

**COMMON POSITION (EC) No 44/2003****adopted by the Council on 3 June 2003****with a view to adopting Regulation (EC) No .../2003 of the European Parliament and of the Council of ..., amending Regulation (EC) No 1592/2002 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency**

(2003/C 219 E/03)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF  
THE EUROPEAN UNION,

Having regard to the Treaty establishing the European  
Community, and in particular Article 80(2) thereof,

Having regard to the proposal from the Commission <sup>(1)</sup>,

Having regard to the Opinion of the Court of Auditors <sup>(2)</sup>,

Having regard to the Opinion of the European Economic and  
Social Committee <sup>(3)</sup>,

Acting in accordance with the procedure referred to in Article  
251 of the Treaty <sup>(4)</sup>,

Whereas:

(1) Certain provisions of Regulation (EC) No 1592/2002 of the European Parliament and of the Council of 15 July 2002 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency <sup>(5)</sup> should be brought into line with Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities <sup>(6)</sup> (hereinafter referred to as 'the general Financial Regulation'), and in particular Article 185 thereof.

(2) The general principles and limits governing the exercise of the right of access to documents provided for in Article 255 of the Treaty have been laid down by Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents <sup>(7)</sup>.

<sup>(1)</sup> OJ C 331 E, 31.12.2002, p. 85.

<sup>(2)</sup> OJ C 285, 21.11.2002, p. 4.

<sup>(3)</sup> OJ C 285, 8.4.2003, p. 64.

<sup>(4)</sup> Opinion of the European Parliament of 22 October 2002 (not yet published in the Official Journal), Council Common Position of 3 June 2003 and Decision of the European Parliament of ... (not yet published in the Official Journal).

<sup>(5)</sup> OJ L 240, 7.9.2002, p. 1.

<sup>(6)</sup> OJ L 248, 16.9.2002, p. 1. Corrigendum in OJ L 25, 30.1.2003, p. 43.

<sup>(7)</sup> OJ L 145, 31.5.2001, p. 43.

(3) When Regulation (EC) No 1049/2001 was adopted, the three institutions agreed in a joint declaration that the agencies and similar bodies should have rules which conform to those of that Regulation.

(4) Appropriate provisions should therefore be included in Regulation (EC) No 1592/2002 to make Regulation (EC) No 1049/2001 applicable to the European Aviation Safety Agency, as should a provision on appeals against a refusal of access to documents.

(5) Regulation (EC) No 1592/2002 should therefore be amended accordingly,

HAVE ADOPTED THIS REGULATION:

*Article 1*

Regulation (EEC) No 1592/2002 is hereby amended as follows:

1. Article 24(2)(b) shall be replaced by the following:

'(b) The Management Board shall adopt the annual report on the Agency's activities and forward it by 15 June at the latest to the European Parliament, the Council, the Commission, the Court of Auditors and the Member States.

The Agency shall forward annually to the budgetary authority any information relevant to the outcome of the evaluation procedures;'

2. in Article 47:

(a) paragraph 1 shall be replaced by the following:

'1. Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding access to European Parliament, Council and Commission documents (\*) shall apply to documents held by the Agency.

(\*) OJ L 145, 31.5.2001, p. 43.;

(b) paragraph 3 shall be replaced by the following:

‘3. The Management Board shall adopt the arrangements for implementing Regulation (EC) No 1049/2001 within six months after the entry into force of Regulation (EC) No .../... of the European Parliament and of the Council amending Regulation (EC) No 1592/2002 of 15 July 2002 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency (\*\*).

(\*\*) OJ L ...;

(c) the following paragraph shall be added:

‘5. Decisions taken by the Agency pursuant to Article 8 of Regulation (EC) No 1049/2001 may give rise to the lodging of a complaint to the Ombudsman or form the subject of an action before the Court of Justice, under Articles 195 and 230 of the EC Treaty respectively.’;

3. in Article 48:

(a) paragraphs 3, 4, 5, 6 and 7 shall be replaced by the following:

‘3. Revenue and expenditure shall be in balance.

4. Each year the Management Board, on the basis of a draft statement of estimates of revenue and expenditure, shall produce a statement of estimates of revenue and expenditure of the Agency for the following financial year.

5. This statement of estimates, which shall include a draft establishment plan together with the provisional work programme, shall by 31 March at the latest be forwarded by the Management Board to the Commission and to the States with which the Community has concluded agreements in accordance with Article 55.

6. The statement of estimates shall be forwarded by the Commission to the European Parliament and the Council (hereinafter referred to as “the budgetary authority”) together with the preliminary draft general budget of the European Union.

7. On the basis of the statement of estimates, the Commission shall enter in the preliminary draft general budget of the European Union the estimates it deems necessary for the establishment plan and the amount of the subsidy to be charged to the general budget, which it shall place before the budgetary authority in accordance with Article 272 of the Treaty.’;

(b) the following paragraphs shall be added:

‘8. The budgetary authority shall authorise the appropriations for the subsidy to the Agency.

The budgetary authority shall adopt the establishment plan for the Agency.

9. The budget shall be adopted by the Management Board. It shall become final following final adoption of the general budget of the European Union. Where appropriate, it shall be adjusted accordingly.

