public Administrations (ISA)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 700 000	15 000 000	25 500 000	11 794 264	25 368 004,13	20 189 963,52

Remarks

On 29 September 2008 the Commission proposed a programme on ‘Interoperability Solutions for European Public Administrations’ (ISA) as a successor to the IDABC programme, which came to an end in December 2009.

The ISA programme aims at facilitating the efficient and effective electronic cross-border and cross-sector interaction between European public administrations in support of the delivery of electronic public services.

In order to do so, the ISA programme must contribute to establishing the related organisational, financial and operational framework by ensuring the availability of common frameworks, common services and generic tools and by increasing the awareness of information and communication technology aspects of Union legislation.

The ISA programme will thus contribute to the reinforcement and implementation of Union policies and legislation.

The programme is implemented in close cooperation and coordination with Member States and sectors, via studies, projects and accompanying measures.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional

appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 922/2009/EC of the European Parliament and of the Council of 16 September 2009 on interoperability solutions for European public administrations (ISA) (OJ L 260, 3.10.2009, p. 20).

Item 26 03 01 02 — Completion of previous IDA and IDABC programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	7 140 413,64

Remarks

This appropriation is intended to cover the clearance of commitments made under the previous IDABC programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision 2004/387/EC of the European Parliament and of the Council of 21 April 2004 on interoperable delivery of pan-European eGovernment services to public administrations, businesses and citizens (IDABC) (OJ L 181, 18.5.2004, p. 25).

Article 26 03 02 — Pilot project — Erasmus public administration programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	346 054,63

Remarks

This appropriation is intended to cover completion costs of the pilot project 'Erasmus public administration programme'.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 26 03 03 — Preparatory action — Erasmus public administration programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	600 000	300 000	0,—	803 695,57

Remarks

This appropriation is intended to ensure continued implementation of the measures funded by preparatory action under the Erasmus public administration programme.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 27 — BUDGET

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
27 01	Administrative expenditure of the 'Budget' policy area 40 01 40	5	68 241 556	68 241 556	68 442 702 100 293 68 542 995	68 442 702 100 293 68 542 995	60 608 604,45	60 608 604,45
27 02	Budget implementation, control and discharge		p.m.	p.m.	p.m.	p.m.	0,—	0,—
	Title 27 — Total 40 01 40 Total including reserves		68 241 556	68 241 556	68 442 702 100 293 68 542 995	68 442 702 100 293 68 542 995	60 608 604,45	60 608 604,45

CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
27 01	Administrative expenditure of the 'Budget' policy area					
27 01 01	Expenditure related to staff in active employment in the 'Budget' policy area	5	42 331 385	41 769 511	41 972 375,75	99,15 %
27 01 02	External staff and other management expenditure in support of the 'Budget' policy area					
27 01 02 01	External staff of the Directorate-General for Budget	5	4 351 505	4 461 606	5 546 747,55	127,47 %
27 01 02 09	External staff — Non-decentralised management	5	4 400 981	1 652 723		
27 01 02 11	Other management expenditure of the Directorate-General for Budget 40 01 40	5	7 906 099	7 758 058 10 028 7 768 086	9 274 557,44	117,31 %
27 01 02 19	Other management expenditure — Non-decentralised management 40 01 40	5	5 950 713	9 309 894 90 265 9 400 159		
	<i>Article 27 01 02 — Subtotal</i> 40 01 40		22 609 298	23 182 281 100 293 23 282 574	14 821 304,99	65,55 %
27 01 03	Expenditure related to information and communication technology equipment and services of the 'Budget' policy area	5	2 630 873	2 666 910	3 156 539,41	119,98 %
27 01 04	Support expenditure for operations in the 'Budget' policy area	5	150 000	204 000	148 274,30	98,85 %
27 01 11	Exceptional crisis expenditure	5	p.m.	p.m.	0,—	
27 01 12	Accountancy					
27 01 12 01	Financial charges	5	390 000	420 000	383 000,00	98,21 %
27 01 12 02	Coverage of expenditure incurred in connection with treasury management	5	p.m.	p.m.	0,—	
27 01 12 03	Purchase of financial information on the solvency of beneficiaries of funds from the general budget of the Union and of Commission debtors	5	130 000	200 000	127 110,00	97,78 %
	<i>Article 27 01 12 — Subtotal</i>		520 000	620 000	510 110,00	98,10 %
	Chapter 27 01 — Total 40 01 40 Total including reserves		68 241 556	68 442 702 100 293 68 542 995	60 608 604,45	88,81 %

Article 27 01 01 — Expenditure related to staff in active employment in the ‘Budget’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
42 331 385	41 769 511	41 972 375,75

Article 27 01 02 — External staff and other management expenditure in support of the ‘Budget’ policy area

Item 27 01 02 01 — External staff of the Directorate-General for Budget

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 351 505	4 461 606	5 546 747,55

Item 27 01 02 09 — External staff — Non-decentralised management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 400 981	1 652 723	

Remarks

This appropriation is not allocated to a specific policy area at the start of the financial year and may be used to cover the requirements of all Commission departments. It will be transferred in the course of the year, in accordance with the provisions of the Financial Regulation, to the corresponding budget items of the policy areas for which it will be implemented.

Item 27 01 02 11 — Other management expenditure of the Directorate-General for Budget

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
27 01 02 11	7 906 099	7 758 058	9 274 557,44
40 01 40		10 028	
Total	7 906 099	7 768 086	9 274 557,44

Item 27 01 02 19 — Other management expenditure — Non-decentralised management

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
27 01 02 19	5 950 713	9 309 894	
40 01 40		90 265	
Total	5 950 713	9 400 159	

Remarks

This appropriation is not allocated to a specific policy area at the start of the financial year and may be used to cover the requirements of all Commission departments. It will not be used from this item but will be transferred in the course of the year, in accordance with the provisions of the Financial Regulation, to the corresponding budget item of the policy areas for which it will be implemented.

Article 27 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Budget’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 630 873	2 666 910	3 156 539,41

Article 27 01 04 — Support expenditure for operations in the ‘Budget’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
150 000	204 000	148 274,30

Remarks

This appropriation is intended to cover reproduction work on documents related to the general budget of the European Union which has to be sent out, in particular the annual brochure on the budget, the financial report on the implementation of the previous year's budget, the summary of the annual accounts and one-off publications concerning different aspects of budget implementation.

It is also intended to cover expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail.

Appropriations to cover the equivalent expenditure in respect of research are entered under Article 01 05 of the titles concerned.

Article 27 01 11 — Exceptional crisis expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover any expenditure incurred during a declared crisis which has activated one or more business continuity plans, and the nature and/or the amount of which has not made it possible to post it on the other administrative budget lines of the Commission.

The budgetary authority will be informed of the incurred expenditure at the latest three weeks after the crisis has ended.

Activities with no budget line:

- promotion of good financial management,
- administrative support and management of the Directorate-General for Budget,
- financial framework and budgetary procedure,
- policy strategy and coordination for the Directorate-General for Budget.

Article 27 01 12 — Accountancy

Item 27 01 12 01 — Financial charges

Figures

Budget 2013	Appropriations 2012	Outturn 2011
390 000	420 000	383 000,00

27 02 01	<i>Deficit carried over from the previous financial year</i>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
27 02 02			<i>Temporary and lump-sum compensation for the new Member States</i>	p.m.	p.m.	p.m.	p.m.	0,—	0,—
Chapter 27 02 — Total		6		p.m.	p.m.	p.m.	p.m.	0,—	0,—

Article 27 02 01 — Deficit carried over from the previous financial year

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

In accordance with Article 15 of the Financial Regulation, the balance from each financial year is to be entered in the budget for the following financial year as revenue in the case of a surplus or as a payment appropriation in the case of a deficit.

The estimates of such revenue or payment appropriations are entered in the budget during the budgetary procedure and in a letter of amendment submitted pursuant to Article 34 of the Financial Regulation. They are drawn up in accordance with Regulation (EC, Euratom) No 1150/2000.

After the close of accounts for each financial year, any discrepancy with the estimates is entered in the budget for the following financial year through an amending budget.

A surplus is entered in Article 3 0 0 of the statement of revenue.

Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 27 02 02 — Temporary and lump-sum compensation for the new Member States

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover compensation for the new Member States as from the date of entry into force of any Act of Accession that foresees it in the provisions of the act.

TITLE 28 — AUDIT

Figures

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
28 01	Administrative expenditure of the 'Audit' policy area	5	12 066 925	11 775 839	11 705 493,24
Title 28 — Total			12 066 925	11 775 839	11 705 493,24

CHAPTER 28 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AUDIT’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
28 01	Administrative expenditure of the ‘Audit’ policy area					
28 01 01	Expenditure related to staff in active employment in the ‘Audit’ policy area	5	10 171 861	9 992 705	9 619 009,11	94,56 %
28 01 02	External staff and other management expenditure in support of the ‘Audit’ policy area					
28 01 02 01	External staff	5	722 884	638 226	928 720,18	128,47 %
28 01 02 11	Other management expenditure	5	540 004	506 890	435 512,16	80,65 %
	<i>Article 28 01 02 — Subtotal</i>		1 262 888	1 145 116	1 364 232,34	108,02 %
28 01 03	Expenditure related to information and communication technology equipment and services of the ‘Audit’ policy area	5	632 176	638 018	722 251,79	114,25 %
	Chapter 28 01 — Total		12 066 925	11 775 839	11 705 493,24	97,00 %

Article 28 01 01 — Expenditure related to staff in active employment in the ‘Audit’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
10 171 861	9 992 705	9 619 009,11

Article 28 01 02 — External staff and other management expenditure in support of the ‘Audit’ policy area

Item 28 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
722 884	638 226	928 720,18

Item 28 01 02 11 — Other management expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
540 004	506 890	435 512,16

Article 28 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Audit’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
632 176	638 018	722 251,79

TITLE 29 — STATISTICS

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
29 01	Administrative expenditure of the 'Statistics' policy area		78 259 109	78 259 109	80 668 122	80 668 122	81 961 913,27	81 961 913,27
	40 01 40		2 900 000 81 159 109	2 900 000 81 159 109	29 933 80 698 055	29 933 80 698 055		
29 02	Production of statistical information	1	5 000 000	41 400 000	53 410 000	41 041 707	63 737 868,49	52 592 786,45
	40 02 41		49 000 000 54 000 000	4 900 000 46 300 000				
	Title 29 — Total		83 259 109	119 659 109	134 078 122	121 709 829	145 699 781,76	134 554 699,72
	40 01 40, 40 02 41		51 900 000	7 800 000	29 933	29 933		
	Total including reserves		135 159 109	127 459 109	134 108 055	121 739 762		

CHAPTER 29 01 — ADMINISTRATIVE EXPENDITURE OF THE 'STATISTICS' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
29 01	Administrative expenditure of the 'Statistics' policy area					
29 01 01	Expenditure related to staff in active employment in the 'Statistics' policy area	5	64 730 030	63 953 318	64 365 902,40	99,44 %
29 01 02	External staff and other management expenditure in support of the 'Statistics' policy area					
29 01 02 01	External staff	5	5 267 684	5 552 910	5 086 659,46	96,56 %
29 01 02 11	Other management expenditure	5	3 958 458	3 928 587	4 386 979,55	110,83 %
	40 01 40			29 933 3 958 520		
	<i>Article 29 01 02 — Subtotal</i>		9 226 142	9 481 497	9 473 639,01	102,68 %
	40 01 40			29 933 9 511 430		
29 01 03	Expenditure related to information and communication technology equipment and services of the 'Statistics' policy area	5	4 022 937	4 083 307	4 839 683,34	120,30 %
29 01 04	Support expenditure for operations in the 'Statistics' policy area					
29 01 04 01	Union Statistical Programme 2008 to 2012 — Expenditure on administrative management	1.1	—	2 900 000	2 899 120,52	
29 01 04 04	Modernisation of European Enterprise and Trade Statistics (MEETS) — Expenditure on administrative management	1.1	280 000	250 000	383 568,00	136,99 %
29 01 04 05	European statistical programme 2013-2017 — Expenditure on administrative management	1.1	p.m.			
	40 01 40		2 900 000 2 900 000			
	<i>Article 29 01 04 — Subtotal</i>		280 000	3 150 000	3 282 688,52	1172,39 %
	40 01 40		2 900 000 3 180 000			
	Chapter 29 01 — Total		78 259 109	80 668 122	81 961 913,27	104,73 %
	40 01 40		2 900 000	29 933		
	Total including reserves		81 159 109	80 698 055		

Article 29 01 01 — Expenditure related to staff in active employment in the 'Statistics' policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
64 730 030	63 953 318	64 365 902,40

Article 29 01 02 — External staff and other management expenditure in support of the ‘Statistics’ policy area

Item 29 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 267 684	5 552 910	5 086 659,46

Item 29 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
29 01 02 11	3 958 458	3 928 587	4 386 979,55
40 01 40		29 933	
Total	3 958 458	3 958 520	4 386 979,55

Article 29 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Statistics’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 022 937	4 083 307	4 839 683,34

Article 29 01 04 — Support expenditure for operations in the ‘Statistics’ policy area

Item 29 01 04 01 — Union Statistical Programme 2008 to 2012 — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
—	2 900 000	2 899 120,52

Remarks

This appropriation is intended to cover:

- expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff), up to EUR 2 300 000. This amount is calculated on the basis of a unit annual cost per person/year, 97 % of the total comprising the remuneration for the staff in question and 3 % the costs of training, meetings, missions, information technology and telecommunications in connection with such staff,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to

implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation’s contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 29 02 03.

Item 29 01 04 04 — Modernisation of European Enterprise and Trade Statistics (MEETS) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
280 000	250 000	383 568,00

Remarks

This appropriation is intended to cover:

- expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects,
- expenditure on studies, meetings of experts, missions, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation’s contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 29 02 04.

Item 29 01 04 05 — European statistical programme 2013-2017 — Expenditure on administrative management

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
29 01 04 05	p.m.		
40 01 40	2 900 000		
Total	2 900 000		

Remarks

New item

This appropriation is intended to cover:

- expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff), up to EUR 2 300 000.

This amount is calculated on the basis of a unit annual cost per person/year, 97 % of the total comprising the remuneration for the staff in question and 3 % the costs of training, meetings, missions, information technology and telecommunications in connection with such staff,

- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contribution of the Swiss Confederation participating in Union/Community programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Conditions for releasing the reserve

The reserve will be released once the corresponding basic act is adopted pursuant to the procedure laid down in Article 294 of the Treaty on the Functioning of the European Union.

Legal basis

See Article 29 02 05.

CHAPTER 29 02 — PRODUCTION OF STATISTICAL INFORMATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
29 02	Production of statistical information								
29 02 01	<i>Completion of statistical information policy</i>	1.1	p.m.	1 000 000	p.m.	1 360 877	0,—	1 611 372,81	161,14 %
29 02 02	<i>Completion of networks for intra-Community statistics (Edicom)</i>	1.1	—	—	—	p.m.	0,—	0,—	
29 02 03	<i>Completion of Union Statistical Programme 2008 to 2012</i>	1.1	—	34 400 000	45 000 000	34 176 556	54 837 445,12	44 712 667,89	129,98 %
29 02 04	<i>Modernisation of European Enterprise and Trade Statistics (MEETS)</i>	1.1	5 000 000	6 000 000	8 410 000	5 504 274	8 900 423,37	6 268 745,75	104,48 %
29 02 05	<i>European Statistical Programme 2013-2017</i>	1.1	p.m.	p.m.					
	40 02 41		49 000 000	4 900 000					
			49 000 000	4 900 000					
	Chapter 29 02 — Total		5 000 000	41 400 000	53 410 000	41 041 707	63 737 868,49	52 592 786,45	127,04 %
	40 02 41		49 000 000	4 900 000					
	Total including reserves		54 000 000	46 300 000					

Article 29 02 01 — Completion of statistical information policy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 000 000	p.m.	1 360 877	0,—	1 611 372,81

Remarks

This appropriation is intended to cover the completion of ongoing work under the budget lines for ‘Statistical information policy’.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation’s contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Regulation (EC) No 322/97 of 17 February 1997 on Community statistics (OJ L 52, 22.2.1997, p. 1).

Decision No 2367/2002/EC of the European Parliament and of the Council of 16 December 2002 on the Community statistical programme 2003 to 2007 (OJ L 358, 31.12.2002, p. 1).

Article 29 02 02 — Completion of networks for intra-Community statistics (Edicom)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	0,—

Remarks

This article is intended to cover the completion of ongoing work under the budget lines for ‘Edicom (Electronic data interchange on commerce) operation’.

Legal basis

Decision No 507/2001/EC of the European Parliament and of the Council of 12 March 2001 concerning a set of actions relating to the trans-European network for the collection, production and dissemination of statistics on the trading of goods within the Community and between the Community and non-member countries (Edicom) (OJ L 76, 16.3.2001, p. 1).

Article 29 02 03 — Completion of Union Statistical Programme 2008 to 2012

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	34 400 000	45 000 000	34 176 556	54 837 445,12	44 712 667,89

Remarks

This appropriation is intended to cover the following:

- statistical surveys, studies and development of indicators/benchmarks,
- quality studies and activities designed to improve the quality of statistics,
- subsidies to national statistical authorities,
- the processing, dissemination, promotion and marketing of statistical information,
- equipment, processing infrastructure and maintenance essential for statistical information systems,
- magnetically recorded statistical analysis and documentation,

- services rendered by external experts,
- joint-financing revenue for the public and private sectors,
- the financing of surveys by commercial undertakings,
- the organisation of advanced statistical technology training courses for statisticians,
- the cost of purchasing documentation,
- subsidies for the International Statistical Institute and subscriptions to other international statistical associations.

It is also intended to ensure the gathering of the information necessary to draw up annually a summary report on the economic and social state of the Union on the basis of economic data and structural indicators/benchmarks.

This appropriation is also intended to cover costs incurred in connection with the training of national statisticians and the policy of cooperation with developing countries, the countries of central and eastern Europe and southern Mediterranean countries; expenditure relating to exchanges of officials, the costs of information meetings, subsidies, and expenditure on payment for services rendered in connection with the adjustment of the remuneration of officials and other staff.

Also charged to this article is expenditure on the purchase of data and access for Commission departments to outside databanks. In addition, funding should be used to develop new, modular techniques.

It is also intended to cover the provision of the necessary statistical information, at the request of the Commission or of the other institutions of the Union, for the assessment, monitoring and evaluation of the Union's expenditure. This will improve the implementation of financial and budgetary policy (drawing-up of the budget and periodic review of the financial perspective) and make it possible to compile medium and long-term data for the financing of the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1578/2007/EC of the European Parliament and of the Council of 11 December 2007 on the Community Statistical Programme 2008 to 2012 (OJ L 344, 28.12.2007 p. 15).

Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics (OJ L 87, 31.3.2009, p. 164).

Article 29 02 04 — Modernisation of European Enterprise and Trade Statistics (MEETS)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	6 000 000	8 410 000	5 504 274	8 900 423,37	6 268 745,75

Remarks

This appropriation is intended to cover expenditure relating to the implementation of the Programme for the Modernisation of European Enterprise and Trade Statistics (MEETS), the objectives of which are to develop target sets of indicators and a review of priorities, to streamline the framework of business-related statistics, to implement a smarter way of collecting data, and to modernise and simplify Intrastat.

This programme will cover the following actions:

- define priority areas and define target sets of indicators,
- identify areas of lesser importance,

- integrate concepts and methods within the legal framework,
- develop statistics on enterprise groups,
- conduct European surveys to minimise the burden on business,
- make better use of data that already exist in the statistical system including the possibility of estimations,
- make better use of data that already exist in the economy,
- develop tools for a more efficient extraction, transmission and treatment of data,
- harmonise methods to improve quality under a simplified Intrastat system,
- make better use of administrative data, and
- improve and facilitate the data exchange for Intrastat.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1297/2008/EC of the European Parliament and of the Council of 16 December 2008 on a Programme for the Modernisation of European Enterprise and Trade Statistics (MEETS) (OJ L 340, 19.12.2008, p. 76).

Article 29 02 05 — European Statistical Programme 2013-2017

Figures

	Budget 2013		Appropriations 2012	Outturn 2011
	Commitments	Payments		
29 02 05	p.m.	p.m.		
40 02 41	49 000 000	4 900 000		
Total	49 000 000	4 900 000		

Remarks

New Article

This appropriation is intended to cover the following:

- statistical data collections and surveys, studies and development of indicators/benchmarks,
- quality studies and activities designed to improve the quality of statistics,
- the processing, dissemination, promotion and marketing of statistical information,
- the development and maintenance of statistical infrastructure and for statistical information systems,
- the development and maintenance of IT infrastructure supporting the reengineering of the statistical production process,
- risk-based control work at the sites of entities involved in the production of statistical information in Member States, especially to support the EU Economic Governance,
- support to collaborative networks and support to organisations having as their primary objectives and activities the promotion and support of the implementation of the European Code of Practice and the implementation of new methods of production of European statistics,
- services rendered by external experts,
- statistical training courses for statisticians,
- the cost of purchasing documentation,
- subsidies and subscriptions to international statistical associations.

It is also intended to ensure the gathering of the information necessary to draw up annually a summary report on the economic and social state of the Union on the basis of economic data and structural indicators/benchmarks.

This appropriation is also intended to cover costs incurred in connection with the training of national statisticians and the policy of cooperation in the field of statistics with third countries; expenditure relating to exchanges of officials, the costs of information meetings, and expenditure on payment for services rendered in connection with the adjustment of the remuneration of officials and other staff.

Also charged to this article is expenditure on the purchase of data and access for Commission departments to outside databanks.

In addition, funding should be used to develop new, modular techniques.

It is also intended to cover the provision of the necessary statistical information, at the request of the Commission or of the other institutions of the Union, for the assessment, monitoring and evaluation of the Union's expenditure. This will improve the implementation of financial and budgetary policy (drawing-up of the budget and periodic review of the financial perspective) and make it possible to compile medium and long-term data for the financing of the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contributions for participation in Union/Community programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Conditions for releasing the reserve

The reserve will be released once the corresponding basic act is adopted pursuant to the procedure laid down in Article 294 of the Treaty on the Functioning of the European Union.

Legal basis

Decision No 1578/2007/EC of the European Parliament and of the Council of 11 December 2007 on the Community Statistical Programme 2008 to 2012 (OJ L 344, 28.12.2007, p. 15).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council on the European statistical programme 2013-2017, (COM(2011) 928 final) of 21.12.2011

TITLE 30 — PENSIONS AND RELATED EXPENDITURE

Figures

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
30 01	Administrative expenditure of the 'Pensions and related expenditure' policy area	5	1 422 887 000	1 334 531 857	1 257 343 187,35
	Title 30 — Total		1 422 887 000	1 334 531 857	1 257 343 187,35

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
30 01	Administrative expenditure of the 'Pensions and related expenditure' policy area					

30 01 13	<i>Allowances and pensions of former Members and surviving dependants</i>					
30 01 13 01	Temporary allowances	5	291 000	2 251 000	2 006 081,30	689,38 %
30 01 13 02	Pensions of former Members and surviving dependants	5	5 025 000	4 703 000	4 449 696,69	88,55 %
30 01 13 03	Weightings and adjustments to pensions and various allowances	5	302 000	350 000	216 767,96	71,78 %
	<i>Article 30 01 13 — Subtotal</i>		5 618 000	7 304 000	6 672 545,95	118,77 %
30 01 14	<i>Allowances for staff assigned non-active status, retired in the interests of the service or dismissed</i>					
30 01 14 01	Allowances for staff assigned non-active status, retired in the interests of the service or dismissed	5	3 978 000	4 393 000	4 979 536,49	125,18 %
30 01 14 02	Insurance against sickness	5	135 000	149 000	117 659,11	87,15 %
30 01 14 03	Weightings and adjustments to allowances	5	99 000	172 000	89 271,42	90,17 %
	<i>Article 30 01 14 — Subtotal</i>		4 212 000	4 714 000	5 186 467,02	123,14 %
30 01 15	<i>Pensions and allowances</i>					
30 01 15 01	Pensions, invalidity allowances and severance grants	5	1 326 417 000	1 242 559 143	1 181 442 910,04	89,07 %
30 01 15 02	Insurance against sickness	5	44 007 000	41 178 571	38 195 647,46	86,79 %
30 01 15 03	Weightings and adjustments to pensions and allowances	5	42 633 000	38 776 143	25 845 616,88	60,62 %
	<i>Article 30 01 15 — Subtotal</i>		1 413 057 000	1 322 513 857	1 245 484 174,38	88,14 %
	Chapter 30 01 — Total		1 422 887 000	1 334 531 857	1 257 343 187,35	88,37 %

Article 30 01 13 — Allowances and pensions of former Members and surviving dependants

Item 30 01 13 01 — Temporary allowances

Figures

Budget 2013	Appropriations 2012	Outturn 2011
291 000	2 251 000	2 006 081,30

Remarks

This appropriation is intended to cover:

- transitional allowances,
- family allowances,

of Members of the Commission after termination of service.

Legal basis

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, and of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Item 30 01 13 02 — Pensions of former Members and surviving dependants

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 025 000	4 703 000	4 449 696,69

Remarks

This appropriation is intended to cover:

- retirement pensions of former Members of the Commission,

- invalidity pensions of former Members of the Commission,
- survivors' pensions for surviving spouses and/or orphans of former Members of the Commission.

Legal basis

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Item 30 01 13 03 — Weightings and adjustments to pensions and various allowances

Figures

Budget 2013	Appropriations 2012	Outturn 2011
302 000	350 000	216 767,96

Remarks

This appropriation is intended to cover the cost of weightings applied to the temporary allowances, retirement pensions, invalidity pensions and survivors' pensions of former Members of the Commission and other persons entitled to such payments.

Part of this appropriation is intended to cover the cost of any adjustments to pensions approved by the Council during the financial year. It is purely provisional and can only be used after its transfer to other items in this chapter in accordance with the Financial Regulation.

Legal basis

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 30 01 14 — Allowances for staff assigned non-active status, retired in the interests of the service or dismissed

Remarks

Item 30 01 14 01 — Allowances for staff assigned non-active status, retired in the interests of the service or dismissed

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 978 000	4 393 000	4 979 536,49

Remarks

This appropriation is intended to cover allowances for officials:

- assigned non-active status in connection with action to reduce the number of posts in the institution,
- holding an AD 16, AD 15 or AD 14 grade post who are retired in the interests of the service.

It also covers expenditure arising from the application of the Council Regulations on special and/or temporary measures to terminate the service of officials and/or temporary staff.

Legal basis

Staff Regulations of Officials of the European Union.

Council Regulation (EC, Euratom) No 1746/2002 of 30 September 2002 introducing, in the context of the reform of the Commission, special measures to terminate the service of officials of the European Communities appointed to an established post in the Commission of the European Communities (OJ L 264, 2.10.2002, p. 1).

Item 30 01 14 02 — Insurance against sickness

Figures

Budget 2013	Appropriations 2012	Outturn 2011
135 000	149 000	117 659,11

Remarks

This appropriation is intended to cover the employer's contribution towards sickness insurance for pensioners and persons in receipt of allowances for assignment of non-active status, retirement in the interests of the service and dismissal.

Legal basis

Staff Regulations of Officials of the European Union.

Item 30 01 14 03 — Weightings and adjustments to allowances

Figures

Budget 2013	Appropriations 2012	Outturn 2011
99 000	172 000	89 271,42

Remarks

This appropriation is intended to cover the effect of weightings applicable to pensions and allowances in the event of assignment of non-active status, retirement in the interests of the service or dismissal.

Part of this appropriation is intended to cover the cost of any adjustments to allowances approved by the Council during the financial year. It is purely provisional and can only be used after its transfer to other items in this chapter in accordance with the Financial Regulation.

Legal basis

Staff Regulations of Officials of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 30 01 15 — Pensions and allowances

Remarks

Item 30 01 15 01 — Pensions, invalidity allowances and severance grants

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 326 417 000	1 242 559 143	1 181 442 910,04

Remarks

This appropriation is intended to cover:

- retirement pensions of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- invalidity pensions of officials and temporary staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- invalidity allowances of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- pensions of entitled survivors of former officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- severance grants of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- payments of the actuarial equivalent of retirement pensions,
- payments (pension bonus) to former members of the Resistance (or to their survivors) who were deported or interned,
- payments of a financial aid to a surviving spouse who has a serious or protracted illness or who is disabled, for the duration of the illness or disability on the basis of an examination of the social and medical circumstances of the person concerned.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Item 30 01 15 02 — Insurance against sickness

Figures

Budget 2013	Appropriations 2012	Outturn 2011
44 007 000	41 178 571	38 195 647,46

Remarks

This appropriation is intended to cover the employer's contribution towards sickness insurance for pensioners.

It also covers supplementary payments for reimbursement of medical expenses for former members of the Resistance who were deported or interned.

Legal basis

Staff Regulations of Officials of the European Union.

Item 30 01 15 03 — Weightings and adjustments to pensions and allowances

Figures

Budget 2013	Appropriations 2012	Outturn 2011
42 633 000	38 776 143	25 845 616,88

Remarks

This appropriation is intended to cover the effect of weightings applicable to pensions.

Part of this appropriation is intended to cover the cost of any adjustments to pensions approved by the Council during the financial year. It is purely provisional and may be used only after its transfer to other items in this chapter in accordance with the Financial Regulation.

Legal basis

Staff Regulations of Officials of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 31 — LANGUAGE SERVICES

Figures

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
31 01	Administrative expenditure of the 'Language services' policy area	5	402 759 194	397 947 372	438 379 004,29
	Title 31 — Total		402 759 194	397 947 372	438 379 004,29

CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
31 01	Administrative expenditure of the 'Language services' policy area					
31 01 01	Expenditure related to staff in active employment in the 'Language services' policy area	5	325 088 599	319 167 022	320 761 115,22	98,67 %
31 01 02	External staff and other management expenditure in support of the 'Language services' policy area					
31 01 02 01	External staff	5	11 606 822	11 324 662	9 671 492,74	83,33 %
31 01 02 11	Other management expenditure	5	4 991 191	5 240 431	7 016 511,31	140,58 %
	<i>Article 31 01 02 — Subtotal</i>		16 598 013	16 565 093	16 688 004,05	100,54 %
31 01 03	Expenditure related to information and communication technology equipment and services, and other working expenditure of the 'Language services' policy area					
31 01 03 01	Expenditure related to information and communication technology equipment and services of the 'Language services' policy area	5	20 204 082	20 378 257	24 120 167,42	119,38 %
31 01 03 04	Technical equipment and services for the Commission conference rooms	5	1 783 000	1 283 000	1 345 742,32	75,48 %
	<i>Article 31 01 03 — Subtotal</i>		21 987 082	21 661 257	25 465 909,74	115,82 %
31 01 06	Interpretation expenditure					
31 01 06 01	Interpretation expenditure	5	21 013 000	22 923 000	52 980 847,71	252,13 %
31 01 06 02	Training and further training of conference interpreters	5	422 500	457 000	1 346 844,78	318,78 %
31 01 06 03	Information technology expenditure of the Directorate-General for Interpretation	5	1 256 000	1 242 000	3 272 566,94	260,55 %
	<i>Article 31 01 06 — Subtotal</i>		22 691 500	24 622 000	57 600 259,43	253,84 %
31 01 07	Translation expenditure					
31 01 07 01	Translation expenditure	5	14 000 000	13 538 000	15 206 515,89	108,62 %
31 01 07 02	Support expenditure for operations of the Directorate-General for Translation	5	1 721 000	1 721 000	1 727 693,48	100,39 %
	<i>Article 31 01 07 — Subtotal</i>		15 721 000	15 259 000	16 934 209,37	107,72 %
31 01 08	Interinstitutional cooperation activities					
31 01 08 01	Interinstitutional cooperation activities in the language field	5	673 000	673 000	929 506,48	138,11 %
	<i>Article 31 01 08 — Subtotal</i>		673 000	673 000	929 506,48	138,11 %
31 01 09	Translation Centre for the Bodies of the European Union					
31 01 09 01	Translation Centre for the Bodies of the European Union — Contribution to Titles 1 and 2	5	p.m.	p.m.	0,—	
31 01 09 02	Translation Centre for the Bodies of the European Union — Contribution to Title 3	5	p.m.	p.m.	0,—	

	<i>Article 31 01 09 — Subtotal</i>	p.m.	p.m.	0,—	
	Chapter 31 01 — Total	402 759 194	397 947 372	438 379 004,29	108,84 %

Article 31 01 01 — Expenditure related to staff in active employment in the ‘Language services’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
325 088 599	319 167 022	320 761 115,22

Article 31 01 02 — External staff and other management expenditure in support of the ‘Language services’ policy area

Item 31 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
11 606 822	11 324 662	9 671 492,74

Item 31 01 02 11 — Other management expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 991 191	5 240 431	7 016 511,31

Article 31 01 03 — Expenditure related to information and communication technology equipment and services, and other working expenditure of the ‘Language services’ policy area

Item 31 01 03 01 — Expenditure related to information and communication technology equipment and services of the ‘Language services’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
20 204 082	20 378 257	24 120 167,42

Item 31 01 03 04 — Technical equipment and services for the Commission conference rooms

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 783 000	1 283 000	1 345 742,32

Remarks

This appropriation covers expenditure for:

- equipment needed for the operation of the Commission’s conference rooms with interpretation booths,
- technical services related to the operation of the Commission’s meetings and conferences in Brussels.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles

concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's representations in the Union.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 31 01 06 — Interpretation expenditure

Item 31 01 06 01 — Interpretation expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
21 013 000	22 923 000	52 980 847,71

Remarks

This appropriation covers:

- remuneration of freelance interpreters (Auxiliary Conference Interpreters — ACIs) employed by DG Interpretation (SCIC), under Article 90 of the Conditions of Employment of Other Servants of the European Union, to allow SCIC to make available to the institutions for which it provides interpreting services a sufficient number of qualified conference interpreters,
- remuneration comprising, besides fees, the contributions to an old-age and life provident scheme and to sickness and accident insurance together, for interpreters whose professional domicile is not the place of assignment, with the reimbursement of travel expenses and the payment of flat-rate travel and subsistence allowances,
- services to the Commission provided by European Parliament interpreters (both officials and temporary staff),
- costs relating to the services provided by interpreters for the preparation of meetings and for training,
- contracts for interpreting services concluded by SCIC through the Commission's delegations in connection with meetings organised by the Commission in third countries.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 35 517 500.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 31 01 06 02 — Training and further training of conference interpreters

Figures

Budget 2013	Appropriations 2012	Outturn 2011
422 500	457 000	1 346 844,78

Remarks

This appropriation covers expenditure relating to activities intended to enable DG Interpretation to employ a sufficient number of qualified conference interpreters, particularly for certain language combinations, and to specific training for conference interpreters.

This includes, in particular, grants to universities, training for trainers and educational support programmes, together with student scholarships.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 877 500.

Legal basis

Staff Regulations of Officials of the European Union.

Item 31 01 06 03 — Information technology expenditure of the Directorate-General for Interpretation

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 256 000	1 242 000	3 272 566,94

Remarks

This appropriation covers all information technology expenditure of DG Interpretation (SCIC), including:

- the purchase or rental of PCs, servers and microcomputers, the costs of back-up facilities, terminals, peripherals, connection devices, photocopiers, fax machines, all electronic equipment in use in SCIC's offices or interpreting booths, the software needed for the operation of such equipment, installation, configuration, maintenance, studies, documentation and associated supplies,
- the development and maintenance of information and message distribution systems for SCIC, including documentation, specific training for these systems, studies and the acquisition of knowledge and expertise in the field of information technology: quality, security, technology, Internet, development methodology, data management,
- technical and logistical support, training and documentation in connection with information technology equipment and software, general-interest information technology training and literature, external staff for operating and administering databases, office services and subscriptions,
- the purchase or rental, maintenance of and support for transmission and communication equipment and software as well as the associated training and charges.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 944 000.

Article 31 01 07 — Translation expenditure

Item 31 01 07 01 — Translation expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
14 000 000	13 538 000	15 206 515,89

Remarks

This appropriation is intended to cover expenditure for the services of external translations and expenditure related to other linguistics services entrusted to external contractors.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 200 000.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 31 01 07 02 — Support expenditure for operations of the Directorate-General for Translation

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 721 000	1 721 000	1 727 693,48

Remarks

In respect of terminology and linguistic databases, translation tools and documentary and library expenditure for DG Translation, this appropriation is intended to cover:

- expenditure on the acquisition, development and adaptation of software, translation tools and other multilingual tools or aids to translation and the acquisition, consolidation and extension of the contents of linguistic and terminological databases, translation memories and automatic translation dictionaries, particularly with a view to the more efficient treatment of multilingualism and enhanced interinstitutional cooperation,
- documentation and library expenditure to meet the needs of translators, in particular:
 - to supply the libraries with monolingual books and subscriptions to selected newspapers and periodicals,
 - to allocate individual endowments for the acquisition of a stock of dictionaries and language guides for new translators,
 - to acquire dictionaries, encyclopaedias and vocabularies in electronic form or via web access to documentary databases,
 - to constitute and keep up the basic stock of multilingual libraries by purchasing reference books.

It covers expenditure incurred within the territory of the Union, excluding Joint Research Centre sites, for which expenditure is entered in Article 01 05 of the titles concerned.

