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EUROPEAN COMMISSION

Brussels, 27.4.2011  
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2011/0097 (NLE)

Proposal for a

**COUNCIL DECISION**

**on the conclusion of a new Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Republic of Cape Verde**

## EXPLANATORY MEMORANDUM

Based on a mandate from the Council<sup>1</sup>, the European Commission has negotiated with the Republic of Cape Verde to renew the Protocol to the Fisheries Partnership Agreement between the European Community and the Republic of Cape Verde, dated 19 December 2006. Following these negotiations, a new protocol was initialled on 22 December 2010, covering a period of three years starting from 1 September 2011, since the protocol currently in force will expire on 31 August 2011.

This procedure is initiated in parallel with the procedures relating to the Council Decision on the signing on behalf of the Union and on the provisional application of the Protocol itself, as well as to the Council Regulation concerning the allocation of fishing opportunities between the Member States of the EU.

The Commission's negotiating position was based in part on the results of an ex-post evaluation carried out by external experts.

The main objective of the Protocol is to define the fishing opportunities offered to European Union vessels on the basis of the surplus available, as well as the financial contribution due separately for access rights and sectoral support.

The objective is to continue the cooperation between the European Union and the Republic of Cape Verde to create a partnership framework within which to develop a sustainable fisheries policy and to ensure the sound exploitation of fisheries resources in the Cape Verde fishing zone, which is in the interests of both Parties.

The new Protocol is in keeping with the two Parties' concern to strengthen partnership and cooperation in the fisheries sector using all the financial instruments available. To this end, it should be reiterated that there is a need to create a framework which is favourable to the development of investment in this sector and optimising the production of small-scale fisheries.

The overall annual contribution of the Protocol of EUR 1 305 000 over the whole period is based on: a) an annual reference tonnage fixed at 5 000 tonnes for 74 vessels, corresponding to EUR 325 000 per year, and b) support for the development of the sectoral fisheries policy of the Republic of Cape Verde amounting to EUR 110 000 per year. This support meets the objectives of the national fisheries policy.

With regard to the fishing opportunities, 28 tuna seiners, 35 surface longliners and 11 pole-and-line vessels will be authorised to fish. Nevertheless, on the basis of the annual assessments of the state of stocks, these fishing opportunities could be revised upwards or downwards, which would lead to a corresponding review of the financial contribution.

The Commission proposes, on this basis, that the Council, with the consent of the Parliament, adopt this new Protocol by Decision.

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<sup>1</sup> Decision No 12600/10 of 27 September 2010.

Proposal for a

## COUNCIL DECISION

### **on the conclusion of a new Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Republic of Cape Verde**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(2) in conjunction with Article 218(6)(a) thereof,

Having regard to the proposal from the Commission<sup>2</sup>,

Having regard to the consent of the European Parliament<sup>3</sup>,

Whereas:

- (1) On 19 December 2006, the Council adopted Regulation (EC) No 2027/2006 on the conclusion of the Fisheries partnership agreement between the European Community and the Republic of Cape Verde<sup>4</sup>.
- (2) The European Union has negotiated with the Republic of Cape Verde a new protocol granting European Union vessels fishing opportunities in waters in which Cape Verde exercises its sovereignty or jurisdiction as regards fishing.
- (3) Following these negotiations, the new Protocol was initialled on 22 December 2010.
- (4) On the basis of Council Decision 2010/XXX of [...]<sup>5</sup>, this new Protocol was signed and has been provisionally applied since 1 September 2011,
- (5) The Protocol should be concluded,

HAS ADOPTED THIS DECISION:

#### *Article 1*

The Protocol to the Fisheries Partnership Agreement between the European Community and the Republic of Cape Verde is hereby approved on behalf of the Union<sup>6</sup>.

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<sup>2</sup> OJ C , , p. .

<sup>3</sup> OJ C , , p. .

<sup>4</sup> Council Regulation (EC) No 2027/2006 of 19 December 2006 (OJ L 414, 30.12.2006, p. 1).