10. The Management Board shall, as soon as possible, notify the budgetary authority of its intention to implement any project which may have significant financial implications for the funding of the budget, in particular any projects relating to property such as the rental or purchase of buildings. It shall inform the Commission thereof.

Where a branch of the budgetary authority has notified its intention to deliver an opinion, it shall forward its opinion to the Management Board within a period of six weeks from the date of notification of the project.’;

4. Article 49 shall be replaced by the following:

‘Article 49

#### **Implementation and control of the budget**

1. The Executive Director shall implement the budget of the Agency.

2. By 1 March at the latest following each financial year, the Agency's accounting officer shall communicate the provisional accounts to the Commission's accounting officer together with a report on the budgetary and financial management for that financial year. The Commission's accounting officer shall consolidate the provisional accounts of the institutions and decentralised bodies in accordance with Article 128 of the general Financial Regulation.

3. By 31 March at the latest following each financial year, the Commission's accounting officer shall forward the Agency's provisional accounts to the Court of Auditors, together with a report on the budgetary and financial management for that financial year. The report on the budgetary and financial management for the financial year shall also be forwarded to the European Parliament and the Council.

4. On receipt of the Court of Auditors' observations on the Agency's provisional accounts, under Article 129 of the general Financial Regulation, the Executive Director shall draw up the Agency's final accounts under his own responsibility and submit them to the Management Board for an opinion.

5. The Management Board shall deliver an opinion on the Agency's final accounts.

6. The Executive Director shall, by 1 July at the latest following each financial year, forward the final accounts to the European Parliament, the Council, the Commission and the Court of Auditors, together with the Management Board's opinion.

7. The final accounts shall be published.

8. The Executive Director shall send the Court of Auditors a reply to its observations by 30 September at the latest. He shall also send this reply to the Management Board.

9. The Executive Director shall submit to the European Parliament, at the latter's request, any information necessary for the smooth application of the discharge procedure for the financial year in question, as laid down in Article 146(3) of the general Financial Regulation.

10. The European Parliament, on a recommendation from the Council acting by a qualified majority, shall, before 30 April of year N+2, give a discharge to the Executive Director in respect of the implementation of the budget for year N.;

5. Article 52 shall be replaced by the following:

*'Article 52*

### **Financial provisions**

The financial rules applicable to the Agency shall be adopted by the Management Board after the Commission has been consulted. They may not depart from Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (\*) unless such departure is specifically required for the Agency's operation and the Commission has given its prior consent.

(\*) OJ L 357, 31.12.2002, p. 72 with corrigendum in OJ L 2, 7.1.2003, p. 39.'.

### *Article 2*

This Regulation shall enter into force on the first day of the month following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at ...

*For the European Parliament*  
*The President*

*For the Council*  
*The President*

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## STATEMENT OF THE COUNCIL'S REASONS

### I. INTRODUCTION

On 17 July 2002 the Commission submitted a proposal for a Regulation of the European Parliament and of the Council amending Council Regulation (EC) No 1592/2002 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency <sup>(1)</sup>.

The proposal is based on Article 80(2) of the EC Treaty.

The European Parliament delivered its opinion on 22 October 2002 <sup>(2)</sup>.

The Court of Auditors delivered its opinion on 26 September 2002 <sup>(3)</sup>.

The Economic and Social Committee delivered its opinion on 11 December 2002 <sup>(4)</sup>.

### II. OBJECTIVE

The purpose of the above proposal is to amend Regulation (EC) No 1592/2002 as regards the budgetary and financial rules applicable to the Agency, in order to bring it into line with the new general Financial Regulation that entered into force on 1 January 2003 <sup>(5)</sup>, and also as regards access to the Agency's documents, with a view to implementing within the Agency Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding access to European Parliament, Council and Commission documents <sup>(6)</sup>.

### III. EUROPEAN PARLIAMENT AMENDMENTS

At the trialogue meeting held on 18 March 2003, the European Parliament and the Council agreed that the text of the Regulation should include four European Parliament amendments relating to:

- (a) notification of the budgetary authority regarding evaluation procedures (Article 24(2)(b));
- (b) the conditions for final adoption of the Agency's budget (Article 48(9));
- (c) notification of the budgetary authority regarding projects (in particular those relating to property) which might have significant financial implications for the budget (Article 48(10));
- (d) the discharge procedure (Article 49(9)).

Those amendments have been incorporated in the common position.

Three other European Parliament amendments were not included in the Regulation, but have been accepted in substance in the form of statements which appear in Addendum 2 to the common position (doc. 8241/03) and which are to be published in the *Official Journal of the European Union*.

### IV. MAJOR CHANGES MADE BY THE COUNCIL TO THE COMMISSION'S ORIGINAL PROPOSAL

The Council did not adopt the Commission's proposal for the procedure to appoint the Director, as it was not technically an amendment to the existing rules.

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<sup>(1)</sup> OJ C 331 E, 31.12.2002, p. 87.

<sup>(2)</sup> Not yet published in the Official Journal.

<sup>(3)</sup> OJ C 285, 21.11.2002, p. 4.

<sup>(4)</sup> OJ C 85, 8.4.2003, p. 64.

<sup>(5)</sup> OJ L 248, 16.9.2002, p. 1; corrigendum OJ L 25, 30.1.2003, p. 43.

<sup>(6)</sup> OJ L 145, 31.5.2001, p. 43.