Article 31 01 08 — Interinstitutional cooperation activities

Item 31 01 08 01 — Interinstitutional cooperation activities in the language field

Figures

Budget 2013	Appropriations 2012	Outturn 2011
673 000	673 000	929 506,48

Remarks

This appropriation covers expenditure on cooperation activities organised by the Interinstitutional Committee for Translation and Interpretation (ICTI) to promote interinstitutional cooperation in the field of languages.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 279 000.

Article 31 01 09 — Translation Centre for the Bodies of the European Union

Remarks

This article covers the Translation Centre for the Bodies of the European Union.

Item 31 01 09 01 — Translation Centre for the Bodies of the European Union — Contribution to Titles 1 and 2

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This item is intended to cover the Translation Centre's staff and administrative expenditure (Titles 1 and 2).

The budgetary resources of the Translation Centre for the Bodies of the European Union consist of financial contributions from the agencies for which it works and the institutions and bodies with which it cooperates, without prejudice to other revenue.

During the budgetary procedure and also during the financial year, when a letter of amendment or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the agencies' budget, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a Code of Conduct agreed by the European Parliament, the Commission and the agencies.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 72) constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Translation Centre is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 2965/94 of 28 November 1994 setting up a Translation Centre for bodies of the European Union (OJ L 314, 7.12.1994, p. 1).

Reference acts

Declaration of the representatives of the Governments of the Member States meeting in Brussels on 29 October 1993 at Head of State or Government level.

Item 31 01 09 02 — Translation Centre for the Bodies of the European Union — Contribution to Title 3

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This item is intended to cover the Translation Centre's operational expenditure (Title 3).

The budgetary resources of the Translation Centre for the Bodies of the European Union consist of financial contributions from the agencies for which it works and the institutions and bodies with which it cooperates, without prejudice to other revenue.

During the budgetary procedure and also during the financial year, when a letter of amendment or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the agencies' budget, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a Code of Conduct agreed by the European Parliament, the Commission and the agencies.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 72) constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Legal basis

Council Regulation (EC) No 2965/94 of 28 November 1994 setting up a Translation Centre for bodies of the European Union (OJ L 314, 7.12.1994, p. 1).

Reference acts

Declaration of the representatives of the Governments of the Member States meeting in Brussels on 29 October 1993 at Head of State or Government level.

TITLE 32 — ENERGY

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 01	Administrative expenditure of the 'Energy' policy area		77 812 835	77 812 835	77 909 898	77 909 898	76 911 403,17	76 911 403,17
	40 01 40				23 947	23 947		
					77 933 845	77 933 845		
32 03	Trans-European networks	1	22 200 000	16 362 280	21 129 600	18 145 022	24 150 000,00	18 005 120,39
32 04	Conventional and renewable energies		146 679 511	603 504 442	144 450 237	856 455 140	275 300	591 045
							388,95	575,58
32 05	Nuclear energy	1	289 750 000	210 000 000	282 496 400	227 357 119	280 519	120 765
							620,68	862,57
32 06	Research related to energy	1	197 127 000	170 091 000	192 088 457	140 407 198	203 247	159 220
							029,38	354,99
	Title 32 — Total		733 569 346	1 077 770 557	718 074 592	1 320 274 377	860 128	965 948
							442,18	316,70
	40 01 40				23 947	23 947		
	Total including reserves				718 098 539	1 320 298 324		

CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENERGY' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
32 01	Administrative expenditure of the 'Energy' policy area					
32 01 01	<i>Expenditure related to staff in active employment in the 'Energy' policy area</i>	5	55 996 613	56 159 007	54 722 586,62	97,72 %
32 01 02	<i>External staff and other management expenditure in support of the 'Energy' policy area</i>					
32 01 02 01	External staff	5	2 853 813	3 119 918	2 948 041,60	103,30 %
32 01 02 11	Other management expenditure	5	1 992 249	1 917 719	2 506 325,00	125,80 %
	40 01 40			23 947		
	<i>Article 32 01 02 — Subtotal</i>		4 846 062	5 037 637	5 454 366,60	112,55 %
	40 01 40			23 947		
				5 061 584		
32 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Energy' policy area</i>	5	3 480 160	3 585 654	4 047 886,23	116,31 %
32 01 04	<i>Support expenditure for operations in the 'Energy' policy area</i>					
32 01 04 01	Conventional energy — Expenditure on administrative management	1.1	600 000	700 000	247 976,08	41,33 %
32 01 04 02	Financial support for projects of common interest in the trans-European energy network — Expenditure on administrative management	1.1	600 000	694 400	399 646,76	66,61 %
32 01 04 03	Nuclear energy — Expenditure on administrative management	1.1	250 000	195 200	219 454,00	87,78 %

32 01 04 04	Safety and protection of energy users — Expenditure on administrative management	1.1	—	p.m.	10 000,00	
32 01 04 05	Information and communication — Expenditure on administrative management	1.1	500 000	496 000	500 000,00	100,00 %
32 01 04 06	Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme — Expenditure on administrative management	1.1	800 000	992 000	663 132,60	82,89 %
32 01 04 07	Energy projects to aid economic recovery — Expenditure on administrative management	1.1	—	p.m.	0,—	
32 01 04 30	Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme	1.1	6 542 000	6 542 000	6 758 104,00	103,30 %
	<i>Article 32 01 04 — Subtotal</i>		9 292 000	9 619 600	8 798 313,44	94,69 %
32 01 05	Support expenditure for research activities of the ‘Energy’ policy area					
32 01 05 01	Expenditure related to research staff	1.1	1 950 000	1 700 000	1 965 000,00	100,77 %
32 01 05 02	External staff for research	1.1	950 000	850 000	841 000,00	88,53 %
32 01 05 03	Other management expenditure for research	1.1	1 200 000	860 000	1 082 250,28	90,19 %
	<i>Article 32 01 05 — Subtotal</i>		4 100 000	3 410 000	3 888 250,28	94,84 %
32 01 06	Euratom contribution for operation of the Supply Agency	5	98 000	98 000	0,—	
	Chapter 32 01 — Total		77 812 835	77 909 898	76 911 403,17	98,84 %
	40 01 40			23 947		
	Total including reserves			77 933 845		

Article 32 01 01 — Expenditure related to staff in active employment in the ‘Energy’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
55 996 613	56 159 007	54 722 586,62

Article 32 01 02 — External staff and other management expenditure in support of the ‘Energy’ policy area

Item 32 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 853 813	3 119 918	2 948 041,60

Item 32 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
32 01 02 11	1 992 249	1 917 719	2 506 325,00
40 01 40		23 947	
Total	1 992 249	1 941 666	2 506 325,00

Article 32 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Energy’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 480 160	3 585 654	4 047 886,23

Article 32 01 04 — Support expenditure for operations in the ‘Energy’ policy area

Item 32 01 04 01 — Conventional energy — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
600 000	700 000	247 976,08

Remarks

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 32 04 03.

Item 32 01 04 02 — Financial support for projects of common interest in the trans-European energy network — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
600 000	694 400	399 646,76

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Articles 32 03 01 and 32 03 02.

Item 32 01 04 03 — Nuclear energy — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
250 000	195 200	219 454,00

Remarks

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Articles 32 05 01, 32 05 02 and 32 05 03.

Item 32 01 04 04 — Safety and protection of energy users — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
—	p.m.	10 000,00

Remarks

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 32 04 16.

Item 32 01 04 05 — Information and communication — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
500 000	496 000	500 000,00

Remarks

This appropriation is intended to cover expenditure on information and publications directly linked to the achievement of the objective of energy and nuclear policies as well as safety and protection of energy users.

Legal basis

See Articles 32 04 03, 32 04 16, 32 05 01, 32 05 02 and 32 05 03.

Item 32 01 04 06 — Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
800 000	992 000	663 132,60

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional

appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

See Article 32 04 06.

Item 32 01 04 07 — Energy projects to aid economic recovery — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
—	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure on evaluations, meetings of experts, information and publications directly linked to the energy projects to aid economic recovery or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 32 04 14.

Item 32 01 04 30 — Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 542 000	6 542 000	6 758 104,00

Remarks

This appropriation is intended to cover the staff and operating expenditure incurred by the Executive Agency for Competitiveness and Innovation as a result of its participation in the management of measures under the Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation in accordance with the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

The establishment plan of the Executive Agency for Competitiveness and Innovation is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain

tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: 'Intelligent Energy — Europe' (2003-2006) (OJ L 176, 15.7.2003, p. 29).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007-2013) (OJ L 310, 9.11.2006, p. 15).

Commission Regulation (EC) No 1653/2004 of 21 September 2004 on a standard financial regulation for the executive agencies pursuant to Council Regulation (EC) No 58/2003 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 297, 22.9.2004, p. 6).

Reference acts

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the 'Intelligent Energy Executive Agency', to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the 'Intelligent Energy Executive Agency' into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Commission Decision C(2007) 3198 of 9 July 2007 delegating powers to the 'Executive Agency for Competitiveness and Innovation' with a view to performance of tasks linked to implementation of the Intelligent Energy — Europe Programme 2003-2006, the Marco Polo Programme 2003-2006, the Competitiveness and Innovation Framework Programme 2007-2013 and the Marco Polo Programme 2007-2013 comprising in particular implementation of appropriations entered in the Community budget.

Article 32 01 05 — Support expenditure for research activities of the 'Energy' policy area

Item 32 01 05 01 — Expenditure related to research staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 950 000	1 700 000	1 965 000,00

Item 32 01 05 02 — External staff for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
950 000	850 000	841 000,00

Item 32 01 05 03 — Other management expenditure for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 200 000	860 000	1 082 250,28

Article 32 01 06 — Euratom contribution for operation of the Supply Agency

Figures

Budget 2013	Appropriations 2012	Outturn 2011
98 000	98 000	0,—

Remarks

Since expenditure on staff and buildings is included in the appropriations entered in Items XX 01 01 01, XX 01 03 01 and 26 01 23,

the Commission contribution, together with the Agency's own revenue, is intended to cover expenditure incurred by the Agency in its activities.

At its 23rd session on 1 and 2 February 1960, the Euratom Council proposed unanimously that the Commission postpone not only the levying of the charge (intended to cover the operating expenditure of the Euratom Supply Agency) but also the actual introduction of the said charge. Since then a subsidy has been included in the budget to balance the revenue side against the Agency expenditure figures shown in the statement of estimates.

Legal basis

Treaty establishing the European Atomic Energy Community, and in particular Articles 52, 53 and 54 thereof.

Reference acts

Statutes of the Euratom Supply Agency, and in particular Articles 4, 6 and 7 thereof (Council Decision 2008/114/EC, Euratom, OJ L 41, 15.2.2008, p. 15).

CHAPTER 32 03 — TRANS-EUROPEAN NETWORKS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
32 03	Trans-European networks								
32 03 01	Completion of financial support for projects of common interest in the trans-European energy network	1.1	—	1 862 280	—	5 897 132	0,—	5 035 540,36	270,40 %
32 03 02	Financial support for projects of common interest in the trans-European energy network	1.1	22 200 000	14 500 000	21 129 600	12 247 890	24 150 000,00	12 969 580,03	89,45 %
	Chapter 32 03 — Total		22 200 000	16 362 280	21 129 600	18 145 022	24 150 000,00	18 005 120,39	110,04 %

Article 32 03 01 — Completion of financial support for projects of common interest in the trans-European energy network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 862 280	—	5 897 132	0,—	5 035 540,36

Remarks

This appropriation is intended to cover earlier commitments under the TEN-E programme.

Legal basis

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 1364/2006/EC of the European Parliament and of the Council of 6 September 2006 laying down guidelines for trans-European energy networks (OJ L 262, 22.9.2006, p. 1).

Article 32 03 02 — Financial support for projects of common interest in the trans-European energy network

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 200 000	14 500 000	21 129 600	12 247 890	24 150 000,00	12 969 580,03

Remarks

This appropriation is intended to cover the costs of economic and technical feasibility studies, preparatory and evaluation studies and interest rate subsidies, loan guarantees or direct subsidies, in duly justified cases, for projects of common interest identified under the guidelines laid down in Decision No 1364/2006/EC.

The objective of this measure is to contribute towards the competitive operation of the internal energy market and greater security of energy supply through the establishment of the network infrastructure required, and in particular through the establishment and development of trans-European energy networks, promoting the interconnection and interoperability of the national networks and access to such networks and extending them beyond the Union.

Legal basis

Decision No 1364/2006/EC of the European Parliament and of the Council of 6 September 2006 laying down guidelines for trans-European energy networks (OJ L 262, 22.9.2006, p. 1).

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Reference acts

Proposal for a regulation of the European Parliament and of the Council on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC (COM(2011) 658).

CHAPTER 32 04 — CONVENTIONAL AND RENEWABLE ENERGIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
32 04	Conventional and renewable energies								
32 04 01	Completion of the 'Intelligent Energy — Europe' programme (2003 to 2006)	1.1	—	p.m.	—	453 626	71 596,71	4 922 248,85	
32 04 02	Completion of the 'Intelligent Energy — Europe' programme (2003 to 2006): external strand — Coopener	4	—	p.m.	—	p.m.	0,—	187 921,77	
32 04 03	Support activities to the European energy policy and internal energy market	1.1	3 600 000	1 700 000	3 720 000	3 765 092	2 632 485,82	2 847 449,84	167,50 %
32 04 04	Completion of the Energy framework programme (1999 to 2002) — Conventional and renewable energy	1.1	—	—	—	p.m.	0,—	0,—	
32 04 05	European Strategic Energy Technology Plan (SET-Plan)	1.1	—	—	p.m.	p.m.	0,—	0,—	
32 04 06	Competitiveness and Innovation Framework Programme — 'Intelligent Energy — Europe' programme	1.1	132 250 000	100 000 000	129 813 600	71 854 285	117 699 861,92	83 335 483,35	83,34 %
32 04 07	Pilot project — Energy security — Biofuels	1.1	—	—	—	p.m.	0,—	1 500 000,00	
32 04 10	Agency for the Cooperation of Energy Regulators								
32 04 10 01	Agency for the Cooperation of Energy Regulators — Contribution to Titles 1 and 2	1.1	6 967 383	6 967 383	6 864 725	6 864 725	4 017 000,00	5 394 271,63	77,42 %

32 04 10 02	Agency for the Cooperation of Energy Regulators — Contribution to Title 3	1.1	402 412	402 412	377 125	377 125	983 000,00	983 000,00	244,28 %
	<i>Article 32 04 10 — Subtotal</i>		7 369 795	7 369 795	7 241 850	7 241 850	5 000 000,00	6 377 271,63	86,53 %
32 04 11	Energy Community	4	3 159 716	3 159 716	2 724 787	2 600 970	3 312 400,00	3 312 400,00	104,83 %
32 04 12	Pilot project — European framework programme for the development and exchange of experience on sustainable urban development	1.1	—	p.m.	—	p.m.	0,—	0,—	
32 04 13	Preparatory action — European islands for a common energy policy	1.1	—	p.m.	—	2 000 000	0,—	1 050 425,60	
32 04 14	Energy projects to aid economic recovery								
32 04 14 01	Energy projects to aid economic recovery — Energy networks	1.1	—	212 400 000	p.m.	526 288 963	0,—	224 169 430,99	105,54 %
32 04 14 02	Energy projects to aid economic recovery — Carbon Capture and Storage (CCS)	1.1	—	154 531 897	p.m.	124 293 397	0,—	192 027 188,76	124,26 %
32 04 14 03	Energy projects to aid economic recovery — European offshore wind grid system	1.1	—	75 164 809	p.m.	73 487 337	0,—	41 300 324,67	54,95 %
32 04 14 04	Energy projects to aid economic recovery — Energy efficiency and renewable initiatives	1.1	—	48 781 548	p.m.	43 548 052	146 334 644,50	30 000 000,00	61,50 %
	<i>Article 32 04 14 — Subtotal</i>		—	490 878 254	p.m.	767 617 749	146 334 644,50	487 496 944,42	99,31 %
32 04 16	Security of energy installations and infrastructures	1.1	300 000	186 677	250 000	571 568	249 400,00	15 430,12	8,27 %
32 04 17	Pilot project — Supporting the preservation of natural resources and combating climate change through the increased use of solar energy (solar thermal and photovoltaic)	2	—	—	p.m.	p.m.	0,—	0,—	
32 04 18	Pilot project — Energy security — Shale Gas	1.1	—	60 000	200 000	100 000			
32 04 19	Preparatory action — Cooperation mechanisms implementing the renewable energy sources Directive 2009/28/EC	2	—	150 000	500 000	250 000			
	Chapter 32 04 — Total		146 679 511	603 504 442	144 450 237	856 455 140	275 300 388,95	591 045 575,58	97,94 %

Article 32 04 01 — Completion of the ‘Intelligent Energy — Europe’ programme (2003 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	453 626	71 596,71	4 922 248,85

Remarks

This appropriation is intended to cover earlier commitments of the ‘Intelligent Energy — Europe’ programme (2003 to 2006).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: ‘Intelligent Energy — Europe’ (2003-2006) (OJ L 176, 15.7.2003, p. 29).

Article 32 04 02 — Completion of the ‘Intelligent Energy — Europe’ programme (2003 to 2006): external strand — Coopener

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	187 921,77

Remarks

This appropriation is intended to cover earlier commitments under Coopener, the external strand of the ‘Intelligent Energy — Europe’ programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: ‘Intelligent Energy — Europe’ (2003-2006) (OJ L 176, 15.7.2003, p. 29).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Article 32 04 03 — Support activities to the European energy policy and internal energy market

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 600 000	1 700 000	3 720 000	3 765 092	2 632 485,82	2 847 449,84

Remarks

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of a European competitive, safe and sustainable energy policy, of the internal energy market and its extension to third countries, of the energy supply security for all aspects in a European and global perspective as well as the strengthening of the rights and protection of energy users by supplying quality services at transparent and comparable prices.

The principal endorsed objectives are to set up a progressive common European policy ensuring continuous energy supply security, good functioning of the internal energy market and access to transport networks of energy, observation of energy market, analysis of modelling including scenarios on the impacts of considered policies and the strengthening of the rights and protection of energy users, based on general and particular knowledge of global and European energy markets for all energy types.

This appropriation is also intended to cover experts’ expenditure directly linked to the collection, validation and analysis of necessary information on energy market observation (peer review).

Legal basis

Regulation (EU) No 994/2010 of the European Parliament and of the Council of 20 October 2010 concerning measures to safeguard security of gas supply (OJ L 295, 12.11.2010, p. 1).

Decision No 1673/2006/EC of the European Parliament and of the Council of 24 October 2006 on the financing of European standardisation (OJ L 315, 15.11.2006, p. 9).

Regulation (EC) No 714/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the network for cross-border exchanges in electricity (OJ L 211, 14.8.2009, p. 15).

Regulation (EC) No 715/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the natural gas transmission networks (OJ L 211, 14.8.2009, p. 36).

Directive 2009/72/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in electricity (OJ L 211, 14.8.2009, p. 55).

Directive 2009/73/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in natural gas (OJ L 211, 14.8.2009, p. 94).

Council Regulation (EU, Euratom) No 617/2010 of 24 June 2010 concerning the notification to the Commission of investment projects in energy infrastructure within the European Union (OJ L 180, 15.7.2010, p. 7).

Commission Regulation (EU, Euratom) No 833/2010 of 21 September 2010 implementing Council Regulation (EU, Euratom) No 617/2010 concerning the notification to the Commission of investment projects in energy infrastructure within the European Union (OJ L 248, 22.9.2010, p. 36).

Reference acts

Proposal for a regulation of the European Parliament and the Council on safety of offshore oil and gas prospection, exploration and production activities (COM(2011) 688).

Commission Decision (2012/C 18/07) of 19 January 2012 on setting up European Union Offshore Oil and Gas Authority Group (OJ C 18, 21.1.2012, p. 8).

Article 32 04 04 — Completion of the Energy framework programme (1999 to 2002) — Conventional and renewable energy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the settlement of commitments entered into under the regulations and decisions adopted.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 91/565/EEC of 29 October 1991 concerning the promotion of energy efficiency in the Community (SAVE programme) (OJ L 307, 8.11.1991, p. 34).

Council Decision 1999/21/EC, Euratom of 14 December 1998 adopting a multiannual framework programme for actions in the energy sector (1998-2002) and connected measures (OJ L 7, 13.1.1999, p. 16).

Council Decision 1999/22/EC of 14 December 1998 adopting a multiannual programme of studies, analyses, forecasts and other related work in the energy sector (1998-2002) (OJ L 7, 13.1.1999, p. 20).

Council Decision 1999/23/EC of 14 December 1998 adopting a multiannual programme to promote international cooperation in the energy sector (1998-2002) (OJ L 7, 13.1.1999, p. 23).

Council Decision 1999/24/EC of 14 December 1998 adopting a multiannual programme of technological actions promoting the clean and efficient use of solid fuels (1998 to 2002) (OJ L 7, 13.1.1999, p. 28).

Decision No 646/2000/EC of the European Parliament and of the Council of 28 February 2000 adopting a multiannual programme for the promotion of renewable energy sources in the Community (Altener) (1998 to 2002) (OJ L 79, 30.3.2000, p. 1).

Decision No 647/2000/EC of the European Parliament and of the Council of 28 February 2000 adopting a multiannual programme for the promotion of energy efficiency (SAVE) (1998 to 2002) (OJ L 79, 30.3.2000, p. 6).

Council Decision 2001/353/EC of 9 April 2001 laying down the new guidelines applicable to actions and measures to be taken under the multiannual programme to promote international cooperation in the energy sector (1998 to 2002) under the multiannual framework programme for actions in the energy sector and connected measures (OJ L 125, 5.5.2001, p. 24).

Article 32 04 05 — European Strategic Energy Technology Plan (SET-Plan)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to provide funding for the European Strategic Energy Technology Plan (SET-Plan), as announced in the Commission Communication entitled ‘A European Strategic Energy Technology Plan (SET-Plan): Towards a low carbon future’ (COM(2007) 723 final). It aims to finance in particular support for low-carbon energy technologies in the research, development, demonstration and commercialisation phases.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Reference acts

Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 22 November 2007 — A European strategic energy technology plan (SET-Plan): ‘Towards a low carbon future’ (COM(2007) 0723).

Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 7 October 2009 — Investing in the Development of Low Carbon Technologies (SET-Plan) (COM(2009) 0519).

Article 32 04 06 — Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
132 250 000	100 000 000	129 813 600	71 854 285	117 699 861,92	83 335 483,35

Remarks

This appropriation is intended to finance actions or measures concerning:

- promotion and dissemination projects:
 - strategic studies on the basis of shared analysis and regular monitoring of market developments and energy trends for the preparation of future legislative measures or for the review of existing legislation, including as regards the functioning of the internal energy market, for the implementation of the medium and long-term strategy in the energy field to promote sustainable development, as well as for the preparation of long-term voluntary commitments with industry and other stakeholders and for the development of standards, labelling and certification systems, where appropriate also in cooperation with third countries and international organisations,

- creation, enlargement or reorganisation of structures and instruments for sustainable energy development, including local and regional energy management and saving measures, and the development of adequate financial products and market instruments; building on the experience from past and present networks,
- promotion of sustainable energy systems and equipment in order to further accelerate their penetration of the market and stimulate investment to facilitate the transition from demonstration to marketing of more efficient technologies, awareness campaigns and the creation of institutional capabilities,
- development of information, education and training structures, the utilisation of results, the promotion and dissemination of know-how and best practices involving all consumers, dissemination of results of the actions and projects and cooperation with the Member States through operational networks,
- monitoring of the implementation and the impact of Union legislative and support measures,
- market replication projects:
 - support to projects concerned with the market replication of innovative techniques, processes, products or practices of Union relevance which have already been technically demonstrated with success. These will be designed to promote their broader utilisation within the participating countries and facilitate their market uptake.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Article 32 04 07 — Pilot project — Energy security — Biofuels

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	1 500 000,00

Remarks

This appropriation is intended to finance actions or measures in the field of energy security to promote the Union’s self-sufficiency in renewable energy sources, inter alia, biofuels derived from waste and residues.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 32 04 10 — Agency for the Cooperation of Energy Regulators

Item 32 04 10 01 — Agency for the Cooperation of Energy Regulators — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 967 383	6 967 383	6 864 725	6 864 725	4 017 000,00	5 394 271,63

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency for the Cooperation of Energy Regulators is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Regulation (EC) No 713/2009 of the European Parliament and of the Council of 13 July 2009 establishing an Agency for the Cooperation of Energy Regulators (OJ L 211, 14.8.2009, p. 1).

Regulation (EU) No 1227/2011 of the European Parliament and of the Council of 25 October 2011 on wholesale energy market integrity and transparency (OJ L 326 8.12.2011, p. 1).

Reference acts

Proposal for a regulation of the European Parliament and of the Council on Guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC (COM(2011) 658).

Item 32 04 10 02 — Agency for the Cooperation of Energy Regulators — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
402 412	402 412	377 125	377 125	983 000,00	983 000,00

Remarks

This appropriation is intended only to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to

implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2012 amounts to a total of EUR 7 241 850.

Legal basis

Regulation (EC) No 713/2009 of the European Parliament and of the Council of 13 July 2009 establishing an Agency for the Cooperation of Energy Regulators (OJ L 211, 14.8.2009, p. 1).

Regulation (EU) No 1227/2011 of the European Parliament and of the Council of 25 October 2011 on wholesale energy market integrity and transparency (OJ L 326 8.12.2011, p. 1).

Article 32 04 11 — Energy Community

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 159 716	3 159 716	2 724 787	2 600 970	3 312 400,00	3 312 400,00

Remarks

This appropriation is intended to cover the contribution of the Union to the Energy Community budget. This budget relates to staff administrative and operational expenditure.

The Union contribution for 2013 amounts to a total of EUR 3 159 716.

Legal basis

Council Decision 2006/500/EC of 29 May 2006 on the conclusion by the European Community of the Energy Community Treaty (OJ L 198, 20.7.2006, p. 15).

Article 32 04 12 — Pilot project — European framework programme for the development and exchange of experience on sustainable urban development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This article is intended to provide co-financing, inter alia, for:

- the European Knowledge Platform,
- the exchange of experience and best practice on sustainable urban transport plans,
- the development and exchange of best practice on sustainable urban management plans, including measures to limit environmental risks and combat climate change,
- the exchange of experience and best practice on sustainable construction, the promotion of sustainable urban design and biodiversity,
- awareness-raising actions.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 32 04 13 — Preparatory action — European islands for a common energy policy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	2 000 000	0,—	1 050 425,60

Remarks

To achieve the 20 % target put forward by the Commission, in its Communication of 10 January 2007 entitled ‘An energy policy for Europe’ (COM(2007) 0001), for renewables in the Union’s overall energy mix by 2020 — the current target is 12 % for 2010 — this preparatory action is intended to finance:

- projects to develop integrated renewable energy systems (marine, wind, solar, biomass and biogas) adapted to the climatic and specific socioeconomic conditions of island communities, including hybrid systems and desalination systems,
- projects to assess the social and economic impact of increased penetration and utilisation of renewable energies and energy efficiency measures on local island communities (economic development, employment, keeping young people in island communities, etc.),
- energy technology initiatives suitable for island communities, promoting research projects in the areas of renewable energy and energy efficiency technologies to maximise renewable energy use and to adapt to local circumstances,
- projects to develop efficient and environmentally friendly ways to transport this energy to the mainland, including the interconnection of peripheral island electricity networks to mainland grids,
- the exchange of best practices between island regions in Europe.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 32 04 14 — Energy projects to aid economic recovery

Item 32 04 14 01 — Energy projects to aid economic recovery — Energy networks

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	212 400 000	p.m.	526 288 963	0,—	224 169 430,99

Remarks

This appropriation is intended to cover the costs of gas and electricity infrastructure projects having the highest Union added value.

It should serve to adapt and develop energy networks of particular importance to the Union in support of the operation of the internal energy market and, in particular, to increase interconnection capacity, security and diversification of supply and to overcome environmental, technical and financial obstacles. Special Union support is necessary to develop energy networks more intensively and to accelerate their construction, notably where the diversity of routes and sources of supply is low.

This appropriation should also serve to promote connection and integration of renewable energy resources and to strengthen economic and social cohesion with less-favoured and island regions of the Union.

Legal basis

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

Item 32 04 14 02 — Energy projects to aid economic recovery — Carbon Capture and Storage (CCS)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	154 531 897	p.m.	124 293 397	0,—	192 027 188,76

Remarks

This appropriation is intended to cover the promotion of projects for the capture of carbon dioxide (CO₂) from industrial installations, its transport to a storage site and its injection into a suitable underground geological formation for the purposes of permanent storage.

Legal basis

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 3 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

Item 32 04 14 03 — Energy projects to aid economic recovery — European offshore wind grid system

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	75 164 809	p.m.	73 487 337	0,—	41 300 324,67

Remarks

This appropriation is intended to cover the promotion of projects aiming at the Union's offshore wind grid system development, with a particular focus on:

- balancing the variability of wind electricity through integrative systems,
- large-scale storage systems,
- management of wind farms as virtual power plants (more than 1 GW),
- turbines placed further from the shore or in deeper waters (20 to 50 m) than is currently standard,
- novel sub-structure designs,
- innovative features and the demonstration of its implementation,
- processes for assembly, installation, operation and decommissioning and testing of these processes in life-size projects.

Legal basis

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

Item 32 04 14 04 — Energy projects to aid economic recovery — Energy efficiency and renewable initiatives

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	48 781 548	p.m.	43 548 052	146 334 644,50	30 000 000,00

Remarks

The EEPR amended Regulation No 1233/2010 of the European Parliament and of the Council of 15 December 2010 enabled the creation of a new financial facility supporting energy efficiency and renewable energy sources. It is composed of an investment fund (the European Energy Efficiency Fund (EEE F) of a global amount of EUR 265 000 000 of which the EU contributed to EUR 125 000 000), a technical assistance (TA) of EUR 20 000 000 and awareness raising activities (EUR 1 300 000). The EEE F brings support to local, regional and (where justified) national public authorities; and public or private entities acting on behalf of public authorities.

Legal basis

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

Article 32 04 16 — Security of energy installations and infrastructures

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 000	186 677	250 000	571 568	249 400,00	15 430,12

Remarks

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the rules and measures required to improve the security of the energy sector, technical assistance and specific training.

The main objectives are to develop and apply security rules in the field of energy and in particular:

- measures to prevent malicious acts in the energy sector, with particular reference to the installations and infrastructures of the European system of energy generation and transmission,
- approximation of legislation, technical standards and administrative monitoring practices relating to energy security,
- definition of common indicators, methods and security objectives for the energy sector and collection of the data necessary for such definition,
- monitoring of measures taken on energy security by national authorities, operators and other key actors in the field,
- international coordination on energy security, including neighbouring supplier and transit countries and other world partners,
- promoting technology development in energy security.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of the Financial Regulation.

Article 32 04 17 — Pilot project — Supporting the preservation of natural resources and combating climate change through the increased use of solar energy (solar thermal and photovoltaic)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

Remarks

This project will have as a general objective the drawing up of proposals to prepare for future action for investment in Member States in small-scale solar energy demonstration installations for heating, cooling and electricity. The specific objective should be to set up residential installations in those Member States and regions where there are no subsidies or very limited subsidies, in order to allow the presentation to a large audience of different technologies and possibilities for the generation of heat, cooling and electricity from

the sun. As these are new technologies and the majority of the Union population is not well-informed about their advantages, private residential solar investments can be boosted by creating such demonstration installations closer to their place of residence.

The outcome of the project should be several solar installations installed in residential houses and buildings which are made to be functional and to be visited.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 32 04 18 — Pilot project — Energy security — Shale Gas

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	60 000	200 000	100 000	

Remarks

This appropriation is intended to fund pilot projects or other support activities aiming at analysing the public acceptance of the exploration and exploitation of shale gas and the introduction of a dialogue on it.

A public debate on shale gas, its merits and negative effects, has started in Europe, but it is not always based on concrete knowledge and information. It is important, therefore, to start a citizens' dialogue and information campaign before industrial production begins.

This appropriation should support this public dialogue on the social, environmental and economic effects of such projects at local, national and European level. Such dialogues should include national authorities, local communities, the general public, businesses and NGOs. The content of planned activities could include public hearings, conciliations, or other new methods of public consultation in the areas of shale gas development and within national capitals.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 32 04 19 — Preparatory action — Cooperation mechanisms implementing the renewable energy sources Directive 2009/28/EC

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	150 000	500 000	250 000	

Remarks

In the framework of Directive 2009/28/EC of the European Parliament and of the Council of 23 April 2009 on the promotion of the use of energy from renewable sources (OJ L 140, 5.6.2009, p. 16), which sets national targets for the share of renewable energy sources in gross final energy consumption, a new mechanism is proposed for achieving the national targets, under which Member States can make use of national support schemes and of the cooperation mechanisms provided in the context of Directive 2009/28/EC. These mechanisms, which introduce flexibility for Member States on a voluntary cooperation basis, refer to statistical transfers between Member States (Article 6), joint projects between Member States (Articles 7 and 8), joint projects between Member States and third parties (Articles 9 and 10) and joint support schemes between Member States (Article 11).

This preparatory action should aim to examine all the conditions for the successful implementation of these cooperation mechanisms and their interaction with other mechanisms or national support schemes, and to assess the need to develop a specific framework for the operation of this mechanism. Development of this operation framework should be given priority in Member States with limited cross-border network capacities as well as in areas that exhibit high potential for specific renewable energy sources projects.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 32 05 — NUCLEAR ENERGY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payment s 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
32 05	Nuclear energy								
32 05 01	Nuclear safeguards	1.1	20 550 000	18 000 000	20 410 000	17 237 770	20 721 545,56	16 884 075,93	93,80 %
32 05 02	Nuclear safety and protection against radiation	1.1	2 200 000	2 000 000	2 182 400	1 451 602	1 798 075,12	1 916 310,12	95,82 %
32 05 03	Nuclear safety — Transitional measures (decommissioning)	1.1	267 000 000	190 000 000	259 904 000	208 667 747	258 000 000,00	101 965 476,52	53,67 %
Chapter 32 05 — Total			289 750 000	210 000 000	282 496 400	227 357 119	280 519 620,68	120 765 862,57	57,51 %

Article 32 05 01 — Nuclear safeguards

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 550 000	18 000 000	20 410 000	17 237 770	20 721 545,56	16 884 075,93

Remarks

This appropriation is intended to finance, in particular:

- expenses for missions by inspectors carried out in accordance with pre-established six-monthly programmes, short-notice inspections (daily subsistence allowances and travel expenses),
- training of inspectors, and meetings with Member States and nuclear operators,
- purchases of equipment for use in inspections, particularly purchases of monitoring equipment such as digital video systems, gamma, neutron and infrared measurement devices, electronic seals and seal reader systems,
- procurement and renewal of information technology hardware for inspections,
- specific information technology projects for inspections (development and maintenance),
- replacement of monitoring and measurement devices which have reached the end of their service life,
- maintenance of equipment, including insurance of specific equipment at the Canberra, Ametek, Fork and GBNS sites,
- technical infrastructure measures, including waste management and sample transport,
- on-site analyses (labour costs and mission expenses for analysts),
- agreements on working premises on site (laboratories, offices, etc.),
- day-to-day management of on-site installations and central laboratories (repairs, maintenance, information technology hardware, purchases of supplies and consumables, etc.),
- information technology support and tests for applications for inspections.

The following will also give rise to the provision of additional appropriations in accordance with Article 18(1)(e) to (j) of the Financial Regulation:

- paid insurance claims,
- repayment of sums unduly paid by the Commission for goods, work or services.

Legal basis

Commission Regulation (Euratom) No 302/2005 of 8 February 2005 on the application of Euratom safeguards (OJ L 54, 28.2.2005, p. 1).

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Chapter 7 of Title II and Article 174.

Reference acts

Tripartite agreement between the Community, the non-nuclear-weapon Member States and the International Atomic Energy Agency.

Tripartite agreement between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite agreement between the Community, France and the International Atomic Energy Agency.

Cooperation agreements between the Community and third countries such as the United States of America, Canada and Australia.

Commission communication of 24 March 1992 to the European Parliament and to the Council concerning a Commission decision on the implementation of on-site laboratories for verification analysis of safeguards samples (SEC(1992) 0515).

Article 32 05 02 — Nuclear safety and protection against radiation

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 200 000	2 000 000	2 182 400	1 451 602	1 798 075,12	1 916 310,12

Remarks

This appropriation is intended to cover:

- expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the common policy on nuclear safety and security, particularly in the new Member States and of the rules and measures in the field of radiation protection,
- expenditure on measures and actions relating to monitoring and protection against the effects of ionising radiation and aimed at ensuring the health protection of the population and the protection of the environment against the dangers of radiation and radioactive substances. These actions relate to specific tasks provided for under the Treaty establishing the European Atomic Energy Community,
- expenditure on the establishment and operation of a corps of inspectors to check protection against ionising radiation in the Member States. This expenditure includes, in addition to subsistence allowances and travel (mission) expenses, costs for training, for preparatory meetings and for purchases of equipment to be used for inspections,
- expenditure linked to the implementation of the obligations imposed on the Commission by the European Council conclusions (24/25 March 2011) point 31.

Legal basis

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Chapter 3 of Title II and Article 174.

Treaty establishing the European Atomic Energy Community, in particular Article 31 thereof (collection of information and preparation of new legislation complementing the basic safety standards) and Article 33 thereof (implementation of directives, including in particular in the medical field (field C)).