<sup>5</sup> OJ C [...], [...], p. [...].

*Article 2*

The President of the Council shall designate the persons empowered to proceed, on behalf of the Union, to the notification provided for in Article 16 of the Protocol, in order to express the consent of the Union to be bound by the Protocol<sup>7</sup>.

*Article 3*

This Decision shall take effect on the day of its publication in the *Official Journal of the European Union*.

Done at Brussels,

*For the Council*  
*The President*

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<sup>6</sup> The text of the Protocol and the Decision on its signing were published in the Official Journal.

<sup>7</sup> The date of entry into force of the Protocol will be published in the Official Journal of the European Union by the General Secretariat of the Council.

## **LEGISLATIVE FINANCIAL STATEMENT**

### **1. FRAMEWORK OF THE PROPOSAL/INITIATIVE**

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## **1. FRAMEWORK OF THE PROPOSAL/INITIATIVE**

### **1.1. Title of the proposal/initiative**

Proposal for a Council Decision on the conclusion of a new Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Republic of Cape Verde

### **1.2. Policy area(s) concerned in the ABM/ABB structure<sup>8</sup>**

11. - Maritime Affairs and Fisheries

11.03 - International fisheries and law of the sea

### **1.3. Nature of the proposal/initiative**

The proposal/initiative relates to a new action

The proposal/initiative relates to a new action following a pilot project/preparatory action<sup>9</sup>

X The proposal/initiative relates to the extension of an existing action

The proposal/initiative relates to an action redirected towards a new action

### **1.4. Objectives**

#### *1.4.1. The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

The Commission's exclusive responsibility for negotiating bilateral fisheries agreements involves negotiating, concluding and implementing Fisheries Partnership Agreements (FPAs) and, at the same time, ensuring political dialogue between partners in the field of fisheries policy in the third countries concerned.

The negotiation and conclusion of fisheries agreements with third countries meets the general objective of maintaining and safeguarding the fishing activities of the European Union fleet, including the distant-water fleet, and developing relations in a spirit of partnership with a view to strengthening the sustainable exploitation of fishery resources outside EU waters, taking account of environmental, social and economic concerns.

FPAs also ensure coherence between the principles governing the Common Fisheries Policy and the commitments made under other European policies (sustainable use of third-country resources, combating illegal, unreported and unregulated (IUU) fishing, integration of partner countries into the global economy and better political and financial governance of fisheries).

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<sup>8</sup> ABM: Activity Based Management – ABB: Activity Based Budgeting.

<sup>9</sup> As referred to in Article 49(6)(a) or (b) of the Financial Regulation.

#### 1.4.2. *Specific objective(s) and ABM/ABB activity(ies) concerned*

Specific objective No 1<sup>10</sup>

To contribute to sustainable fishing in non-EU waters, maintain a European presence in distant-water fisheries and protect the interests of the European fisheries sector and of consumers by negotiating and concluding fisheries partnership agreements with coastal states (third countries), in consistency with other European policies.

In the specific case of the new Protocol with the Republic of Cape Verde, the catch level for the European tuna fleet has been set at a reference tonnage of 5 000 tonnes/year. The unit cost has been set at EUR 100/t, of which EUR 65/t is to be paid from the EU budget and EUR 35/t is to be borne by the operators.

ABM/ABB activity(ies) concerned

Maritime affairs and fisheries, international fisheries and law of the sea, international fisheries agreements (budget line 11.0301)

#### 1.4.3. *Expected result(s) and impact*

Specify the effects which the proposal/initiative is expected to have on the beneficiaries/groups targeted.

1. The conclusion of the Fisheries Protocol between the EU and Cape Verde will help maintain the current level of fishing opportunities for European vessels in Cape Verde waters for the period September 2011-August 2014, particularly as regards the tuna fleet. The Protocol will help maintain continuity in the fishing zones covered by agreements in the western Africa region.