Council Directive 96/29/Euratom of 13 May 1996 laying down basic safety standards for the protection of the health of workers and the general public against the dangers arising from ionizing radiation (OJ L 159, 29.6.1996, p. 1).

Council Directive 97/43/Euratom of 30 June 1997 on health protection of individuals against the dangers of ionizing radiations in relation to medical exposure, and repealing Directive 84/466/Euratom (OJ L 180, 9.7.1997, p. 22).

Council Directive 2003/122/Euratom of 22 December 2003 on the control of high-activity sealed radioactive sources and orphan sources (OJ L 346, 31.12.2003, p. 57).

Council Directive 2009/71/Euratom of 25 June 2009 establishing a Community framework for the nuclear safety of nuclear installations (OJ L 172, 2.7.2009, p. 18).

Implementation of the obligations placed on the Commission by the following specific legislation:

- Council Decision 87/600/Euratom of 14 December 1987 on Community arrangements for the early exchange of information in the event of a radiological emergency (OJ L 371, 30.12.1987, p. 76),
- Council Regulation (EC) No 733/2008 of 15 July 2008 on the conditions governing imports of agricultural products originating in third countries following the accident at the Chernobyl nuclear power station (OJ L 201, 30.7.2008, p. 1),
- Article 35, second paragraph, of the Treaty establishing the European Atomic Energy Community: verification of monitoring of environmental radioactivity.

Article 32 05 03 — Nuclear safety — Transitional measures (decommissioning)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
267 000 000	190 000 000	259 904 000	208 667 747	258 000 000,00	101 965 476,52

Remarks

This appropriation is intended to finance the Ignalina (Lithuania), Bohunice (Slovakia) and the Kozloduy (Bulgaria) nuclear power plant decommissioning funds, in accordance with the agreements signed with the Member States concerned.

This expenditure also relates to the collection and processing of information of all kinds needed for the analysis, definition, promotion, monitoring and evaluation of the rules and measures in the field of decommissioning.

The Commission must submit, each year, a report on the implementation of funds committed under this article, as well as updated estimates of the costs and time schedules for operations to decommission the nuclear reactors concerned.

Legal basis

Task resulting from specific powers directly conferred on the Commission by the Accession Treaty of 2003 (Protocol No 4 on the Ignalina nuclear power plant in Lithuania and Protocol No 9 on Unit 1 and Unit 2 of the Bohunice V1 nuclear power plant in Slovakia, both annexed to the Accession Treaty of 2003).

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

The task for Bulgaria is similarly directly conferred upon the Commission by Article 30 of the 2005 Act of Accession.

Council Regulation (EC) No 1990/2006 of 21 December 2006 on the implementation of Protocol No 4 on the Ignalina nuclear power plant in Lithuania to the Act of accession of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia 'Ignalina Programme' (OJ L 411, 30.12.2006, p. 10).

Council Regulation (Euratom) No 549/2007 of 14 May 2007 on the implementation of Protocol No 9 on Unit 1 and Unit 2 of the Bohunice V1 nuclear power plant in Slovakia to the Act concerning the conditions of accession to the European Union of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia (OJ L 131, 23.5.2007, p. 1).

Regulation (Euratom) No 647/2010 of the Council of 13 July 2010 on financial assistance of the Union with respect to the decommissioning of Units 1 to 4 of the Kozloduy Nuclear Power Plant in Bulgaria (Kozloduy Programme) (OJ L 189, 22.7.2010, p. 9).

CHAPTER 32 06 — RESEARCH RELATED TO ENERGY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
32 06	Research related to energy								
32 06 01	<i>Research related to energy</i>	1.1	170 878 000	117 200 000	162 633 457	104 333 874	174 357 016,05	116 924 209,26	99,76 %
32 06 02	<i>Research related to energy — Fuel Cells and Hydrogen Joint Undertaking</i>	1.1	26 249 000	37 891 000	29 455 000	13 392 047	25 093 338,00	11 230 167,00	29,64 %
32 06 03	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>	1.1	p.m.	p.m.	p.m.	p.m.	3 796 675,33	5 275 528,35	
32 06 04	<i>Completion of previous programmes</i>								
32 06 04 01	Completion of programmes (prior to 2003)	1.1	—	p.m.	—	p.m.	0,—	0,—	
32 06 04 02	Completion of the sixth framework programme (2003 to 2006)	1.1	p.m.	15 000 000	p.m.	22 681 277	0,—	25 790 450,38	171,94 %
	<i>Article 32 06 04 — Subtotal</i>		p.m.	15 000 000	p.m.	22 681 277	0,—	25 790 450,38	171,94 %
	Chapter 32 06 — Total		197 127 000	170 091 000	192 088 457	140 407 198	203 247 029,38	159 220 354,99	93,61 %

Remarks

These remarks are applicable to all the budget headings in this chapter.

These appropriations will be used for the Seventh Framework Programme for research, technological development and demonstration activities, which covers the period 2007 to 2013.

The programme will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations also cover administrative expenditure, including expenditure on staff, information, publications, administrative and technical operation, and certain other expenditure items relating to internal infrastructure for achievement of the objective of the measure of which they form an integral part, including the action and measures necessary for the preparation and monitoring of the Union's strategy for research, technological development and demonstration activities.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be made available under Article 32 06 03.

Article 32 06 01 — Research related to energy

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
170 878 000	117 200 000	162 633 457	104 333 874	174 357 016,05	116 924 209,26

Remarks

This appropriation is intended to support the European Strategic Energy Technology Plan (SET-Plan) objectives and initiatives. These are primarily: wind, solar, bioenergy, carbon capture and storage (CCS) and electricity grids. In recognition of their important contribution to future sustainable energy systems, two thirds of the budgetary appropriations will be allocated to the renewable and end-use energy efficiency policy areas from 2012 onwards.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Article 32 06 02 — Research related to energy — Fuel Cells and Hydrogen Joint Undertaking

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
26 249 000	37 891 000	29 455 000	13 392 047	25 093 338,00	11 230 167,00

Remarks

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme for research, technology development and demonstration activities (2007-2013) and in particular the specific themes for 'Energy', 'Nanosciences, Nanotechnologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including Aeronautics)' in the 'Cooperation' programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards a rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; and aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

Article 32 06 03 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	3 796 675,33	5 275 528,35

Remarks

This article is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Article 32 06 04 — Completion of previous programmes

Item 32 06 04 01 — Completion of programmes (prior to 2003)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This article is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Item 32 06 04 02 — Completion of the sixth framework programme (2003 to 2006)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	15 000 000	p.m.	22 681 277	0,—	25 790 450,38

Remarks

This appropriation is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

TITLE 33 — JUSTICE

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
33 01	Administrative expenditure of the 'Justice' policy area 40 01 40		39 121 446	39 121 446	38 605 939 6 413 38 612 352	38 605 939 6 413 38 612 352	39 511 825,29	39 511 825,29
33 02	Fundamental rights and citizenship	3	54 524 400	51 524 400	54 996 000	48 118 826	56 482 328,85	48 317 328,23
33 03	Justice in criminal and civil matters	3	74 103 660	62 053 660	78 220 000	61 771 157	74 585 363,45	61 591 801,28
33 04	Drugs prevention and information	3	3 000 000	2 830 016	3 000 000	2 830 016	4 095 200,00	2 668 162,77
33 05	Policy strategy and coordination	3	3 500 000	3 089 160	3 400 000	2 754 549	3 187 025,00	1 956 793,78
33 06	Equality		41 543 368	34 734 776	39 358 800	32 964 707	40 367 972,88	34 767 919,87
	Title 33 — Total		215 792 874	193 353 458	217 580 739	187 045 194	218 229 715,47	188 813 831,22
	40 01 40 Total including reserves				6 413 217 587 152	6 413 187 051 607		

CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
33 01	Administrative expenditure of the 'Justice' policy area					
33 01 01	<i>Expenditure related to staff in active employment in the 'Justice' policy area</i>	5	29 899 109	29 278 629	29 366 968,32	98,22 %
33 01 02	<i>External staff and other management expenditure in support of the 'Justice' policy area</i>					
33 01 02 01	External staff	5	3 145 057	3 133 125	3 423 269,78	108,85 %
33 01 02 11	Other management expenditure	5	1 336 067	1 441 795	1 924 563,78	144,05 %
	40 01 40 <i>Article 33 01 02 — Subtotal</i> 40 01 40		4 481 124	4 574 920	5 347 833,56	119,34 %
33 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Justice' policy area</i>	5	1 858 213	1 869 390	2 202 987,21	118,55 %
33 01 04	<i>Support expenditure for operations of the 'Justice' policy area</i>					
33 01 04 01	Fundamental rights and citizenship — Expenditure on administrative management	3.1	300 000	300 000	300 000,00	100,00 %
33 01 04 02	Measures for combating violence (Daphne) — Expenditure on administrative management	3.1	400 000	400 000	356 611,60	89,15 %
33 01 04 03	Criminal justice — Expenditure on administrative management	3.1	350 000	350 000	350 000,00	100,00 %
33 01 04 04	Civil justice — Expenditure on administrative management	3.1	250 000	250 000	250 000,00	100,00 %
33 01 04 05	Drugs prevention and information — Expenditure on administrative management	3.1	50 000	50 000	51 190,00	102,38 %
33 01 04 06	Progress programme — Expenditure on administrative management	1.1	1 533 000	1 533 000	1 286 234,60	83,90 %
	<i>Article 33 01 04 — Subtotal</i> Chapter 33 01 — Total 40 01 40 Total including reserves		39 121 446	38 605 939	39 511 825,29	101,00 %
				6 413 38 612 352		

Article 33 01 01 — Expenditure related to staff in active employment in the ‘Justice’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
29 899 109	29 278 629	29 366 968,32

Remarks

Article 33 01 02 — External staff and other management expenditure in support of the ‘Justice’ policy area

Item 33 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 145 057	3 133 125	3 423 269,78

Remarks

Item 33 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
33 01 02 11	1 336 067	1 441 795	1 924 563,78
40 01 40		6 413	
Total	1 336 067	1 448 208	1 924 563,78

Remarks

Article 33 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Justice’ policy area

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 858 213	1 869 390	2 202 987,21

Remarks

Article 33 01 04 — Support expenditure for operations of the ‘Justice’ policy area

Item 33 01 04 01 — Fundamental rights and citizenship — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
300 000	300 000	300 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the

achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 33 02 04.

Item 33 01 04 02 — Measures for combating violence (Daphne) — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
400 000	400 000	356 611,60

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 33 02 05.

Item 33 01 04 03 — Criminal justice — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
350 000	350 000	350 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 33 03 04.

Item 33 01 04 04 — Civil justice — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
250 000	250 000	250 000,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 33 03 05.

Item 33 01 04 05 — Drugs prevention and information — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
50 000	50 000	51 190,00

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 33 04 01.

Item 33 01 04 06 — Progress programme — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 533 000	1 533 000	1 286 234,60

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance for the implementation of measures to achieve equality between men and women and tackling the particular needs of disabled people,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 33 06 01.

CHAPTER 33 02 — FUNDAMENTAL RIGHTS AND CITIZENSHIP

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
33 02	Fundamental rights and citizenship								
33 02 01	<i>Completion of measures for combating violence against children, adolescents and women</i>	3.1	p.m.	p.m.	p.m.	p.m.	6 222,34	218 274,08	
33 02 02	<i>Completion of preparatory action to support civil society in the new Member States</i>	3.1	—	—	—	—	8 920,45	8 920,45	
33 02 03	<i>European Union Agency for Fundamental Rights</i>								
33 02 03 01	European Union Agency for Fundamental Rights — Contribution to Titles 1 and 2	3.1	13 354 880	13 354 880	13 168 151	13 168 151	14 045 000,00	15 278 005,25	114,40 %
33 02 03 02	European Union Agency for Fundamental Rights — Contribution to Title 3	3.1	7 669 520	7 669 520	7 027 849	7 027 849	6 135 020,00	6 135 020,00	79,99 %
	<i>Article 33 02 03 — Subtotal</i>		21 024 400	21 024 400	20 196 000	20 196 000	20 180 020,00	21 413 025,25	101,85 %
33 02 04	<i>Fundamental rights and citizenship</i>	3.1	15 500 000	13 500 000	15 300 000	12 263 403	13 811 166,06	10 472 013,45	77,57 %
33 02 05	<i>Fight against violence (Daphne)</i>	3.1	18 000 000	17 000 000	19 500 000	14 716 084	20 476 000,00	15 407 366,70	90,63 %
33 02 06	<i>European cooperation between national and international authorities with responsibility for children's rights and civil society promoting and defending children's rights</i>	3.1	—	—	—	p.m.	0,—	641 338,10	
33 02 07	<i>European-level introduction of a rapid alert mechanism for child abductions or disappearances</i>	3.1	—	—	—	p.m.	0,—	62 747,04	
33 02 08	<i>Preparatory action — Standardisation of national legislation on gender violence and violence against children</i>	3.1	—	—	—	p.m.	0,—	93 643,16	
33 02 09	<i>Europe-wide methodology for developing evidence based policies for children's rights</i>	3.1	p.m.	p.m.	p.m.	943 339	2 000 000,00	0,—	
	Chapter 33 02 — Total		54 524 400	51 524 400	54 996 000	48 118 826	56 482 328,85	48 317 328,23	93,78 %

Article 33 02 01 — Completion of measures for combating violence against children, adolescents and women

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	6 222,34	218 274,08

Remarks

This appropriation is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned

revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 293/2000/EC of the European Parliament and of the Council of 24 January 2000 adopting a programme of Community action (the Daphne programme) (2000 to 2003) on preventive measures to fight violence against children, young persons and women (OJ L 34, 9.2.2000, p. 1).

Decision No 803/2004/EC of the European Parliament and of the Council of 21 April 2004 adopting a programme of Community action (2004 to 2008) to prevent and combat violence against children, young people and women and to protect victims and groups at risk (the Daphne II programme) (OJ L 143, 30.4. 2004, p. 1).

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 33 02 02 — Completion of preparatory action to support civil society in the new Member States

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	8 920,45	8 920,45

Remarks

This article is intended to cover earlier commitments.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 33 02 03 — European Union Agency for Fundamental Rights

Item 33 02 03 01 — European Union Agency for Fundamental Rights — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
13 354 880	13 354 880	13 168 151	13 168 151	14 045 000,00	15 278 005,25

Remarks

This appropriation is intended to cover the Agency’s staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency’s establishment plan is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3). Regulation (EC) No 168/2007 entered into force on 1 March 2007. On that date, the Agency replaced the European Monitoring

Centre on Racism and Xenophobia (EUMC) and legally succeeded it, assuming all the Centre's legal rights and obligations, financial commitments, liabilities, and employment contracts, as laid down in Article 23(4) of Regulation (EC) No 168/2007.

Legal basis

Council Regulation (EC) No 1035/97 of 2 June 1997 establishing a European Monitoring Centre on Racism and Xenophobia (OJ L 151, 10.6.1997, p. 1).

Council Regulation (EC) No 168/2007 of 15 February 2007 establishing a European Union Agency for Fundamental Rights (OJ L53, 22.2.2007, p 1).

Item 33 02 03 02 — European Union Agency for Fundamental Rights — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 669 520	7 669 520	7 027 849	7 027 849	6 135 020,00	6 135 020,00

Remarks

This appropriation is intended to cover the operational expenditure (Title 3) of the European Union Agency for Fundamental Rights, which is responsible for providing the relevant Union institutions and authorities of the Member States when implementing Union law with assistance and expertise relating to fundamental rights in order to support them when they take measures or formulate courses of action within their respective spheres of competence to fully respect fundamental rights.

The Agency can be expected to achieve the following operational objectives/tasks:

- provision of assistance to Union institutions and the Member States,
- promotion of networking of stakeholders and dialogue at European level,
- promotion and dissemination of information and awareness-raising activities to enhance visibility on fundamental rights,
- effective functioning of the management structure and implementation of operations.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Regulation (EC) No 168/2007 entered into force on 1 March 2007. On that date, the Agency replaced the European Monitoring Centre on Racism and Xenophobia (EUMC) and legally succeeded it, assuming all the Centre's legal rights and obligations, financial commitments, liabilities, and employment contracts, as laid down in Article 23(4) of Regulation (EC) No 168/2007.

The Union contribution for 2013 amounts to a total of EUR 21 246 000. An amount of EUR 221 600 coming from the recovery of surplus is added to the amount of EUR 21 024 400 entered in the budget.

Legal basis

Council Regulation (EC) No 1035/97 of 2 June 1997 establishing a European Monitoring Centre on Racism and Xenophobia (OJ L 151, 10.6.1997, p. 1).

Council Regulation (EC) No 168/2007 of 15 February 2007 establishing a European Union Agency for Fundamental Rights (OJ L 53, 22.2.2007, p. 1).

Article 33 02 04 — Fundamental rights and citizenship

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 500 000	13 500 000	15 300 000	12 263 403	13 811 166,06	10 472 013,45

Remarks

This appropriation is intended to support the following areas:

- to promote the development of a European society based on respect for fundamental rights as recognised in Article 6 of the Treaty on European Union, including rights derived from citizenship of the Union,
- to strengthen civil society and to encourage an open, transparent and regular dialogue with it in respect of fundamental rights,
- to fight racism, xenophobia, anti-Romaism and anti-Semitism and to promote a better inter-faith and intercultural understanding and improved tolerance throughout the Union,
- to improve contacts, exchange of information and networking between legal, judicial and administrative authorities and the legal professions, including by way of support for judicial training, with the aim of better mutual understanding among such authorities and professionals.

In particular, this appropriation is intended to cover the following actions:

- specific actions taken by the Commission, such as studies and research, opinion polls and surveys, formulation of indicators and common methodologies, collection, development and dissemination of data and statistics, seminars, conferences and expert meetings, organisation of public campaigns and events; development and maintenance of websites, preparation and dissemination of information material, support for and management of networks of national experts, analytical, monitoring and evaluation activities,
- specific transnational projects of Union interest presented by at least three Member States under the conditions set out in the annual work programmes,
- support to the activities of non-governmental organisations or other entities pursuing an aim of general European interest in accordance with the general objectives of the programme under the conditions set out in the annual work programmes, including activities related to networking of non-governmental organisations promoting children's rights,
- an operating grant to co-finance expenditure associated with the permanent work programme of the Conference of the European Constitutional Courts and the Association of the Councils of States and Supreme Administrative Jurisdictions of the European Union which maintain certain databases providing for a European-wide collection of national judgments relating to the implementation of Union law, in so far as the expenditure is incurred in pursuing an objective of general European interest by promoting exchanges of views and experience on matters concerning the jurisprudence, organisation and functioning of their members in the performance of their judicial and/or advisory functions with regard to Union law.

This appropriation is also intended to cover an awareness campaign concerning Union anti-discrimination legislation.

This appropriation is also intended to promote and support Union action on detention conditions, in response to the dilapidated state of many prisons in the Member States and the difficult conditions in which inmates are having to live, owing, in particular, to overcrowding.

Thus it is also intended to cover:

- pooling of good practice among public, private and non-profit organisations working in the sector in the Member States,
- support measures (e.g. comparative studies within the Union) for Union action in this crucial sector, in line with the measures to be taken by the Commission (submission, on 14 June 2011, of a Green Paper on the application of EU criminal justice legislation in the field of detention), as set out in the Stockholm Action Plan.

Legal basis

Council Decision 2007/252/EC of 19 April 2007 establishing for the period 2007-2013 the specific programme 'Fundamental rights and citizenship' as part of the General programme 'Fundamental Rights and Justice' (OJ L 110, 27.4.2007, p. 33).

Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

Article 33 02 05 — Fight against violence (Daphne)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 000 000	17 000 000	19 500 000	14 716 084	20 476 000,00	15 407 366,70

Remarks

This appropriation is intended to support the following areas:

- to contribute to the protection of children, young people and women against all forms of violence and to attain a high level of health protection, well-being and social cohesion,
- to contribute, especially where children, young people and women are concerned, to the development of Union policies and, more specifically, to policies on public health, human rights and equality between men and women, to actions aimed at the protection of children's rights, and to the fight against forced abortion, coerced sterilisation, sex-selective abortion, female genital mutilation, forced marriage, trafficking of human beings and sexual exploitation.

In particular, this appropriation is intended to cover the following actions:

- specific actions taken by the Commission, such as studies and research, opinion polls and surveys, formulation of indicators and common methodologies, collection, development and dissemination of data and statistics, seminars, conferences and experts meetings, organisation of public campaigns and events, development and maintenance of websites, preparation and dissemination of information materials, support to and animation of networks of national experts, analytical, monitoring and evaluation activities,
- specific transnational projects of Union interest presented by at least three Member States under the conditions set out in the annual work programmes,
- support to the activities of non-governmental organisations or other entities pursuing an aim of general European interest regarding the general objectives of the programme under the conditions set out in the annual work programmes,
- prevention of, and protection of children, adolescents and women from, all kinds of violence and commercial sexual exploitation, trafficking and other forms of abuse such as female genital mutilation, violence at school and juvenile delinquency, and promoting the rehabilitation of victims of such abuse,
- conducting information campaigns aimed at combating paedophilia, trafficking in human beings, sexual exploitation, forced abortion, female genital mutilation, or coerced sterilisation and forced marriage, and at preventing juvenile delinquency,
- promoting the introduction of instruments designed to encourage reporting of violence against women, children and adolescents and of forms of trafficking in women for the purpose of sexual exploitation,
- pilot projects and grants targeted at organisations which, as part of action to protect children and combat paedophilia on the internet, are involved in devising and/or adopting measures to prevent material and images that involve child pornography or constitute an offence against human dignity from being carried on the internet,
- exchange of best practices regarding action in response to violence at school, measures to prevent and combat juvenile delinquency, support for relevant non-governmental organisation initiatives and cross-border cooperation, pilot projects at local and regional level and the networking of the authorities responsible for dealing with cases of juvenile delinquency.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 779/2007/EC of the European Parliament and of the Council of 20 June 2007 establishing for the period 2007-2013 a specific programme to prevent and combat violence against children, young people and women and to protect victims and groups at risk (Daphne III programme), as part of the General Programme 'Fundamental Rights and Justice' (OJ L 173, 3.7.2007, p. 19).

Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

Article 33 02 06 — European cooperation between national and international authorities with responsibility for children's rights and civil society promoting and defending children's rights

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	641 338,10

Remarks

This appropriation is intended to cover earlier commitments.

The appropriations intended for this action are to be used to implement the Union strategy on the rights of the child in line with the Commission communication 'Towards an EU Strategy on the Rights of the Child' (COM(2006) 367 final), e.g.:

- coordination of measures taken in the Union to combat child poverty,
- any direct measure to prevent social exclusion of children, trafficking in children and paedophile pornography on the internet.

These appropriations may also be used for any preparatory measure needed to pursue the abovementioned objectives.

Legal basis

Commission communication of 4 July 2006 'Towards an EU Strategy on the Rights of the Child' (COM(2006) 367 final).

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 33 02 07 — European-level introduction of a rapid alert mechanism for child abductions or disappearances

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	62 747,04

Remarks

This appropriation is intended to cover earlier commitments.

The aim of this article is to introduce throughout the Union a mechanism known as 'Amber Alert' (in the United States of America and Greece) and 'Alerte-Enlèvement' (in France), while stressing the need for cross-border interconnection.

Following the successful use, in France and Greece (and also in the USA and Canada), of systems alerting the public in cases of child abduction (or disappearance) and when children's health or lives may be at serious risk, the Commission would like to help Member States to put similar mechanisms in place nationally. If all Member States adopt such mechanisms, and communication schemes are put in place, resolving cross-border cases will be possible and easier.

This article is intended to finance the additional costs which might arise as a result of putting this mechanism in place. Costs involved in setting up round-the-clock contact points, free phone lines and information technology networks would be typical costs.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 33 02 08 — Preparatory action — Standardisation of national legislation on gender violence and violence against children

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	93 643,16

Remarks

This appropriation is intended to cover earlier commitments.

This appropriation is intended to fund a preparatory action embodying the efforts which the Union has been making to promote preventive measures to combat violence against children and adolescents of both sexes and against women, with a view to standardising national legislation in this area. The objectives will be to:

- analyse the current national legislation on gender violence, with reference to all types of violence: domestic violence, sexual violence, prostitution and trafficking, female genital mutilation and crimes of honour,
- analyse shortcomings in applying legislation on gender violence in each Member State,
- implement the process of European-level harmonisation of legislation against gender violence by proposing provisions to combat and reduce gender violence within the Union.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 33 02 09 — Europe-wide methodology for developing evidence based policies for children's rights

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	943 339	2 000 000,00	0,—

Remarks

At Union level, there are major deficiencies and obstacles with regard to the collection of reliable and comparable statistics on children. A methodology therefore needs to be developed for devising indicators relating specifically to children.

The objectives of the pilot project are:

- collection of statistics and best practices relating to children:
 - promoting the exchange of information, establishing best practices and publishing an overview of the state of play in the 27 Member States,
 - establishing a knowledge base on children's rights which will enable the Union institutions and Member States to adapt their policies on the basis of shared know-how,
 - analysing Member States' current legislation on safeguarding children's rights,
 - devising indicators (quantitative and qualitative) and benchmarks so as to enhance the comparability, objectivity and reliability of child-related data at European level with regard to:
 - child poverty and social exclusion,
 - abuse, sexual exploitation of children and paedophile pornography,
 - domestic violence,
 - sex tourism,
 - international abduction of children involved in disputes,

- children with disabilities: policies to support the differently-abled and criticalities,
- child trafficking,
- gender discrimination (female genital mutilation, crimes of honour, forced marriages),
- eating disorders,
- addictions (alcohol, tobacco, drugs, medicines),
- missing children,
- unaccompanied minors,
- risks associated with the behavioural and psychological aspects of using new technologies,
- juvenile delinquency,
- involving and consulting children:
 - developing a methodology for involvement and consultation at European and national level which (a) enables children to be heard and (b) ensures the constructive and effective involvement of children in decisions affecting them, as set out in Article 12 of the United Nations Convention on the Rights of the Child,
 - developing child-friendly communication and information systems for making Union measures known in a manner adapted to a younger audience.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 33 03 — JUSTICE IN CRIMINAL AND CIVIL MATTERS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
33 03	Justice in criminal and civil matters								
33 03 01	<i>Completion of previous judicial cooperation programmes in civil matters</i>	3.1	—	p.m.	—	p.m.	0,—	20 801,56	
33 03 02	<i>Eurojust</i>								
33 03 02 01	Eurojust — Contribution to Titles 1 and 2	3.1	22 302 366	22 302 366	23 447 325	23 447 325	23 956 698,00	23 556 698,00	105,62 %
33 03 02 02	Eurojust — Contribution to Title 3	3.1	7 751 294	7 751 294	8 222 675	8 222 675	7 777 042,00	7 777 042,00	100,33 %
	<i>Article 33 03 02 — Subtotal</i>		30 053 660	30 053 660	31 670 000	31 670 000	31 733 740,00	31 333 740,00	104,26 %
33 03 04	<i>Criminal justice</i>	3.1	27 500 000	23 000 000	26 950 000	18 017 770	26 500 000,00	22 415 464,66	97,46 %
33 03 05	<i>Civil justice</i>	3.1	16 550 000	7 500 000	16 100 000	9 433 387	15 625 449,26	7 821 795,06	104,29 %
33 03 06	<i>Pilot project — Impact assessment of legislative measures in contract law</i>	3.1	p.m.	p.m.	p.m.	400 000	0,—	0,—	
33 03 07	<i>Pilot project — Rapid and efficient enforcement of outstanding claims by small and medium-sized enterprises (SMEs) operating across borders</i>	3.1	—	750 000	2 000 000	1 500 000	726 174,19	0,—	
33 03 08	<i>Pilot project — European judicial training</i>	3.1	p.m.	750 000	1 500 000	750 000			
	Chapter 33 03 — Total		74 103 660	62 053 660	78 220 000	61 771 157	74 585 363,45	61 591 801,28	99,26 %

Article 33 03 01 — Completion of previous judicial cooperation programmes in civil matters

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	20 801,56

Remarks

This appropriation is intended to cover earlier commitments.

Legal basis

Decision No 1496/98/EC of the European Parliament and of the Council of 22 June 1998 establishing an action programme to improve awareness of Community law within the legal professions (Robert Schuman project) (OJ L 196, 14.7.1998, p. 24).

Council Regulation (EC) No 290/2001 of 12 February 2001 extending the programme of incentives and exchanges for legal practitioners in the area of civil law (Grotius — civil) (OJ L 43, 14.2.2001, p. 1).

Council Decision 2001/470/EC of 28 May 2001 establishing a European Judicial Network in civil and commercial matters (OJ L 174, 27.6.2001, p. 25).

Council Regulation (EC) No 743/2002 of 25 April 2002 establishing a general Community framework of activities to facilitate the implementation of judicial cooperation in civil matters (OJ L 115, 1.5.2002, p. 1).

Council Decision 2004/100/EC of 26 January 2004 establishing a Community action programme to promote active European citizenship (civic participation) (OJ L 30, 4.2.2004, p. 6).

Article 33 03 02 — Eurojust

Item 33 03 02 01 — Eurojust — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 302 366	22 302 366	23 447 325	23 447 325	23 956 698,00	23 556 698,00

Remarks

This appropriation is intended to cover Eurojust's staff and administrative expenditure (Titles 1 and 2).

Eurojust must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Eurojust's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Decision 2002/187/JHA of 28 February 2002 setting up Eurojust with a view to reinforcing the fight against serious crime (OJ L 63, 6.3.2002, p. 1).

Council Decision 2009/426/JHA of 16 December 2008 on the strengthening of Eurojust and amending Decision 2002/187/JHA setting up Eurojust with a view to reinforcing the fight against serious crime (OJ L 138, 4.6.2009, p. 14).

Item 33 03 02 02 — Eurojust — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 751 294	7 751 294	8 222 675	8 222 675	7 777 042,00	7 777 042,00

Remarks

This appropriation is intended to cover Eurojust's operational expenditure relating to the work programme (Title 3).

Eurojust must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 32 358 660. An amount of EUR 2 305 000 coming from the recovery of surplus is added to the amount of EUR 32 358 660 entered in the budget.

Legal basis

Council Decision 2002/187/JHA of 28 February 2002 setting up Eurojust with a view to reinforcing the fight against serious crime (OJ L 63, 6.3.2002, p. 1).

Council Decision 2009/426/JHA of 16 December 2008 on the strengthening of Eurojust and amending Decision 2002/187/JHA setting up Eurojust with a view to reinforcing the fight against serious crime (OJ L 138, 4.6.2009, p. 14).

Article 33 03 04 — Criminal justice

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 500 000	23 000 000	26 950 000	18 017 770	26 500 000,00	22 415 464,66

Remarks

This appropriation is intended to support the following areas:

- to promote judicial cooperation with the aim of contributing to the creation of a genuine European area of justice in criminal matters based on mutual recognition and mutual confidence,
- to promote the mutual recognition of judicial instruments in the Member States, with particular reference to orders of seizure and confiscation of assets derived from illegal activities of organised crime, taking into account the European Parliament recommendations,
- to promote the adjustment of the existing judicial systems in Member States to the Union being a territory without border controls, with a single currency, and with free movement of persons, services, goods and capital,
- to improve the daily life of individuals and businesses by enabling them to assert their rights throughout the Union, notably by fostering access to justice,
- to improve the contacts and exchange of information between legal, judicial and administrative authorities and the legal professions, and to foster the training of the members of the judiciary.

In particular, this appropriation is intended to cover the following actions:

- specific actions taken by the Commission, such as studies and research, creation and implementation of specific projects like the creation of a computerised system of exchange of information on criminal records, opinion polls and surveys, formulation of indicators and common methodologies, collection, development and dissemination of data and statistics, seminars, conferences and experts meetings, organisation of public campaigns and events, development and maintenance of websites, preparation and dissemination of information material, support to and animation of networks of national experts, analytical, monitoring and evaluation activities,
- specific transnational projects of Union interest presented by at least three Member States under the conditions set out in the

annual work programmes,

- support to the activities of non-governmental organisations or other entities pursuing an aim of general European interest in accordance with the general objectives of the programme under the conditions set out in the annual work programmes,
- an operating grant to co-finance expenditure associated with the permanent work programme of the European Judicial Training Network which pursues an aim of general European interest in the field of training of the judiciary.

Part of this appropriation is also intended to cover the costs of a project to set up a body of European criminal lawyers (Eurorights). The body should function as an ombudsman, investigating problems encountered by the defence in the context of European police and judicial cooperation.

Legal basis

Council Decision 2007/126/JHA of 12 February 2007 establishing for the period 2007 to 2013, as part of the General Programme on Fundamental Rights and Justice, the Specific Programme 'Criminal Justice' (OJ L 58, 24.2.2007, p 13).

Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

Article 33 03 05 — Civil justice

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
16 550 000	7 500 000	16 100 000	9 433 387	15 625 449,26	7 821 795,06

Remarks

This appropriation is intended to support the following areas:

- promoting judicial cooperation with the aim of contributing to the creation of a genuine European area of justice in civil matters based on mutual recognition and mutual confidence,
- promoting the elimination of obstacles to the good functioning of cross-border civil proceedings in the Member States,
- improving the daily lives of individuals and businesses by enabling them to assert their rights throughout the Union, notably by fostering access to justice,
- improving the contacts, exchange of information and networking between legal, judicial and administrative authorities and the legal professions, including by way of support for judicial training, with the aim of better mutual understanding among such authorities and professionals.

In particular, this appropriation is intended to cover the following actions:

- specific actions taken by the Commission, such as studies and research, opinion polls and surveys, formulation of indicators and common methodologies, collection, development and dissemination of data and statistics, seminars, conferences and expert meetings, organisation of public campaigns and events, development and maintenance of websites, preparation and dissemination of information material, support for and management of networks of national experts, analytical, monitoring and evaluation activities,
- specific transnational projects of Union interest presented by at least three Member States under the conditions set out in the annual work programmes,
- support to the activities of non-governmental organisations or other entities pursuing an aim of general European interest in accordance with the general objectives of the programme under the conditions set out in the annual work programmes,
- operating grants to co-finance expenditure associated with the permanent work programme of the European Network of Councils for the Judiciary and the Network of the Presidents of the Supreme Judicial Courts of the European Union, in so far as it is incurred in pursuing an objective of general European interest by promoting exchanges of views and experience on matters concerning case-law, and the organisation and functioning of their members in the performance of their judicial and/or advisory functions with regard to Union law,
- measures supporting the creation of an online network of registers of wills for those Member States which have, or wish to have,

a register of wills.

Legal basis

Council Decision 2001/470/EC of 28 May 2001 establishing a European Judicial Network in civil and commercial matters (OJ L 174, 27.6.2001, p. 25).

Decision No 1149/2007/EC of the European Parliament and of the Council of 25 September 2007 establishing for the period 2007-2013 the Specific Programme 'Civil Justice' as part of the General Programme 'Fundamental Rights and Justice' (OJ L 257, 3.10.2007, p. 16).

Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

Article 33 03 06 — Pilot project — Impact assessment of legislative measures in contract law

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	400 000	0,—	0,—

Remarks

This appropriation is intended to fund the following measures:

- conducting a legal and economic impact assessment of legislative measures in contract law,
- examining and developing the Common Frame of Reference (CFR) on the basis of the Draft Common Frame of Reference as well as other academic works in the field of European contract law.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 33 03 07 — Pilot project — Rapid and efficient enforcement of outstanding claims by small and medium-sized enterprises (SMEs) operating across borders

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	750 000	2 000 000	1 500 000	726 174,19	0,—

Remarks

This appropriation is intended to improve the financial situation of SMEs that operate in their home Member State and across borders by supporting them in managing and enforcing outstanding claims. SMEs will be helped to optimise their business procedures by improving information access and dissemination in the area of credit and claims management instruments and options. The aim is also to improve the use, understanding and awareness of the legal instruments available. In this pilot project, multilingual practice-based guidelines will introduce the subject and methodology of credit and claims management and explain the application of existing legal instruments to the national and cross-border enforcement of claims (Regulation (EC) No 1896/2006 of the European Parliament and of the Council of 12 December 2006 creating a European order for payment procedure (OJ L 399, 30.12.2006, p. 1), Regulation (EC) No 861/2007 of the European Parliament and of the Council of 11 July 2007 establishing a European Small Claims Procedure (OJ L 199, 31.7.2007, p. 1), Regulation (EC) No 805/2004 of the European Parliament and of the Council of 21 April 2004 creating a European Enforcement Order for uncontested claims (OJ L 143, 30.4.2004, p. 15), Council Regulation (EC) No 44/2001 of 22 December 2000 on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters (OJ L 12, 16.1.2001, p. 1), etc.). In addition, events will be arranged in a decentralised way throughout Europe, e.g. via the networks of chambers of commerce and industry, organisations representing SMEs or other facilities engaged in business promotion, to provide

SMEs with information on credit and claims management and the available legal instruments available.

In 2012, events aiming to provide SMEs with information on credit and claims management and the legal instruments available will be continued and extended. There will also be events targeting students of professional training programmes and teaching modules will be developed whose content can be integrated and used in vocational advanced training and advanced training of young entrepreneurs. Furthermore, there will be awareness-raising activities to draw attention to information on credit and claims management and to familiarise SMEs with national and Union legal instruments and the possibilities offered by the e-Justice portal. This is supported by the dissemination of the multilingual practice-based guidelines that introduce the subject and methodology of credit and claims management and explain the application of existing legal instruments to the enforcement of claims. Moreover, this pilot project is extended to SMEs that operate in their home Member State.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 33 03 08 — Pilot project — European judicial training

Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	750 000	1 500 000	750 000	

Remarks

A specific pilot project on judicial training can help fulfil the goal of building a European judicial culture, as expressed in the Stockholm Programme and in several resolutions adopted by the European Parliament in 2009/2010. The resources of the pilot project will contribute to:

- identifying best practices in training of judges, prosecutors and professionals of justice in national legal systems and traditions as well as in Union law,
- identifying best practices to bring training in Union law as well as in national legal systems and traditions to judges, prosecutors and professionals of justice at local level and to promote the dialogue and coordination between Union judges and prosecutors,
- promoting exchanges of these best practices between the Union judicial training providers and disseminating them across the Union,
- improving cooperation between the European Judicial Training Network and the national judicial training institutions with judicial training providers such as the Academy of European Law and with the European level professional organisations such as the European Network of the Councils for the Judiciary, the Network of the Presidents of the Supreme Judicial Courts, the Association of the Councils of State and Supreme Administrative Jurisdictions and the Eurojustice network of European Prosecutors-General.

The pilot project could be coordinated by a minimum of three national judicial schools in cooperation with at least one European school of judicial training.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 33 04 — DRUGS PREVENTION AND INFORMATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payment s 2011/20 13
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments	
33 04 33 04 01	Drugs prevention and information Drugs prevention and information	3.1	3 000 000	2 830 016	3 000 000	2 830 016	4 095 200,00	2 668 162,77	94,28 %
	Chapter 33 04 — Total		3 000 000	2 830 016	3 000 000	2 830 016	4 095 200,00	2 668 162,77	94,28 %

Article 33 04 01 — Drugs prevention and information

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 000 000	2 830 016	3 000 000	2 830 016	4 095 200,00	2 668 162,77

Remarks

This appropriation is intended to support the following areas:

- to prevent and reduce drug use, dependence and drug-related harm,
- to contribute to the improvement of information on drug use,
- to support the implementation of the Union drugs strategy.

In particular, this appropriation is intended to cover the following actions:

- specific actions taken by the Commission, such as studies and research, opinion polls and surveys, formulation of indicators and common methodologies, collection, development and dissemination of data and statistics, seminars, conferences and experts meetings, organisation of public campaigns and events, development and maintenance of websites, preparation and dissemination of information materials, support to and animation of networks of national experts, analytical, monitoring and evaluation activities, or
- specific transnational projects of Union interest presented by at least two Member States, or at least one Member State and one other State which may either be an acceding or a candidate country under the conditions set out in the annual work programme, or
- support to the activities of non-governmental organisations or other entities pursuing an aim of general European interest regarding the general objectives of the programme under the conditions set out in the annual work programmes, or
- drug prevention and harm-reduction initiatives, and strategic activities to fight drug-related dependency.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1150/2007/EC of the European Parliament and of the Council of 25 September 2007 establishing for the period 2007-2013 the Specific Programme 'Drugs prevention and information' as part of the General Programme 'Fundamental Rights and Justice' (OJ L 257, 3.10.2007, p. 23).

Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005, establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

CHAPTER 33 05 — POLICY STRATEGY AND COORDINATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
33 05	Policy strategy and coordination								
33 05 01	<i>Prince — Area of freedom, security and justice</i>	3.1	2 900 000	2 400 000	2 800 000	2 377 214	2 700 000,00	1 488 053,59	62,00 %
33 05 02	<i>Evaluation and impact assessment</i>	3.1	600 000	689 160	600 000	377 335	487 025,00	468 740,19	68,02 %
Chapter 33 05 — Total			3 500 000	3 089 160	3 400 000	2 754 549	3 187 025,00	1 956 793,78	63,34 %

Article 33 05 01 — Prince — Area of freedom, security and justice

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 900 000	2 400 000	2 800 000	2 377 214	2 700 000,00	1 488 053,59

Remarks

This appropriation is intended to cover the funding of priority information measures in the field of justice.

It covers information and communication measures in the field of justice in relation to the establishment of an area of freedom, security and justice (internal web sites, public events, communication products, Eurobarometer surveys, etc.). These measures are designed to be an effective channel of communication and dialogue between the people of the Union, stakeholders, and the Union institutions and take account of specific national, regional and local characteristics, in close cooperation with the Member State authorities.

The Commission has adopted a series of communications to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on a new framework for cooperation in activities concerning the information and communication policy of the European Union (COM(2001) 354 final and COM(2002) 350 final). These communications propose an interinstitutional framework for cooperation extended to Member States for the development of a communication and information policy strategy for the Union.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of Union information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years on the basis of information provided by the Commission.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of the Financial Regulation.

Article 33 05 02 — Evaluation and impact assessment

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
600 000	689 160	600 000	377 335	487 025,00	468 740,19

Remarks

This appropriation is intended to cover expenditure:

- to extend evaluation to all activities (policies and legislation),

- to better integrate evaluation in strategic planning and programming,
- to complete the methodological work needed to develop policy evaluation,
- to apply the policy evaluation framework to all of the major policy areas covered by Tampere,
- to prepare for the implementation of pilot projects and preparatory actions.

Legal basis

Task resulting from the Commission's administrative autonomy, as provided for in Article 49(6) of the Financial Regulation.

CHAPTER 33 06 — EQUALITY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
33 06	Equality								
33 06 01	<i>Anti-discrimination and diversity</i>	1.1	22 283 000	17 730 623	21 000 000	17 237 770	20 735 593,26	16 853 406,57	95,05 %
33 06 02	<i>Gender equality</i>	1.1	12 938 000	10 681 785	12 458 000	9 072 511	12 102 379,62	9 653 728,11	90,38 %
33 06 03	<i>European Institute for Gender Equality</i>								
33 06 03 01	European Institute for Gender Equality — Contribution to Titles 1 and 2	1.1	2 885 800	2 885 800	2 318 277	2 318 277	3 390 000,00	3 390 000,00	117,47 %
33 06 03 02	European Institute for Gender Equality — Contribution to Title 3	1.1	3 436 568	3 436 568	3 582 523	3 582 523	4 140 000,00	4 140 000,00	120,47 %
	<i>Article 33 06 03 — Subtotal</i>		6 322 368	6 322 368	5 900 800	5 900 800	7 530 000,00	7 530 000,00	119,10 %
33 06 04	<i>European Year of Equal Opportunities for All in 2007</i>	1.1	—	—	—	—	0,—	0,—	
33 06 05	<i>Completion of previous programmes</i>	1.1	—	p.m.	—	453 626	0,—	267 110,76	
33 06 06	<i>Support for the running costs of the Platform of European Social Non-Governmental Organisations</i>	3.2	—	—	p.m.	p.m.	0,—	0,—	
33 06 07	<i>Pilot project — Employment of people on the autistic spectrum</i>	1.1	—	p.m.	—	300 000	0,—	463 674,43	
	Chapter 33 06 — Total		41 543 368	34 734 776	39 358 800	32 964 707	40 367 972,88	34 767 919,87	100,10 %

Article 33 06 01 — Anti-discrimination and diversity

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 283 000	17 730 623	21 000 000	17 237 770	20 735 593,26	16 853 406,57

Remarks

This appropriation is intended to support the effective implementation of the principle of non-discrimination and promote its mainstreaming in all Union policies by:

- improving the understanding of the situation in relation to discrimination in relation to all vulnerable groups, in particular through analyses and studies and, where appropriate, the development of statistics and indicators as well as assessing the effectiveness and impact of existing legislation, policies and practices,
- supporting the implementation of Union anti-discrimination legislation through effective monitoring, holding seminars for those

working in the field and networking amongst specialised bodies dealing with anti-discrimination as well as public information campaigns on the Union anti-discrimination Directives 2000/43/EC, 2000/78/EC and 2004/113/EC,

- raising awareness, disseminating information and promoting the debate about the key challenges and policy issues in relation to discrimination and the mainstreaming of anti-discrimination in all Union policies, including among non-governmental organisations in the field of anti-discrimination, regional and local actors, social partners and other stakeholders,
- developing the capacity of key Union networks to promote and further develop Union policy goals and strategies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement concerning Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1), a sufficient unallocated margin under the expenditure ceiling of subheading 1a has been made available whereby the budgetary authority, i.e. the European Parliament and the Council, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Article 33 06 02 — Gender equality

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 938 000	10 681 785	12 458 000	9 072 511	12 102 379,62	9 653 728,11

Remarks

This appropriation is intended to support the effective implementation of the principle of gender equality and promote gender mainstreaming in all Union policies by:

- improving the understanding of the situation in relation to gender issues and gender mainstreaming, in particular through analyses and studies, exchanges of good practice and the development of statistics and, where appropriate, indicators, with a view inter alia to boosting work to promote equality, the aim being to promote pay equality between men and women, as well as assessing the effectiveness and impact of existing legislation, policies and practices,
- supporting the implementation of Union gender equality legislation through effective monitoring, holding seminars for those working in the field and networking amongst specialised equality bodies,
- raising awareness, disseminating information, strengthening communication and promoting the debate on the key challenges and policy issues in relation to gender equality, including the importance of reconciling work and family life (e.g. for informal carers), and gender mainstreaming in policies and gender budgeting as a good governance tool to improve efficiency and fairness,
- developing the capacity of key Union networks to support and further develop Union policy goals and strategies on gender equality.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to

implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement to Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1), a sufficient unallocated margin under the expenditure ceiling of subheading 1a has been made available whereby the budgetary authority, i.e. the European Parliament and the Council, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Article 33 06 03 — European Institute for Gender Equality

Item 33 06 03 01 — European Institute for Gender Equality — Contribution to Titles 1 and 2

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 885 800	2 885 800	2 318 277	2 318 277	3 390 000,00	3 390 000,00

Remarks

This appropriation is intended to cover the Institute's staff and administrative expenditure (Titles 1 and 2).

The Institute must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Institute's establishment plan is set out in the Part entitled 'Establishment plan staff' of the Section III — Commission (Volume 3).

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue. In accordance with Decision 2006/996/EC taken by common agreement between the Representatives of the Governments of Member States of 11 December 2006 on the location of the seat of the European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 61), the Institute has its seat in Vilnius.

Legal basis

Regulation (EC) No 1922/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 9).

Item 33 06 03 02 — European Institute for Gender Equality — Contribution to Title 3

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 436 568	3 436 568	3 582 523	3 582 523	4 140 000,00	4 140 000,00

Remarks

This appropriation is intended to cover the Institute's operational expenditure (Title 3)

The Institute must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union contribution for 2013 amounts to a total of EUR 7 478 368. An amount of EUR 1 156 000 coming from the recovery of surplus is added to the amount of EUR 6 322 638 entered in the budget.

Legal basis

Regulation (EC) No 1922/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 9).

Article 33 06 04 — European Year of Equal Opportunities for All in 2007

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

The European Year of Equal Opportunities for All supported measures which were aimed at raising awareness of the need to work towards a more cohesive society that celebrates differences and respects the substantial *acquis* of the Union in equality and non-discrimination and at stimulating debate and dialogue on questions which are central to achieving a just society.

In accordance with Decision No 771/2006/EC, this article was intended to support the national activities that were put in place by the Member States in line with their European Year's national strategy and priorities and to cover the costs related to the organisation of the closing Union conference by the incumbent Presidency. Part of this appropriation will also cover the costs related to the organisation of a Eurobarometer survey designed to measure trends and progress achieved by the European Year.

Legal basis

Decision No 771/2006/EC of the European Parliament and of the Council of 17 May 2006 establishing the European Year of Equal Opportunities for All (2007) — towards a just society (OJ L 146, 31.5.2006, p. 1).

Article 33 06 05 — Completion of previous programmes

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	453 626	0,—	267 110,76

Remarks

This appropriation is intended to cover the funding of commitments remaining to be settled from past years in respect of the former articles and items.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The candidate countries may make use of the Phare pre-accession instrument to cover the expenditure resulting from their participation in the programmes.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision of 9 July 1957 concerning the terms of reference and rules of procedure of the Mines Safety and Health Commission (OJ 28, 31.8.1957, p. 487).

Council Decision 74/325/EEC of 27 June 1974 on the setting up of an Advisory Committee on Safety, Hygiene and Health Protection at Work (OJ L 185, 9.7.1974, p. 15).

Council Decision 74/326/EEC of 27 June 1974 on the extension of the responsibilities of the Mines Safety and Health Commission to all mineral-extracting industries (OJ L 185, 9.7.1974, p. 18).

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Council Decision 98/171/EC of 23 February 1998 on Community activities concerning analysis, research and cooperation in the field of employment and the labour market (OJ L 63, 4.3.1998, p. 26).

Council Decision 2000/750/EC of 27 November 2000 establishing a Community action programme to combat discrimination (2001 to 2006) (OJ L 303, 2.12.2000, p. 23).

Decision No 50/2002/EC of the European Parliament and of the Council of 7 December 2001 establishing a programme of Community action to encourage cooperation between Member States to combat social exclusion (OJ L 10, 12.1.2002, p. 1).

Decision No 1145/2002/EC of the European Parliament and of the Council of 10 June 2002 on Community incentive measures in the field of employment (OJ L 170, 29.6.2002, p. 1).

Council Decision of 22 July 2003 setting up an Advisory Committee on Safety and Health at Work (OJ C 218, 13.9.2003, p. 1).

Decision No 1554/2005/EC of the European Parliament and of the Council of 7 September 2005 amending Council Decision 2001/51/EC establishing a Programme relating to the Community framework strategy on gender equality and Decision No 848/2004/EC establishing a Community action programme to promote organisations active at European level in the field of equality between men and women (OJ L 255, 30.9.2005, p. 9).

Reference acts

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Community under Articles 136, 137 and 140 (Treaty on the Functioning of the European Union under Articles 151, 152 and 156).

Article 33 06 06 — Support for the running costs of the Platform of European Social Non-Governmental Organisations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the running costs of the Platform of European Social Non-Governmental Organisations (NGOs).

The Social Platform shall facilitate participatory democracy in the Union by promoting the consistent involvement of social non-

governmental organisations within a structured civil dialogue with the Union institutions. It shall also provide added value to the Union social policy-making process and strengthen civil society within new Member States.

Legal basis

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme 'Europe for Citizens' to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).

Article 33 06 07 — Pilot project — Employment of people on the autistic spectrum

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	300 000	0,—	463 674,43

Remarks

The objective of the pilot project is to fund initiatives which help develop policies for employment and social integration of people suffering from autism. The pilot project should therefore support innovative and integrated projects, addressing multiple disadvantages faced by people on the autistic spectrum, while taking account of the strengths they offer, and promoting their inclusion in the labour market and social and economic activities.

Measures to be financed will focus on:

- gaining better understanding of autism and of challenges and obstacles faced by people suffering from autism in entering the labour market,
- assessing what tangible labour market measures ought to be taken in order to prevent unemployment and raise the employment levels of people suffering from autism (according to some sources, 62 % of adults with autism do not have any work at all, while others show that only 6 % of adults on the autistic spectrum have full-time paid employment),
- identifying existing policy approaches in the Member States, and mapping the types of measures aimed at developing employment opportunities for people with autism and helping them access and sustain employment,
- assessing the effectiveness of the measures undertaken,
- promoting exchange of best practices.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

TITLE 40 — RESERVES

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 01	Reserves for administrative expenditure	5	2 900 000	2 900 000	3 500 000	3 500 000		
40 02	Reserves for financial interventions		933 156 985	231 941 985	905 253 025	238 935 997	0,—	0,—
40 03	Negative reserve		p.m.	p.m.	p.m.	p.m.	0,—	0,—
	Title 40 — Total		936 056 985	234 841 985	908 753 025	242 435 997	0,—	0,—

CHAPTER 40 01 — RESERVES FOR ADMINISTRATIVE EXPENDITURE

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
40 01	Reserves for administrative expenditure					
40 01 40	<i>Administrative reserve</i>	5	2 900 000	3 500 000		
40 01 42	<i>Contingency reserve</i>		p.m.	p.m.		
Chapter 40 01 — Total				2 900 000	3 500 000	

Article 40 01 40 — Administrative reserve

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 900 000	3 500 000	

Remarks

The appropriations entered in this article are purely provisional and may only be used after their transfer to other budget headings in accordance with the Financial Regulation.

1.	Item	29 01 04 05	European statistical programme 2013-2017 — Expenditure on administrative management	2 900 000
Total				2 900 000

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 40 01 42 — Contingency reserve

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	

CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
40 02	Reserves for financial interventions								
40 02 40	<i>Non-differentiated appropriations</i>	4	p.m.	p.m.	p.m.	p.m.			
40 02 41	<i>Differentiated appropriations</i>		169 041 985	121 941 985	146 316 025	148 935 997			
40 02 42	<i>Emergency aid reserve</i>		264 115 000	110 000 000	258 937 000	90 000 000	0,—	0,—	
40 02 43	<i>Reserve for the European Globalisation Adjustment Fund</i>	1.1	500 000 000	p.m.	500 000 000	p.m.	0,—	0,—	
Chapter 40 02 — Total			933 156 985	231 941 985	905 253 025	238 935 997	0,—	0,—	

Article 40 02 40 — Non-differentiated appropriations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
	p.m.		p.m.		

Remarks

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consonant with sound financial management, the appropriations entered in the headings concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 24 of the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 40 02 41 — Differentiated appropriations

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments		
169 041 985	121 941 985	146 316 025	148 935 997		

Remarks

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the headings concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 24 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

1.	Item	02 03 04 02	Support to organisations representing SMEs and societal stakeholders in standardisation activities	3 700 000	700 000
2.	Item	09 02 03 01	European Network and Information Security Agency — Contribution to Titles 1 and 2	391 985	391 985
3.	Article	11 03 01	International fisheries agreements	115 220 000	115 220 000
4.	Article	18 02 07	Schengen evaluation	730 000	730 000
5.	Article	29 02 05	European Statistical Programme 2013-2017	49 000 000	4 900 000
				Total	169 041 985 121 941 985

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 40 02 42 — Emergency aid reserve

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
264 115 000	110 000 000	258 937 000	90 000 000	0,—	0,—

Remarks

The aim of this reserve, in accordance with point 25 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and

sound financial management, is to allow a rapid response to the specific aid requirements of third countries following events which could not be foreseen when the budget was established, first and foremost for humanitarian operations, but also for civil crisis management and protection where circumstances so require. The annual amount of this reserve is fixed at EUR 221 000 000 for the duration of the financial framework, in constant prices.

This reserve is entered in the general budget of the European Union as a provision. The corresponding commitment appropriations will be entered in the budget, if necessary, over and above the ceilings.

When the Commission considers that this reserve needs to be called on, it will present to the two arms of the budgetary authority a proposal for a transfer from this reserve to the relevant budget lines.

At the same time as it presents its proposal for a transfer, the Commission will initiate a triologue procedure, if necessary in a simplified form, to secure agreement of the two arms of the budgetary authority on the need to use this reserve and on the amount required.

Reference acts

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Article 40 02 43 — Reserve for the European Globalisation Adjustment Fund

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000 000	p.m.	500 000 000	p.m.	0,—	0,—

Remarks

The aim of this reserve, in accordance with point 28 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management, is to provide additional support for workers who suffer from the consequences of major structural changes in world trade patterns and to assist them with their reintegration into the labour market.

The methods for entering the appropriations in this reserve and for mobilising the Fund are laid down in point 28 of the abovementioned Interinstitutional Agreement and in Article 12 of Regulation (EC) No 1927/2006.

Legal basis

Regulation (EC) No 1927/2006 of the European Parliament and of the Council of 20 December 2006 on establishing the European Globalisation Adjustment Fund (OJ L 406, 30.12.2006, p. 1).

Reference acts

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

CHAPTER 40 03 — NEGATIVE RESERVE

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011		Payments 2011/2013
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
40 03	Negative reserve								
40 03 01	Negative reserve (Heading 3b — Citizenship)	3.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
40 03 02	Negative reserve (Heading 4 — EU as a global player)	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Chapter 40 03 — Total		p.m.	p.m.	p.m.	p.m.	0,—	0,—	

Article 40 03 01 — Negative reserve (Heading 3b — Citizenship)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

The principle of a negative reserve is provided for in Article 44 of the Financial Regulation. This reserve must be drawn upon before the end of the financial year by means of transfer in accordance with the procedure laid down in Articles 23 and 24 of the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 40 03 02 — Negative reserve (Heading 4 — EU as a global player)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

The principle of a negative reserve is provided for in Article 44 of the Financial Regulation. This reserve must be drawn upon before the end of the financial year by means of transfer in accordance with the procedure laid down in Articles 23 and 24 of the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

1. S — STAFF

1.1. S 01 — Commission

1.1.1. S 01 01 — Administration

Administration

Function group and grade ^{6,7}	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	24		24	

6 The establishment plan includes, pursuant to Article 53 of the Treaty establishing the European Atomic Energy Community, the following permanent posts for the Supply Agency: one *ad personam* AD 15 for the Director-General of the Agency, two AD 14 (of which one for the Deputy Director-General of the Agency), three AD 12, one AD 11, two AD 10, one AST 10, two AST 8, one AST 7, nine AST 6, one AST 5 and two AST 3.

7 The establishment plan accepts the following *ad personam* appointments: up to 25 AD 15 may become AD 16; up to 21 AD 14 may become AD 15; up to 13 AD 11 may become AD 14 and one AST 8 may become AST 10.

AD 15	190	22	190	22
AD 14	580	31	542	31
AD 13	1 969		1 844	
AD 12	1 329	53	1 648	53
AD 11	634	62	442	62
AD 10	1 012	11	1 166	11
AD 9	814		514	
AD 8	970	2	888	2
AD 7	1 072		1 025	
AD 6	1 245		1 144	
AD 5	1 363	20	1 575	
AD total	11 202	201	11 002	181
AST 11	172		181	
AST 10	240	20	208	20
AST 9	529		612	
AST 8	539	12	599	12
AST 7	1 003	28	1 018	28
AST 6	802	19	1 014	39
AST 5	1 125	42	1 228	42
AST 4	929	20	647	20
AST 3	1 159	9	1 121	9
AST 2	511	13	495	13
AST 1	695		801	
AST total	7 704	163	7 924	183
Grand total	18 906	364	18 926	364
Total staff	19 270		19 290	

1.1.2. S 01 02 — Research and technological development — Joint Research Centre

Research and technological development — Joint Research Centre

Function group and grade	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16 ⁸	2		2	
AD 15	10		10	
AD 14	75		45	
AD 13	223		223	
AD 12	202		222	
AD 11	39		21	
AD 10	52		54	
AD 9	60		50	
AD 8	62		86	
AD 7	93		93	
AD 6	73		53	
AD 5	21		53	
AD total	912		912	
AST 11	46		35	
AST 10	71		66	
AST 9	129		129	
AST 8	117		117	
AST 7	126		127	
AST 6	98		94	
AST 5	127		127	
AST 4	90		91	
AST 3	131		155	

8 Of which one official benefiting from the advantages provided for in Article 93 of the Staff Regulations.

AST 2	45		45	
AST 1	24		58	
AST total	1 004		1 044	
Grand total	1 916		1 956	
Total staff	1 916		1 956	

1.1.3. S 01 03 — Research and technological development — Indirect action

Research and technological development — Indirect action

Function group and grade	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	1		1	
AD 15	19		19	
AD 14	88		74	
AD 13	291		250	
AD 12	178		279	
AD 11	45		45	
AD 10	61		61	
AD 9	60		41	
AD 8	88		88	
AD 7	73		54	
AD 6	114		114	
AD 5	88		79	
AD total	1 106		1 105	
AST 11	12		12	
AST 10	18		11	
AST 9	41		42	
AST 8	70		79	
AST 7	80		83	
AST 6	85		92	
AST 5	114		105	
AST 4	142		163	
AST 3	115		115	
AST 2	39		29	
AST 1	35		35	
AST total	751		766	
Grand total	1 857		1 871	
Total staff⁹	1 857		1 871	

1.2. S 02 — Offices

1.2.1. S 02 01 — Publications Office (OP)

Function group and grade	Publications Office			
	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	1		1	
AD 15	3		3	
AD 14	8		8	
AD 13	9		1	
AD 12	16		16	

⁹The establishment plan accepts the following *ad personam* appointments: two AD 15 become AD 16; one AD 14 becomes AD 15.

AD 11	9		9	
AD 10	9		8	
AD 9	13		5	
AD 8	13		19	
AD 7	13		4	
AD 6	11		7	
AD 5	16		29	
AD total	121		110	
AST 11	19		10	
AST 10	28		37	
AST 9	46		24	
AST 8	41		44	
AST 7	42		51	
AST 6	77		79	
AST 5	114		72	
AST 4	94		120	
AST 3	69		91	
AST 2	16		32	
AST 1	2		2	
AST total	548		562	
Grand total	669		672	
Total staff	669		672	

1.2.2. S 02 02 — European Anti-Fraud Office (OLAF)

Function group and grade	European Anti-Fraud Office (OLAF)			
	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	1		1	
AD 15	2	1	2	1
AD 14	7		5	
AD 13	20		11	
AD 12	20	18	20	18
AD 11	18		18	
AD 10	22	1	29	1
AD 9	15	17	9	19
AD 8	17	1	17	3
AD 7	12		10	
AD 6	13		14	
AD 5	10		18	
AD total	157	38	154	42
AST 11	5	5	4	5
AST 10	9	11	5	11
AST 9	14	3	14	3
AST 8	13	14	16	14
AST 7	13	1	10	1
AST 6	12	3	14	4
AST 5	18		18	1
AST 4	23		19	
AST 3	23		18	
AST 2	13		23	
AST 1	3		8	
AST total	146	37	149	39
Grand total	303	75	303	81
Total staff	378		384	

1.2.3. S 02 03 — European Personnel Selection Office (EPSO)

Function group and grade	European Personnel Selection Office			
	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16				
AD 15		1		1
AD 14	1		1	
AD 13	5	1	3	1
AD 12	5		6	
AD 11	3		2	
AD 10	2		2	
AD 9	2		4	
AD 8	3	1	2	1
AD 7	1		1	
AD 6			2	
AD 5	5		1	
AD total	27	3	24	3
AST 11	2		1	
AST 10	4		3	
AST 9	7		8	
AST 8	9		5	
AST 7	9		10	
AST 6	14		7	
AST 5	17		19	
AST 4	7		16	
AST 3	14		7	
AST 2	8		12	
AST 1	4		10	
AST total	95		98	
Grand total	122	3	122	3
Total staff	125¹⁰		125¹¹	

1.2.4. S 02 04 — Office for the Administration and Payment of Individual Entitlements (PMO)

Function group and grade	Office for the Administration and Payment of Individual Entitlements			
	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16				
AD 15	1		1	
AD 14	4		4	
AD 13	7		1	
AD 12	7		11	
AD 11	3		4	
AD 10	2		3	
AD 9	2		2	
AD 8	1		1	
AD 7	2		2	
AD 6	2		2	
AD 5	2		1	
AD total	33		32	
AST 11	3		3	

10 Of which permanent posts in the EUSA: three AD 12, one AD 11, two AD 8, one AST 10, two AST 9, one AST 8, one AST 7, one AST 5, one AST 4 and two AST 3.

11 Of which permanent posts in the EUSA: three AD 12, one AD 11, two AD 8, one AST 10, two AST 9, one AST 8, one AST 7, one AST 5, one AST 4 and two AST 3.

AST 10	18		18	
AST 9	3		3	
AST 8	22		22	
AST 7	15		15	
AST 6	46		46	
AST 5	30		30	
AST 4	7		7	
AST 3	4		9	
AST 2	1		3	
AST 1				
AST total	149		156	
Grand total	182		188	
Total staff	182		188	

1.2.5. S 02 05 — Office for Infrastructure and Logistics in Brussels (OIB)

Function group and grade	Office for Infrastructure and Logistics in Brussels			
	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16				
AD 15	1		1	
AD 14	8		8	
AD 13	8		2	
AD 12	12		12	
AD 11	5		11	
AD 10	4		4	
AD 9	8		7	
AD 8	4		5	
AD 7	3		3	
AD 6	5		3	
AD 5	18		15	
AD total	76		71	
AST 11	7		4	
AST 10	10		13	
AST 9	14		9	
AST 8	17		7	
AST 7	53		30	
AST 6	50		53	
AST 5	82		76	
AST 4	59		123	
AST 3	35		39	
AST 2			1	
AST 1				
AST total	327		355	
Grand total	403		426	
Total staff	403		426	

1.2.6. S 02 06 — Office for Infrastructure and Logistics in Luxembourg (OIL)

Function group and grade	Office for Infrastructure and Logistics in Luxembourg			
	2013		2012	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16				
AD 15	1		1	
AD 14	3		3	
AD 13	4		4	

AD 12	5		5	
AD 11	4		4	
AD 10	4		4	
AD 9				
AD 8				
AD 7	1		1	
AD 6	3		3	
AD 5	4		4	
AD total	29		29	
AST 11	2		2	
AST 10	6		6	
AST 9				
AST 8	7		7	
AST 7	10		4	
AST 6	16		14	
AST 5	26		30	
AST 4	32		38	
AST 3	15		22	
AST 2	1		1	
AST 1				
AST total	115		124	
Grand total	144		153	
Total staff	144		153	

1.3. S 03 — Bodies set up by the European Union and having legal personality

1.3.1. S 03 01 — Decentralised agencies

1.3.1.1. S 03 01 02 — Decentralised agencies — Enterprise

1.3.1.1.1. S 03 01 02 01 — European Chemicals Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		1		1		1
AD 13		12		6		9
AD 12		24		17		22
AD 11		30		17		26
AD 10		34		26		28
AD 9		54		31		36
AD 8		64		34		47
AD 7		51		60		51
AD 6		72		45		50
AD 5		7		65		52
AD total		350		303		323
AST 11						
AST 10		1				1

AST 9		6		2		5
AST 8		7		3		7
AST 7		18		5		12
AST 6		23		8		19
AST 5		33		20		22
AST 4		36		25		29
AST 3		14		43		25
AST 2		14		11		15
AST 1		1		27		12
AST total		153		144		147
Grand total		503		447		470
Total staff	503		447		470	

1.3.1.1.2. S 03 01 02 02 — European GNSS Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12		1		1		
AD 11		3		1		3
AD 10		2		1		3
AD 9		6		6		4
AD 8		5		2		6
AD 7		23		8		17
AD 6		8		2		4
AD 5		3		2		1
AD total		52		24		39
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5		2		1		2
AST 4		1		1		1
AST 3		1		1		2
AST 2		1		1		
AST 1						
AST total		5		4		5
Grand total		57		28		44
Total staff	57		28		44	

1.3.1.2. S 03 01 04 — Decentralised agencies — Employment and social affairs

1.3.1.2.1. S 03 01 04 01 — European Foundation for the Improvement of Living and Working Conditions

Function group and grade	Posts
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	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15				1		
AD 14		1		1		2
AD 13		3		2		2
AD 12	1	3	1	2	1	5
AD 11	2	5	1	6	1	5
AD 10	1	4	1	3	2	4
AD 9		3	1	2		3
AD 8	1	4		2	1	5
AD 7	2	5	1	6	2	7
AD 6	1	6	1	2	1	4
AD 5		8		13		5
AD total	8	42	6	40	8	42
AST 11						
AST 10		2				2
AST 9		5		5		4
AST 8		5		2		4
AST 7		7		6		7
AST 6	2	6		10	1	8
AST 5	3	5	3	3	2	4
AST 4	4	5	3	5	4	6
AST 3		3	2	4	2	4
AST 2		1		1		1
AST 1	2	1	2	4	2	
AST total	11	40	10	40	11	40
Grand total	19	82	16	80	19	82
Total staff	101		96		101	

1.3.1.2.2. S 03 01 04 02 — European Agency for Safety and Health at Work

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
Permanent	Temporary	Permanent	Temporary	Permanent	Temporary	
AD 16						
AD 15						
AD 14		1		1		1
AD 13		1		1		1
AD 12		1		1		1
AD 11		1		1		1
AD 10		3		2		3
AD 9		1		1		1
AD 8		5		1		3
AD 7		5		6		6
AD 6		6		8		7
AD 5						
AD total		24		22		24
AST 11						
AST 10						
AST 9		1		1		1
AST 8						
AST 7		1		1		1

AST 6		1				1
AST 5		1		2		1
AST 4		6		6		5
AST 3		6		5		7
AST 2		3		1		2
AST 1		1		3		2
AST total		20		19		20
Grand total		44		41		44
Total staff		44		41		44

1.3.1.3. S 03 01 06 — Decentralised Agencies — Transport

1.3.1.3.1. S 03 01 06 01 — European Aviation Safety Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15			2	2		2
AD 14			14	5		11
AD 13			21	7		19
AD 12			37	22		35
AD 11			60	14		53
AD 10			84	49		72
AD 9			107	80		102
AD 8			100	77		91
AD 7			75	95		65
AD 6			46	76		45
AD 5			5	16		4
AD total			551	443		499
AST 11						
AST 10						
AST 9						
AST 8			2			1
AST 7			8			6
AST 6			19	1		15
AST 5			34	8		31
AST 4			31	23		32
AST 3			23	53		27
AST 2			20	29		18
AST 1			4	16		5
AST total			141	130		135
Grand total			692	573		634
Total staff			692	573		634 (*)

(*) The number of posts financed by the Union is 229 posts in 2012 and 227 posts in 2013.

1.3.1.3.2. S 03 01 06 02 — European Maritime Safety Agency

Function group and grade	Posts		
	2013	2012	
	Authorised under the Union budget	Actually filled as at 31 December 2011	Authorised under the Union budget

	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		1				1
AD 13	1	3		2	1	3
AD 12	1	9		6	1	9
AD 11		11	2	1		11
AD 10	1	17		12	1	17
AD 9		25	1	17		25
AD 8	1	23		26	1	23
AD 7		24		27		24
AD 6		19		15		19
AD 5		6		25		9
AD total	4	142	3	131	4	142
AST 11						
AST 10		1				
AST 9				1		1
AST 8		1				1
AST 7		1				1
AST 6		3		2		3
AST 5		15	1	7		15
AST 4		20		16		20
AST 3		19		23		19
AST 2		7		9		7
AST 1				4		
AST total		67	1	62		67
Grand total	4	209	4	193	4	209
Total staff		213		197		213

1.3.1.3.3. S 03 01 06 03 — European Railway Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14				1		
AD 13						
AD 12						
AD 11		2		1		4
AD 10		13		8		12
AD 9		26		28		28
AD 8		22		19		16
AD 7		9				11
AD 6		30		36		27
AD 5				4		2
AD total		103		97		101
AST 11						
AST 10						
AST 9		2				1
AST 8		2		2		2
AST 7		1		1		2
AST 6		2		3		2
AST 5		5		6		5
AST 4		6		4		6

AST 3		8		7		8
AST 2		8		10		10
AST 1		6		10		7
AST total		40		43		43
Grand total		143		140		144
Total staff	143		140		144	

1.3.1.4. S 03 01 07 — Decentralised Agencies — Environment

1.3.1.4.1. S 03 01 07 01 — European Environment Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15			1	1		1
AD 14			2	2		2
AD 13			2			2
AD 12	1		9	10	1	9
AD 11			11	8		11
AD 10			9	7		9
AD 9			8	5		8
AD 8			8	7		8
AD 7			8	11		8
AD 6			8	10		6
AD 5						
AD total	1	66	1	61	1	64
AST 11				1		1
AST 10				2	1	3
AST 9	2			3	1	2
AST 8	1		3	4	1	8
AST 7				10		6
AST 6				6		10
AST 5				5		10
AST 4				12		10
AST 3				12		9
AST 2				5		4
AST 1				7		5
AST total	3	68	3	67	3	68
Grand total	4	134	4	128	4	132
Total staff	138		132		136	

1.3.1.4.2. S 03 01 07 02 — European Chemicals Agency — Activities in the field of biocides legislation

See establishment plan staff S 03 01 02 — European Chemicals Agency

1.3.1.4.3. S 03 01 07 03 — European Chemicals Agency — Activities in the field of Legislation on import and export of dangerous chemicals

See establishment plan staff S 03 01 02 — European Chemicals Agency

1.3.1.5. S 03 01 09 — Decentralised agencies — Information society and Media

1.3.1.5.1. S 03 01 09 01 — European Network and Information Security Agency

Function group and grade	Posts					
	2013		2012 ¹²			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14				1		
AD 13						
AD 12		3		1		3
AD 11				1		
AD 10		5		2		5
AD 9		9		6		8
AD 8		7		3		5
AD 7		6		8		9
AD 6				3		
AD 5				1		
AD total		31		26		31
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6		2				2
AST 5		6		3		6
AST 4		1		3		1
AST 3		2		4		2
AST 2		5		3		5
AST 1				2		
AST total		16		15		16
Grand total		47		41		47
Total staff		47		41		47

1.3.1.5.2. S 03 01 09 02 — Body of European Regulators for Electronic Communications (BEREC) — Office

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11						
AD 10						
AD 9		2		2		2

¹² Recruitment of two AD and one AST posts in 2012 is subject to the adoption of the new legal basis for ENISA (COM(2010)521). The same condition applies to 2013 if the legal basis is not adopted by the end of 2012.