2. The Protocol will also contribute to better management and conservation of fishery resources, through financial support (sectoral support) for the implementation of the annual and multiannual programmes adopted at national level by the partner country.

#### 1.4.4. *Indicators of results and impact*

Specify the indicators for monitoring implementation of the proposal/initiative.

The following indicators will be used in the context of ABM (activity-based management) for the purposes of monitoring implementation of the Agreement:

- monitoring the annual rate of utilisation of fishing opportunities (annual uptake of fishing authorisations as a percentage of availability under the Protocol);
- gathering and analysing data on catches and the commercial value of the Agreement (the tonnage caught and its annual development in comparison with the reference tonnage set in the Protocol);

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<sup>10</sup> p.m.: In the activity statements drawn up for the 2010 budget, this is specific objective No 2; Please refer to: <http://www.cc.cec/budg/bud/proc/adopt/ doc/ pdf/2010/apb2010-working-documents-part1-11-mare.pdf>

In aggregate with other fisheries partnership agreements concluded by the EU with third countries, the following indicators may be used as part of a multiannual analysis:

- contribution to employment and to added value in the EU;
- contribution to stabilising the EU market;

Furthermore, within the framework of partnership dialogue, use of the following monitoring indicator is also proposed:

- number of technical meetings and Joint Committee meetings.

## **1.5. Grounds for the proposal/initiative**

### *1.5.1. Requirement(s) to be met in the short or long term*

The Protocol to the Fisheries Agreement between the European Community and the Republic of Cape Verde for the period 2006-11 expired on 31 August 2011. The new Protocol covers the period from 1 September 2011 to 31 August 2014. A procedure for to the adoption by the Council of a decision regarding the provisional implementation of the Protocol has been launched in parallel with this procedure.

The new Protocol will provide a framework for the fishing activities of the European fleet and will, in particular, allow shipowners to continue to obtain fishing authorisations in the Cape Verde EEZ from 2011.

In addition, one of the objectives of the new Protocol is to strengthen the cooperation between the EU and Cape Verde on promoting the development of a sustainable fisheries policy and the rational exploitation of fishery resources in the Cape Verde fishing zone. The EUR 60 000 contribution allocated to this end under the previous protocol has been used in full and was even insufficient to meet requirements in this area, particularly as regards the monitoring and surveillance of fishing activities in the Cape Verde EEZ. In order to meet these requirements more effectively, the amount allocated under the new protocol to assist the fishing sector is greater, at EUR 110 000.

The main elements of the new Protocol are:

- Fishing opportunities: 28 tuna seiners, 35 surface longliners and 11 pole-and-line vessels will be authorised to fish, with an annual reference tonnage of 5 000 tonnes. The allocation of these fishing opportunities among the Member States concerned is the subject of a proposal for a specific Council Regulation.
- Annual financial contribution: EUR 435 000
- Advances and fees payable by shipowners<sup>11</sup>: EUR 35 per tonne of tuna caught in the Cape Verde fishing area by seiners and surface longliners and EUR 25 per tonne for pole-and-line vessels. The annual advances are set at EUR 4 375 per tuna seiner, EUR 3 150 per longliner and EUR 450 per pole-and-line vessel.

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<sup>11</sup> Advances and fees payable by shipowners have no impact on the Community budget.

### 1.5.2. *Added value of EU involvement*

As regards this new Protocol, failure to act by the EU would allow private agreements to spring up which would not guarantee sustainable fisheries. The European Union also hopes that, with this Protocol, Cape Verde will continue to cooperate effectively with the EU in regional bodies such as the International Commission for the Conservation of Atlantic Tunas (ICCAT) and the Sub-Regional Fisheries Commission (SRFC).

The funds available will also allow Cape Verde to continue its strategic planning efforts for the implementation of its fisheries policies and to strengthen its capacity for combating IUU fishing, in particular by improving its infrastructure for monitoring and controlling fishing activities in its Exclusive Economic Zone (EEZ).