AD 8					
AD 7		3		2	3
AD 6					
AD 5		5		3	5
AD total		11		8	11
AST 11					
AST 10					
AST 9					
AST 8					
AST 7					
AST 6					
AST 5					
AST 4					
AST 3		4		2	4
AST 2					
AST 1		1		1	1
AST total		5		3	5
Grand total		16		11	16
Total staff	16		11		16

1.3.1.6. S 03 01 11 — Decentralised agencies — Maritime affairs and Fisheries

1.3.1.6.1. S 03 01 11 01 — European Fisheries Control Agency (EFCA)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15			1			1
AD 14				1		
AD 13			2	1		2
AD 12			2	2		2
AD 11						
AD 10			3	1		3
AD 9			6	6		6
AD 8			5	2		5
AD 7			1	1		1
AD 6			1	1		1
AD 5				1		
AD total			21	16		21
AST 11				1		
AST 10			7	6		7
AST 9			3	3		3
AST 8			3	3		3
AST 7			8	7		8
AST 6			3	3		3
AST 5			6	6		6
AST 4						
AST 3			2	1		2
AST 2			1	2		1
AST 1						
AST total			33	32		33
Grand total			54	48		54

Total staff	54	52	54
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1.3.1.7. S 03 01 12 — Decentralised agencies — Internal market

1.3.1.7.1. S 03 01 12 01 — European Securities and Markets Authority

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		1		1		1
AD 13						1
AD 12		3		2		4
AD 11		5		1		4
AD 10		6		2		5
AD 9		12		1		5
AD 8		24		11		11
AD 7		24		11		11
AD 6		18		4		11
AD 5		12		7		9
AD total		106		41		63
AST 11						1
AST 10						1
AST 9		1				2
AST 8				1		1
AST 7						1
AST 6		1				
AST 5		2		2		
AST 4		6		1		1
AST 3		2		2		2
AST 2		3				1
AST 1				3		2
AST total		15		9		12
Grand total		121		50		75
Total staff		121		50		75

Out of the 121 posts for 2013, 15 posts financed by fees are conditional upon the presentation by the Commission of a revised agency financial statement by 1 June 2012 at the latest, in which the additional needs for human resources are justified, and by the subsequent approval thereof by a budgetary trilogue, in accordance with the procedure foreseen under point 47 of the Interinstitutional Agreement on budgetary discipline and sound financial management of 17 May 2006.

1.3.1.7.2. S 03 01 12 02 — European Banking Authority

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		1		1		1
AD 13		3		1		2

AD 12		6		2		4
AD 11		10		4		6
AD 10		10		5		7
AD 9		13		4		8
AD 8		16		7		10
AD 7		12		3		6
AD 6		7		5		7
AD 5		5		2		5
AD total		84		35		57
AST 11						
AST 10						1
AST 9						
AST 8						
AST 7						1
AST 6						
AST 5		1				2
AST 4		3		3		2
AST 3		2		1		2
AST 2		3				2
AST 1				1		1
AST total		9		5		11
Grand total		93		40		68
Total staff		93		40		68

1.3.1.7.3. S 03 01 12 03 — European Insurance and Occupational Pensions Authority

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15				1		1
AD 14				1		1
AD 13				1		2
AD 12				2		4
AD 11				3		7
AD 10				6		8
AD 9				3		8
AD 8				4		6
AD 7				8		8
AD 6				3		5
AD 5				5		7
AD total				37		57
AST 11						
AST 10						
AST 9						
AST 8						1
AST 7				1		1
AST 6				3		3
AST 5				1		2
AST 4						
AST 3						2
AST 2				4		3
AST 1						
AST total				9		12
Grand total				46		69

Total staff	80	46	69
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1.3.1.8. S 03 01 15 — Decentralised agencies — Education and culture

1.3.1.8.1. S 03 01 15 01 — European Centre for the Development of Vocational Training

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		1				1
AD 13		2		1		2
AD 12	6	3	2	3	6	4
AD 11	1	8	5	4	1	7
AD 10		9	1	4		9
AD 9		4		3		2
AD 8		3	4	3		2
AD 7		7	2	4		7
AD 6		5		6		7
AD 5		1	1	5		1
AD total	7	44	15	33	7	43
AST 11						
AST 10	2	1	1	1	3	1
AST 9		1				1
AST 8	2	2	1	1	3	
AST 7	1	6	3	2		7
AST 6	4	3	1	4	5	
AST 5	5	5	4	3	4	10
AST 4	1	11	6	7	1	11
AST 3		4	1	9		3
AST 2		1	1	4		2
AST 1						
AST total	15	34	18	31	16	35
Grand total	22	78	33	64	23	78
Total staff	100		97		101	

1.3.1.8.2. S 03 01 15 02 — European Training Foundation

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13		4				4
AD 12		10		5		9
AD 11		8		14		9
AD 10		3		1		4
AD 9		12		10		11
AD 8		6		6		4

AD 7		15		16		17
AD 6		3		1		
AD 5				1		2
AD total		62		55		61
AST 11						
AST 10		7				4
AST 9		3		6		6
AST 8		5		4		5
AST 7		7		8		6
AST 6		1		3		4
AST 5		6		3		3
AST 4		1		3		5
AST 3		4		5		2
AST 2				3		
AST 1						
AST total		34		35		35
Grand total		96		90		96
Total staff		96		90		96

1.3.1.9. S 03 01 17 — Decentralised agencies — Health and consumer protection

1.3.1.9.1. S 03 01 17 01 — European Centre for Disease Prevention and Control

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15			1			1
AD 14			4	2		3
AD 13			3			2
AD 12			6	4		5
AD 11			10	2		9
AD 10			18	4		15
AD 9			27	11		26
AD 8			18	37		22
AD 7			16	1		12
AD 6			22	8		24
AD 5			10	46		17
AD total			135	115		136
AST 11			1			
AST 10			1			1
AST 9			1			1
AST 8			4			3
AST 7			7	1		6
AST 6			13	4		11
AST 5			20	8		20
AST 4			11	32		15
AST 3			2			2
AST 2			3	4		3
AST 1				13		2
AST total			63	62		64
Grand total			198	177		200
Total staff			198	177		200

1.3.1.9.2. S 03 01 17 02 — European Food Safety Authority

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		2				2
AD 13		1		1		1
AD 12	1	14		4	1	14
AD 11		11		11		10
AD 10	1	12		8	1	8
AD 9	1	37		28	1	32
AD 8		47		45		41
AD 7	1	61	3	40	1	57
AD 6	1	23	1	40	1	25
AD 5		17	1	18		17
AD total	5	226	5	196	5	208
AST 11						
AST 10						
AST 9						
AST 8		2				1
AST 7		5		3		5
AST 6		7				5
AST 5		25		10		22
AST 4		34		43		38
AST 3		25		19		25
AST 2		20		47		26
AST 1		2		11		20
AST total		120		133		142
Grand total	5	346	5	329	5	350
Total staff		351		334		355

1.3.1.9.3. S 03 01 17 03 — European Medicines Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16				1		1
AD 15		4		4		4
AD 14		6		5		6
AD 13		8		7		7
AD 12		38		36		36
AD 11		38		35		36
AD 10		36		30		32
AD 9		40		37		38
AD 8		47		43		46
AD 7		45		39		49
AD 6		42		35		36
AD 5		42		32		35
AD total		346		304		326
AST 11		2		2		2
AST 10		5		4		5

AST 9		7		8		7
AST 8		13		13		13
AST 7		20		19		20
AST 6		33		34		34
AST 5		35		34		35
AST 4		51		48		51
AST 3		39		32		39
AST 2		40		37		40
AST 1		20		16		18
AST total		265		247		264
Grand total		611		551		590
Total staff		611		551		590

Out of the 611 posts for 2013, 21 posts financed by fees are conditional upon the presentation by the Commission of a revised agency financial statement by 1 June 2012 at the latest, in which the additional needs for human resources are justified, and by the subsequent approval thereof by a budgetary trilogue, in accordance with the procedure foreseen under point 47 of the Interinstitutional Agreement on budgetary discipline and sound financial management of 17 May 2006.

1.3.1.10.S 03 01 18 — Decentralised agencies — Home Affairs

1.3.1.10.1. S 03 01 18 01 — European Agency for the Management of Operational Cooperation at the External Borders (Frontex)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget ¹³	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		1		1		1
AD 13		3		3		3
AD 12		8		8		8
AD 11		9		9		9
AD 10		8		8		8
AD 9		1		2		1
AD 8		48		43		45
AD 7		3		2		2
AD 6		6		6		6
AD 5		3		3		3
AD total		91		86		87
AST 11						
AST 10						
AST 9						
AST 8		5		6		5
AST 7		12		11		12
AST 6		10		10		10
AST 5		20		19		20
AST 4		5		5		5
AST 3		4		4		4
AST 2						
AST 1						
AST total		56		55		56
Grand total		147		141		143

13 Not including the modification of the 2012 establishment plan adopted by the Frontex management board.

Total staff	147	141	143
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1.3.1.10.2. S 03 01 18 02 — Agency for the operational management of large-scale IT systems in the area of freedom, security and justice

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1				1
AD 13		2				
AD 12		3				3
AD 11		1				1
AD 10		4				2
AD 9		7				6
AD 8						
AD 7		21				17
AD 6						
AD 5		36				19
AD total		75				49
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		2				2
AST 6						
AST 5		15				10
AST 4						
AST 3		27				14
AST 2		1				
AST 1						
AST total		45				26
Grand total		120				75
Total staff		120				75

1.3.1.10.3. S 03 01 18 03 — European Asylum Support Office (EASO)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11						
AD 10		2				1
AD 9		6		3		5
AD 8		5				
AD 7		9		1		11
AD 6		2				

AD 5		7			7
AD total		32		5	25
AST 11					
AST 10					
AST 9					
AST 8					
AST 7					
AST 6					
AST 5					
AST 4		1			
AST 3		6			4
AST 2		1			1
AST 1		5			8
AST total		13			13
Grand total		45		5	38
Total staff	45		5		38

1.3.1.10.4. S 03 01 18 04 — European Police Office (Europol)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				
AD 14				1		1
AD 13		3		3		3
AD 12		3		3		3
AD 11		23		21		23
AD 10				1		
AD 9		72		62		71
AD 8		80		86		80
AD 7		127		101		125
AD 6		51		75		53
AD 5		37		39		36
AD total		397		392		395
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		2		2		4
AST 6		14		12		13
AST 5		3		4		3
AST 4		40		37		40
AST 3		1				2
AST 2				4		
AST 1						
AST total		60		59		62
Grand total		457		451		457
Total staff	457		451		457	

1.3.1.10.5. S 03 01 18 05 — European Police College

Function group and grade	Posts	
	2013	2012

	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14						
AD 13		1		1		1
AD 12						
AD 11						
AD 10		2		2		2
AD 9		3				3
AD 8						
AD 7		1		2		1
AD 6						
AD 5		9		8		9
AD total		16		13		16
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5		2		2		2
AST 4		2		2		2
AST 3		8		6		8
AST 2						
AST 1						
AST total		12		10		12
Grand total		28		23		28
Total staff	28		23		28	

1.3.1.10.6. S 03 01 18 06 — European Monitoring Centre for Drugs and Drug Addiction

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
Permanent	Temporary	Permanent	Temporary	Permanent	Temporary	
AD 16						
AD 15		1		1		1
AD 14		1			1	
AD 13	1	2		2	1	2
AD 12	4	10	3	4	4	10
AD 11	3	10	2	6	3	8
AD 10	1	13		6	1	13
AD 9		7	1	3		7
AD 8		2		2		4
AD 7				7		
AD 6				11		
AD 5						
AD total	9	46	6	42	10	45
AST 11	1		1		1	
AST 10		2				2
AST 9	1	5		2		5
AST 8	2	7	1	2	2	5
AST 7	1	6	2	2	1	5
AST 6	1	1	1	1	1	4

AST 5		2		7		1
AST 4			2	7	2	
AST 3				1		
AST 2						
AST 1						
AST total	6	23	7	22	7	22
Grand total	15	69	13	64	17	67
Total staff	84		77		84	

1.3.1.11.S 03 01 31 — Decentralised agencies — Language services

1.3.1.11.1. S 03 01 31 01 — Translation Centre for the Bodies of the European Union

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15			1			1
AD 14	1		1	1	1	
AD 13					1	1
AD 12	8	3	6	5	7	5
AD 11	9	9	8	9	9	8
AD 10	10	5	10	5	9	6
AD 9	2	10	3	7	3	10
AD 8	5	8	2	9	3	9
AD 7	7	23	9	18	9	22
AD 6	5	16		15	4	16
AD 5		16	3	21	1	13
AD total	47	91	42	90	47	91
AST 11						
AST 10						
AST 9		1		1		1
AST 8	5		5		5	
AST 7	3	3	2	2	2	3
AST 6	2	2	2	3	3	2
AST 5	2	9	1	3	1	6
AST 4	3	12	3	12	5	16
AST 3	2	17	5	20	2	21
AST 2		6		6		10
AST 1		1		8		
AST total	17	51	18	55	18	59
Grand total	64	142	60	145	65	150
Total staff	206		205		215	

1.3.1.12.S 03 01 32 — Decentralised agencies — Energy

1.3.1.12.1. S 03 01 32 01 — European Agency for the Cooperation of Energy Regulators

Function group and grade	Posts		
	2013	2012	
	Authorised under the Union budget	Actually filled as at 31 December	Authorised under the Union budget

			2011			
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11		4		3		4
AD 10						
AD 9		2		2		2
AD 8		6		4		5
AD 7		6		3		4
AD 6		4		1		4
AD 5		11		8		10
AD total		34		22		30
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5		1		1		1
AST 4						
AST 3		14		11		12
AST 2						
AST 1						
AST total		15		12		13
Grand total		49		34		43
Total staff		49		34*		43

* Further five posts (one AD7, one AD6, two AD5 and one AST 3) were confirmed at the end of 2011 with a starting date during January and February 2012.

1.3.1.13.S 03 01 33 — Decentralised agencies — Justice

1.3.1.13.1. S 03 01 33 01 — European Union Agency for Fundamental Rights

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
Permanent	Temporary	Permanent	Temporary	Permanent	Temporary	
AD 16						
AD 15		1				1
AD 14				1		
AD 13		3		1		3
AD 12		11		1		11
AD 11				3		
AD 10		15		4		15
AD 9		11		5		11
AD 8		1		5		1
AD 7		5		16		5
AD 6		3		7		
AD 5				1		
AD total		50		44		47
AST 11						

AST 10		1			1
AST 9					
AST 8		3		1	3
AST 7		9		6	9
AST 6		4			4
AST 5		1		8	1
AST 4		9		7	9
AST 3				3	
AST 2		1		1	1
AST 1					
AST total		28		26	28
Grand total		78		70	75
Total staff	78		70		75

1.3.1.13.2. S 03 01 33 02 — Eurojust

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget ¹⁴	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13		1				1
AD 12		1				1
AD 11						
AD 10		6				6
AD 9		3		6		3
AD 8		17		4		16
AD 7		15		4		16
AD 6		30		19		30
AD 5		7		6		5
AD total		81		40		79
AST 11						
AST 10						
AST 9		1				1
AST 8				1		
AST 7						
AST 6						
AST 5		2				2
AST 4		32		4		32
AST 3		54		58		56
AST 2		38		14		38
AST 1		5		45		5
AST total		132		122		134
Grand total		213		162		213
Total staff	213		162		213	

1.3.1.13.3. S 03 01 33 03 — European Institute for Gender Equality

Function group and grade	Posts		
	2013	2012	
	Authorised under the Union budget	Actually filled as at 31 December 2011	Authorised under the Union budget

14 Modification of the establishment plan adopted by Eurojust college decision.

	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14						
AD 13		1		1		1
AD 12						
AD 11		1		1		1
AD 10		1		1		1
AD 9		1				1
AD 8		6		4		6
AD 7		4		3		4
AD 6		1		1		1
AD 5		8		8		8
AD total		23		19		23
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6		2		2		2
AST 5		3				3
AST 4		2		5		2
AST 3						
AST 2						
AST 1						
AST total		7		7		7
Grand total		30		26		30
Total staff	30		26		30	

1.3.2. S 03 02 — European Joint Undertakings

1.3.2.1. S 03 02 01 — European Joint Undertaking for ITER — Fusion for Energy (F4E)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14				1		
AD 13	9	2	4	2	9	2
AD 12	18	10	17	2	15	10
AD 11	5	12	2		5	7
AD 10	6	32	5	2	6	26
AD 9	4	15	2	37	5	26
AD 8	1	16	1	1	3	15
AD 7	1	65		17	1	45
AD 6		19	4	77		40
AD 5		2		1		2
AD total	44	174	35	140	44	174
AST 11	4		2		4	
AST 10	2				2	
AST 9	4		1		3	
AST 8			2		1	

AST 7			3			
AST 6	1				1	
AST 5	5	4	1		4	4
AST 4		17	2	1	1	11
AST 3	2	5	3	19	2	11
AST 2			1			
AST 1			1			
AST total	18	26	16	20	18	26
Grand total	62	200	51	160	62	200
Total staff	262		211		262	

1.3.2.2. S 03 02 02 — Cooperation — Health — Innovative Medicines Initiative Joint Undertaking (IMI)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12		1		1		1
AD 11		4		4		4
AD 10						
AD 9		5		2		5
AD 8		11		11		11
AD 7		1		1		1
AD 6						
AD 5						
AD total		23		20		23
AST 11						
AST 10						
AST 9						
AST 8		1		1		1
AST 7						
AST 6						
AST 5						
AST 4						
AST 3		5		5		5
AST 2						
AST 1						
AST total		6		6		6
Grand total		29		26		29
Total staff		29		26		29

1.3.2.3. S 03 02 03 — Cooperation — Transport — Clean Sky Joint Undertaking

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						

AD 14		1		1		1
AD 13						
AD 12						
AD 11						
AD 10		3		3		3
AD 9		7		7		7
AD 8		1		1		1
AD 7		2		2		2
AD 6		3		3		3
AD 5						
AD total		17		17		17
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6		1		1		1
AST 5						
AST 4						
AST 3						
AST 2						
AST 1						
AST total		1		1		1
Grand total		18		18		18
Total staff		18		18		18

1.3.2.4. S 03 02 04 — Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
Permanent	Temporary	Permanent	Temporary	Permanent	Temporary	
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11		2		2		2
AD 10						
AD 9						
AD 8		5		5		5
AD 7						
AD 6						
AD 5						
AD total		8		8		8
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4						
AST 3						

AST 2					
AST 1					
AST total					
Grand total		8		8	8
Total staff		8		8	8

1.3.2.5. S 03 02 05 — Information and communication technologies — ENIAC Joint Undertaking

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11		2		2		2
AD 10						
AD 9						
AD 8		4		3		5
AD 7						
AD 6						
AD 5						
AD total		7		6		8
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4						
AST 3						
AST 2						
AST 1						
AST total						
Grand total		7		6		8
Total staff		7		6		8

1.3.2.6. S 03 02 06 — Fuel Cells and Hydrogen Joint Undertaking (FCH)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11		3		3		3
AD 10						

AD 9		1		1		1
AD 8		4		4		4
AD 7		2		2		2
AD 6						
AD 5						
AD total		11		11		11
AST 11						
AST 10						
AST 9						
AST 8		1		1		1
AST 7		3		3		3
AST 6						
AST 5						
AST 4		1		1		1
AST 3		2		2		2
AST 2						
AST 1						
AST total		7		7		7
Grand total		18		18		18
Total staff		18		18		18

1.3.2.7. S 03 02 07 — SESAR Joint Undertaking (SJU)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14			1	1		1
AD 13						
AD 12			4	4		4
AD 11			1			1
AD 10			3	3		3
AD 9						
AD 8			6	3		6
AD 7			4	3		4
AD 6			4	1		4
AD 5			10	3		10
AD total			33	18		33
AST 11						
AST 10						
AST 9						
AST 8						
AST 7			1	1		1
AST 6						
AST 5			1	1		1
AST 4						
AST 3			2	1		2
AST 2						
AST 1			2	2		2
AST total			6	5		6
Grand total			39	23		39
Total staff			39	23		39

1.3.3. S 03 03 — European Institute of Innovation and Technology (EIT)

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12		1				1
AD 11		1		1		
AD 10		2				1
AD 9		4		2		3
AD 8		8		3		8
AD 7		2		1		2
AD 6		7		7		4
AD 5		1		1		1
AD total		27		16		21
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5		3				1
AST 4		1		4		3
AST 3		3		3		3
AST 2						
AST 1						
AST total		7		7		7
Grand total		34		23		28
Total staff		34		23		28

1.3.4. S 03 04 — Executive Agencies

1.3.4.1. S 03 04 01 — Executive Agency for Competitiveness and Innovation

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11		7		1		5
AD 10		3		6		5
AD 9		2		1		1
AD 8		8		4		9
AD 7		5		11		5
AD 6		4				4
AD 5		3		6		3

AD total		33		30		33
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		2				2
AST 6						
AST 5		1		1		1
AST 4						
AST 3		1		1		1
AST 2				1		
AST 1						
AST total		4		3		4
Grand total		37		33		37
Total staff		37		33		37

1.3.4.2. S 03 04 02 — Education, Audiovisual and Culture Executive Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14			1	1		1
AD 13			4	2		3
AD 12			5	5		6
AD 11			4	4		4
AD 10			15	9		11
AD 9			14	14		16
AD 8			16	19		17
AD 7			3	3		5
AD 6			9	3		5
AD 5			5	12		8
AD total		76		72		76
AST 11						
AST 10				1		
AST 9						1
AST 8			3	1		1
AST 7			4	2		2
AST 6			1	2		2
AST 5			9	1		3
AST 4			7	12		11
AST 3			3	11		7
AST 2						
AST 1						
AST total		27		30		27
Grand total		103		102		103
Total staff		103		102		103

1.3.4.3. S 03 04 03 — Executive Agency for Health and Consumers

Function group and grade	Posts		
	2013	2012	
	Authorised under the Union budget	Actually filled as at 31 December	Authorised under the Union budget

			2011			
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11		2		1		2
AD 10						
AD 9		1		2		1
AD 8		1		1		1
AD 7		1		1		1
AD 6		2				1
AD 5		1		2		2
AD total		9		8		9
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		1		1		1
AST 6		1		1		1
AST 5		1		1		1
AST 4						
AST 3						
AST 2						
AST 1						
AST total		3		3		3
Grand total		12		11		12
Total staff	12		11		12	

1.3.4.4. S 03 04 04 — Trans-European Transport Network Executive Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12		2				1
AD 11		2		2		2
AD 10		2		1		2
AD 9		4		4		4
AD 8		4		2		4
AD 7		7		7		7
AD 6		6		9		7
AD 5		0		2		
AD total		28		28		28
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						

AST 5		2		2		2
AST 4		1		1		1
AST 3		2		2		2
AST 2						
AST 1						
AST total		5		5		5
Grand total		33		33		33
Total staff	33		33		33	

1.3.4.5. S 03 04 05 — European Research Council Executive Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14			1			1
AD 13			3	3		3
AD 12			5	5		5
AD 11			2	2		2
AD 10			3	3		3
AD 9			11	3		3
AD 8			34	24		27
AD 7			32	39		40
AD 6			8	11		10
AD 5			1	7		6
AD total			100	97		100
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4						
AST 3						
AST 2						
AST 1						
AST total						
Grand total			100	97		100
Total staff	100			97		100

1.3.4.6. S 03 04 06 — Research Executive Agency

Function group and grade	Posts					
	2013		2012			
	Authorised under the Union budget		Actually filled as at 31 December 2011		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14			1	1		1
AD 13			2	1		1
AD 12			2	1		3

AD 11		11		4		4
AD 10		7		11		10
AD 9		8		6		12
AD 8		20		3		8
AD 7		21		18		19
AD 6		28		20		32
AD 5		29		28		27
AD total		129		93		117
AST 11						
AST 10						
AST 9		1				1
AST 8		2				2
AST 7				1		
AST 6		2		1		1
AST 5		3		3		3
AST 4		2		2		3
AST 3		1		3		1
AST 2						
AST 1						
AST total		11		10		11
Grand total		140		103		128
Total staff		140		103		128

Annex A — COMMISSION — ANNEXES

Annex A1 — COMMISSION — ANNEXES

2. ANNEXBIII — European Economic Area

Under the agreement establishing the European Economic Area the EFTA States (with the exception of Switzerland) take part in a wide range of Union policies covered by subheadings 1a, 3a and 3b, and headings 4 and 5 of the financial framework in exchange for a financial contribution to operating appropriations calculated by applying a 'proportionality factor'. This proportionality factor is equal to the sum of the ratios obtained by dividing the gross domestic product at market prices of each EFTA State by the gross domestic product at market prices of all the Member States plus that of the EFTA State concerned.

For 2013 the proportionality factor is estimated at 2,80 % (on the basis of 2011 figures).

These financial contributions will not be formally entered into the budget; each budget heading relating to activities in which the EFTA States take part will refer to the EFTA contribution as a memorandum item. A summary table, listing the budget headings concerned and the amounts of the EFTA contribution for each budget heading, is published as an Annex to the general budget of the Union. The total EFTA contribution for the operational part for 2013 is estimated at about EUR 367 535 579 in commitment appropriations. The EFTA States will also share in the administrative expenditure directly linked to the implementation of these policies. The figures and budget headings concerning the contributions by the EFTA States are still to be discussed with them and must therefore be regarded as provisional.

	Title	Budget 2013		EFTA contribution	
		Commitments ¹⁵	Payments ¹⁶	Commitments	Payments
XX 01 02 01	External staff	132 735 390	132 735 390	194 868	194 868
XX 01 02 11	Other management expenditure for research	143 147 000	143 147 000	1 039 500	1 039 500
26 01 22 02	Acquisition and renting of buildings in Brussels	203 592 000	203 592 000	521 241	521 241
26 01 22 03	Expenditure related to buildings in Brussels	71 229 000	71 229 000	182 362	182 362

¹⁵ Including appropriations entered in the reserve.

¹⁶ Including appropriations entered in the reserve.

26 01 23 02	Acquisition and renting of buildings in Luxembourg	40 091 000	40 091 000	102 642	102 642
26 01 23 03	Expenditure related to buildings in Luxembourg	17 481 000	17 481 000	44 755	44 755
	SUBTOTAL ADMINISTRATIVE PART	608 275 390	608 275 390	2 085 368	2 085 368
01 04 04	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme	204 700 000	130 000 000	5 731 600	3 640 000
01 04 05	Completion of programme for enterprises: improvement of the financial environment for small and middle-sized enterprises (SMEs)	p.m.	10 000 000	p.m.	280 000
01 04 06	Completion of the 'Employment' initiative (1998 to 2000)	p.m.	p.m.	p.m.	p.m.
02 01 04 01	Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation — Expenditure on administrative management ¹⁷	1 000 000	1 000 000	p.m.	p.m.
02 01 04 04	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme — Expenditure on administrative management	5 000 000	5 000 000	140 000	140 000
02 01 04 05	European satellite navigation programmes (EGNOS and Galileo) — Expenditure on administrative management ¹⁸	1 000 000	1 000 000	26 800	26 800
02 01 04 06	European Earth monitoring Programme (GMES) — Expenditure on administrative management ¹⁹	1 000 000	1 000 000	p.m.	p.m.
02 01 04 30	Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme	7 583 000	7 583 000	212 324	212 324
02 01 05 01	Expenditure related to research staff	11 184 000	11 184 000	313 152	313 152
02 01 05 02	External staff for research	3 650 000	3.650.000	102 200	102 200
02 01 05 03	Other management expenditure for research	5 150 000	5 150 000	144 200	144 200
02 02 01	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme	156 500 000	155 000 000	4 382 000	4 340 000
02 02 02 02	Completing and supplementing the work on the programme for enterprise and entrepreneurship, particularly for small and middle-sized enterprises (SMEs)	p.m.	p.m.	p.m.	p.m.
02 02 15	European Earth monitoring Programme (GMES) ²⁰	55 000 000	52 000 000	p.m.	p.m.
02 03 01	Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation ²¹	19 300 000	14 500 000	p.m.	p.m.
02 03 03 01	European Chemicals Agency — Chemicals legislation — Contribution to Titles 1 and 2	p.m.	p.m.	p.m.	p.m.
02 03 03 02	European Chemicals Agency — Chemicals legislation — Contribution to Title 3	p.m.	p.m.	p.m.	p.m.
02 04 01 01	Space research	312 710 000	295 000 000	8 755 880	8 260 000
02 04 01 02	Security research	300 730 000	198 000 000	8 420 440	5 544 000
02 04 01 03	Research related to transport (Galileo)	137 657 000	160 000 000	3 854 396	4 480 000
02 04 02	Preparatory action — Enhancement of European security research	p.m.	p.m.	p.m.	p.m.
02 04 04 01	Completion of programmes (prior to 2003)	—	p.m.	—	p.m.
02 04 04 02	Completion of the sixth Community EC framework programme (2003 to 2006)	—	300 000	—	8 400
02 05 01	European satellite navigation programmes (EGNOS and Galileo) ²²	p.m.	360 000 000	10 057 000	19 705 000
02 05 02 01	European GNSS Agency — Contribution to Titles 1 and 2 ²³	9 337 065	9 337 065	250 233	250 233
02 05 02 02	European GNSS Agency — Contribution to Title 3 ²⁴	2 362 935	2 362 935	63 327	63 327
04 01 04 04	EURES (European Employment Services) — Expenditure on administrative management	470 000	470 000	13 160	13 160
04 01 04 08	Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries —	400 000	400 000	p.m.	p.m.

17 Subject to agreement on the participation of the EFTA States.

18 Without the participation of Liechtenstein and Iceland (proportionality factor 2,68 %).

19 Subject to agreement on the participation of the EFTA States.

20 Subject to agreement on the participation of the EFTA States.

21 Subject to agreement on the participation of the EFTA States.

22 Without the participation of Liechtenstein and Iceland (proportionality factor 2,68 %).

23 Without the participation of Liechtenstein and Iceland (proportionality factor 2,68 %).

24 Without the participation of Liechtenstein and Iceland (proportionality factor 2,68 %).

	Expenditure on administrative management ²⁵				
04 01 04 10	Progress programme — Expenditure on administrative management	2 847 000	2 847 000	79 716	79 716
04 03 04	EURES (European Employment Services)	20 800 000	16 000 000	582 400	448 000
04 03 05	Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries ²⁶	5 692 000	5 200 000	p.m.	p.m.
04 03 15	European Year for Active Ageing and Solidarity between Generations (2012) ²⁷	p.m.	300 000	p.m.	p.m.
04 04 01 01	Employment	20 558 000	18 000 000	575 624	504 000
04 04 01 02	Social protection and inclusion	28 485 000	25 500 000	797 580	714 000
04 04 01 03	Working conditions	7 260 000	8 500 000	203 280	238 000
04 04 01 06	Support for implementation	1 200 000	1 200 000	33 600	33 600
04 04 04 02	European Agency for Safety and Health at Work — Contribution to Titles 1 and 2	6 978 964	6 978 964	195 411	195 411
04 04 04 03	European Agency for Safety and Health at Work — Contribution to Title 3	7 056 036	7 056 036	197 569	197 569
04 04 07	Completion of previous programmes	p.m.	500 000	p.m.	14 000
04 04 12	European Year for Combating Poverty and Social Exclusion, 2010	p.m.	450 000	p.m.	12 600
06 01 04 01	Marco Polo II programme — Expenditure on administrative management	120 000	120 000	3 360	3 360
06 01 04 32	Executive Agency for Competitiveness and Innovation — Contribution from the Marco Polo II programme	1 555 000	1 555 000	43 540	43 540
06 01 05 01	Expenditure related to research staff	5 750 000	5 750 000	161 000	161 000
06 01 05 02	External staff for research	2 800 000	2 800 000	78 400	78 400
06 01 05 03	Other management expenditure for research	1 100 000	1 100 000	30 800	30 800
06 02 01 01	European Aviation Safety Agency — Contribution to Titles 1 and 2	26 435 440	26 435 440	740 192	740 192
06 02 01 02	European Aviation Safety Agency — Contribution to Title 3	8 120 371	8 120 371	227 370	227 370
06 02 02 01	European Maritime Safety Agency — Contribution to Titles 1 and 2	22 776 724	22 776 724	637 748	637 748
06 02 02 02	European Maritime Safety Agency — Contribution to Title 3	8 431 789	9 000 000	236 090	252 000
06 02 02 03	European Maritime Safety Agency — Anti-pollution measures	22 663 000	18 414 450	634 564	515 605
06 02 06	Marco Polo II programme	60 000 000	50 000 000	1 680 000	1 400 000
06 02 07	Completion of Marco Polo programme	—	p.m.	—	p.m.
06 02 08 01	European Railway Agency — Contribution to Titles 1 and 2	17 853 400	17 853 400	499 895	499 895
06 02 08 02	European Railway Agency — Contribution to Title 3	7 018 000	7 018 000	196 504	196 504
06 06 02 01	Research related to transport (including aeronautics)	p.m.	10 665 913	p.m.	298 646
06 06 02 02	Research related to transport (including aeronautics) — Fuel Cells and Hydrogen Joint Undertaking	2 656 000	3 833 000	74 368	107 324
06 06 02 03	SESAR Joint Undertaking	58 324 795	45 000 000	1 633 094	1 260 000
06 06 05 01	Completion of programmes (prior to 2003)	—	p.m.	—	p.m.
06 06 05 02	Completion of the sixth EC framework programme (2003 to 2006)	—	589 829	—	16 515
07 03 09 01	European Environment Agency — Contribution to Titles 1 and 2	22 835 305	22 835 305	639 389	639 389
07 03 09 02	European Environment Agency — Contribution to Title 3	12 962 092	12 962 092	362 939	362 939
08 01 04 30	European Research Council Executive Agency (ERCEA)	39 000 000	39 000 000	1 092 000	1 092 000
08 01 04 31	Research Executive Agency (REA)	49 300 000	49 300 000	1 380 400	1 380 400
08 01 05 01	Expenditure related to research staff	104 953 000	104 953 000	2 938 684	2 938 684
08 01 05 02	External staff for research	24 672 000	24 672 000	690 816	690 816
08 01 05 03	Other management expenditure for research	44 016 000	44 016 000	1 232 448	1 232 448
08 02 01	Cooperation — Health	791 490 000	627 563 000	22 161 720	17 571 764
08 02 02	Cooperation — Health — Innovative Medicines Initiative Joint Undertaking	207 068 000	125 650 000	5 797 904	3 518 200
08 02 03	Cooperation — Health — Support expenditure for the Innovative Medicines Initiative Joint Undertaking	4 240 000	4 240 000	118 720	118 720
08 03 01	Cooperation — Food, agriculture and fisheries, and biotechnology	356 725 000	317 924 000	9 988 300	8 901 872
08 04 01	Cooperation — Nanosciences, nanotechnologies, materials and new production technologies	606 664 000	588 708 000	16 986 592	16 483 824
08 04 02	Cooperation — Nanosciences, nanotechnologies, materials and new	8 792 000	12 691 000	246 176	355 348

25 Subject to agreement on the participation of the EFTA States.

26 Subject to agreement on the participation of the EFTA States.

27 Subject to agreement on the participation of the EFTA States.

	production technologies — Fuel Cells and Hydrogen Joint Undertaking				
08 05 01	Cooperation — Energy	201 580 000	154 894 000	5 644 240	4 337 032
08 05 02	Cooperation — Energy — Fuel Cells and Hydrogen Joint Undertaking	15 006 000	13 345 000	420 168	373 660
08 05 03	Support expenditure for the Fuel Cells and Hydrogen Joint Undertaking	1 239 000	1 239 000	34 692	34 692
08 06 01	Cooperation — Environment (including climate change)	332 635 000	266 288 000	9 313 780	7 456 064
08 06 02	Cooperation — Environment — Fuel Cells and Hydrogen Joint Undertaking	3 951 000	5 703 000	110 628	159 684
08 07 01	Cooperation — Transport (including aeronautics)	311 890 000	328 340 000	8 732 920	9 193 520
08 07 02	Cooperation — Transport — Clean Sky Joint Undertaking	226 514 477	133 151 243	6 342 405	3 728 235
08 07 03	Cooperation — Transport — Support expenditure for the Clean Sky Joint Undertaking	2 888 523	2 889 000	80 879	80 892
08 07 04	Cooperation — Transport — Fuel Cells and Hydrogen Joint Undertaking	17 526 000	25 298 000	490 728	708 344
08 08 01	Cooperation — Socioeconomic sciences and the humanities	112 181 000	67 960 000	3 141 068	1 902 880
08 09 01	Cooperation — Risk-sharing finance facility (RSFF)	p.m.	p.m.	—	—
08 10 01	Ideas	1 707 158 000	1 226 786 278	47 800 424	34 350 016
08 12 01	Capacities — Research infrastructures	74 663 000	134 969 000	2 090 564	3 779 132
08 13 01	Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)	270 553 000	236 953 000	7 575 484	6 634 684
08 14 01	Capacities — Regions of knowledge	26 496 000	20 700 000	741 888	579 600
08 15 01	Capacities — Research potential	73 939 000	58 000 000	2 070 292	1 624 000
08 16 01	Capacities — Science in society	63 376 000	38 456 000	1 774 528	1 076 768
08 17 01	Capacities — International cooperation activities	39 683 000	27 597 000	1 111 124	772 716
08 18 01	Capacities — Risk-sharing finance facility (RSFF)	50 000 000	50 000 000	1 400 000	1 400 000
08 19 01	Capacities — Support for coherent development of research policies	13 411 000	9 850 000	375 508	275 800
08 22 01	Completion of programmes (prior to 1999)	—	—	—	—
08 22 02 01	Completion of the fifth EC framework programme (1998 to 2002)	—	—	—	—
08 22 03 01	Completion of the sixth EC framework programme (2003 to 2006)	—	25 253	—	707 084
09 01 04 03	Competitiveness and Innovation Framework Programme — Information and Communication Technologies policy support — Expenditure on administrative management	1 480 000	1 480 000	41 440	41 440
09 01 04 04	Safer Internet programme — Expenditure on administrative management	150 000	150 000	4 200	4 200
09 01 05 01	Expenditure related to research staff	48 600 000	48 600 000	1 360 800	1 360 800
09 01 05 02	External staff for research	12 875 000	12 875 000	360 500	360 500
09 01 05 03	Other management expenditure for research	17 455 000	17 455 000	488 740	488 740
09 02 02 01	Safer Internet programme	2 700 000	12 700 000	75 600	355 600
09 02 02 02	Completion of Safer Internet plus — Promoting safer use of the Internet and new online technologies	—	p.m.	—	p.m.
09 02 03 01	European Network and Information Security Agency — Contribution to Titles 1 and 2	5 826 443	5 826 443	163 140	163 140
09 02 03 02	European Network and Information Security Agency — Contribution to Title 3	2 379 815	2 379 815	66 635	66 635
09 02 04 01	Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Titles 1 and 2 ²⁸	3 165 705	3 165 705	p.m.	p.m.
09 02 04 02	Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Title 3 ²⁹	602 991	602 991	p.m.	p.m.
09 03 01	Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP)	144 265 000	130 000 000	4 039 420	3 640 000
09 03 02	Completion of eContent plus — Promotion of European digital content	—	1 384 016	—	38 752
09 03 04 01	Completion of trans-European telecommunications networks (eTEN)	—	p.m.	—	p.m.
09 03 04 02	Completion of the MODINIS programme	—	p.m.	—	p.m.
09 04 01 01	Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)	1 301 428 065	1 140 000 000	36 439 986	31 920 000

28 Subject to agreement on the participation of the EFTA States.

29 Subject to agreement on the participation of the EFTA States.

09 04 01 02	Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking	65 000 000	29 239 766	1 820 000	818 713
09 04 01 03	Cooperation — Information and communication technologies — Support expenditure for ARTEMIS Joint Undertaking	911 793	911 793	25 530	25 530
09 04 01 04	Cooperation — Information and communication technologies — ENIAC Joint Undertaking	110 000 000	55 555 555	3 080 000	1 555 556
09 04 01 05	Cooperation — Information and communication technologies — Support expenditure for ENIAC Joint Undertaking	429 142	429 142	12 016	12 016
09 04 03	Completion of previous EC framework programmes (prior to 2007)	—	11 000 000	—	308 000
09 05 01	Capacities — Research infrastructures	37 403 000	54 580 897	1 047 284	1 528 265
10 01 05 01	Expenditure related to research staff	145 865 475	145 865 475	4 084 233	4 084 233
10 01 05 02	External staff for research	32 407 225	32 407 225	907 402	907 402
10 01 05 03	Other management expenditure for research	64 031 900	64 031 900	1 792 893	1 792 893
10 02 01	Non-nuclear activities of the Joint Research Centre (JRC)	32 898 000	33 000 000	921 144	924 000
10 04 01 01	Completion of previous joint programmes — EC	—	p.m.	—	p.m.
12 01 04 01	Implementation and development of the internal market — Expenditure on administrative management ³⁰	700 000	700 000	p.m.	p.m.
12 02 01	Implementation and development of the internal market ³¹	8 800 000	8 000 000	p.m.	p.m.
12 04 02 01	European Banking Authority — Contribution to Titles 1 and 2 ³²	7 474 000	7 274 000	p.m.	p.m.
12 04 02 02	European Banking Authority — Contribution to Title 3 ³³	1 071 000	1 071 000	p.m.	p.m.
12 04 03 01	European Insurance and Occupational Pensions Authority — Contribution to Titles 1 and 2 ³⁴	4 809 000	4 509 000	p.m.	p.m.
12 04 03 02	European Insurance and Occupational Pensions Authority — Contribution to Title 3 ³⁵	1 029 000	1 029 000	p.m.	p.m.
12 04 04 01	European Securities and Markets Authority — Contribution to Titles 1 and 2 ³⁶	5 433 000	5 433 000	p.m.	p.m.
12 04 04 02	European Securities and Markets Authority — Contribution to Title 3 ³⁷	1 200 000	1 200 000	p.m.	p.m.
15 01 04 14	Erasmus Mundus — Expenditure on administrative management	914 000	914 000	25 592	25 592
15 01 04 22	Lifelong learning — Expenditure on administrative management	8 500 000	8 500 000	238 000	238 000
15 01 04 30	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 1a	21 395 000	21 395 000	599 060	599 060
15 01 04 31	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 3b	15 572 000	15 572 000	436 016	436 016
15 01 04 44	Culture Programme (2007 to 2013) — Expenditure on administrative management	550 000	550 000	15 400	15 400
15 01 04 55	Youth in Action — Expenditure on administrative management	780 000	780 000	21 840	21 840
15 01 04 60	MEDIA 2007 — Support programme for the European audiovisual sector — Expenditure on administrative management	725 000	725 000	20 300	20 300
15 01 04 68	MEDIA Mundus — Expenditure on administrative management ³⁸	75 000	75 000	2 100	2 100
15 01 05 01	Expenditure related to research staff	1 952 000	1 952 000	54 656	54 656
15 01 05 02	External staff for research	700 000	700 000	19 600	19 600
15 01 05 03	Other management expenditure for research	348 000	348 000	9 744	9 744
15 02 02	Erasmus Mundus	108 291 000	97 150 000	3 032 148	2 720 200
15 02 09	Completion of previous programmes in the field of education and training	—	p.m.	—	p.m.
15 02 11 01	European Institute of Innovation and Technology — Governing	4 765 110	4 765 110	133 423	133 423

30 Subject to agreement on the participation of the EFTA States.

31 Subject to agreement on the participation of the EFTA States.

32 Subject to agreement on the participation of the EFTA States.

33 Subject to agreement on the participation of the EFTA States.

34 Subject to agreement on the participation of the EFTA States.

35 Subject to agreement on the participation of the EFTA States.

36 Subject to agreement on the participation of the EFTA States.

37 Subject to agreement on the participation of the EFTA States.

38 Subject to agreement on the participation of the EFTA States.

	Structure				
15 02 11 02	European Institute of Innovation and Technology — Knowledge and Innovation Communities (KIC)	118 300 000	103 569 690	3 312 400	2 899 951
15 02 22	Lifelong learning programme	1 110 476 000	1 058 000 000	30 813 328	29 624 000
15 04 09 01	Completion of previous programmes/actions in the field of culture and language	—	p.m.	—	p.m.
15 04 09 02	Completion of previous MEDIA programmes	—	p.m.	—	p.m.
15 04 44	Culture Programme (2007 to 2013)	59 356 000	51 000 000	1 161 968	1 428 000
15 04 66 01	MEDIA 2007 — Support programme for the European audiovisual sector	108 109 000	101 000 000	3 027 052	2 828 000
15 04 68	MEDIA Mundus ³⁹	4 500 000	4 600 000	126 000	128 000
15 05 09	Completion of previous programmes/actions in the field of youth	—	p.m.	—	p.m.
15 05 55	Youth in Action	140 450 000	129 000 000	3 932 600	3 612 000
15 07 77	People	954 252 000	800 000 000	26 719 056	22 400 000
17 01 04 02	Programme of Union action in the field of health — Expenditure on administrative management	1 500 000	1 500 000	42 000	42 000
17 01 04 03	Programme of Union action in the field of consumer policy — Expenditure on administrative management	1 100 000	1 100 000	30 800	30 800
17 01 04 30	Executive Agency for Health and Consumers — Contribution from programmes under Heading 3b	5 900 000	5 900 000	165 200	165 200
17 02 01	Completion of Union activities in favour of consumers	p.m.	p.m.	p.m.	p.m.
17 02 02	Union action in the field of consumer policy	20 700 000	19 000 000	579 600	532 000
17 03 01 01	Completion of public health programme (2003-2008)	p.m.	3 000 000	p.m.	84 000
17 03 03 01	European Centre for Disease Prevention and Control — Contribution to Titles 1 and 2	37 390 000	37 390 000	1 046 920	1 046 920
17 03 03 02	European Centre for Disease Prevention and Control — Contribution to Title 3	19 337 000	19 337 000	541 436	541 436
17 03 06	Union action in the field of health	49 800 000	40 000 000	1 394 400	1 120 000
17 03 07 01	European Food Safety Authority — Contribution to Titles 1 and 2 ⁴⁰	46 890 000	46 890 000	1 294 164	1 294 164
17 03 07 02	European Food Safety Authority — Contribution to Title 3 ⁴¹	27 444 000	24 980 000	757 454	689 448
17 03 10 01	European Medicines Agency — Contribution to Titles 1 and 2	6 165 000	6 165 000	172 620	172 620
17 03 10 02	European Medicines Agency — Contribution to Title 3	27 065 000	27 065 000	757 820	757 820
17 03 10 03	Special contribution for orphan medicinal products	6 000 000	6 000 000	168 000	168 000
23 01 04 02	Civil protection — Expenditure on administrative management	300 000	300 000	8 400	8 400
23 03 01	Civil protection within the Union	18 200 000	15 000 000	509 600	420 000
23 03 03	Completion of previous programmes and actions in the field of civil protection and marine pollution	p.m.	p.m.	p.m.	p.m.
23 03 06	Civil protection interventions in third countries	5 000 000	4 200 000	140 000	117 600
26 01 04 01	Interoperability Solutions for European Public Administrations (ISA) — Expenditure on administrative management	400 000	400 000	11 200	11 200
26 03 01 01	Interoperability Solutions for European Public Administrations (ISA)	25 700 000	15 000 000	719 600	420 000
26 03 01 02	Completion of previous IDA and IDABC programmes	p.m.	p.m.	p.m.	p.m.
29 01 04 01	Union Statistical Programme 2008 to 2012 — Expenditure on administrative management ⁴²	—	—	—	—
29 01 04 04	Modernisation of European Enterprise and Trade Statistics (MEETS) — Expenditure on administrative management ⁴³	280 000	280 000	5 880	5 880
29 01 04 05	European statistical programme 2013-2017 — Expenditure on administrative management ⁴⁴	2 900 000	2 900 000	p.m.	p.m.
29 02 01	Completion of statistical information policy ⁴⁵	p.m.	1 000 000	p.m.	21 000
29 02 03	Completion of Union Statistical Programme 2008 to 2012 ⁴⁶	—	34 400 000	—	722 400

39 Subject to agreement on the participation of the EFTA States.

40 Without the participation of Liechtenstein (proportionality factor 2,76 %).

41 Without the participation of Liechtenstein (proportionality factor 2,76 %).

42 Calculated on the basis of EFTA States' participation amounting to 75 % of the appropriations.

43 Calculated on the basis of EFTA States' participation amounting to 75 % of the appropriations.

44 Subject to agreement on the participation of the EFTA States.

45 Calculated on the basis of EFTA States' participation amounting to 75 % of the appropriations.

29 02 04	Modernisation of European Enterprise and Trade Statistics (MEETS) ⁴⁷	5 000 000	6 000 000	105 000	126 000
29 02 05	European Statistical Programme 2013-2017 ⁴⁸	49 000 000	4 900 000	p.m.	p.m.
32 01 04 06	Competitiveness and Innovation Framework Programme — 'Intelligent energy — Europe' programme — Expenditure on administrative management	800 000	800 000	22 400	22 400
32 01 04 30	Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — 'Intelligent energy — Europe' programme	6 542 000	6 542 000	183 176	183 176
32 01 05 01	Expenditure related to research staff	1 950 000	1 950 000	54 600	54 600
32 01 05 02	External staff for research	950 000	950 000	26 600	26 600
32 01 05 03	Other management expenditure for research	1 200 000	1 200 000	33 600	33 600
32 04 01	Completion of the 'Intelligent energy — Europe' programme (2003 to 2006)	—	p.m.	—	p.m.
32 04 02	Completion of the 'Intelligent energy — Europe' programme (2003 to 2006): external strand — Coopener	—	p.m.	—	p.m.
32 04 04	Completion of the Energy framework programme (1999 to 2002) — Conventional and renewable energy	—	—	—	—
32 04 06	Competitiveness and Innovation Framework Programme — 'Intelligent Energy — Europe' programme	132 250 000	100 000 000	3 703 000	2 800 000
32 04 10 01	Agency for the Cooperation of Energy Regulators — Contribution to Titles 1 and 2 ⁴⁹	6 967 383	6 967 383	p.m.	p.m.
32 04 10 02	Agency for the Cooperation of Energy Regulators — Contribution to Title 3 ⁵⁰	402 412	402 412	p.m.	p.m.
32 06 01	Research related to energy	170 878 000	117 200 000	4 784 584	3 281 600
32 06 02	Research related to energy — Fuel Cells and Hydrogen Joint Undertaking	26 249 000	37 891 000	734 972	1 060 948
32 06 04 01	Completion of programmes (prior to 2003)	—	p.m.	—	p.m.
32 06 04 02	Completion of the sixth EC framework programme (2003 to 2006)	p.m.	15 000 000	p.m.	420 000
33 01 04 02	Measures for combating violence (Daphne) — Expenditure on administrative management	400 000	400 000	11 200	11 200
33 01 04 05	Drugs prevention and information — Expenditure on administrative management	500 000	500 000	1 400	1 400
33 01 04 06	Progress programme — Expenditure on administrative management	1 533 000	1 533 000	42 924	42 924
33 02 01	Completion of measures for combating violence against children, adolescents and women	p.m.	p.m.	p.m.	p.m.
33 02 05	Fight against violence (Daphne)	18 000 000	17 000 000	504 000	476 000
33 04 01	Drugs prevention and information	3 000 000	2 830 016	84 000	79 240
33 06 01	Anti-discrimination and diversity	22 283 000	17 730 623	623 924	496 457
33 06 02	Gender equality	12 938 000	10 681 785	362 264	299 090
33 06 05	Completion of previous programmes	—	p.m.	—	p.m.
	SUBTOTAL OPERATIONAL PART	12 871 487 375	11 443 974 787	365 450 211	326 289 020
	TOTAL	13 479 762 765	12 052 250 177	367 535 579	328 374 388

3. ANNEXBIV — List of budget headings open to candidate countries and, if applicable, the western Balkan potential candidate countries

(AL = Albania; BA = Bosnia and Herzegovina; HR = Croatia; MK = The former Yugoslav Republic of Macedonia (provisional code which does not prejudice in any way the definitive nomenclature for this country, which will be agreed following the conclusion of negotiations currently taking place on this subject at the United Nations); ME = Montenegro; RS = Republic of Serbia; TR = Turkey; Kosovo* = Kosovo under UNSCR 1244)

Total third-country contribution

46 Calculated on the basis of EFTA States' participation amounting to 75 % of the appropriations.

47 Calculated on the basis of EFTA States' participation amounting to 75 % of the appropriations.

48 Subject to agreement on the participation of the EFTA States.

49 Subject to agreement on the participation of the EFTA States.

50 Subject to agreement on the participation of the EFTA States.

32 04 06, 32 01 04 06 and 32 01 04 30	0,42168	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	0,42168
Competitiveness and Innovation framework programme — Intelligent energy — Europe programme									
7th Framework programme Research — EC (non-nuclear)⁵¹	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
7th Framework programme Research — Euratom (nuclear)⁵²	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.

4. ANNEXBII — Part II of the budget — Borrowing-and-lending operations — Borrowing-and-lending operations guaranteed by the general budget (by way of indication)

4.1. ANNEXBII - A — A. INTRODUCTION

This annex is drawn up in accordance with Article 30(1) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

It provides information on the amounts of the borrowing-and-lending operations guaranteed by the general budget: loans for balance-of-payments support, borrowing operations to provide macro-financial assistance to third countries, Euratom borrowings to contribute to the financing required for improving the degree of efficiency and safety of nuclear power stations in certain third countries and European Investment Bank loans in certain third countries.

At 31 December 2011, outstanding operations covered by the general budget totalled EUR 60 903 000 000; of that total EUR 39 829 000 000 was inside the Union and EUR 21 074 000 000 outside (rounded figures and exchange euro rate applicable at 31 December 2011).

4.2. ANNEXBII - B — B. BRIEF PRESENTATION OF THE VARIOUS CATEGORIES OF BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE GENERAL BUDGET

4.2.1. BII-B I — I. SINGLE FACILITY PROVIDING MEDIUM-TERM FINANCIAL ASSISTANCE FOR MEMBER STATES' BALANCES OF PAYMENTS

4.2.1.1. BII-B I 1 — 1. Legal basis

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).

Council Regulation (EC) No 1360/2008 of 2 December 2008 amending Regulation (EC) No 332/2002 (OJ L 352, 31.12.2008, p. 11).

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 20.1.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Council Regulation (EC) No 431/2009 of 18 May 2009 amending Regulation (EC) No 332/2002 (OJ L 128, 27.5.2009, p. 1)

⁵¹ Budget headings concerned: Articles 08 22 04, 09 04 02, 02 04 01 03, 02 04 01 01, 02 04 01 02, 06 06 04, 10 02 02, 15 07 78 and 32 06 03.

⁵² Budget headings concerned: Articles 08 22 04 and 10 03 02.

4.2.1.2. BII-B I 2 — 2. Description

In accordance with Regulation (EC) No 332/2002, the European Union may grant loans to Member States which are experiencing, or are seriously threatened with, difficulties in their balance of current payments or capital movements. Only Member States which have not adopted the euro may benefit from this facility. The outstanding amount of loans is limited to EUR 12 000 000 000 in principal.

On 2 December 2008, the Council decided to extend the facility to EUR 25 000 000 000.

On 4 November 2008, the Council decided to provide a Community medium-term financial assistance for Hungary in the form of a medium-term loan of a principal of up to EUR 6 500 000 000 for a maximum average maturity of 5 years.

On 20 January 2009, the Council decided to provide a Community medium-term financial assistance for Latvia in the form of a medium-term loan of a principal of up to EUR 3 100 000 000 for a maximum average maturity of 7 years.

On 6 May 2009, the Council decided to provide a Community medium-term financial assistance for Romania in the form of a medium-term loan of a principal of up to EUR 5 000 000 000 for a maximum average maturity of 5 years.

On 18 May 2009, the Council decided to extend the facility to EUR 50 000 000 000.

4.2.1.3. BII-B I 3 — 3. Effect on the budget

Since both parts of these borrowing-and-lending operations are carried out on identical terms, they affect the budget only if the guarantee is activated in case of default. As at 31 December 2010 the outstanding amount under this instrument was EUR 12 050 000 000.

4.2.2. BII-B II — II. European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism

4.2.2.1. BII-B II 1 — 1. Legal basis

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

Article 122(2) of the Treaty on the Functioning of the European Union.

Council Implementing Decision 2011/77/EU of 7 December 2010 on granting Union financial assistance to Ireland (OJ L 30 of 4.2.2011, p.34).

Council Implementing Decision 2011/344/EU of 30 May 2011 on granting Union financial assistance to Portugal (OJ L 159 of 17.6.2011, p.88).

Council Implementing Decision 2011/682/EU of 11 October 2011 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 269 of 14.10.2011, p.31).

Council Implementing Decision 2011/683/EU of 11 October 2011 amending Implementing Decision 2011/344/EU on granting Union financial assistance to Portugal (OJ L 269 of 14.10.2011, p.32).

4.2.2.2. BII-B II 2 — 2. Description

Article 122(2) of the Treaty on the Functioning of the European Union provides for the possibility of the Union granting financial assistance to a Member State which is in difficulties or is seriously threatened with severe difficulties caused, inter alia, by exceptional occurrences beyond its control.

The guarantee provided by the Union is for borrowings raised on the capital markets or from financial institutions.

In accordance with Article 2(2) of Council Regulation (EU) No 407/2010, the outstanding amount of loans and credit lines granted to Member States under the European financial stabilisation mechanism shall be limited to the margin available under the Union's own resources for payment appropriations.

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should

debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

On 7 December 2010, the Union decided to make available to Ireland a loan amounting to a maximum of EUR 22 500 000 000, with a maximum average maturity of 7,5 years (OJ L 30, 4.2.2011, p. 34).

On 30 May 2011, the Union decided to make available to Portugal a loan amounting to a maximum of EUR 26 000 000 000 (OJ L 159, 17.6.2011, p. 88).

On 11 October 2011, the Council decided to amend Implementing Decisions 2011/77/EU and 2011/344/EU by extending the maturities and applying the reduction of the interest rate margin to all the tranches that have already been disbursed (OJ L 269 of 14.10.2011, p.31 regarding Ireland (2011/682/EU) and p.32 for Portugal (2011/683/EU)).

At 31 October 2011 the outstanding amount under this instrument was EUR 28 000 000 000 (Ireland : EUR 13 900 000 000 and Portugal 14 100 000 000).

4.2.2.3. BII-B II 3 — 3. Effect on the budget

On the expenditure side, the budget is affected if the guarantee is activated in the event of default.

On the revenue side, the provision on the interest income resulting from the interest differential between the loan and borrowing transactions paid by the beneficiary - initially made in the Council Decisions applying to each beneficiary country individually in Article 1(5) of those Decisions - has been repealed by Council Decisions of 11 October 2011.

4.2.3. BII-B III — III. EUROPEAN UNION GUARANTEE FOR BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE FINANCIAL ASSISTANCE FOR MEDITERRANEAN THIRD COUNTRIES

4.2.3.1. BII-B III 1 — 1. Legal basis

Council Decision 2007/860/EC of 10 December 2007 providing macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

4.2.3.2. BII-B III 2 — 2. Description

On 10 December 2007, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Lebanon in the form of a long-term loan for a maximum amount of EUR 50 000 000 in principal for a maximum duration of 10 years. The first tranche of EUR 25 000 000 was disbursed in 2009.

4.2.3.3. BII-B III 3 — 3. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

4.2.4. BII-B IV — IV. EUROPEAN UNION GUARANTEE FOR BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE FINANCIAL ASSISTANCE FOR THIRD COUNTRIES IN CENTRAL AND EASTERN EUROPE

4.2.4.1. BII-B IV 1 — 1. Legal basis

Council Decision 1999/732/EC of 8 November 1999 providing supplementary macro-financial assistance to Romania (OJ L 294, 16.11.1999, p. 29).

4.2.4.2. BII-B IV 2 — 2. Description

On 8 November 1999, the Council decided to grant Romania macro-financial assistance in the form of a long-term loan of a principal of up to EUR 200 000 000 for a maximum period of 10 years (ROMANIA IV). The first tranche of EUR 100 000 000 was paid on 29 June 2000. A second tranche of EUR 50 000 000 was paid on 17 July 2003.

4.2.4.3. BII-B IV 3 — 3. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

From 1 January 2007, loans with Bulgaria and Romania cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the general budget and no longer by the Fund.

4.2.5. BII-B V — V. EUROPEAN UNION GUARANTEE FOR THE BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE FINANCIAL ASSISTANCE TO THE COUNTRIES OF THE COMMONWEALTH OF INDEPENDENT STATES AND MONGOLIA

4.2.5.1. BII-B V 1 — 1. Legal basis

Council Decision 97/787/EC of 17 November 1997 providing exceptional financial assistance for Armenia and Georgia (OJ L 322, 25.11.1997, p. 37).

Council Decision 98/592/EC of 15 October 1998 providing supplementary macro-financial assistance for Ukraine (OJ L 284, 22.10.1998, p. 45).

Council Decision 2000/244/EC of 20 March 2000 amending Decision 97/787/EC providing exceptional financial assistance for Armenia and Georgia in order to extend it to Tajikistan (OJ L 77, 28.3.2000, p. 11).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance for Armenia (OJ L 320, 5.12.2009, p. 3).

4.2.5.2. BII-B V 2 — 2. Description

On 17 November 1997, the Council decided to grant a European Union guarantee for an exceptional borrowing-and-lending operation for Georgia and Armenia (a loan to Georgia for a maximum amount of EUR 142 000 000 in principal and a loan to Armenia for EUR 28 000 000), with a maximum duration of 15 years.

The first tranche of EUR 110 000 000 was paid to Georgia on 24 July 1998. The second tranche is no longer to be made.

On 15 October 1998, the Council decided to grant a European Union guarantee for a third borrowing-and-lending operation for

Ukraine (UKRAINE III). The loan was initially for a maximum amount of EUR 150 000 000 in principal with a maximum duration of 10 years. The first tranche of EUR 58 000 000 was paid on 30 July 1999. Disbursement of the balance, under Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22), of EUR 110 000 000 is no longer planned.

On 20 March 2000, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Tajikistan. The loan is for a maximum amount of EUR 75 000 000 principal with a maximum duration of 15 years. A loan of EUR 60 000 000 was paid in 2001. The second tranche is no longer to be made.

On 30 November 2009, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Armenia in the form of a long-term loan for a maximum amount of EUR 65 000 000 in principal for a maximum duration of 15 years. The first tranche of EUR 26 000 000 was disbursed in 2011.

4.2.5.3. BII-B V 3 — 3. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

4.2.6. BII-B VI — VI. EUROPEAN UNION GUARANTEE FOR THE BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE FINANCIAL ASSISTANCE FOR THE COUNTRIES OF THE WESTERN BALKANS

4.2.6.1. BII-B VI 1 — 1. Legal basis

Council Decision 97/471/EC of 22 July 1997 providing macro-financial assistance for the former Yugoslav Republic of Macedonia (OJ L 200, 29.7.1997, p. 59).

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania (OJ L 261, 6.8.2004, p. 116).

Council Decision 2008/784/EC of 2 October 2008 establishing a separate liability of Montenegro and reducing proportionally the liability of Serbia with regard to the long term loans granted by the Community to the State Union of Serbia and Montenegro (formerly the Federal Republic of Yugoslavia) pursuant to Decisions 2001/549/EC and 2002/882/EC (OJ L 269, 10.10.2008, p.8).

Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).

Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).

4.2.6.2. BII-B VI 2 — 2. Description

On 22 July 1997, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for the former Yugoslav Republic of Macedonia (FYROM I).

The loan is for a maximum amount of EUR 40 000 000 in principal for a duration of 15 years.

The first tranche of EUR 25 000 000, for a maximum duration of 15 years, was paid to the former Yugoslav Republic of Macedonia on 30 September 1997. It will be repaid in five equal annual instalments from the 11th year.

The second tranche of EUR 15 000 000 was paid on 13 February 1998. It will be repaid in five equal annual payments from the 11th year.

On 10 May 1999, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Bosnia and Herzegovina in the form of a long-term loan for a maximum amount of EUR 20 000 000 in principal for a maximum duration of 15 years (Bosnia I).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to Bosnia and Herzegovina on 21 December 1999. The second tranche of EUR 10 000 000 was paid in 2001.

On 8 November 1999, the Council again decided to grant a European Union guarantee for a borrowing-and-lending operation for the former Yugoslav Republic of Macedonia in the form of a long-term loan for a maximum amount of EUR 50 000 000 in principal for a maximum duration of 15 years (FYROM II).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to the former Yugoslav Republic of Macedonia in January 2001, the second tranche of EUR 12 000 000 in January 2002, the third tranche of EUR 10 000 000 in June 2003 and the fourth tranche of EUR 18 000 000 in December 2003.

On 16 July 2001, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for the Federal Republic of Yugoslavia (Serbia and Montenegro I) in the form of a long-term loan for a maximum amount of EUR 225 000 000 in principal for a maximum duration of 15 years. The loan was paid in a single tranche in October 2001.

On 5 November 2002, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Bosnia and Herzegovina in the form of a long-term loan for a maximum amount of EUR 20 000 000 in principal for a maximum duration of 15 years (Bosnia II).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to Bosnia and Herzegovina in 2004, and the second tranche of EUR 10 000 000 in 2006.

On 5 November 2002, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Serbia and Montenegro (Serbia and Montenegro II) in the form of a long-term loan for a maximum amount of EUR 55 000 000 in principal for a maximum duration of 15 years.

The first tranche of EUR 10 000 000 and the second tranche of EUR 30 000 000, for a maximum duration of 15 years, were paid to Serbia and Montenegro in 2003, and the third tranche of EUR 15 000 000 in 2005.

The loan to Albania IV of EUR 9 000 000, for a maximum duration of 15 years, was fully disbursed in 2006.

On 30 November 2009, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Serbia in the form of a long-term loan for a maximum amount of EUR 200 000 000 in principal for a maximum duration of 8 years. The first tranche of EUR 100 000 000 was paid in 2011.

4.2.6.3. BII-B VI 3 — 3. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

4.2.7. BII-B VII — VII. EUROPEAN UNION GUARANTEE FOR EURATOM BORROWINGS TO IMPROVE THE DEGREE OF EFFICIENCY AND SAFETY OF NUCLEAR POWER STATIONS IN THE COUNTRIES OF CENTRAL AND EASTERN EUROPE AND THE COMMONWEALTH OF INDEPENDENT STATES

4.2.7.1. BII-B VII 1 — 1. Legal basis

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

4.2.7.2. BII-B VII 2 — 2. Description

Pursuant to Decision 94/179/Euratom (OJ L 84, 29.3.1994, p. 41), the European Union extends the scope of Euratom borrowings under Decision 77/270/Euratom to the improvement of the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States.

The maximum amount of Euratom borrowings for the Member States and third countries remains fixed at EUR 4 000 000 000.

In 2000 the Commission decided on a loan for Kozloduy in Bulgaria (EUR 212 500 000) and the last instalment was in 2006. In 2000 the Commission granted a loan to K2R4 in Ukraine but reduced the loan amount to the EUR equivalent of USD 83 000 000 in 2004. K2R4 was granted a loan of EUR 39 000 000 (first tranche) in 2007, USD 22 000 000 in 2008, and USD 10 335 000 in 2009 under the 2004 Commission Decision. In 2004 the Commission decided on a loan for Cernavodă in Romania (EUR 223 500 000). A first instalment of EUR 100 000 000 and a second one of EUR 90 000 000 were disbursed in 2005 and the last one of EUR 33 500 000 in 2006.

4.2.7.3. BII-B VII 3 — 3. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

From 1 January 2007, loans with Bulgaria and Romania cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the general budget and no longer by the Fund.

4.2.8. BII-B VIII — VIII. EUROPEAN GUARANTEE FOR EUROPEAN INVESTMENT BANK LOANS TO MEDITERRANEAN COUNTRIES

4.2.8.1. BII-B VIII 1 — 1. Legal basis

Some of the countries included in the legal bases below are now Member States or are considered to be pre-accession countries. Furthermore, the names of the countries may have changed since the relevant legal bases were adopted.

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5), repealed by Regulation (EC) No 1488/96 (OJ L 189, 30.7.1996, p. 1)

Council Decision 92/548/EEC of 16 November 1992 concerning the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Decision 95/484/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Malta (OJ L 278, 21.11.1995, p. 14).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the Former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank (EIB) against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/788/EC of 4 December 2000 amending Decision 2000/24/EC so as to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey customs union (OJ L 314, 14.12.2000, p. 27).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95), replaced by Decision No 633/2009/EC of the European Parliament and of the Council (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

4.2.8.2. BII-B VIII 2 — 2. General budget guarantee

Pursuant to the terms of the abovementioned Council Decision of 8 March 1977, the European Union guarantees loans to be granted by the European Investment Bank as part of the European Union's financial commitments towards the Mediterranean countries.

This decision was the basis for the contract of guarantee signed by the European Economic Community and the European Investment Bank on 30 October 1978 in Brussels and 10 November 1978 in Luxembourg introducing a global guarantee of 75 % of all appropriations made available for loans in the following countries: Malta, Tunisia, Algeria, Morocco, Portugal (Financial Protocol, emergency aid), Turkey, Cyprus, Egypt, Jordan, Syria, Israel, Greece, former Yugoslavia and Lebanon.

The contract of guarantee is extended for each new Financial Protocol.

Decision 97/256/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997 setting up a guarantee restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 1999/786/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 18 April 2000 and in Luxembourg on 23 May 2000 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB Financing Operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk-operations).

4.2.8.3. BII-B VIII 3 — 3. Description

Under the Financial Protocols concluded with Mediterranean third countries, global amounts are laid down for loans to be granted by the European Investment Bank from its own funds. The European Investment Bank grants the loans for purposes which may contribute to the economic and social development of the countries in question (transport infrastructures, ports, water supply, production and distribution of energy, agricultural projects and promotion of small and medium-sized businesses).

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in the following Mediterranean countries: Algeria, Cyprus, Egypt, Israel, Jordan, Lebanon, Malta, Morocco, Syria, Tunisia, Turkey, Gaza and the West Bank. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 2 310 000 000 is for the abovementioned Mediterranean countries. It covered a period of three years beginning on 31 January 1997 (with a possible extension of six months).

The European Investment Bank is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 29 November 1999, the Council decided to grant a Community guarantee to the European Investment Bank against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 600 000 000 and covered a period of three years beginning on 29 November 1999 (with a possible extension of six months).

The European Investment Bank is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

On 22 December 1999, the Council, acting on the basis of a Commission proposal and after consulting the European Parliament, decided once again to grant the European Investment Bank the Community guarantee against losses resulting from loans for projects carried out in the following Mediterranean countries: Algeria, Cyprus, Egypt, Israel, Jordan, Lebanon, Malta, Morocco, Syria, Tunisia, Turkey, Gaza and the West Bank. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. The guarantee is limited to 65 % of the total value of the appropriations made available plus all the related amounts. It covers a period of seven years from 1 February 2000 to 31 January 2007. As at the end of that period the loans granted by the European Investment Bank had not reached the abovementioned total values, the period has automatically been extended by six months.

On 4 December 2000, the Council decided to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey Customs Union. The value of those loans is limited to an overall ceiling of EUR 450 000 000.

Decision 2005/47/EC restructured the Mediterranean regional mandate to exclude Cyprus, Malta and Turkey which were included in the South Eastern Neighbours mandate.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Mediterranean countries: Algeria, Egypt, West Bank and Gaza, Israel, Jordan, Lebanon, Libya (eligibility to be decided by the Council), Morocco, Syria, Tunisia. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %.

Decision 2006/1016/EC has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.8.4. BII-B VIII 4 — 4. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default,
- the granting, in a series of cases, of interest-rate subsidies of 2 % in non-repayable aid, subject to the overall amounts laid down by the Financial Protocols.

Loans with new Member States cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the general budget and no longer by the Fund.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.9. BII-B IX — IX. EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY THE EUROPEAN INVESTMENT BANK IN THIRD COUNTRIES OF CENTRAL AND EASTERN EUROPE AND THE WESTERN BALKANS

4.2.9.1. BII-B IX 1 — 1. Legal basis

Some of the countries included in the legal bases below are now Member States or are considered to be pre-accession countries. Furthermore, the names of the countries may have changed since the relevant legal bases were adopted.

Decision of 29 November 1989 by the Board of Governors of the European Investment Bank concerning Bank operations in Hungary

and Poland.

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to the Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for investment projects carried out in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in central and east European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the Former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia (OJ L 155, 29.5.1998, p. 53).

Council Decision 98/729/EC of 14 December 1998 amending Decision 97/256/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Bosnia and Herzegovina (OJ L 346, 22.12.1998, p. 54).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/688/EC of 7 November 2000 amending Council Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Croatia (OJ L 285, 10.11.2000, p. 20).

Council Decision 2001/778/EC of 6 November 2001 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in the Federal Republic of Yugoslavia (OJ L 292, 9.11.2001, p. 43).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95). This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

4.2.9.2. BII-B IX 2 — 2. General budget guarantee

Decision 90/62/EEC is the basis for the contract of guarantee signed by the European Economic Community and the European Investment Bank on 24 April 1990 in Brussels and 14 May 1990 in Luxembourg concerning loans in Hungary and Poland, and the extension of this contract to loans in Czechoslovakia, Romania and Bulgaria signed on 31 July 1991 in Brussels and Luxembourg.

This contract of guarantee was the subject of an instrument signed in Brussels on 19 January 1993 and in Luxembourg on 4 February 1993 to replace the Czech and Slovak Federal Republic by the Czech Republic and the Slovak Republic from 1 January 1993.

Decision 93/696/EC is the basis for a contract of guarantee signed by the European Community and the European Investment Bank in Brussels on 22 July 1994 and in Luxembourg on 12 August 1994.

Decision 97/256/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 98/348/EC and Decision 98/729/EC are the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 2000/24/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank

signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2005/47/EC is the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB Financing Operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.9.3. BII-B IX 3 — 3. Description

In response to a call made by the Council on 9 October 1989, the Board of Governors of the European Investment Bank decided on 29 November 1989 to authorise the Bank to provide loans from its own resources to finance investment projects in Hungary and Poland for a total amount not exceeding EUR 1 000 000 000. These loans are granted to finance investment projects which satisfy the Bank's usual requirements for loans from its own resources.

On 14 May 1991 and 15 March 1993, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided that this guarantee should be extended to any loans that the European Investment Bank might make in the other countries of central and eastern Europe (Czechoslovakia, Bulgaria and Romania) over a period of 2 years up to a maximum of EUR 700 000 000.