The Fisheries Agreement also provides employment for seamen from the European Union and Cape Verde. It will also generate economic activity in ports in Cape Verde which are used by EU shipowners to trans-ship fish or to carry out repairs on their ships.

### 1.5.3. *Lessons learned from similar experiences in the past*

A thorough evaluation was carried out on the Protocol for 2006-11, finalised in October 2010, with the assistance of a consortium of independent consultants, with a view to the possible launch of negotiations on a new Protocol.

The ex-ante evaluation identified the following points of interest for the EU:

- By meeting the needs of the European fleets, the fisheries agreement with Cape Verde could help support the viability of the EU tuna industry in the Atlantic Ocean.
- The Protocol is deemed to be capable of contributing to the viability of European industries by offering European Union vessels and the industries which depend on them a stable legal environment and medium-term visibility.

As regards the interests of Cape Verde in the context of the Protocol, the conclusions of the evaluation were:

- The Fisheries Agreement can help strengthen institutional capacities in the fisheries sector by improving research, and monitoring, control and surveillance (MCS) activities, as well as training and the viability of the small-scale fishing sector.
- The Fisheries Agreement will also have a major impact on the country's budgetary and political stability.

In addition to the direct commercial value of the catches for the vessels involved, the agreement could yield the following manifest benefits:

- guaranteed jobs on board fishing vessels;
- multiplier effect on employment in ports, shipyards, service enterprises, etc.;
- location of these jobs in regions where there are no other employment possibilities;

- contribution to the supply of fish in the EU.

The evaluation report<sup>12</sup> indicates that the contribution of the agreement to making the activities of the EU fleet safe has proved to be important for the tuna segment, even if catches can only be made for a few months of the year.

The new Agreement has taken these recommendations into account by confirming the granting of fishing authorisations to the tuna category alone. Furthermore, in comparison with the previous Protocol, fishing opportunities for the longline segment have been reduced from 48 to 35, taking into account the historical rates of utilisation for this category in recent years. Moreover, there has been a slight increase in the number of licences available for seiners as a category (from 25 to 28) in order to mitigate the recent migration of some operators from the Indian Ocean to the Atlantic Ocean as a result of the phenomenon of piracy.

The reference tonnage has been kept unchanged at 5 000 tonnes/year. Nevertheless, taking account of needs in the fisheries sector in Cape Verde, the budget allocated to sectoral support has increased in comparison with the previous Protocol.

The annual financial contribution has increased by EUR 50 000 (+ 13%) in comparison with the previous Protocol (2006-11).

#### *1.5.4. Coherence and possible synergy with other relevant financial instruments*

Funds paid out under fisheries partnership agreements constitute fungible revenue in the budgets of the third-country partners. However, allocating some of those funds for implementing measures as part of the country's sectoral policy is a condition for the conclusion and monitoring of FPAs. These financial resources are compatible with other sources of funding from other international donors for carrying out projects and/or programmes at national level in the fisheries sector. Other measures possibly co-financed by the EDF could also be compatible with those identified within the framework of the annual and multi-annual planning of the country's sectoral policy; in these cases, the results of implementation each year, as referred to in paragraph 2.1 below, will be the subject of an overall analysis.

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<sup>12</sup> Ex-post evaluation of the 2006-11 Protocol and ex-ante evaluation of the future Protocol.

## 1.6. Duration and financial impact

Proposal/initiative of limited duration

Proposal/initiative in force for a period of three years from 1 September 2011, the date from which the Protocol applies on a provisional basis pursuant to the Council Decision on the signing, on behalf of the European Union, and the provisional application of the Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Republic of Cape Verde.

Financial impact from 2011 to 2014

Proposal/initiative of unlimited duration

- Implementation with a start-up period from [YYYY] to [YYYY],
- followed by full-scale operation.

## 1.7. Management mode(s) envisaged<sup>13</sup>

Centralised direct management by the Commission

Centralised indirect management with the delegation of implementation tasks to:

- executive agencies
- bodies set up by the Communities<sup>14</sup>
- national public-sector bodies/bodies with a public-service mission
- persons entrusted with the implementation of specific actions pursuant to Title V of the Treaty on European Union and identified in the relevant basic act within the meaning of Article 49 of the Financial Regulation

Shared management with the Member States

Decentralised management with third countries

Joint management with international organisations (to be specified)

If more than one management mode is indicated, please provide details in the ‘Comments’ section.

Comments

[...]

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<sup>13</sup> Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: [http://www.cc.cec/budg/man/budgmanag/budgmanag\\_en.html](http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html)

<sup>14</sup> As referred to in Article 185 of the Financial Regulation.

## **2. MANAGEMENT MEASURES**

### **2.1. Monitoring and reporting rules**

Specify frequency and conditions.

The Commission (DG MARE, in collaboration with its fisheries counsellor in Dakar and the European Union's Delegation to Cape Verde) will ensure regular monitoring of the implementation of this Protocol, particularly as regards operators' use of fishing opportunities and in terms of catch data.

Furthermore, the Fisheries Partnership Agreement provides for at least one annual meeting of the Joint Committee, at which the Commission and the Member States concerned meet with the third country to review the implementation of the Agreement and the Protocol thereto.

As regards the implementation of sectoral support, the Protocol stipulates that, each year, the two Parties must evaluate the progress made in implementing the multiannual sectoral programme. The Protocol provides for a possible adjustment of the financial contribution allocated to sectoral support if this evaluation reveals that the financed objectives are not being satisfactorily achieved.

### **2.2. Management and control system**

#### *2.2.1. Risk(s) identified*

There is some risk in setting up a fisheries protocol, for example: the amounts intended to finance the sectoral fisheries policy might not be allocated as agreed (under-programming).

#### *2.2.2. Control method(s) envisaged*

To avoid the risks mentioned in the previous point, extensive dialogue is planned on the programming and implementation of the sectoral policy. Joint analysis of progress, as referred to in paragraph 2.1, also forms part of these control methods.

In addition, the Protocol contains specific clauses for its suspension, on certain conditions and in given circumstances.

### *2.3. Measures to prevent fraud and irregularities*

Specify existing or envisaged prevention and protection measures.

The use to which the financial contribution paid by the EU under the Agreement is put is entirely at the discretion of the sovereign third country concerned. Nevertheless, the Commission undertakes to try to establish permanent political dialogue and cooperation with a view to improving the management of the Agreement and strengthening the EU's contribution to the sustainable management of resources. In any case, any payment which the Commission makes under a fisheries agreement is subject to the Commission's standard rules and budgetary and financial procedures. This makes it possible, in particular, to fully identify the bank accounts of the third countries into which the financial contribution is paid. For this particular

Protocol, Article 2(7) stipulates that the entire financial contribution must be paid into a Public Treasury account opened with a financial institution specified by the Cape Verde authorities.

### 3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

#### 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing expenditure budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line:	Type of expenditure	Contribution			
	Number [Description.....]	DA/NDA <sup>15</sup>	from EFTA countries <sup>16</sup>	from candidate countries <sup>17</sup>	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
2	11.0301 International fisheries agreements	DA	NO	NO	NO	NO

- New budget lines requested

(not applicable)

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line:	Type of expenditure	Contribution			
	Number [Description.....]	DA/NDA	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
[...]	[XX.YY.YY.YY] [...]	[...]	YES/NO	YES/NO	YES/NO	YES/NO

<sup>15</sup> DA= Differentiated appropriations / NDA= Non-differentiated appropriations.

<sup>16</sup> EFTA: European Free Trade Association.