On 13 December 1993, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew for 3 years the Community guarantee for EUR 3 000 000 000 in European Investment Bank loans for projects in Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania.

The budget guarantee covers all aspects of debt-servicing (repayment of capital, interest, related costs) in connection with these loans.

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in Albania, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Romania, Slovak Republic and Slovenia. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 3 520 000 000 is for the abovementioned countries of central and eastern Europe. It covers a period of 3 years beginning on 31 January 1997. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

On 19 May 1998, the Council decided to grant a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 150 000 000 for a period of 2 years beginning on 1 January 1998. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

On 14 December 1998, the Council decided to amend Decision 97/256/EC in order to extend the Community guarantee to the European Investment Bank to loans for projects in Bosnia and Herzegovina. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is EUR 100 000 000 for a period of two years beginning on 22 December 1998. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

The European Investment Bank is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in Albania, the former Yugoslav Republic of Macedonia, Bosnia and Herzegovina, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Romania, Slovak Republic and Slovenia. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. It covers a period of seven years beginning on 1 February 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

The European Investment Bank is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

On 7 November 2000, the Council decided to extend the guarantee granted by the Community to the European Investment Bank to loans for projects in Croatia.

On 6 November 2000, the Council decided to extend the guarantee granted by the Community to the European Investment Bank to loans for projects in the Federal Republic of Yugoslavia.

Decision 2005/47/EC restructured the Mediterranean regional mandate to exclude Cyprus, Malta and Turkey which were included in the South Eastern Neighbours mandate.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following candidate countries: Croatia, Turkey, the former Yugoslav Republic of Macedonia and potential candidate countries: Albania, Bosnia and Herzegovina, Serbia, Kosovo. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of 6 months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.9.4. BII-B IX 4 — 4. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Loans with new Member States cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the general budget and no longer by the Fund.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.10. BII-B X — X. EUROPEAN UNION GUARANTEE TO THE EUROPEAN INVESTMENT BANK AGAINST LOSSES UNDER LOANS FOR PROJECTS IN CERTAIN ASIAN AND LATIN AMERICAN COUNTRIES

4.2.10.1. BII-B X 1 — 1. Legal basis

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded cooperation agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam) (OJ L 329, 19.12.1996, p. 45).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the Former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and

the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95). This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

4.2.10.2.BII-B X 2 — 2. General budget guarantee

Decision 93/115/EEC is the basis for a contract of guarantee signed by the European Community and the European Investment Bank in Brussels on 4 November 1993 and in Luxembourg on 17 November 1993.

Decision 96/723/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 18 March 1997 and in Luxembourg on 26 March 1997.

Decision 97/256/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 2000/24/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2005/47/EC is the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB Financing Operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.10.3.BII-B X 3 — 3. Description

Pursuant to Decision 93/115/EEC the European Union guarantees, on a case-by-case basis, loans made by the European Investment Bank in third countries with which the European Union has concluded cooperation agreements.

Decision 93/115/EEC set an overall limit of EUR 250 000 000 a year for a period of 3 years.

On 12 December 1996 the Council granted the EIB a 100 % Community guarantee for loans for projects of mutual interest in certain third countries (Asian and Latin American developing countries) with which the Community has concluded cooperation agreements. This guarantee was restricted to an overall ceiling of EUR 275 000 000 to be granted in 1996 (with a possible extension of six months).

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in the following countries of Asia and Latin America: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Salvador, Uruguay, Venezuela, Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Mongolia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 900 000 000 is for the abovementioned countries of Asia and Latin America. It covered a period of 3 years beginning on 31 January 1997 (with a possible extension of six months).

The European Investment Bank is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council decided to renew the Community guarantee to the European Investment Bank for loans for

projects in the following countries of Asia and Latin America: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Salvador, Uruguay, Venezuela, Bangladesh, Brunei, China, India, Indonesia, Laos, Macao, Malaysia, Mongolia, Nepal, Pakistan, Philippines, Singapore, South Korea, Sri Lanka, Thailand, Vietnam and Yemen. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. It covers a period of seven years beginning on 1 February 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

The European Investment Bank is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Latin America countries: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Uruguay, Venezuela and in the following Asia countries: Afghanistan*, Bangladesh, Bhutan*, Brunei, Cambodia*, China, India, Indonesia, Iraq*, South Korea, Laos, Malaysia, Maldives, Mongolia, Nepal, Pakistan, the Philippines, Singapore, Sri Lanka, Taiwan*, Thailand, Vietnam, Yemen and Central Asia countries: Kazakhstan*, Kyrgyzstan*, Turkmenistan*, Uzbekistan* (*: eligibility to be decided by the Council). The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.10.4.BII-B X 4 — 4. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.11. BII-B XI — XI. EUROPEAN UNION GUARANTEE TO THE EUROPEAN INVESTMENT BANK AGAINST LOSSES UNDER LOANS FOR PROJECTS IN SOUTHERN CAUCASUS, RUSSIA, BELARUS, REPUBLIC OF MOLDOVA AND UKRAINE

4.2.11.1.BII-B XI 1 — 1. Legal basis

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, the Republic of Moldova and Belarus (OJ L 21, 25.1.2005, p. 11). As of 31 December 2006 and in accordance with Commission Decision C(2005)1499, only Russia and Ukraine are eligible under Decision 2005/48/EC.

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95). This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

4.2.11.2.BII-B XI 2 — 2. General budget guarantee

Decision 2001/777/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 6 May 2002 and in Luxembourg on 7 May 2002.

Decision 2005/48/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 21 December 2005 and in Luxembourg on 9 December 2005.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB Financing Operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.11.3.BII-B XI 3 — 3. Description

On 6 November 2001, the Council decided to grant a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension. The overall ceiling of the credits is EUR 100 000 000. The EIB benefits from an exceptional Community guarantee of 100 %.

On 22 December 2004, the Council decided to grant a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus. The overall ceiling of the credits is EUR 500 000 000. The EIB benefits from an exceptional Community guarantee of 100 %.

Decision 2005/48/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 21 December 2005 and in Luxembourg on 9 December 2005 setting up a guarantee to 100 %.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Eastern Europe countries: the Republic of Moldova, Ukraine, Belarus (eligibility to be decided by the Council); in the Southern Caucasus countries: Armenia, Azerbaijan, Georgia and in Russia. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.11.4.BII-B XI 4 — 4. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

4.2.12. BII-B XII — XII. EUROPEAN UNION GUARANTEE FOR EUROPEAN INVESTMENT BANK LOANS TO SOUTH AFRICA

4.2.12.1.BII-B XII 1 — 1. Legal basis

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the Former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95). This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

4.2.12.2.BII-B XII 2 — 2. General budget guarantee

Decision 95/207/EC is the basis of a contract of guarantee signed by the European Community and the European Investment Bank in Brussels on 4 October 1995 and in Luxembourg on 16 October 1995.

Decision 97/256/EC is the basis for a contract of guarantee between the EC and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 2000/24/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB Financing Operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk-operations).

4.2.12.3.BII-B XII 2 — 3. Description

In accordance with the provisions of Decision 95/207/EC, the European Union will guarantee European Investment Bank loans to South Africa up to a maximum total amount of EUR 300 000 000.

The budget guarantee covers all the debt-servicing (repayment of principal, interest and ancillary costs) in connection with these loans.

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in the Republic of South Africa. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 375 000 000 is for the Republic of South Africa. It covered a period of three years beginning on 1 July 1997 (with a possible 6-month extension).

The European Investment Bank is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in the Republic of South Africa. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. It covers a period beginning on 1 July 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by 6 months.

The European Investment Bank is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of 6 months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

4.2.12.4.BII-B XII 3 — 4. Effect on the budget

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or rather exceptionally from the Fund of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB Financing Operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk-operations).

4.3. ANNEXBII - C — C. FORECASTS FOR NEW BORROWING-AND-LENDING OPERATIONS IN 2012 AND 2013

The following table gives a rough indication of possible new borrowings and the disbursement of new loans (guaranteed by the general budget) in 2012 and 2013.

Borrowing-and-lending operations in 2012 and in 2013

Instrument	(EUR million)	
	2012	2013
<i>A. Union and Euratom borrowing-and-lending operations with a general budget guarantee</i>		
1. Union macro-financial assistance for third countries		
Operations decided or scheduled:		
Armenia	39	50 (new operation)
Bosnia and Herzegovina	50	50
Georgia	23	0
Kyrgyzstan	8	7
Serbia	0	0
Ukraine	110	250
Egypte	225	225
Tunisia		100

	Subtotal MFA	455	682
2. Euratom loans		100	100
3. Balance of payments ⁽¹⁾		1 000	400
4. European Financial Stabilisation Mechanism (EFSM) ⁽²⁾			
Ireland		5 500	3 100
Portugal		7 000	3 200
	Subtotal EFSM	12 500	6 300
	Subtotal A	14 055	7 482
<i>B. European Investment Bank loans with a general budget guarantee</i>			
1. Pre-Accession Countries		1 125	1 115
2. Mediterranean		910	1 210
3. Eastern Europe, Southern Caucasus and Russia		852	1 048
4. Asia and Latin America		475	453
5. Republic of South Africa		110	100
	Subtotal B	3 472	3 926
	Grand total	17 527	11 008

(¹) Includes Hungary, Latvia and Romania.

(²) Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

4.4. BII-D — D. CAPITAL OPERATIONS AND DEBT MANAGEMENT

TABLE 1 — LOANS GRANTED — Capital operations and management of funds lent

Instrument and year of signature	Equivalent at date of signature	Initial amount received up to 31 December 2011	Amount outstanding at 31 December 2011	Repayments		Amount outstanding at 31 December		Interest		
				2012	2013	2012	2013	2012	2013	2014
				(EUR million)						
1. Euratom										
1977	95,3	23,2								
1978	70,8	45,3								
1979	151,6	43,6								
1980	183,5	74,3								
1981	360,4	245,3								
1982	354,6	249,5								
1983	366,9	369,8								
1984	183,7	207,1								
1985	208,3	179,3								
1986	575,0	445,8								
1987	209,6	329,8								
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1999										
2000										
2001	40,0	40,0	40,0	4,0	4,0	36,0	32,0	2,3	2,0	1,8
2002	40,0	40,0	28,3	4,0	4,0	24,3	20,3	0,5	0,4	0,4
2003	25,0	25,0	16,2	2,5	2,5	13,7	11,2	0,3	0,2	0,2

1983	369,1	400,1								
1984	205,0	248,7								
1985	337,8	389,5								
1986	594,4	500,9								
1987	674,6	900,9								
1988	88,0	70,2								
1989										
1990										
1991										
1992										
1993										
1994	48,5	47,4								
1995										
1996										
1997										
1998										
1999										
2000										
2001	40,0	40,0	40,0	4,0	4,0	36,0	32,0	2,3	2,0	1,8
2002	40,0	40,0	28,3	4,0	4,0	24,3	20,3	0,5	0,4	0,3
2003	25,0	25,0	16,2	2,5	2,5	13,7	11,2	0,3	0,2	0,2
2004	65,0	65,0	53,2	6,5	6,5	46,7	40,2	0,9	0,8	0,7
2005	215,0	215,0	215,0		11,6	215,0	203,4	3,8	3,7	3,5
2006	51,0	51,0	51,0		1,3	51,0	49,7	0,9	0,9	0,9
2007	39,0	39,0	25,4	3,9	3,9	21,5	17,6	0,4	0,3	0,3
2008	15,8	15,8	10,7	1,7	1,7	9,0	7,3	1,0	1,0	1,0
2009	6,9	6,9	5,1	1,0	1,0	4,1	3,1	0,1	0,1	0,1
2010										
2011										
Total	4 040,2	4 511,0	444,9	23,6	36,5	421,3	384,8	10,2	9,4	8,8
2. Balance of payments										
2008	2 000,0	2 000,0	0				0			
2009	7 200,0	7 200,0	7 200,0			7 200,0	7 200,0	235,0	235,0	235,0
2010	2 850,0	2 850,0	2 850,0			2 850,0	2 850,0	83,7	83,7	83,7
2011	1 350,0	1 350,0	1 350,0			1 350,0	1 350,0	46,2	43,7	43,7
Total	13 400,0	13 400,0	11 400,0			11 400,0	11 400,0	364,9	362,4	362,4
3. Macro-financial assistance (MFA) to third countries and food aid to the former Soviet Union										
1990	350,0	350,0								
1991	945,0	945,0								
1992	1 671,0	1 671,0								
1993	659,0	659,0								
1994	400,0	400,0								
1995	410,0	410,0								
1996	155,0	155,0								
1997	445,0	195,0	5,0	5,0	0	0		0,1	0	0
1998	153,0	403,0	6,0	3,0	3,0	3,0	0	0,1	0,1	0
1999	108,0	108,0	6,0	2,0	2,0	4,0	2,0	0,1	0,1	0,1
2000	160,0	160,0								
2001	305,0	305,0	273,0	61,0	61,0	212,0	151,0	4,7	3,5	2,5
2002	12,0	12,0	12,0	0	2,4	12,0	9,6	0,2	0,2	0,1
2003	118,0	118,0	93,0	12,5	12,5	80,5	68,0	2,5	2,2	2,0
2004	10,0	10,0	10,0			10,0	10,0	0,2	0,2	0,2
2005	15,0	15,0	15,0			15,0	15,0	0,6	0,6	0,6
2006	19,0	19,0	19,0			19,0	19,0	0,3	0,3	0,3
2009	25,0	25,0	25,0			25,0	25,0	0,8	0,8	0,8

2010										
2011	126,0	126,0	126,0			126,0	126,0	4,3	4,3	4,3
Total	5 960,0	5 960,0	590,0	83,5	80,9	506,5	425,6	13,8	12,2	10,9
4. EFSM										
2011	28 000,0	28 000,0	28 000,0			28 000,0	28 000,0	813,6	816,0	816,0
Total	28 000,0	28 000,0	28 000,0			28 000,0	28 000,0	813,6	816,0	816,0

4.4.1. BII-D I — Technical notes on Tables

Exchange rate: the amounts in column 2 'Equivalent at date of signature' are converted at the rate applicable on the date of signature. As regards refinancing operations, Table 1 shows both the initial operation (e.g. in 1979) and the replacement operation (e.g. in 1986), the amount for the latter being converted at the rate of the initial operation. The resulting duplication affects the annual figures but is eliminated in the total.

All other amounts are converted at the rate applicable at 31 December 2011.

Column 3 'Initial amount received/paid up to 31 December 2011': with reference to 1986, for example, this column shows the cumulative total of all amounts received up to 31 December 2011 under loans signed in 1986 (Table 1), including refinancing (which produces some duplication).

Column 4 'Amount outstanding at 31 December 2011': these are net figures, with no duplication as a result of refinancing operations. They are obtained by subtracting from the column 3 figure the cumulative total of repayments made up to 31 December 2011, including repayments in respect of refinancing operations (total not given in the tables).

Column 7 = column 4 - column 5.

MFA 2011: following the Loan Agreement signed by Montenegro on 9 February 2010 pursuant to Council Decision 2008/784/EC of 2 October 2008 establishing a separate liability of Montenegro, the loans initially granted to Serbia and Montenegro have been re-initiated with a virtual starting date in 2001 in order to implement the splitting of the countries, which explains the different amounts between loans granted and loans raised, since the contract with the lending bank was unchanged.

Annex A2 — Publications Office

REVENUE — REVENUE

Figures

Title	Heading	Budget 2013	Budget 2012	Outturn 2011
4	MISCELLANEOUS UNION TAXES, LEVIES AND DUES	9 167 000	8 357 000	4 914 945,34
6	CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE	p.m.	p.m.	p.m.
	Total	9 167 000	8 357 000	4 914 945,34

TITLE 4 — MISCELLANEOUS UNION TAXES, LEVIES AND DUES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
4 0	DEDUCTIONS FROM REMUNERATION	4 139 000	3 678 000	p.m.
4 1	STAFF CONTRIBUTIONS TO THE PENSION SCHEME	5 028 000	4 679 000	4 914 945,34
	Title 4 — Total	9 167 000	8 357 000	4 914 945,34

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 0	DEDUCTIONS FROM REMUNERATION				
4 0 0	<i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>	3 437 000	3 102 000		
4 0 3	<i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>	p.m.	p.m.	p.m.	
4 0 4	<i>Proceeds from the special levy on the salaries of Members of the institution, officials and other servants in active employment</i>	702 000	576 000		
	Chapter 4 0 — Total	4 139 000	3 678 000	p.m.	

Article 4 0 0 — Proceeds from the tax on the salaries, wages and allowances of officials and other servants

Figures

Budget 2013	Budget 2012	Outturn 2011
3 437 000	3 102 000	

Remarks

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Reference acts

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Article 4 0 3 — Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

Article 4 0 4 — Proceeds from the special levy on the salaries of Members of the institution, officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
702 000	576 000	

Remarks

Legal basis

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 1 — STAFF CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 1	STAFF CONTRIBUTIONS TO THE PENSION SCHEME				
4 1 0	<i>Staff contributions to the pension scheme</i>	5 028 000	4 679 000	4 914 945,34	97,75 %
	Chapter 4 1 — Total	5 028 000	4 679 000	4 914 945,34	97,75 %

Article 4 1 0 — Staff contributions to the pension scheme

Figures

Budget 2013	Budget 2012	Outturn 2011
5 028 000	4 679 000	4 914 945,34

Remarks

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

TITLE 6 — CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
6 6	OTHER CONTRIBUTIONS AND REFUNDS	p.m.	p.m.	p.m.
	Title 6 — Total	p.m.	p.m.	p.m.

CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 6	OTHER CONTRIBUTIONS AND REFUNDS				
6 6 0	Other contributions and refunds				
6 6 6 0	Other assigned contributions and refunds	p.m.	p.m.	p.m.	
	<i>Article 6 6 0 — Subtotal</i>	p.m.	p.m.	p.m.	
	Chapter 6 6 — Total	p.m.	p.m.	p.m.	

Article 6 6 0 — Other contributions and refunds

Item 6 6 6 0 — Other assigned contributions and refunds

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This item is intended to receive, in accordance with Article 18 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

EXPENDITURE — EXPENDITURE

TITLE A2 — PUBLICATIONS OFFICE

Figures

Classification by type

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
A2 01	ADMINISTRATIVE EXPENDITURE	5	77 767 000	74 087 250	77 129 197,35
A2 02	SPECIFIC ACTIVITIES	5	7 467 000	8 990 500	13 580 831,09
A2 10	RESERVES	5	p.m.	p.m.	
	Chapter A2 — Total		85 234 000	83 077 750	90 710 028,44

CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A2 01	ADMINISTRATIVE EXPENDITURE					
A2 01 01	Expenditure related to staff in active employment	5	57 685 000	53 240 000	53 904 853,05	93,45 %
A2 01 02	External staff and other management expenditure					
A2 01 02 01	External staff	5	2 991 000	3 268 000	2 903 162,71	97,06 %

A2 01 02 11	Other management expenditure	5	733 000	766 250	716 205,00	97,71 %
	<i>Item A2 01 02 — Subtotal</i>		3 724 000	4 034 250	3 619 367,71	97,19 %
A2 01 03	Buildings and related expenditure	5	16 026 000	16 514 000	19 301 807,52	120,44 %
A2 01 50	Personnel policy and management	5	306 000	270 000	268 674,58	87,80 %
A2 01 51	Infrastructure policy and management	5	19 000	19 000	26 994,49	142,08 %
A2 01 60	Documentation and library expenditure	5	7 000	10 000	7 500,00	107,14 %
	Article A2 01 — Total		77 767 000	74 087 250	77 129 197,35	99,18 %

Article A2 01 01 — Expenditure related to staff in active employment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
57 685 000	53 240 000	53 904 853,05

Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments by the institution to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A2 01 02 — External staff and other management expenditure

Item A2 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 991 000	3 268 000	2 903 162,71

Remarks

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- the cost of national civil servants or other experts on secondment or temporary assignments to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any adjustments to remuneration approved by the Council during the financial year,
- support services in the field of proofreading, expenditure connected with agency and freelance staff and related administrative expenditure.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules of appointment and payment and other financial terms laid down by the Commission.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item A2 01 02 11 — Other management expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
733 000	766 250	716 205,00

Remarks

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international seconded experts or officials,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other European institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- the cost of conferences, congresses and meetings in which the Office takes part or which it organises,
- expenditure on training for improving staff skills, performance and efficiency to meet the Office's specific needs,
- use of experts to identify training needs, design, develop and hold courses and evaluate and monitor results,
- use of consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
- attending external training and joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,

- financing of teaching aids,
- expenditure on studies and specialist consultancy contracted out to highly qualified experts (natural or legal persons) where the Office is not able, with the staff at its disposal, to carry this out directly, including the purchase of existing studies,
- the costs of the Office's participation in the Bridge Forum Dialogue.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules of appointment and payment and other financial terms laid down by the Commission.

Article A2 01 03 — Buildings and related expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
16 026 000	16 514 000	19 301 807,52

Remarks

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the costs connected with the purchase or rental with purchase option of buildings or the construction of buildings,
- rent and ground rent, the various taxes, etc., in connection with purchase options on occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, archive rooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- expenditure on the maintenance of rooms, lifts, etc., and central heating and air conditioning installations, etc.; expenditure on certain periodical cleaning, and the purchase of maintenance, washing, bleaching and dry-cleaning products, etc.; and repainting, repairs, and supplies for the maintenance workshops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the network equipments associated with the building by destination, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the Commission's Offices for Infrastructure and Logistics with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the Commission's Offices for Infrastructure and Logistics with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the Commission's Offices for Infrastructure and Logistics with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major refurbishment work on premises,

- purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- purchase, hire, maintenance and repair of furniture,
- purchase, hire, maintenance and repair of transport equipment,
- various types of insurance (in particular third-party liability and insurance against theft),
- expenditure on working equipment, etc., including in particular:
 - purchase of uniforms (mainly for messengers, drivers and catering staff),
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of cabling, telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, servers, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the reproduction and archiving of information in any form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies, etc.,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail, and the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, internet television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- establishing telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer hardware and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, office services, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology hardware and software, and utilisation, maintenance and software development costs, and undertaking of information technology projects,
- other operating expenditure not specifically provided for above.

This appropriation does not cover expenditure connected with the industrial activities of the distribution centre.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 50 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A2 01 50 — Personnel policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
306 000	270 000	268 674,58

Remarks

This appropriation is intended to cover the following expenditure:

- the contribution by the Office to the costs of the recreation centre and to other cultural and sports activities in Luxembourg, and any initiatives designed to encourage social contact between staff of different nationalities,
- the Office's contribution to the cost of the Early Childhood Centre and other crèches and for transport of children,
- for the following categories of persons, as part of a policy to assist people with disabilities:
 - officials and temporary staff in active employment,
 - spouses of officials and temporary staff in active employment,
 - all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Article A2 01 51 — Infrastructure policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
19 000	19 000	26 994,49

Remarks

This appropriation is intended to cover the following expenditure:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim whatsoever arising therefrom.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

Article A2 01 60 — Documentation and library expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
7 000	10 000	7 500,00

Remarks

This appropriation is intended to cover the following expenditure:

- subscriptions to view data services, subscriptions to newspapers, specialist periodicals, purchase of publications and technical works connected with the Office's activities,

— the costs of subscriptions to press agencies (wire services and press and information bulletins).

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

CHAPTER A2 02 — SPECIFIC ACTIVITIES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A2 02	SPECIFIC ACTIVITIES					
<i>A2 02 01</i>	<i>Production</i>	5	1 035 000	1 035 000	2 674 243,99	258,38 %
<i>A2 02 02</i>	<i>Cataloguing and archiving</i>	5	2 213 000	2 578 000	2 249 230,17	101,64 %
<i>A2 02 03</i>	<i>Physical distribution and promotion</i>	5	2 876 000	3 600 000	6 942 099,84	241,38 %
<i>A2 02 04</i>	<i>Public websites</i>	5	1 343 000	1 777 500	1 715 257,09	127,72 %
	Article A2 02 — Total		7 467 000	8 990 500	13 580 831,09	181,88 %

Article A2 02 01 — Production

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 035 000	1 035 000	2 674 243,99

Remarks

This appropriation is intended to cover all expenditure relating to production activities, including:

- production of publications in all forms (paper, electronic media), including co-publishing,
- reprinting publications and correcting errors for which the Office bears responsibility,
- the purchase or rental of equipment and infrastructure for the reproduction of documents in all forms, including the cost of paper and other consumables.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 150 000.

Legal basis

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Article A2 02 02 — Cataloguing and archiving

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 213 000	2 578 000	2 249 230,17

Remarks

This appropriation is intended to cover all expenditure relating to cataloguing and archiving activities, in particular:

- cataloguing, comprising the costs of documentary and judicial analysis, indexing, specification and the drafting, record input and maintenance,
- annual subscriptions to international agencies in the field of cataloguing,
- electronic storage,

— long-term preservation of electronic documents and related services, digitisation.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

Legal basis

Council Resolution of 26 November 1974 on the automation of legal documentation (OJ C 20, 28.1.1975, p. 2).

Council Resolution of 13 November 1991 on the reorganisation of operating structures of the CELEX system (automated documentation of Community law (OJ C 308, 28.11.1991, p.2).

Council Resolution of 20 June 1994 on the electronic dissemination of Community law and national implementing laws and on improved access conditions (OJ C 179, 1.7.1994, p. 3).

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Article A2 02 03 — Physical distribution and promotion

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 876 000	3 600 000	6 942 099,84

Remarks

This appropriation is intended to cover all expenditure relating to physical distribution and promotion activities, in particular:

- storing activities for publications: placing in storage, entries/removals, etc.,
- packaging and addressing (machines, installations, consumables, handling, etc.),
- dispatch costs: mailing, transport, shuttles, etc.,
- acquisition and management of address lists: production, input/coding, updating, etc.,
- promotion and marketing: exhibitions, catalogues, prospectuses, advertising, market studies, etc.,
- information and assistance to the public,
- equipment for libraries: card indexes, shelving, furniture, catalogue units, etc.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 3 000 000.

Legal basis

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Article A2 02 04 — Public websites

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 343 000	1 777 500	1 715 257,09

Remarks

This appropriation is intended to cover all expenditure relating to public websites (mainly publishing of EUR-Lex, EU Bookshop and Who's Who websites) activities, in particular:

- public websites maintenance and development,
- helpdesk for website users.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

Legal basis

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

CHAPTER A2 10 — RESERVES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A2 10	RESERVES					
<i>A2 10 01</i>	<i>Provisional appropriations</i>	5	p.m.	p.m.		
<i>A2 10 02</i>	<i>Contingency reserve</i>	5	p.m.	p.m.		
	Article A2 10 — Total		p.m.	p.m.		

Article A2 10 01 — Provisional appropriations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	

Remarks

The appropriations entered in this article are purely provisional and may be used only after transfer to other budget heading in accordance with the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A2 10 02 — Contingency reserve

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	

Annex A3 — European Anti-Fraud Office

REVENUE — REVENUE

Figures

Title	Heading	Budget 2013	Budget 2012	Outturn 2011
4	MISCELLANEOUS UNION TAXES, LEVIES AND DUES	7 028 000	6 750 000	p.m.

6	CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE			
			p.m.	p.m.
	Total	7 028 000	6 750 000	p.m.

TITLE 4 — MISCELLANEOUS UNION TAXES, LEVIES AND DUES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
4 0	DEDUCTIONS FROM REMUNERATION	3 562 000	3 358 000	p.m.
4 1	CONTRIBUTIONS TO THE PENSION SCHEME	3 466 000	3 392 000	
	Title 4 — Total	7 028 000	6 750 000	p.m.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 0	DEDUCTIONS FROM REMUNERATION				
4 0 0	<i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>	2 950 000	2 828 000		
4 0 3	<i>Proceeds from the temporary contribution from the salaries of Members of the institutions, officials and other servants in active employment</i>	p.m.	p.m.	p.m.	
4 0 4	<i>Proceeds from the special levy on the salaries of Members of the institutions, officials and other servants in active employment</i>	612 000	530 000		
	Chapter 4 0 — Total	3 562 000	3 358 000	p.m.	

Article 4 0 0 — Proceeds from the tax on the salaries, wages and allowances of officials and other servants

Figures

Budget 2013	Budget 2012	Outturn 2011
2 950 000	2 828 000	

Remarks

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Article 4 0 3 — Proceeds from the temporary contribution from the salaries of Members of the institutions, officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

Article 4 0 4 — Proceeds from the special levy on the salaries of Members of the institutions, officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
612 000	530 000	

Legal basis

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 1	CONTRIBUTIONS TO THE PENSION SCHEME				
4 1 0	<i>Staff contributions to the pension scheme</i>	3 466 000	3 392 000		
	Chapter 4 1 — Total	3 466 000	3 392 000		

Article 4 1 0 — Staff contributions to the pension scheme

Figures

Budget 2013	Budget 2012	Outturn 2011
3 466 000	3 392 000	

Remarks

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

TITLE 6 — CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
6 6	OTHER CONTRIBUTIONS AND REFUNDS	p.m.	p.m.	p.m.
	Title 6 — Total	p.m.	p.m.	p.m.

CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 6	OTHER CONTRIBUTIONS AND REFUNDS				
6 6 0	<i>Other contributions and refunds</i>				
6 6 0 0	Other assigned contributions and refunds	p.m.	p.m.	p.m.	
	<i>Article 6 6 0 — Subtotal</i>	p.m.	p.m.	p.m.	
	Chapter 6 6 — Total	p.m.	p.m.	p.m.	

Article 6 6 0 — Other contributions and refunds

Item 6 6 0 0 — Other assigned contributions and refunds

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This item is intended to receive, in accordance with Article 18 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

EXPENDITURE — EXPENDITURE

TITLE A3 — EUROPEAN ANTI-FRAUD OFFICE

Figures

Classification by type

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
A3 01	ADMINISTRATIVE EXPENDITURE	5	56 554 000	55 626 000	54 348 222,39
A3 02	FINANCING ANTI-FRAUD MEASURES	5	1 556 000	1 566 000	970 824,14
A3 03	EXPENDITURE RESULTING FROM THE MANDATE OF THE MEMBERS OF THE SUPERVISORY COMMITTEE	5	200 000	200 000	195 234,18
A3 10	RESERVES	5		p.m.	0,—
	Chapter A3 — Total		58 310 000	57 392 000	55 514 280,71

CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A3 01	ADMINISTRATIVE EXPENDITURE					
<i>A3 01 01</i>	<i>Expenditure related to staff in active employment</i>	5	39 292 000	38 543 000	36 931 517,73	93,99 %
<i>A3 01 02</i>	<i>External staff and other management expenditure</i>					
A3 01 02 01	External staff	5	2 586 000	2 586 000	2 828 872,04	109,39 %
A3 01 02 11	Other management expenditure	5	3 159 000	3 184 000	3 020 138,95	95,60 %
	<i>Item A3 01 02 — Subtotal</i>		5 745 000	5 770 000	5 849 010,99	101,81 %
<i>A3 01 03</i>	<i>Buildings and related expenditure</i>	5	11 499 000	11 295 000	11 552 746,81	100,47 %
<i>A3 01 50</i>	<i>Personnel policy and management</i>	5	3 000	3 000	0,—	
<i>A3 01 51</i>	<i>Infrastructure policy and management</i>	5	p.m.	p.m.	0,—	
<i>A3 01 60</i>	<i>Documentation and library expenditure</i>	5	15 000	15 000	14 946,86	99,65 %
	Article A3 01 — Total		56 554 000	55 626 000	54 348 222,39	96,10 %

Article A3 01 01 — Expenditure related to staff in active employment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
39 292 000	38 543 000	36 931 517,73

Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments by the institution to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A3 01 02 — External staff and other management expenditure

Item A3 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
2 586 000	2 586 000	2 828 872,04

Remarks

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

Item A3 01 02 11 — Other management expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 159 000	3 184 000	3 020 138,95

Remarks

This appropriation is intended to cover the following expenditure:

- travel expenses, including ancillary costs relating to tickets and reservations, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by Commission staff covered by the Staff Regulations and by national or international experts or officials seconded to Commission departments,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates or which it organises,
- the cost of attending external training and of joining the relevant professional organisations,
- general training for improving staff skills and the performance and efficiency of the Office:
 - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
 - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
 - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,

- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 65 000.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Article A3 01 03 — Buildings and related expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
11 499 000	11 295 000	11 552 746,81

Remarks

This appropriation is intended to cover expenditure on the buildings of the Office and other related expenditure, in particular:

- purchase or rental with purchase option of buildings or construction of buildings,
- the payment of rents and leasing charges, various taxes and the exercise of purchase options relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges relating to the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection, etc.),
- technical assistance fees relating to major refurbishment work on premises,

- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
 - the purchase, hire, maintenance and repair of furniture,
 - the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
 - purchase of uniforms for floor messengers and drivers,
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service-providers,
- postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of interbuilding telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer equipment and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, service bureaux, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology equipment and software, and utilisation, maintenance and software development costs, and undertaking of information technology projects,
- other operating expenditure not specifically provided for above.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A3 01 50 — Personnel policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 000	3 000	0,—

Remarks

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities in Brussels and initiatives to promote social contact between staff of different nationalities in the Office,
- the Office's contribution to the cost of crèches and for school transport and expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
 - officials and temporary staff in active employment,
 - spouses of officials and temporary staff in active employment,
 - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Article A3 01 51 — Infrastructure policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover routine running costs and the cost of conversion of equipment for restaurants, cafeterias and canteens.

Article A3 01 60 — Documentation and library expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
15 000	15 000	14 946,86

Remarks

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (Intracomm); subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A3 02	FINANCING ANTI-FRAUD MEASURES					
<i>A3 02 01</i>	<i>Controls, studies, analyses and activities specific to the European Anti-Fraud Office</i>	5	1 176 000	1 176 000	704 961,04	59,95 %
<i>A3 02 02</i>	<i>Measures to protect the euro against counterfeiting</i>	5	50 000	50 000	26 000,12	52,00 %
<i>A3 02 03</i>	<i>Information and communication measures</i>	5	330 000	340 000	239 862,98	72,69 %
	Article A3 02 — Total		1 556 000	1 566 000	970 824,14	62,39 %

Article A3 02 01 — Controls, studies, analyses and activities specific to the European Anti-Fraud Office

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 176 000	1 176 000	704 961,04

Remarks

This appropriation is intended to cover all expenditure on measures to combat fraud which does not come under the Office's administrative operations.

It is intended, in particular, for:

- devising, developing, improving and managing information exchange systems and common infrastructures, while respecting confidentiality and security requirements,
- searching for, gathering, examining, utilising and passing on to national investigation departments all information which is useful for the detection and pursuit of fraud (e.g. via databases),
- supporting efforts by Member States, in particular in the case of international fraud, when action has to be taken at Union level,
- financing measures to increase the effectiveness of preventive measures, inspections and investigations,
- strengthening cooperation with national administrations, in particular to combat cigarette smuggling,
- organising and participating in monitoring and on-the-spot inspections,
- financing travel expenses and subsistence allowances for inspectors and national magistrates working abroad in connection with control visits, on-the-spot inspections, coordination meetings and for the purposes of an inspection in general,
- covering travel expenses, subsistence allowances and ancillary costs of experts employed by the Office in the course of its investigations to give a professional opinion on a specific matter,
- covering the costs of conferences, congresses and meetings that the Office organises in its anti-fraud work.

Legal basis

Council Regulation (EEC) No 4045/89 of 21 December 1989 on scrutiny by Member States of transactions forming part of the system of financing by the Guarantee Section of the European Agricultural Guidance and Guarantee Fund and repealing Directive 77/435/EEC (OJ L 388, 30.12.1989, p. 18).

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8).

Reference acts

Article 325 of the Treaty on the Functioning of the European Union.

Article A3 02 02 — Measures to protect the euro against counterfeiting

Figures

Budget 2013	Appropriations 2012	Outturn 2011
50 000	50 000	26 000,12

Remarks

This appropriation is intended to cover the cost of initiatives and specific measures to protect the euro against counterfeiting.

Legal basis

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8).

Article A3 02 03 — Information and communication measures

Figures

Budget 2013	Appropriations 2012	Outturn 2011
330 000	340 000	239 862,98

Remarks

This appropriation is intended to cover the cost of information and communication measures undertaken by the Office.

The Office's external information and communication strategy is central to its work. The Office was set up as an independent investigative body and must therefore have its own communications strategy. The Office's work is often too technical to be immediately comprehensible to the general public. The Office has to inform its interlocutors and the public as a whole of its role and tasks. Public perception of the Office's work is of paramount importance.

As a Commission service, the Office also needs to take account of the democratic deficit existing between the Union institutions and the citizens of Europe. The Commission has recognised this deficit and drawn up an action plan to address it.

The communications strategy that the Office has developed and continues to implement must demonstrate its independence.

Legal basis

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8).