<sup>17</sup> Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

### 3.2. Estimated impact on expenditure

#### 3.2.1. Summary of estimated impact on expenditure

EUR million (to four decimal places)

Heading of multiannual financial framework:	2	Preservation and management of natural resources
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DG: MARE			Year N <sup>18</sup> (2011)	Year N+1 (2012)	Year N+2 (2013)	Year N+3	insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)			TOTAL
• Operational appropriations										
Number of budget line: 11.0301	Commitments	(1)	0.435	0.435	0.435					1.305
	Payments	(2)	0.435	0.435	0.435					1.305
Number of budget line:	Commitments	(1a)								
	Payments	(2a)								
• Appropriations of an administrative nature financed from the envelope of specific programmes <sup>19</sup>										
Number of budget line:		(3)								
TOTAL for DG MARE	Commitments	=1+1a+3	0.435	0.435	0.435					1.305
	Payments	=2+2a+3	0.435	0.435	0.435					1.305

<sup>18</sup> Year N is the year in which implementation of the proposal/initiative starts.

<sup>19</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

• TOTAL operational appropriations <sup>20</sup>	Commitments	(4)	0.435	0.435	0.435					1.305
	Payments	(5)	0.435	0.435	0.435					1.305
• TOTAL appropriations of an administrative nature financed from the envelope of specific programmes		(6)	0	0	0					
TOTAL appropriations under HEADING 2 of the multiannual financial framework	Commitments	=4+ 6	0.435	0.435	0.435					1.305
	Payments	=5+ 6	0.435	0.435	0.435					1.305

If more than one heading is affected by the proposal/initiative: (not applicable)

• TOTAL operational appropriations	Commitments	(4)								
	Payments	(5)								
• TOTAL appropriations of an administrative nature financed from the envelope of specific programmes		(6)								
TOTAL appropriations under HEADINGS 1 to 4 of the multiannual financial framework (Reference amount)	Commitments	=4+ 6								
	Payments	=5+ 6								

<sup>20</sup> The financial contribution comprises: a) EUR 325 000 per year, equivalent to an annual reference tonnage of 5 000 tonnes, and b) EUR 110 000 per year, corresponding to support for the development of the sectoral fisheries policy of the Republic of Cape Verde. Where the annual quantity caught exceeds 5 000 tonnes, the amount of the annual financial contribution will be increased by EUR 65 for each additional tonne caught. However, the total annual amount paid by the EU may not exceed EUR 650 000 per year (see Article 2(4) of the Protocol).

Heading of multiannual financial framework:	5	'Administrative expenditure'
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EUR million (to three decimal places)

	Year N (2011)	Year N+1 (2012)	Year N+2 (2013)	Year N+3 <sup>21</sup> (2014)	insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)			TOTAL
DG: MARE								
• Human resources	0.01843	0.0572	0.0572	0.03877				0.1716
• Other administrative expenditure <sup>22</sup>	0.005	0.010	0.010	0.005				0.030
TOTAL DG MARE								
	Appropriations							

TOTAL under HEADING of the multiannual financial framework	appropriations 5	(Total commitments = Total payments)	0.02343	0.0672	0.0672	0.04377				0.2016
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EUR million (to three decimal places)

	Year N <sup>23</sup>	Year N+1	Year N+2	Year N+3	insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)			TOTAL
TOTAL under HEADINGS of the multiannual financial framework								
	Commitments							
	0.45843	1.107	1.107	0.04377				1.5066
	Payments							

<sup>21</sup> Administrative expenses are spread over four budget years, given that the protocol covers the period September 2011-August 2014. Human resources expenditure is calculated on a pro rata basis for 2011 and 2014.

<sup>22</sup> Estimated costs for on-the-spot monitoring missions.

<sup>23</sup> Year N is the year in which implementation of the proposal/initiative starts.

### 3.2.2. Estimated impact on operational appropriations

- The proposal/initiative does not require the use of operational appropriations
- The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to four decimal places)

Indicate objectives and outputs  ↓			Year N (2011)	Year N+1 (2012)	Year N+2 (2013)	Year N+3	... insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)										TOTAL		
	OUTPUTS																		
	Type of output <sup>24</sup>	Average cost of the output	Number of outputs	Total cost	Number of outputs	Total cost	Number of outputs	Total cost	Number of outputs	Total cost	Number of outputs	Total cost	Number of outputs	Total cost	Number of outputs	Total cost	Number of outputs	Total cost	
SPECIFIC OBJECTIVE NO 1 <sup>25</sup>																			
Tuna catches	Ref tonnage	65 €/t	5 000 t	0.325	5 000 t	0.325	5 000 t	0.325										15 000 t	0.975
Sectoral support		0.110	1	0.110	1	0.110	1	0.110											0.330

<sup>24</sup> Outputs are products and services to be supplied (e.g. number of student exchanges financed, number of km of roads built, etc.).  
<sup>25</sup> As described in Section 1.4.2. 'Specific objective(s)'

Sub-total for specific objective No 1		0.435		0.435		0.435												1.305	
SPECIFIC OBJECTIVE NO 2 ...																			
Output																			
Sub-total for specific objective No 2																			
TOTAL COST		0.435		0.435		0.435													1.305

### 3.2.3. Estimated impact on appropriations of an administrative nature

#### 3.2.3.1. Summary

- The proposal/initiative does not require the use of administrative appropriations
- The proposal/initiative requires the use of administrative appropriations, as explained below:

EUR million (to three decimal places)

	Year N <sup>26</sup> (2011)	Year N+1 (2012)	Year N+2 (2013)	Year N+3	insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)	TOTAL
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HEADING 5 of the multiannual financial framework								
Human resources	0.01843	0.0572	0.0572	0.03877				0.1716
Other administrative expenditure	0.005	0.010	0.010	0.005				0.030
<b>Subtotal HEADING 5 of the multiannual financial framework</b>	<b>0.02343</b>	<b>0.0672</b>	<b>0.0672</b>	<b>0.04377</b>				<b>0.2016</b>

Outside HEADING 5 of the multiannual financial framework <sup>27</sup>								
Human resources	0.005	0.016	0.016	0.011				0.048
Other expenditure of an administrative nature	0.0015	0.005	0.005	0.0035				0.015
<b>Subtotal outside HEADING 5 of the multiannual financial framework</b>	<b>0.0065</b>	<b>0.021</b>	<b>0.021</b>	<b>0.0145</b>				<b>0.063</b>

<b>TOTAL</b>	<b>0.02993</b>	<b>0.0882</b>	<b>0.0882</b>	<b>0.05827</b>				<b>0.2646</b>
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<sup>26</sup>

Year N is the year in which implementation of the proposal/initiative starts.

<sup>27</sup>

Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

### 3.2.3.2. Estimated requirements of human resources

- The proposal/initiative does not require the use of human resources
- The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full amounts (or at most to one decimal place)

	Year N (2011)	Year N+1 (2012)	Year N+2 (2013)	Year N+3 (2014)	Enter as many years as necessary to show the duration of the impact (see point 1.6)		
• Establishment plan posts (officials and temporary agents)							
XX 01 01 01 (Headquarters and Commission's Representation Offices)	0.13	0.4	0.4	0.27			
XX 01 01 02 (Delegations)							
XX 01 05 01 (Indirect research)	0	0	0	0			
10 01 05 01 (Direct research)	0	0	0	0			
• External personnel (in full-time equivalent - FTE) <sup>28</sup>							
XX 01 02 01 (CA, INT, SNE from the 'global envelope')	0	0	0	0			
XX 01 02 02 (CA, INT, JED, LA and SNE in the delegations)	0.03	0.1	0.1	0.07			
XX 01 04 yy <sup>29</sup>	at Headquarters <sup>30</sup>						
	- in delegations						
XX 01 05 02 (CA, INT, SNE - Indirect research)							
10 01 05 02 (CA, INT, SNE - Direct research)							

<sup>28</sup> CA= Contract Agent; INT= agency staff ('Intérimaire'); JED= 'Jeune Expert en Délégation' (Young Experts in Delegations); LA= Local Agent; SNE= Seconded National Expert.