CHAPTER A3 03 — EXPENDITURE RESULTING FROM THE MANDATE OF THE MEMBERS OF THE SUPERVISORY COMMITTEE

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A3 03	EXPENDITURE RESULTING FROM THE MANDATE OF THE MEMBERS OF THE SUPERVISORY COMMITTEE					
<i>A3 03 01</i>	<i>Expenditure resulting from the mandate of the members of the Supervisory Committee</i>	5	200 000	200 000	195 234,18	97,62 %
	Article A3 03 — Total		200 000	200 000	195 234,18	97,62 %

Article A3 03 01 — Expenditure resulting from the mandate of the members of the Supervisory Committee

Figures

Budget 2013	Appropriations 2012	Outturn 2011
200 000	200 000	195 234,18

Remarks

This appropriation is intended to cover all expenditure resulting from the mandate of the members of the Supervisory Committee, namely:

- allowances granted to the members of the Supervisory Committee for the time dedicated to the fulfilment of their functions, as well as their travel expenses and ancillary costs,
- costs incurred by members of the Supervisory Committee officially representing the Supervisory Committee,
- all operating expenditure, such as the cost of purchase of equipment, stationery and office supplies and expenditure arising from communications and telecommunications (postal charges, telephone, fax and telegraph charges), documentation and library expenditure, the purchase of books and subscriptions to information media,
- travel, subsistence and incidental expenses of experts, invited by the members of the Supervisory Committee to participate in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure (in the headquarters of the institutions or external offices),
- expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) where the members of the Supervisory Committee are unable to entrust such studies to suitable staff of the Office.

Legal basis

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20) and in particular Articles 4 and 6(3) thereof.

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1) and in particular Article 11 thereof.

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8) and in particular Article 11 thereof.

CHAPTER A3 10 — RESERVES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A3 10	RESERVES					
A3 10 01	Provisional appropriations	5		p.m.	0,—	
A3 10 02	Contingency reserve	5		p.m.	0,—	
	Article A3 10 — Total			p.m.	0,—	

Article A3 10 01 — Provisional appropriations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Remarks

The appropriations entered in this chapter are purely provisional and may be used only after transfer to other chapters in accordance with the procedure laid down for that purpose in the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A3 10 02 — Contingency reserve

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Annex A4 — European Personnel Selection Office

REVENUE — REVENUE

Figures

Title	Heading	Budget 2013	Budget 2012	Outturn 2011
4	MISCELLANEOUS UNION TAXES, LEVIES AND DUES	1 858 000	1 983 000	p.m.
6	CONTRIBUTIONS TO UNION/COMMUNITY PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE	p.m.	p.m.	p.m.
	Total	1 858 000	1 983 000	p.m.

TITLE 4 — MISCELLANEOUS UNION TAXES, LEVIES AND DUES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
4 0	DEDUCTIONS FROM REMUNERATION	809 000	721 000	p.m.
4 1	CONTRIBUTIONS TO THE PENSION SCHEME	1 049 000	1 262 000	
	Title 4 — Total	1 858 000	1 983 000	p.m.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 0	DEDUCTIONS FROM REMUNERATION				
4 0 0	<i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>	676 000	606 000		
4 0 3	<i>Proceeds from the temporary contribution from the salaries of Members of the institutions, officials and other servants in active employment</i>	p.m.	p.m.	p.m.	
4 0 4	<i>Proceeds from the special levy on the salaries of Members of the institution, officials and other servants in active employment</i>	133 000	115 000		
	Chapter 4 0 — Total	809 000	721 000	p.m.	

Article 4 0 0 — Proceeds from the tax on the salaries, wages and allowances of officials and other servants

Figures

Budget 2013	Budget 2012	Outturn 2011
676 000	606 000	

Remarks

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Reference acts

Protocol on the Privileges and Immunities of the European Union, and in particular Article 12 thereof.

Article 4 0 3 — Proceeds from the temporary contribution from the salaries of Members of the institutions, officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Communities, and in particular Article 20(3) thereof in the version in force until 30 April 2004.

Article 4 0 4 — Proceeds from the special levy on the salaries of Members of the institution, officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
133 000	115 000	

Remarks

Legal basis

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union, and in particular Article 20(3) thereof.

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 1	CONTRIBUTIONS TO THE PENSION SCHEME				
4 1 0	<i>Staff contributions to the pension scheme</i>	1 049 000	1 262 000		
	Chapter 4 1 — Total	1 049 000	1 262 000		

Article 4 1 0 — Staff contributions to the pension scheme

Figures

Budget 2013	Budget 2012	Outturn 2011
1 049 000	1 262 000	

Remarks

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office, in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

TITLE 6 — CONTRIBUTIONS TO UNION/COMMUNITY PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
6 6	OTHER CONTRIBUTIONS AND REFUNDS	p.m.	p.m.	p.m.
	Title 6 — Total	p.m.	p.m.	p.m.

CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 6	OTHER CONTRIBUTIONS AND REFUNDS				
6 6 0	<i>Other contributions and refunds</i>				
6 6 0 0	Other assigned contributions and refunds	p.m.	p.m.	p.m.	
	<i>Article 6 6 0 — Subtotal</i>	p.m.	p.m.	p.m.	
	Chapter 6 6 — Total	p.m.	p.m.	p.m.	

Article 6 6 0 — Other contributions and refunds

Item 6 6 0 0 — Other assigned contributions and refunds

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This item is intended to receive, in accordance with Article 18 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

EXPENDITURE — EXPENDITURE

TITLE A4 — EUROPEAN PERSONNEL SELECTION OFFICE

Figures

Classification by type

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
A4 01	ADMINISTRATIVE EXPENDITURE 40 01 40	5	17 875 000	17 043 950 500 000 17 543 950	15 707 255,61
A4 02	INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES 40 02 40	5	7 347 000	6 147 800 1 000 000 7 147 800	7 835 703,96
A4 03	INTERINSTITUTIONAL COOPERATION FOR TRAINING	5	3 487 000	3 537 000	4 108 247,00
A4 10	RESERVES	5		p.m.	0,—
	Chapter A4 — Total Of which Reserves: 40 01 40, 40 02 40		28 709 000	26 728 750 1 500 000	27 651 206,57

CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A4 01	ADMINISTRATIVE EXPENDITURE					
<i>A4 01 01</i>	<i>Expenditure related to staff in active employment</i> 40 01 40	5	10 613 000	10 048 000 500 000 10 548 000	9 785 291,68	92,20 %
<i>A4 01 02</i>	<i>External staff and other management expenditure</i>					
A4 01 02 01	External staff	5	1 560 000	1 560 000	1 486 500,00	95,29 %
A4 01 02 11	Other management expenditure	5	674 000	674 950	1 039 267,44	154,19 %
	<i>Item A4 01 02 — Subtotal</i>		2 234 000	2 234 950	2 525 767,44	113,06 %
<i>A4 01 03</i>	<i>Buildings and related expenditure</i>	5	5 023 000	4 754 000	3 392 514,49	67,54 %
<i>A4 01 50</i>	<i>Personnel policy and management</i>	5	p.m.	p.m.	0,—	
<i>A4 01 51</i>	<i>Infrastructure policy and management</i>	5	p.m.	p.m.	0,—	

A4 01 60	Library stocks, purchase of books	5	5 000	7 000	3 682,00	73,64 %
	Article A4 01 — Total		17 875 000	17 043 950	15 707 255,61	87,87 %
	40 01 40			500 000		
	Total including reserves			17 543 950		

Article A4 01 01 — Expenditure related to staff in active employment

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
A4 01 01	10 613 000	10 048 000	9 785 291,68
40 01 40		500 000	
Total	10 613 000	10 548 000	9 785 291,68

Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any adjustments to remunerations approved by the Council during the financial year,
- the flat-rate allowances and payments at hourly rates for overtime worked by officials in category AST and by local staff who could not be given compensatory leave in accordance with the procedures laid down,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- supplementary expenses arising from the secondment of Union officials, i.e. to pay the allowances and reimburse the expenditure to which secondment entitles them. It is also intended to cover costs relating to special training schemes with authorities and bodies in the Member States and third countries.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A4 01 02 — External staff and other management expenditure

Item A4 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 560 000	1 560 000	1 486 500,00

Remarks

This appropriation is intended to cover:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignments to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any adjustments to remuneration approved by the Council during the financial year,
- the services of freelance translators and linguists and typing or other work outsourced by the translation service.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item A4 01 02 11 — Other management expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
674 000	674 950	1 039 267,44

Remarks

This appropriation is intended to cover:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
 - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,

- fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
- the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants of residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids,
- the social security contributions, travel expenses and subsistence allowances of freelance and other non-permanent interpreters called in by the DG Interpretation to service meetings organised by the Office which cannot be serviced by Commission interpreters (officials or temporary staff).

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Article A4 01 03 — Buildings and related expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 023 000	4 754 000	3 392 514,49

Remarks

This appropriation is intended to cover the building of the Office and related expenditure, including:

- the payment of rents and leasing charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,

- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection, etc.),
 - technical assistance fees relating to major refurbishment work on premises,
 - the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
 - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media),
 - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities, etc.),
 - kitchen fittings and restaurant equipment,
 - various tools for building-maintenance shops,
 - facilities required for officials with disabilities,
- as well as studies, documentation and training relating to such equipment,
- the purchase, hire, maintenance and repair of furniture, and in particular:
 - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
 - the replacement of worn-out and broken furniture,
 - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
 - fittings specific to canteens and restaurants,
 - the hire of furniture,
 - furniture maintenance and repair costs,
 - the purchase, hire, maintenance and repair of vehicles, and in particular:
 - new purchases of vehicles,
 - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
 - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet,
 - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
 - various types of insurance (in particular third-party and theft),
 - expenditure on working equipment, and in particular:
 - purchase of uniforms for floor messengers and drivers,
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
 - departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
 - expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
 - the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
 - the purchase, hire or leasing and maintenance of equipment relating to the reproduction of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
 - the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,
 - installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
 - the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by

outside service providers,

- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail, and the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- interbuilding telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer hardware and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, office services, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology hardware and software, and utilisation, maintenance and software development costs, and undertaking of IT projects.

This appropriation also covers other operating expenditure not specifically provided for above, such as conference enrolment fees (excluding training expenses), subscriptions to trade and scientific associations, the cost of entries in telephone directories.

Before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A4 01 50 — Personnel policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover:

- assistance in kind which may be provided to an official, a former official or survivors of a deceased official who is/are in particularly difficult circumstances,
- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,
- the Office's contribution to the cost of the Early Childhood Centre and other crèches and for school transport,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
 - officials and temporary staff in active employment,
 - spouses of officials and temporary staff in active employment,
 - all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

Legal basis

Staff Regulations of Officials of the European Union.

Article A4 01 51 — Infrastructure policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim whatsoever arising therefrom.

Article A4 01 60 — Library stocks, purchase of books

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 000	7 000	3 682,00

Remarks

This appropriation is intended to cover expenditure on producing and developing the Office's intranet site as part of the Commission's intranet site (Intracomm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

CHAPTER A4 02 — INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A4 02	INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES					
A4 02 01	Interinstitutional cooperation, interinstitutional services and activities					
A4 02 01 01	Interinstitutional competitions	5	7 258 000	6 038 550	7 820 703,96	107,75 %
	40 02 40			1 000 000 7 038 550		
A4 02 01 02	Limited consultations, studies and surveys	5	75 000	95 000	0,—	
A4 02 01 03	Costs of internal meetings	5	14 000	14 250	15 000,00	107,14 %
	<i>Item A4 02 01 — Subtotal</i> 40 02 40		7 347 000	6 147 800 1 000 000 7 147 800	7 835 703,96	106,65 %
	Article A4 02 — Total 40 02 40		7 347 000	6 147 800 1 000 000 7 147 800	7 835 703,96	106,65 %
	Total including reserves					

Article A4 02 01 — Interinstitutional cooperation, interinstitutional services and activities

Remarks

Within the framework of the EPSO Development Programme, the Office has modernised its selection methods with the aim of meeting the institutions' current and future needs in a more cost-effective and efficient way by:

- improving the planning of competitions to select the right staff at the right time and to optimise the use of reserve lists,
- reducing the length of the selection process,
- considerably improving the quality of the selection process so that the institutions can recruit the best staff for a life-long career by selecting candidates on the basis of the competencies needed to do the job, and by professionalising the work of the selection boards,
- establishing a positive and modern image for the institutions as employers so as to enable them to attract the best staff in the context of an increasingly competitive employment market,
- providing all the necessary facilities to enable the participation of applicants with disabilities.

Item A4 02 01 01 — Interinstitutional competitions

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
A4 02 01 01	7 258 000	6 038 550	7 820 703,96
40 02 40		1 000 000	
Total	7 258 000	7 038 550	7 820 703,96

Remarks

This appropriation is intended to cover expenditure incurred by the procedures involved in organising various competitions. The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 250 000.

Legal basis

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Item A4 02 01 02 — Limited consultations, studies and surveys

Figures

Budget 2013	Appropriations 2012	Outturn 2011
75 000	95 000	0,—

Remarks

This appropriation is intended to cover expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) if the Commission does not have suitable staff available to carry out such studies. It also covers the purchase of studies already carried out or subscriptions to specialist research institutions.

Item A4 02 01 03 — Costs of internal meetings

Figures

Budget 2013	Appropriations 2012	Outturn 2011
14 000	14 250	15 000,00

Remarks

This appropriation is intended to cover the cost of refreshments and food served on special occasions during internal meetings, in

particular meetings of selection boards and translators.

CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A4 03	INTERINSTITUTIONAL COOPERATION FOR TRAINING					
A4 03 01	European Administrative School (EAS)					
A4 03 01 01	Management training	5	1 344 000	1 360 000	1 503 220,08	111,85 %
A4 03 01 02	Induction courses	5	1 090 000	1 127 000	1 367 026,92	125,42 %
A4 03 01 03	Training for certification	5	1 053 000	1 050 000	1 238 000,00	117,57 %
	<i>Item A4 03 01 — Subtotal</i>		3 487 000	3 537 000	4 108 247,00	117,82 %
	Article A4 03 — Total		3 487 000	3 537 000	4 108 247,00	117,82 %

Article A4 03 01 — European Administrative School (EAS)

Remarks

This appropriation is intended to cover the costs of the general training organised by the European Administrative School (EAS) for improving the staff skills and the performance and efficiency of the participating institutions, including:

- fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
- fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
- expenditure incurred in designing, coordinating and evaluating the training organised by the EAS in the form of courses, seminars and lectures (trainers/lecturers and their travel and subsistence costs together with teaching material),
- the cost of participating in outside training, and membership fees of the relevant professional organisations,
- the costs of networking the EAS, at European level, with national administration schools and university institutes active in the field, with a view to exchanging experiences, identifying examples of best practice and cooperating on the development of further training in European public administrations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- the financing of teaching material.

Legal basis

Decision 2005/119/EC of the Secretaries-General of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions and the Representative of the European Ombudsman of 26 January 2005 on the organisation and running of the European Administrative School (OJ L 37, 10.2.2005, p. 17).

Item A4 03 01 01 — Management training

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 344 000	1 360 000	1 503 220,08

Remarks

This appropriation is intended to cover the costs of training for officials and other staff in management techniques (quality and personnel management, strategy).

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100 000.

Item A4 03 01 02 — Induction courses

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 090 000	1 127 000	1 367 026,92

Remarks

This appropriation is intended to cover the costs of training for new officials and newly recruited staff in the working environment of the institutions.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 40 000.

Item A4 03 01 03 — Training for certification

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 053 000	1 050 000	1 238 000,00

Remarks

This appropriation is intended to cover the costs of preparatory training for officials in obtaining certification attesting to their capacity to perform the duties of administrator with a view to their possible transfer to a higher function group.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 16 000.

CHAPTER A4 10 — RESERVES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A4 10	RESERVES					
<i>A4 10 01</i>	<i>Provisional appropriations</i>	5		p.m.	0,—	
<i>A4 10 02</i>	<i>Contingency reserve</i>	5		p.m.	0,—	
	Article A4 10 — Total			p.m.	0,—	

Article A4 10 01 — Provisional appropriations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Remarks

The appropriations entered in this chapter are purely provisional and may be used only after their transfer to other chapters in accordance with the procedure laid down for that purpose in the Financial Regulation.

RE-USE REPLACEMENT ERROR

Overall objectives

The re-use at this position could not be replaced due to the following error:

Reuse property filter class not found: No filter name/type is specified

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A4 10 02 — Contingency reserve

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Annex A5 — Office for Administration and Payment of Individual Entitlements

REVENUE — REVENUE

Figures

Title	Heading	Budget 2013	Budget 2012	Outturn 2011
4	MISCELLANEOUS UNION TAXES, LEVIES AND DUES	4 118 000	3 958 000	p.m.
6	CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE	p.m.	p.m.	p.m.
	Total	4 118 000	3 958 000	p.m.

TITLE 4 — MISCELLANEOUS UNION TAXES, LEVIES AND DUES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
4 0	DEDUCTIONS FROM REMUNERATION	1 399 000	1 414 000	p.m.
4 1	CONTRIBUTIONS TO THE PENSION SCHEME	2 719 000	2 544 000	
	Title 4 — Total	4 118 000	3 958 000	p.m.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 0 4 0 0	DEDUCTIONS FROM REMUNERATION <i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>	1 157 000	1 191 000		

4 0 3	<i>Proceeds from the temporary contribution from the salaries of officials and other servants in active employment</i>				
		p.m.	p.m.	p.m.	
4 0 4	<i>Proceeds from the special levy on the salaries of officials and other servants in active employment</i>	242 000	223 000		
	Chapter 4 0 — Total	1 399 000	1 414 000	p.m.	

Article 4 0 0 — Proceeds from the tax on the salaries, wages and allowances of officials and other servants

Figures

Budget 2013	Budget 2012	Outturn 2011
1 157 000	1 191 000	

Remarks

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Reference acts

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Article 4 0 3 — Proceeds from the temporary contribution from the salaries of officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

Article 4 0 4 — Proceeds from the special levy on the salaries of officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
242 000	223 000	

Legal basis

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 1	CONTRIBUTIONS TO THE PENSION SCHEME				
4 1 0	<i>Staff contributions to the pension scheme</i>	2 719 000	2 544 000		
	Chapter 4 1 — Total	2 719 000	2 544 000		

Article 4 1 0 — Staff contributions to the pension scheme

Figures

Budget 2013	Budget 2012	Outturn 2011
2 719 000	2 544 000	

Remarks

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

TITLE 6 — CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
6 6	OTHER CONTRIBUTIONS AND REFUNDS	p.m.	p.m.	p.m.
	Title 6 — Total	p.m.	p.m.	p.m.

CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 6	OTHER CONTRIBUTIONS AND REFUNDS				
6 6 0	<i>Other contributions and refunds</i>				
6 6 0 0	Other assigned contributions and refunds	p.m.	p.m.	p.m.	
	<i>Article 6 6 0 — Subtotal</i>	p.m.	p.m.	p.m.	
	Chapter 6 6 — Total	p.m.	p.m.	p.m.	

Article 6 6 0 — Other contributions and refunds

Item 6 6 0 0 — Other assigned contributions and refunds

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This item is intended to receive, in accordance with Article 18 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

EXPENDITURE — EXPENDITURE

TITLE A5 — OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTS

Figures

Classification by type

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
A5 01	ADMINISTRATIVE EXPENDITURE	5	37 000 000	35 879 000	41 979 223,33
A5 10	RESERVES	5		p.m.	0,—
	Chapter A5 — Total		37 000 000	35 879 000	41 979 223,33

CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A5 01	ADMINISTRATIVE EXPENDITURE					
<i>A5 01 01</i>	<i>Expenditure related to staff in active employment</i>	5	17 017 000	16 678 000	15 756 409,23	92,59 %
<i>A5 01 02</i>	<i>External staff and other management expenditure</i>					
A5 01 02 01	External staff	5	9 543 000	9 592 000	14 867 554,72	155,80 %
A5 01 02 11	Other management expenditure	5	214 000	214 000	188 091,49	87,89 %
	<i>Item A5 01 02 — Subtotal</i>		<i>9 757 000</i>	<i>9 806 000</i>	<i>15 055 646,21</i>	<i>154,31 %</i>
<i>A5 01 03</i>	<i>Buildings and related expenditure</i>	5	10 226 000	9 395 000	11 167 167,89	109,20 %
<i>A5 01 50</i>	<i>Personnel policy and management</i>	5	p.m.	p.m.	0,—	
<i>A5 01 51</i>	<i>Infrastructure policy and management</i>	5	p.m.	p.m.	0,—	
<i>A5 01 60</i>	<i>Documentation and library expenditure</i>	5	p.m.	p.m.	0,—	
	Article A5 01 — Total		37 000 000	35 879 000	41 979 223,33	113,46 %

Article A5 01 01 — Expenditure related to staff in active employment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
17 017 000	16 678 000	15 756 409,23

Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A5 01 02 — External staff and other management expenditure

Item A5 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
9 543 000	9 592 000	14 867 554,72

Remarks

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary

- expenses arising from the secondment of officials to national civil services or international organisations,
 - the cost of any adjustments to remuneration approved by the Council during the financial year.
- The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 4 700 000.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item A5 01 02 11 — Other management expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
214 000	214 000	188 091,49

Remarks

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates or which it organises,
- expenditure on specialised studies and consultations contracted out to highly qualified experts (natural or legal persons) if the Office does not have suitable staff available to carry out such studies, including the purchase of studies already conducted,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
 - fees for experts employed to identify training needs, design, develop and hold courses, and evaluate and monitor results,
 - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
 - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Article A5 01 03 — Buildings and related expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
10 226 000	9 395 000	11 167 167,89

Remarks

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the costs of purchase or lease-purchase of buildings or the construction of buildings,
- rent and ground rent, various taxes and the exercise of purchase options on buildings or parts of buildings occupied, as well as the hire of conference rooms, warehouses, storerooms, archives, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges for the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection, etc.),
- technical assistance fees relating to major fitting-out operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
 - purchase of uniforms (mainly for floor messengers, drivers and restaurant and cafeteria staff),
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,

- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters, word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- expenditure on postal and mail delivery charges, on postal and other packages sent by air, sea or rail, and on the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the costs of interbuilding telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software, the cost of the use, maintenance, upkeep and development of software, and of carrying out computer projects,
- other operating expenditure not specially provided for above.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 542 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A5 01 50 — Personnel policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,
- the Office's contribution to the costs of the Early Childhood Centre and other childminding facilities,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
 - officials and temporary staff in active employment,
 - spouses of officials and temporary staff in active employment,
 - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Article A5 01 51 — Infrastructure policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim whatsoever arising therefrom.

Article A5 01 60 — Documentation and library expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (IntraComm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of reference works and periodicals, expenditure on subscriptions to newspapers and specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

CHAPTER A5 10 — RESERVES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A5 10	RESERVES					
A5 10 01	Provisional appropriations	5		p.m.	0,—	
A5 10 02	Contingency reserve	5		p.m.	0,—	
	Article A5 10 — Total			p.m.	0,—	

Article A5 10 01 — Provisional appropriations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Remarks

The appropriations in this chapter are purely provisional and can only be used after transfer to other chapters in accordance with the relevant procedure laid down in the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A5 10 02 — Contingency reserve

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Annex A6 — Office for Infrastructure and Logistics — Brussels

REVENUE — REVENUE

Figures

Title	Heading	Budget 2013	Budget 2012	Outturn 2011
4	MISCELLANEOUS UNION TAXES, LEVIES AND DUES	7 802 000	8 129 000	p.m.
6	CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE	p.m.	p.m.	p.m.
	Total	7 802 000	8 129 000	p.m.

TITLE 4 — MISCELLANEOUS UNION TAXES, LEVIES AND DUES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
4 0	DEDUCTIONS FROM REMUNERATION	2 798 000	2 905 000	p.m.
4 1	CONTRIBUTIONS TO THE PENSION SCHEME	5 004 000	5 224 000	
	Title 4 — Total	7 802 000	8 129 000	p.m.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 0	DEDUCTIONS FROM REMUNERATION				
4 0 0	<i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>	2 356 000	2 482 000		
4 0 3	<i>Proceeds from the temporary contribution from the salaries of officials and other servants in active employment</i>	p.m.	p.m.	p.m.	
4 0 4	<i>Proceeds from the special levy on the salaries of officials and other servants in active employment</i>	442 000	423 000		

	Chapter 4 0 — Total	2 798 000	2 905 000	p.m.
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Article 4 0 0 — Proceeds from the tax on the salaries, wages and allowances of officials and other servants

Figures

Budget 2013	Budget 2012	Outturn 2011
2 356 000	2 482 000	

Remarks

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Reference acts

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Article 4 0 3 — Proceeds from the temporary contribution from the salaries of officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

Article 4 0 4 — Proceeds from the special levy on the salaries of officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
442 000	423 000	

Legal basis

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 1	CONTRIBUTIONS TO THE PENSION SCHEME				
4 1 0	<i>Staff contributions to the pension scheme</i>	5 004 000	5 224 000		
	Chapter 4 1 — Total	5 004 000	5 224 000		

Article 4 1 0 — Staff contributions to the pension scheme

Figures

Budget 2013	Budget 2012	Outturn 2011
5 004 000	5 224 000	

Remarks

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

Reference acts

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

TITLE 6 — CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
6 6	OTHER CONTRIBUTIONS AND REFUNDS	p.m.	p.m.	p.m.
	Title 6 — Total	p.m.	p.m.	p.m.

CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 6	OTHER CONTRIBUTIONS AND REFUNDS				
6 6 0	<i>Other contributions and refunds</i>				
6 6 0 0	Other assigned contributions and refunds	p.m.	p.m.	p.m.	
	<i>Article 6 6 0 — Subtotal</i>	p.m.	p.m.	p.m.	
	Chapter 6 6 — Total	p.m.	p.m.	p.m.	

Article 6 6 0 — Other contributions and refunds

Item 6 6 0 0 — Other assigned contributions and refunds

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This item is intended to accommodate, in accordance with Article 18 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

EXPENDITURE — EXPENDITURE

TITLE A6 — OFFICE FOR INFRASTRUCTURE AND LOGISTICS — BRUSSELS

Figures

Classification by type

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
A6 01	ADMINISTRATIVE EXPENDITURE	5	70 048 000	69 711 000	72 336 439,37
A6 10	RESERVES	5		p.m.	0,—
	Chapter A6 — Total		70 048 000	69 711 000	72 336 439,37

CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A6 01	ADMINISTRATIVE EXPENDITURE					
<i>A6 01 01</i>	<i>Expenditure related to staff in active employment</i>	5	34 739 000	34 486 000	33 550 688,68	96,58 %
<i>A6 01 02</i>	<i>External staff and other management expenditure</i>					
A6 01 02 01	External staff	5	22 357 000	22 401 000	25 392 908,05	113,58 %
A6 01 02 11	Other management expenditure	5	431 000	431 000	561 162,12	130,20 %
	<i>Item A6 01 02 — Subtotal</i>		22 788 000	22 832 000	25 954 070,17	113,89 %
<i>A6 01 03</i>	<i>Buildings and related expenditure</i>	5	12 521 000	12 393 000	12 831 680,52	102,48 %
<i>A6 01 50</i>	<i>Personnel policy and management</i>	5	p.m.	p.m.	0,—	
<i>A6 01 51</i>	<i>Infrastructure policy and management</i>	5	p.m.	p.m.	0,—	
<i>A6 01 60</i>	<i>Documentation and library expenditure</i>	5	p.m.	p.m.	0,—	
	Article A6 01 — Total		70 048 000	69 711 000	72 336 439,37	103,27 %

Article A6 01 01 — Expenditure related to staff in active employment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
34 739 000	34 486 000	33 550 688,68

Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any adjustments to remunerations approved by the Council during the financial year.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 750 000.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A6 01 02 — External staff and other management expenditure

Item A6 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
22 357 000	22 401 000	25 392 908,05

Remarks

This appropriation is intended to cover:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remunerations of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting and the supply of intellectual services,

- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 6 000 000.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item A6 01 02 11 — Other management expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
431 000	431 000	561 162,12

Remarks

This appropriation is intended to cover:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on specialised studies and consultations contracted out to highly qualified experts (natural or legal persons) if the Office does not have suitable staff available to carry out such studies,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
 - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
 - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
 - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Article A6 01 03 — Buildings and related expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
12 521 000	12 393 000	12 831 680,52

Remarks

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the costs of purchase or lease-purchase of buildings or the construction of buildings,
- rent and ground rent, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges for the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major fitting-out operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance,
- expenditure on working equipment, and in particular:
 - purchase of uniforms (mainly for floor messengers, drivers and restaurant and cafeteria staff),
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone

- switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
 - the purchase, hire or leasing and maintenance of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
 - the purchase, hire or leasing of typewriters, word processors and any other electronic office equipment,
 - installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
 - the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
 - expenditure on postal and mail delivery charges, on postal and other packages sent by air, sea or rail, and on the Office's internal mail,
 - subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
 - the costs of establishing telephone and computer links and international transmission lines between sites of Union offices,
 - technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software, the cost of the use, maintenance, upkeep and development of software and of carrying out computer projects,
 - other operating expenditure not specially provided for above.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 300 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A6 01 50 — Personnel policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,
- the Office's contribution to the costs of the Early Childhood Centre and other childminding facilities,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
 - officials and temporary staff in active employment,
 - spouses of officials and temporary staff in active employment,
 - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence

or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Article A6 01 51 — Infrastructure policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover the following expenditure:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim whatsoever arising therefrom.

Article A6 01 60 — Documentation and library expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure on producing and developing of the Commission's intranet site (Intracomm), producing of the in-house weekly *Commission en direct*, subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, official journals, parliamentary papers, foreign trade statistics, news agency reports and various other specialised publications, and the purchase of publications and technical works connected with the Office's activities.

CHAPTER A6 10 — RESERVES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A6 10	RESERVES					
<i>A6 10 01</i>	<i>Provisional appropriations</i>	5		p.m.	0,—	
<i>A6 10 02</i>	<i>Contingency reserve</i>	5		p.m.	0,—	
	Article A6 10 — Total			p.m.	0,—	

Article A6 10 01 — Provisional appropriations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Remarks

The appropriations in this article are purely provisional and can only be used after transfer to other budget headings in accordance with the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A6 10 02 — Contingency reserve

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Annex A7 — Office for Infrastructure and Logistics — Luxembourg

REVENUE — REVENUE

Figures

Title	Heading	Budget 2013	Budget 2012	Outturn 2011
4	MISCELLANEOUS UNION TAXES, LEVIES AND DUES	2 620 000	2 619 000	p.m.
6	CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE	p.m.	p.m.	p.m.
	Total	2 620 000	2 619 000	p.m.

TITLE 4 — MISCELLANEOUS UNION TAXES, LEVIES AND DUES

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
4 0	DEDUCTIONS FROM REMUNERATION	1 014 000	1 032 000	p.m.
4 1	CONTRIBUTIONS TO THE PENSION SCHEME	1 606 000	1 587 000	
	Title 4 — Total	2 620 000	2 619 000	p.m.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 0	DEDUCTIONS FROM REMUNERATION				
4 0 0	<i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>	861 000	887 000		
4 0 3	<i>Proceeds from the temporary contribution from the salaries of officials and other servants in active employment</i>	p.m.	p.m.	p.m.	
4 0 4	<i>Proceeds from the special levy on the salaries of officials and other servants in active employment</i>	153 000	145 000		

	Chapter 4 0 — Total	1 014 000	1 032 000	p.m.
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Article 4 0 0 — Proceeds from the tax on the salaries, wages and allowances of officials and other servants

Figures

Budget 2013	Budget 2012	Outturn 2011
861 000	887 000	

Remarks

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Reference acts

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Article 4 0 3 — Proceeds from the temporary contribution from the salaries of officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

Legal basis

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

Article 4 0 4 — Proceeds from the special levy on the salaries of officials and other servants in active employment

Figures

Budget 2013	Budget 2012	Outturn 2011
153 000	145 000	

Legal basis

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
4 1	CONTRIBUTIONS TO THE PENSION SCHEME				
4 1 0	<i>Staff contributions to the pension scheme</i>	1 606 000	1 587 000		
	Chapter 4 1 — Total	1 606 000	1 587 000		

Article 4 1 0 — Staff contributions to the pension scheme

Figures

Budget 2013	Budget 2012	Outturn 2011
1 606 000	1 587 000	

Remarks

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office, in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

TITLE 6 — CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

Figures

Title Chapter	Heading	Budget 2013	Budget 2012	Outturn 2011
6 6	OTHER CONTRIBUTIONS AND REFUNDS	p.m.	p.m.	p.m.
	Title 6 — Total	p.m.	p.m.	p.m.

CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Figures

Title Chapter Article Item	Heading	Budget 2013	Budget 2012	Outturn 2011	2011/2013
6 6	OTHER CONTRIBUTIONS AND REFUNDS				
6 6 0	<i>Other contributions and refunds</i>				
6 6 0 0	Other assigned contributions and refunds	p.m.	p.m.	p.m.	
	<i>Article 6 6 0 — Subtotal</i>	p.m.	p.m.	p.m.	
	Chapter 6 6 — Total	p.m.	p.m.	p.m.	

Article 6 6 0 — Other contributions and refunds

Item 6 6 0 0 — Other assigned contributions and refunds

Figures

Budget 2013	Budget 2012	Outturn 2011
p.m.	p.m.	p.m.

Remarks

This item is intended to receive, in accordance with Article 18 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

EXPENDITURE — EXPENDITURE

TITLE A7 — OFFICE FOR INFRASTRUCTURE AND LOGISTICS — LUXEMBOURG

Figures

Classification by type

Title Chapter	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
A7 01	ADMINISTRATIVE EXPENDITURE	5	25 404 000	25 266 000	24 671 665,74
A7 10	RESERVES	5		p.m.	0,—
	Chapter A7 — Total		25 404 000	25 266 000	24 671 665,74

CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A7 01	ADMINISTRATIVE EXPENDITURE					
<i>A7 01 01</i>	<i>Expenditure related to staff in active employment</i>	5	12 872 000	12 618 000	12 026 217,56	93,43 %
<i>A7 01 02</i>	<i>External staff and other management expenditure</i>					
A7 01 02 01	External staff	5	6 577 000	6 629 000	6 205 364,79	94,35 %
A7 01 02 11	Other management expenditure	5	349 000	385 000	327 414,94	93,82 %
	<i>Item A7 01 02 — Subtotal</i>		<i>6 926 000</i>	<i>7 014 000</i>	<i>6 532 779,73</i>	<i>94,32 %</i>
<i>A7 01 03</i>	<i>Buildings and related expenditure</i>	5	5 606 000	5 634 000	6 112 668,45	109,04 %
<i>A7 01 50</i>	<i>Personnel policy and management</i>	5	p.m.	p.m.	0,—	
<i>A7 01 51</i>	<i>Infrastructure policy and management</i>	5	p.m.	p.m.	0,—	
<i>A7 01 60</i>	<i>Documentation and library expenditure</i>	5	p.m.	p.m.	0,—	
	Article A7 01 — Total		25 404 000	25 266 000	24 671 665,74	97,12 %

Article A7 01 01 — Expenditure related to staff in active employment

Figures

Budget 2013	Appropriations 2012	Outturn 2011
12 872 000	12 618 000	12 026 217,56

Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A7 01 02 — External staff and other management expenditure

Item A7 01 02 01 — External staff

Figures

Budget 2013	Appropriations 2012	Outturn 2011
6 577 000	6 629 000	6 205 364,79

Remarks

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remunerations of such staff,
- expenditure incurred (remuneration, insurance etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary

- expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item A7 01 02 11 — Other management expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
349 000	385 000	327 414,94

Remarks

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on specialised studies and consultation carried out by highly qualified experts (natural or legal persons) under contract, to the extent that the staff available to the Office does not enable it to carry out this work directly, including the purchase of studies already carried out,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
 - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
 - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
 - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Article A7 01 03 — Buildings and related expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
5 606 000	5 634 000	6 112 668,45

Remarks

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the cost of purchase or rental with purchase option of buildings or the construction of buildings,
- the payment of rents and leasing charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure relating to the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations, training and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure relating to the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major refurbishment work on premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
 - purchase of uniforms (mainly for messengers, drivers and catering staff),
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone

- switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
 - the purchase, hire or leasing and maintenance of equipment relating to the reproduction of information in paper form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
 - the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,
 - installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
 - the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
 - postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail, and the Office's internal mail,
 - subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
 - establishing telephone and computer links and international transmission lines between sites of Union offices,
 - technical and logistical support and training and other general activities relating to computer hardware and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, office services, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology (IT) hardware and software, and utilisation, maintenance and software development costs, and undertaking of IT projects,
 - other operating expenditure not specifically provided for above.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A7 01 50 — Personnel policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover:

- the contribution by the Office to the costs of the recreation centre and to other cultural and sports activities, and any initiatives designed to encourage social contact between staff of different nationalities,
- the Office's contribution to the cost of crèches and kindergartens,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
 - officials and temporary staff in active employment,
 - spouses of officials and temporary staff in active employment,
 - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from

disablement.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Article A7 01 51 — Infrastructure policy and management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim whatsoever arising therefrom.

Article A7 01 60 — Documentation and library expenditure

Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (Intracomm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

CHAPTER A7 10 — RESERVES

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011	2011/2013
A7 10	RESERVES					
A7 10 01	<i>Provisional appropriations</i>	5		p.m.	0,—	
A7 10 02	<i>Contingency reserve</i>	5		p.m.	0,—	
	Article A7 10 — Total			p.m.	0,—	

Article A7 10 01 — Provisional appropriations

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—

Remarks

The appropriations entered in this article are purely provisional and may be used only after transfer to other budget headings in accordance with the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article A7 10 02 — Contingency reserve

Figures

Budget 2013	Appropriations 2012	Outturn 2011
	p.m.	0,—