<sup>29</sup> Sub-ceiling for external staff under operational appropriations (former 'BA' lines).

<sup>30</sup> For Structural Funds, European Agricultural Fund for Rural Development (EAFRD) and European Fisheries Fund (EFF).

11010404 (CA, responsible for monitoring implementation of sectoral support)	0.08	0.25	0.25	0.17			
TOTAL	0.24	0.75	0.75	0.51			

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Human resources estimate:

Officials and temporary agents	1 desk officer from DG MARE + HoU/deputy HoU + secretariat: overall estimate of 0.4 people/year  Calculation of costs: 0.4 people/year x EUR 127 000/year = EUR 50 800
External personnel	1 CA at a delegation (Cape Verde), with responsibility for monitoring fishing authorisations submitted to/issued by the Cape Verde authorities: overall estimate of 0.1 people/year  Calculation of costs: 0.1 people/year x EUR 64 000/year = EUR 6 400
Non-Heading 5 staff	1 CA fisheries attaché assigned to the Senegal Delegation responsible for monitoring implementation of the sectoral support estimated overall at 0.25 people/year  Calculation of costs: 0.25 people/year x EUR 64 000/year = EUR 16 000

Calculation of total human resources per year: EUR 50 800 + EUR 6 400 + EUR 16 000 = EUR 73 200  
=> EUR 0.0732 million

Description of tasks to be carried out:

- Assisting the negotiator with preparing and concluding the negotiation of fisheries agreements:
  - taking part in negotiations with third countries to conclude fisheries agreements;
  - preparing draft evaluation reports and negotiation strategy notes for the Commissioner;
  - presenting and defending the Commission's position in the Council's 'External Fisheries' Working Party;
  - taking part in the search for a compromise with the Member States to be included in the final text of the Agreement.
- Monitoring the implementation of agreements:
  - daily monitoring of fisheries agreements;
  - preparing and checking the commitment and payment of the financial contribution and of any specific supplementary contributions;
  - regular reporting on the implementation of agreements;
  - evaluating agreements: scientific and technical aspects;

- preparing the draft proposal for a Council Regulation and Decision and drafting the text of the Agreement;
- launching and monitoring adoption procedures.
- Technical assistance:
  - preparing the Commission's position for the Joint Committee.
- Interinstitutional relations:
  - representing the Commission before the Council, the European Parliament and the Member States in the negotiation process;
  - drafting replies to oral and written questions from the European Parliament.
- Interdepartmental consultation and coordination:
  - liaising with other Directorates-General on matters relating to the negotiation and monitoring of agreements;
  - organising and responding to interdepartmental consultations.
- Evaluation:
  - taking part in updating the impact assessment;
  - analysing the objectives achieved and evaluation indicators.

**3.2.4. Compatibility with the current multiannual financial framework**

- x Proposal/initiatives compatible with the current multiannual financial framework.
- Proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

Explain what reprogramming is required, specifying the budget lines concerned and the corresponding amounts.

[...]

- Proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework<sup>31</sup>.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

[...]

**3.2.5. Third-party contributions**

- X The proposal/initiative does not provide for co-financing by third parties
- The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to three decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
Specify the co-financing body/source								
TOTAL appropriations co-financed								

<sup>31</sup> See points 19 and 24 of the Interinstitutional Agreement.

### 3.3. Estimated impact on revenue

- Proposal/initiative has no financial impact on revenue.
- Proposal/initiative has the following financial impact:
  - on own resources
  - on miscellaneous revenue

EUR million (to three decimal places)

Budget line: revenue	Appropriations available for the ongoing budget exercise	Impact of the proposal/initiative <sup>32</sup>					... insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)		
		Year N	Year N+1	Year N+2	Year N+3				
Article ....									

For miscellaneous ‘assigned’ revenue, specify the budget expenditure line(s) affected.

[...]

Specify the method for calculating the impact on revenue.

[...]

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<sup>32</sup> As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25% for collection costs.